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Demonstrated with a case in Indonesia

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A decision support scheme for solving the mobile coverage gap in rural areas in developing countries – Demonstrated with a case in Indonesia

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1. Introduction

Growth in telecommunication penetration has been widely acknowledged as positively associated with human and economic development. A 10 % increase in mobile broadband penetration can increase GDP per capita by 0.81 % ([Edquist](#page-18-0) et al., 2018). Many studies reveal a positive connection between telecommunication services and the human development index ([Ejemeyovwi](#page-18-0) et al., 2019; [Qureshi](#page-19-0) and [Afzali,](#page-19-0) 2017).

Mobile telecommunication services play a crucial role in developing countries in which fixed telephony networks are under-developed, to cover the uncovered area and to leapfrog the fixed network. With its less expensive roll-out costs and the fixed-line penetration decline, mobile telecommunication is becoming the primary means of communication in developing countries [\(Arakpogun](#page-18-0) et al., 2017; [Kefela,](#page-18-0) 2011; Loo [and](#page-19-0) [Ngan,](#page-19-0) 2012). As the primary means of communication, its role in developing countries' development is more significant than in developed countries. This makes equal distribution of mobile telecommunication networks very important for developing countries.

Developing countries are mainly composed of rural areas and the vast majority of people in low income countries live as rural populations ([Anríquez](#page-18-0) and Stloukal, 2008; [Bhuiyan,](#page-18-0) 2004). While the urban areas tend to be served by the most advanced technologies (such as the forthcoming 5G mobile networks) [\(Andrews](#page-18-0) et al., 2014), rural areas are more heavily exposed to the mobile coverage problem ([Chiha](#page-18-0) et al., [2020\)](#page-18-0). For example, in Indonesia in 2020, thousands of villages were still not covered by mobile telecommunication services and out of 3100 villages without mobile coverage, 3079 villages comprised *<*20 % builtup area (Ditdal PPL, [2021a\)](#page-18-0). Without proper countermeasures put into place to reduce or solve the problem, the digital divide between the people living in urban areas and those living in rural areas will be exacerbated in the future. Therefore, it is important to explore how mobile network coverage in rural areas in a developing country is currently established and how to improve it.

So far, the academic literature only describes segmented solutions for the mobile coverage problem in rural areas, proposing either technological, regulatory or organizational solutions. Technological solutions use network architecture and/or specific equipment for addressing the

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problem, such as the unmanned aerial vehicle (UAV) ([Chiaraviglio](#page-18-0) et al., [2019;](#page-18-0) Song et al., [2021](#page-19-0)), wireless long distance (WiLD) backhaul [\(Simo-](#page-19-0)[Reigadas](#page-19-0) et al., 2015) and VillageCell solutions ([Anand](#page-18-0) et al., 2012). In addition, the organizational solutions mentioned in the literature focus on the involvement of certain (new) actors, such as small rural operators (SRO) ([Prieto](#page-19-0) Egido et al., 2020), mobile virtual network operators (MVNO) [\(Cricelli](#page-18-0) et al., 2011; Kiiski and Hämmäinen, 2004) and community-based networks ([Barela](#page-18-0) et al., 2016; [Heimerl](#page-18-0) et al., 2013; [Salemink](#page-19-0) and Strijker, 2018). Other authors address regulatory solutions that emphasize coping with the problem of non-coverage by using governmental interventions in the form of new regulations or modifications of extant regulations and/or policies, such as coverage obligations (Cruz and [Touchard,](#page-18-0) 2018; [Sridhar](#page-19-0) and Prasad, 2011), universal service funds (USF) ([Arakpogun](#page-18-0) et al., 2017), spectrum management ([Touchard,](#page-19-0) 2017; [Valletti,](#page-19-0) 2001), and the like. However, these separate solutions are not sufficient to solve the mobile coverage problem in rural areas. Technological solutions can only be effective if they match with the implemented organizational and regulatory solutions. For example, a technological solution cannot be implemented effectively if it is not allowed by local regulations (see e.g. ([Heimerl](#page-18-0) et al., 2013)). This is an academic knowledge gap: none of the literature provides an overview of how to combine technological, organizational and regulatory solutions; let alone a comprehensive guidance on which combination of solutions is suitable for which (local) situation and what is required for its implementation.

We consider the lack of mobile telecommunication coverage in rural areas in developing countries as a complex socio-technical challenge in which technological, societal, business, and institutional components are involved. Solutions must address all these aspects. There are multiple and diverging actors' interests involved in managing the mobile telecommunications system and interdependencies between actors exist ([Geels,](#page-18-0) 2004). Governments have a great interest in expanding mobile network services in rural areas as a way to improve the national economy, to maintain public values, and to improve institutional presence ([Prieto](#page-19-0) Egido et al., 2020; [Ubacht,](#page-19-0) 2020). In contrast, mobile network operators' interests can be primarily focused on commercial objectives such as return on investments and growth in market shares [\(Ubacht,](#page-19-0) [2020\)](#page-19-0). These competing interests create tensions between private and public values in the mobile telecommunications market. National governments have an important role in and possess the instruments to improve the mobile network coverage. A solution for the mobile coverage problem can only be adequate and impactful if it accommodates the involved actors' preferences. However, none of the solutions we found in the literature considered the preferences of the relevant actors (such as market parties and public authorities) in the mobile telecommunication sector. This is a second academic knowledge gap.

All in all, what is missing in the academic literature is a comprehensive overview of all causes of the mobile coverage gap and of available (types of) solutions, and an integrated method for choosing specific solutions under specific circumstances, considering local characteristics and stakeholders' preferences. To address these academic knowledge gaps, this article has two research objectives. The first objective is to create an overview of the causes of the mobile coverage gap in rural locations and the different types of solutions to solve this gap, and how these solutions can be combined. The second objective is to develop an integrated method for governmental bodies for choosing specific mobile communication solutions under specific circumstances, considering local characteristics and stakeholders' preferences. To reach the first objective, we conducted an extensive literature review, to create an overview of the causes of the mobile coverage gap in rural locations and the different types of solutions to solve this gap, and how these solutions can be combined. To reach the second objective, we developed, based on our causes-solutions-combinations overview, a decision support scheme for selecting a suitable solution arrangement for solving the mobile coverage gap in a country or region. Both our overview of the causes of the mobile coverage gap, solutions that match these causes and possible solution combinations and our decision support scheme form an academic contribution.

The remainder of this article is organized as follows. In Section 2 we describe our research approach and research methods. Next, in [Section](#page-3-0) 3 we present an inventory of the causes of the mobile coverage problem in rural areas, and in [Section](#page-4-0) 4 the available solutions and how these solutions can be combined. In [Section](#page-9-0) 5, based upon the previous sections, we present our decision support scheme to identify the most suitable solution arrangement to solve the mobile coverage gap in a rural area. In [Section](#page-10-0) 6 we demonstrate the decision support scheme by applying it to an exemplary case of a rural village in Indonesia. We present a discussion of our findings, the research limitations, and subsequent future research topics in [Section](#page-14-0) 7. Lastly, in [Section](#page-15-0) 8, we provide a short conclusion and present the scientific and societal contribution of our findings.

2. Research approach

We followed a qualitative research approach that included the following phases. First, a literature review was conducted to analyze the key causes of the lack of mobile coverage in rural areas in developing countries. This literature review was performed in March 2021. In Scopus, the search terms used were 'mobile telecom coverage rural' for all search fields and 'digital divide' in the article title, abstract, and keywords. This yielded 79 scientific articles, which were scoped down to 64 by excluding non-relevant subject areas (arts, medi, agri, bioc, math, phys, psyc, eart, envi and nurs), after which a manual selection and snowballing were applied to select the 28 most relevant articles. The results of this literature review phase are presented in [Section](#page-3-0) 3 and are reflected in the first step of the decision support scheme we developed.

A second literature review was conducted into potential solutions to solve the mobile coverage gap in rural areas in April 2021. This time we used the search terms 'mobile', 'coverage', 'rural', telecom* and ('solution' or 'solv*') and excluded non-relevant subject areas (bioc, eart, chem, immu and vete) in title, abstract and key words, which yielded 57 scientific articles. As this first search mainly resulted in technological solutions, we used a second search string to also explore nontechnological solutions given our socio-technical perspective. For this, we used the search terms ('mobile and telecom*') and (govern* or polic* or regulat*) and we limited to 'soci' or 'busi' or 'deci' or 'econ' or 'arts' or 'psych' or 'mult' in title, abstract and keywords. This search yielded 88 scientific articles. Manual selection by reading the summaries of the articles resulted in a list of 33 relevant scientific articles which were supplemented with three scientific articles that were found on Research Gate via the snowballing technique. These 36 articles are the basis for [Section](#page-4-0) 4 of this article and for steps 2 and 3 of the decision support scheme we developed. An overview of the selected articles from both literature searches is presented in [Appendix](#page-15-0) A. In the [Discussion](#page-14-0) section an underlying potential problem with scientific literature reviews, namely selection bias in published studies, is discussed.

Based on the two literature reviews we developed the decision support scheme for selecting a suitable arrangement for solving the mobile coverage gap. To demonstrate the use of the decision support scheme we applied it to a case in Indonesia for which we selected the underserved village of Unipa. In this part of the research, we interviewed six representatives from the Indonesian Ministry of Communications and Informatics (Kominfo) and two representatives from two mobile network operators that are active in Indonesia. These interviews were conducted online in the period May–June 2021. The stakeholders were interviewed to first evaluate a preliminary list of solution arrangements for Unipa village, which we compiled based on desk research. After the first evaluation, they assessed the selected solution arrangements on four pre-specified criteria: the feasibility of implementing the solution, its potential negative impacts, the requirements for achieving its objective, and the risks of the solution. This evaluation phase is reflected in step 4 of the decision support scheme and the demonstration of the decision support scheme is presented in [Section](#page-10-0) 6 of this article.

3. Causes of lacking mobile coverage in rural areas in developing countries

As presented in [Section](#page-2-0) 2 we conducted a literature review with 28 scientific articles to first make a comprehensive overview of all possible causes of the mobile coverage problem in rural areas in developing countries. This review is the basis for the first step of the decision support scheme which we present in full in [Section](#page-9-0) 5 of this article. Based on our literature review we present the key causes of a mobile coverage gap in rural areas in the following paragraphs.

3.1. Commercial orientation of mobile network operators

The lack of coverage in rural areas is a consequence of a basic economic challenge exacerbated by the commercial orientation of mobile network operators (MNOs). Strong profit motives of the major MNOs limit their investments in rural connectivity because rural populations in developing countries have high poverty rates, making them an unprofitable customer segment ([Prieto](#page-19-0) Egido et al., 2020; Cruz and [Touchard,](#page-18-0) [2018;](#page-18-0) [Galperin](#page-18-0) and Bar, 2007; Jha and [Saha,](#page-18-0) 2016). This is also the case in developed countries, but refers more to mobile broadband services, not to basic mobile services, i.e. telephony and SMS [\(Salemink](#page-19-0) and [Strijker,](#page-19-0) 2018; [Prieger,](#page-19-0) 2013; [Roberts](#page-19-0) et al., 2017; [Townsend](#page-19-0) et al., [2013\)](#page-19-0) as it stems from a higher level of general telecommunication penetration [\(Arakpogun](#page-18-0) et al., 2017). Moreover, MNOs in developing countries are less willing to invest in small communities in rural areas because of the high opportunity costs of deploying the network in urban areas [\(Trendov](#page-19-0) et al., 2018) and the high investments to maintain their market share in urban areas in which aggressive competition among operators occurs [\(Heimerl](#page-18-0) et al., 2013).

The commercial orientation of MNOs and the mobile communication industry's competitive environment are the results of telecommunication market liberalization and privatization enacted by the government. Shifting from a state-owned monopoly to a private industry is a typical trajectory of the telecommunication market industry in many countries ([Arakpogun](#page-18-0) et al., 2017; Berg and [Hamilton,](#page-18-0) 2002; [Chavula,](#page-18-0) 2013; [Gutierrez](#page-18-0) and Berg, 2000; [Montenegro](#page-19-0) and Araral, 2020). In the beginning, as telecommunication services were considered an essential need of society, governments tried to assure its deliverance and performance via state-owned companies, which monopolized the sector throughout nations ([Montenegro](#page-19-0) and Araral, 2020). However, as shown by studies in Bangladesh [\(Bhuiyan,](#page-18-0) 2004) and Africa (ITU, [1999](#page-18-0); [Min](#page-19-0)ges, [1998;](#page-19-0) [Whitehead](#page-19-0) et al., 2011), the limited technical expertise and investment capacity of the government led to low teledensity and left the telecommunication service performance much to be desired as the telecommunication needs grew. The state-owned telecommunication operators' bureaucratic structure increased management costs and placed a burden on the available funds ([Bhuiyan,](#page-18-0) 2004). Due to these limitations, to extend telecommunication services to the unserved and underserved areas, governments in developing countries turned to the World Bank and other international bodies for support (Irwin and [Brook,](#page-18-0) [2003;](#page-18-0) [Sutherland,](#page-19-0) 2014; [Wanjiku,](#page-19-0) 2014). At the heart of the conditions for granting these supports was the need to introduce reforms, including the mobile telecommunications sector's liberalization [\(Sutherland,](#page-19-0) [2014;](#page-19-0) [Wanjiku,](#page-19-0) 2014). Other than that, liberalization and privatization were also the popular recommendations for reducing the government burden in service provision as it could attract foreign investments in the telecommunication sector and allow private entrepreneurs to contribute to network development ([Bhuiyan,](#page-18-0) 2004). Although liberalization and privatization have increased access to mobile network services in countries worldwide, the services are still mainly limited to customers in urban areas.

3.2. Difficult topography, lack of energy grid and low road accessibility

The MNOs' profit motives are exacerbated by the difficulties for deploying networks in rural areas, such as the challenging geographical topography which makes them hard to be accessed, the lack of an energy grid to sustain the mobile network operations, challenging terrain types that hamper the mobile transmission signals (e.g., rainforests or mountains) and underdeveloped road networks [\(Prieto](#page-19-0) Egido et al., [2020;](#page-19-0) [Heimerl](#page-18-0) et al., 2013; [Touchard,](#page-19-0) 2017; [Khaturia](#page-19-0) et al., 2020). These difficulties do not only hamper the deployment of network infrastructures but also drive up deployment costs. As investment in telecommunications infrastructure plays a major role in coverage expansion [\(Arakpogun](#page-18-0) et al., 2017; [Hudson,](#page-18-0) 2006), coverage cannot be expanded without deep pockets and may be hindered if the investment costs are too high. Deploying infrastructure outside the urban and suburban areas can be twice as expensive as in rural areas [\(Cruz](#page-18-0) and Tou[chard,](#page-18-0) 2018; [Rendon](#page-19-0) Schneir and Xiong, 2016; [Tyler](#page-19-0) et al., 1995), whereas revenue opportunities can be as much as ten times lower [\(Cruz](#page-18-0) and [Touchard,](#page-18-0) 2018; [Touchard,](#page-19-0) 2017). The combination of high prices of deploying infrastructure and weak demand for mobile internet services from rural populations deeply affects the business case for MNOs to deploy network infrastructure and results in low mobile network coverage in rural areas.

3.3. Low population density

[Townsend](#page-19-0) et al. (2013) and [Wright](#page-19-0) (1995) consider digital connectivity as an essential measure against the depopulation of rural areas. The wide range of telecommunication services such as public e-services, e-learning, e-mail, telemedicine, and online social networking help rural areas to sustain themselves. Without these services, citizens will be pushed to move to cities where essential services can be found. Thus, the lack of telecommunication services in rural areas leads to urbanization, making rural areas less populated and hence less economically attractive for MNOs to provide their services (Cruz and [Touchard,](#page-18-0) 2018; Jha [and](#page-18-0) [Saha,](#page-18-0) 2016; [Wright,](#page-19-0) 1995). Without telecommunication services in rural areas, the digital divide between the urban and rural areas widens, and, subsequently, the rural population's poverty rates increase ([Prieto](#page-19-0) Egido et al., [2020;](#page-19-0) Cruz and [Touchard,](#page-18-0) 2018).

On the side of end users, low quality such as insufficient voice call quality and/or low data rates and issues of affordability because of relatively high prices of telecommunication services in rural areas can decrease the services' usage. The low usage means a low return on investment (RoI), hence low profit for the MNOs who become reluctant to maintain their existing networks in those areas.

3.4. Lack of local support

Surana et al. add that most of the deployed networks in rural areas do not stay operational over the long haul ([Surana](#page-19-0) et al., 2008). Their study shows that MNOs encounter various challenges in maintaining the network in rural areas due to environmental issues, the locations' difficult accessibility, and power instability, leading to more frequent component failures and longer downtime. Subsequently, these situations increase the MNO's operational costs for traveling to the location to replace the components more often and decrease the revenues due to downtime. Consequently, sites in rural areas are abandoned. However, it turns out that MNOs' financial capacity is not the only thing that affects the sustainability of a site, but also the local support. Heimerl, et al. ([Heimerl](#page-18-0) et al., 2013) found that if locals support a network establishment, they can help maintain and repair the network. We, therefore, label this cause as 'lack of local support'.

3.5. Lack of regulatory obligations

National governments can use regulatory obligations to stimulate the

coverage of areas that are not attractive for mobile network operators with a commercial orientation. Solely relying on the market to close the gap will result in the exclusion of the unprofitable areas, whereas telecommunication services are essential for and should be equally provided to everyone ([Bhuiyan,](#page-18-0) 2004). Regulatory obligations can take several forms. Spectrum license obligations can stipulate that the licensee is obliged to e.g., cover a certain percentage of the country or laws and regulations can be formulated to cover unserved areas. In addition, generic spectrum policy can be used to promote coverage in rural areas. Cruz and Touchard (Cruz and [Touchard,](#page-18-0) 2018) suggest to stimulate the use of spectrum bands for frequencies below 1 GHz, which are considered ideal for rural areas as they can cover larger areas than bands with frequencies higher than 1 GHz with the same site configurations (height, power consumption, etc.), although they provide a lower data rate. According to the ITU, using 900 MHz allows operators to cover 2 to 2.7 more area than using 1800 MHz (Cruz and [Touchard,](#page-18-0) 2018). As a result, operators will be able to cover more population at the same costs. Another approach in spectrum licensing regimes is presented by Sridhar and Prasad who mention that in India licenses are divided into 22 areas, which are categorized based on their revenue potential [\(Sridhar](#page-19-0) and [Prasad,](#page-19-0) 2011). In each area, several 20 years licenses are issued to MNOs which include a roll-out obligation to cover a certain percentage of the area within a stipulated period. Compliance costs for these obligations can be counterbalanced by settling for a lower license price or installing related tax rules or the government can allow mobile network operators to agree upon infrastructure sharing arrangements to minimize investment costs in infrastructure components which are the most costly elements of the mobile network (Cruz and [Touchard,](#page-18-0) 2018). Needless to say, that compliance with regulatory obligations has to be monitored and not having formal means to address non-compliance will lead to failure in reaching the objectives for rural coverage.

3.6. Synthesis of key causes of lacking mobile coverage in rural areas

Based on the literature review we summarize the key causes of lacking mobile coverage in rural areas in Table 1. Reflecting on these key causes we can state that some of them can be more or less influenced, e. g. the population density in a rural area is a given key cause and cannot be influenced. A low population density (5) has a major influence on the potential return on investment and is thus at odds with the commercial orientation of MNOs (1). This tension could potentially be solved by turning the mobile telecommunications service into a public service with huge public investments to service remote areas. However, due to the huge costs and the current trend of liberalizing the mobile telecommunications market this option is highly unlikely. Key causes 2, 3, 4 and 5 are highly related. Key causes 3 and 4 can be influenced but this would also require huge (public or private) investments.

3.7. Local context requires problem analysis

In addition to the key causes, our literature review also showed that the actual cause of lacking mobile coverage can vary between countries or even between rural areas within one country. For example, for Bangladesh, Bhuiyan [\(Bhuiyan,](#page-18-0) 2004) mentions that the telecommunication network failed to expand to rural areas due to a lack of government policy support, such as the absence of coverage obligations. On the

Table 1

- 4. Low road accessibility
- 5. Low population density

7. Lack of regulatory obligations

other hand, Heimerl et al. ([Heimerl](#page-18-0) et al., 2013) argue that in an Indonesian village the mobile coverage was lacking because of the absence of village-wide electricity and not having a terrestrial backhaul network around the village. These examples show that a problem analysis needs to be conducted to understand the actual cause (s) of the mobile coverage gap problem per country and rural area. Hence, this problem analysis step is the first step in the decision support scheme that we present in [Section](#page-9-0) 5. But first, we present the potential solutions mentioned in the academic literature in the next section.

4. Solutions for mobile coverage problems in rural areas

Based on our second literature review (see [Section](#page-2-0) 2 for the search strategy used) we found 21 solutions for solving the mobile coverage problem in rural areas. As argued in the [Introduction](#page-1-0) section, technological solutions can only be effective if they are implemented in combination with matching organizational and regulatory solutions. Therefore, we analysed the literature for technological as well as organizational and regulatory solutions. These are summarized in [Tables](#page-5-0) 2, 3, [and](#page-5-0) 4 respectively in which the solution names, the key causes that the solution contributes to, as well as a summarized overview of the requirements and challenges as mentioned in the literature are given. In [Table](#page-8-0) 5 we show which technological solutions can be combined with which organizational and regulatory solutions. We explain the contents of [Tables](#page-5-0) 2–5 in the following subsections.

4.1. Technological solutions

The technological solutions as described in the scientific literature have the following sub-types:

Access technology: refers to the technology used to connect the network to the user, such as using a Femtocell, OpenBTS or an Unmanned Aerial Vehicle (UAV);

Backhaul technology: refers to the technology for connecting the site in rural areas to the core network, such as a fibre optical cable, wireless terrestrial or satellite link;

Power technology: specifies the type of power source such as solar power and the electricity grid;

Support technology: refers to any technology for the supporting system of the rural network, such as a battery maintenance system.

We provide short descriptions of the technological solutions as presented in the literature and listed in [Table](#page-5-0) 2.

TUCAN3G is a mobile network architecture that uses unlicensed spectrum, using so-called femtocells for the access network in each settlement area. A wireless multi-hop backhaul is used to connect the access network to a core/national network. TUCAN3G has proven to be a low-cost solution for rural areas in Peru, covering 450 km distance to the core network ([Prieto](#page-19-0) Egido et al., 2020; Martínez-Fernández et al., [2016;](#page-19-0) [Rey-Moreno](#page-19-0) et al., 2011; Simó-Reigadas et al., 2019).

Satellites offer flexible connectivity, and any location can be covered, but the operational costs are high, and mobile services that require high transmission speed (such as video content) will suffer latency effects [\(Chiha](#page-18-0) et al., 2020; [Wright,](#page-19-0) 1995; Borst et al., [2010;](#page-18-0) [Philip](#page-19-0) et al., [2017;](#page-19-0) [Wang](#page-19-0) et al., 2017). As alternative Chiha et al. propose a *cached GEO-satellite* solution to deliver broadband to rural areas in which the most popular content is cached in an edge network [\(Chiha](#page-18-0) et al.,

Key causes of lacking mobile coverage in rural areas.

^{1.} Mobile network operators' commercial orientation 2. Difficult topography

^{3.} Lack of electricity grid

^{6.} Lack of local support

Table 2

$Tech$
 Nc

an early phase which means that it is not

aerial transceivers do not need towers

Table 3

Organizational solutions.

\sim \sim			
No.	Solution Name	Key causes addressed and how the solution addresses them	Requirements & challenges
1	Community Cellular Network (CCN) (Prieto Egido et al., 2020; Barela et al., 2016)-(Salemink and Strijker, 2018; Rey-Moreno et al., 2011; Philip et al., 2017; Gieling, 2018; Wade, 2015)	Lack of local support: employing the local community to maintain and repair the network can foster a sense of responsibility for the maintenance of the network	Requirements (Local): • Technically skillful and resourceful personnel in the local community and/or in local governments Requirements (National): • Permission both from the MNO and the government to use MNO's unused frequencies • Regulations that allow the Community Cellular Network to interconnect to the existing networks • Mechanism or regulation to acquire support from local
2	Small Rural Operator (SRO) (Prieto Egido et al., 2020; Cruz and Touchard, 2018)	Low population density: a specific operator that is responsible to cover low density areas allows a significant reduction on network installation and maintenance costs	governments Requirements (National): · Public funds to support areas with insufficient revenue potential due to low population density or too difficult topography • A mechanism to accommodate private investment in rural areas that will be economically developed in the future Challenges: • Setting up initial agreements among MNOs and SROs might require a complex and time-consuming process • This solution can potentially lead to monopoly that can result in abuse of position and inefficient
3	MVNO in rural areas (Cricelli et al., 2011; Kiiski and Hämmäinen, 2004; Son et al., 2019)	Low population density: this solution can encourage innovation for new services targeted towards rural populations MNO's commercial orientation: an MVNO can play a role when an unprofitable part of the MNO network risks being taken down. In this situation an MVNO can develop special services on the MNO network that can lead to new revenues.	use of resources Requirements (National): • Consent from extant MNOs for network access Challenges: • An MVNOs existence can lead to the decline of revenues for the MNO, which can be a barrier for negotiating access to the MNO network • Negotiations for access with MNOs can be difficult as MVNOs may take over their users
4	Central and local authority's support (Cruz and Touchard, 2018)	MNO's commercial orientation: by reducing the MNO's overall expenditures	Requirements (National): • The central authority should provide

Table 3 (*continued*)

[2020\)](#page-18-0). This solution has a high electricity demand which is difficult to match with solar energy.

A mobile network architecture based on *Unmanned Aerial Vehicles* powered by solar energy can provide 5G coverage in rural areas ([Chiaraviglio](#page-18-0) et al., 2019; [Wang](#page-19-0) et al., 2017; [Chiaraviglio](#page-18-0) et al., 2017a; [Chiaraviglio](#page-18-0) et al., 2017b; Ilcev and [Singh,](#page-18-0) 2004). This is a low-cost solution and the coverage can be more precisely targeted at where the citizens live in the wider rural area. The use of UAVs is still in an early phase of development.

VillageCell is a low cost and technically simple community cellular network based on open-source software (OpenBTS) which can be maintained by the community itself. It mainly provides for intracommunity communications with free voice calls, enabling citizens with low income to make calls. A satellite backhaul can provide for using voice over internet connections such as Skype [\(Anand](#page-18-0) et al., 2012).

A *Store-and-Forward network* provides free e-mail and internet services using a server installed on e.g., a public transportation vehicle that passes the unserved villages regularly. The village resident sends an email to the server, the email is transmitted to a core network in a connected village once the public transportation vehicle passes by. Subsequently, the answer is transmitted to the village resident once the vehicle passes the unserved village again ([Palazzi](#page-19-0) et al., 2011).

MNOs are usually unwilling to set up a mobile network if the site location lacks a stable power source because network downtime leads to a loss in revenues and higher maintenance costs of the network. Several studies show that it is feasible to use *solar power* for the operation of a mobile network, especially when femtocells are used and during the daytime (Martínez-Fernández et al., 2016; [Rey-Moreno](#page-19-0) et al., 2011; [Kabir](#page-18-0) et al., 2018; [Thakur](#page-19-0) et al., 2017a; [Thakur](#page-19-0) et al., 2017b). Using batteries to store the daytime solar power can improve operations during the night as well.

Another means to prevent downtime due to power failure is to use a *battery performance prediction model* as suggested by Fan et al., which enables MNOs to schedule maintenance and replacement ([Fan](#page-18-0) et al., [2016\)](#page-18-0). This improves the availability of the mobile network services, prevents the loss of revenues and lowers the operational costs for MNOs by reducing site visits for repairs.

The last solution found in our literature review is using an *ambient network* for the last mile. This support technology uses an algorithm that acts autonomously in routing traffic between (WiFi/GSM) mesh network nodes and has a self-healing function in case of failing nodes ([Grampín](#page-18-0) et al., [2007\)](#page-18-0). This solution lowers the costs for network operations in rural areas.

In [Table](#page-5-0) 2 a summary of the technological solutions is provided, including for which key causes of lacking mobile coverage the solution can be used and by which means, and the related requirements and

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Looking for organizational solutions as presented in the literature, we found four solutions to address the causes of lacking mobile coverage (see [Table](#page-6-0) 3):

A *Community Cellular Network* (*CCN*) that is run by the local

economical, and legal

aspects • Pre-defined USF targets considering the

Table 5

Solutions for each key cause of lacking mobile coverage.

Key cause of lacking mobile coverage	Solution type	Solution name
MNO's commercial orientation	Organizational	MVNO in rural areas Central and local authority's support
	Regulatory	Spectrum management
		Taxation policy that fosters
		investments in rural areas
		Infrastructure sharing regulation
Difficult topography	Technology	TUCAN3G
		Cached GEO-satellite backhaul
		Unmanned Aerial Vehicle (UAV)
		VillageCell TUCAN3G
Lack of electricity grid	Technology	UAV
		Solar power source
		Battery performance prediction model
	Regulatory	Direct public investment in critical
		enabling national infrastructure
Low road accessibility	Technology	Cached GEO-satellite backhaul
		UAV
		VillageCell
	Regulatory	Direct public investment in critical
		enabling national infrastructure
Low population density	Technological	TUCAN3G
		Cached GEO-satellite backhaul
		Unmanned Aerial Vehicle (UAV)
		Village Cell
		Store and Forward network
		Solar power source
		Battery performance prediction model Ambient Network
	Organizational	Small Rural Operator (SRO) MVNO in rural areas
	Regulatory	Coverage obligated spectrum license
		Universal Service Fund
		Public subsidy for rural network
		development
		Relaxing the QoS standard for rural
		networks
		Direct public investment in critical
		enabling national infrastructure TUCAN3G
Lack of local support	Technological Organizational	Community Cellular Network (CCN)
		Central and local authority's support
Lack of regulatory	Regulatory	Coverage obligated spectrum license
obligations		Infrastructure sharing regulation
		Relaxing the QoS standard for rural
		networks
		Information management system
		platform on network coverage

community instead of a national Mobile Network Operator (MNO) can be a cost-efficient way to cover an unserved area. It does require technically skillful and resourceful personnel in the local community and/or local governments. In addition, local use of frequencies and interconnection with other networks need to be arranged. Profits from the services can remain within the local community and vandalism and theft of the hardware will diminish when locals take up the shared responsibility for maintenance of the CCN. However, the initial costs for setting up the network requires deep pockets ([Prieto](#page-19-0) Egido et al., 2020; [Barela](#page-18-0) et al., [2016;](#page-18-0) [Heimerl](#page-18-0) et al., 2013; [Salemink](#page-19-0) and Strijker, 2018; [Rey-Moreno](#page-19-0) et al., [2011;](#page-19-0) [Philip](#page-19-0) et al., 2017; [Gieling,](#page-18-0) 2018; [Wade,](#page-19-0) 2015).

Allowing a *Small Rural Operator* (SRO) to operate in rural areas with low population density and low return on investments has the advantage of having more expertise in how to operate a local network in an efficient way. In this case, the SRO network and the MNO network (s) will be connected so users of the two networks can communicate with each other. The MNO pays the SRO for local traffic generated on its core network. SROs are the only operator in their area; therefore, they do not have the compete with others [\(Prieto](#page-19-0) Egido et al., 2020; [Cruz](#page-18-0) and [Touchard,](#page-18-0) 2018). If revenues are insufficient, government subsidies may

be needed.

A *Mobile Virtual Network Operator* (MVNO) can play a role when an unprofitable part of the MNO network risks being taken down. In this situation an MVNO can develop special services on the MNO network that can lead to new revenues. The MVNO pays for access to the MNO network to offer these services ([Cricelli](#page-18-0) et al., 2011; [Kiiski](#page-19-0) and Hämmäinen, 2004; Son et al., [2019](#page-19-0)).

The last organizational solution is for *central and/or local governments* to support MNOs to cover the unserved area. Although MNO's licenses are allocated at the national level, the MNOs must also comply with local rules when rolling out their networks. When local rules are too strict or complex or require lengthy permit processes, the MNO may refrain from covering the local area. Therefore this organizational solution can lead to a reduction of administrative costs for the deployment of new sites and can thus improve the conditions to roll out a network in a rural area (Cruz and [Touchard,](#page-18-0) 2018).

In [Table](#page-6-0) 3 a summary of the organizational solutions is presented, including for which key causes of lacking mobile coverage the solution can be used and by which means, and the related requirements and challenges for implementation.

4.3. Regulatory solutions

A final set of solutions mentioned in the literature are the regulatory solutions that can improve the mobile coverage in rural areas (see [Table](#page-7-0) 4). These solutions need to be applied by governments to stimulate the coverage of rural areas. *First, the national government can formulate requirements for coverage* in the spectrum licenses that are allocated to MNOs. For example, the license may contain the obligation to cover a specific percentage of an area or even specific geographical areas within a set time period after the start of the network operation. These obligations can be counterbalanced by a lower price for the license [\(Cruz](#page-18-0) and [Touchard,](#page-18-0) 2018; [Sridhar](#page-19-0) and Prasad, 2011).

Another regulatory solution is related to *spectrum management*. Timely making sufficient spectrum bands available (especially in the lower spectrum which allows for wider coverage) and not asking unrealistically high prices for licenses may stimulate the roll out of mobile networks in more areas (Cruz and [Touchard,](#page-18-0) 2018; [Sridhar](#page-19-0) and Prasad, [2011;](#page-19-0) [Touchard,](#page-19-0) 2017; [Valletti,](#page-19-0) 2001; Son et al., [2019](#page-19-0); Lun et al., [2019](#page-19-0); [McDowell](#page-19-0) and Lee, 2003). Active reallocation of frequencies from e.g., television to mobile services can also be used ([Sridhar](#page-19-0) and Prasad, 2011) or technology neutral spectrum allocation in which operators may themselves choose which technology to use, as well as allowing for spectrum trading and sharing among operators (Cruz and [Touchard,](#page-18-0) [2018\)](#page-18-0).

Taxation policy can be used to foster investments in uncovered areas, e.g., taxing revenues rather than profits can discourage investment and innovation [\(Touchard,](#page-19-0) 2017) and taxes on network equipment e.g., via import taxes increase equipment costs. These taxes may harm the willingness to invest in network expansion to rural areas (Cruz and [Tou](#page-18-0)[chard,](#page-18-0) 2018; [Touchard,](#page-19-0) 2017).

Allowing for *infrastructure sharing* can lower the investment costs of MNOs as it minimizes the duplication of expensive infrastructure. Several components of a mobile network can be shared, such as antennas, sites and spectrum. In addition, national roaming can enable users to use a network from another provider when visiting an area not served by their own MNO (Cruz and [Touchard,](#page-18-0) 2018; [Touchard,](#page-19-0) 2017; [Montenegro](#page-19-0) and Araral, 2020).

A *Universal Service Fund* (USF) which is fed by levies from extant MNOs can be used to allocate funding for the roll out of mobile networks in specified areas with low potential revenues and high deployment costs [\(Arakpogun](#page-18-0) et al., 2017; Cruz and [Touchard,](#page-18-0) 2018).

Another financial arrangement is to use *public subsidies for rural network development* from the government in the form of monetary grants or incentives such as tax rebates for investments in rural areas or using satellite communications for connecting remote areas or exemptions for import duties for last-mile network equipment [\(Cruz](#page-18-0) and [Touchard,](#page-18-0) 2018; [Wright,](#page-19-0) 1995). Philip et al. add that these kinds of subsidies are often matched with other policy measures [\(Philip](#page-19-0) et al., [2017\)](#page-19-0).

Regulators can also relax the *Quality of Service* (*QoS*) standards for rural networks so MNOs do not have to comply with the same rules for network availability and service quality in rural areas as for urban areas ([Touchard,](#page-19-0) 2017). This can lower their network investment costs, but it will also result in lower service quality. Hence, regular evaluation is needed to assess whether the relaxation can be lifted.

Reliable data on network coverage is crucial to assess the state of affairs in mobile networks. To this end regulators can develop an information management system platform about network coverage that is not only based on data provided by the MNOs but also based on regular independent assessments. MNOs can profit from the information management system for avoiding network redundancies ([Touchard,](#page-19-0) 2017).

The last regulatory solution from the literature refers to the use of direct public investments for critical enabling national infrastructure such as fibre optic backbone networks, the electricity grid and to enhance road accessibility ([Touchard,](#page-19-0) 2017). These are more indirect measures to make the rural area more attractive for mobile network investments. Apart from considerable public capital investments, this solution requires coordination between different ministries ([Touchard,](#page-19-0) [2017\)](#page-19-0).

In [Table](#page-7-0) 4 a summary of the regulatory solutions is provided, including which key causes of lacking mobile coverage the solution can be used and by which means, and the related requirements and challenges for implementation.

4.4. Synthesis of available solutions

After having shown the technological, organizational and regulatory solutions separately, we combined them. The outcome can be seen in [Table](#page-8-0) 5. Our starting point in this table is the key causes for lacking mobile coverage. In [Tables](#page-5-0) 2–4 the starting points were the solutions but the relevant key causes addressed by these solutions are mentioned in column 3 of [Tables](#page-5-0) 2–4. So, we put the key causes from the third

columns of [Tables](#page-5-0) 2–4 to the left of [Table](#page-8-0) 5, the solution types (technological, organizational and regulatory) in the middle and the solutions names from the second column of [Tables](#page-5-0) 2–4 to the right. In this way, we show how for each key cause, certain combinations of solutions can be used to address this key cause and solve the mobile coverage gap.

5. The decision support scheme

In the previous sections, we presented an overview of the causes ([Section](#page-3-0) 3), solutions and combinations of solutions ([Section](#page-4-0) 4). Based on this overview, we developed an integrated approach to identify the most promising solution arrangement for the mobile coverage problem in a specific rural area. This approach has the form of a decision support scheme (DSS) as presented in Fig. 1. Our main envisaged users of this DSS are national and regional governmental bodies. The objective of the DSS is to identify and select the most suitable arrangement for a specific rural area or village, which combines technological, organizational, and regulatory solutions and also includes a stakeholder assessment regarding the feasibility, the negative impacts, the requirements, and risk assessment (as elaborated on in [Section](#page-10-0) 6).

The DSS consists of four main steps:

- 1. Identification of the causes of the mobile coverage gap in a particular local context;
- 2. Collecting all solution options;
- 3. Developing the solution arrangement;
- 4. Stakeholder assessment.

In the following paragraphs, we describe these steps in more detail.

5.1. Step 1: identification of the causes of the mobile coverage gap in a particular local context

This first step starts from the comprehensive overview of the causes of the mobile coverage gap as described in [Section](#page-3-0) 3 which must now be specified for the particular local context under consideration. This is shown in the first step of Fig. 1. The identification of the causes leads to

Fig. 1. The decision support scheme (DSS) for governmental bodies to select a suitable arrangement for solving the mobile coverage gap in a local context.

the key factors that, by themselves or together with other factors, induce the mobile coverage problem in a specific rural area.

5.2. Step 2: collecting all solution options

This step is described in [Section](#page-4-0) 4 and represented in the second step in [Fig.](#page-9-0) 1. The inventory of the solutions is done by reviewing the state of the art in literature. For now, we consider the available and applicable solutions as listed in [Tables](#page-5-0) 2, 3 and 4 in [Section](#page-4-0) 4 up to date. However, for future efforts, we suggest that the literature review for the inventory of available technological solutions is revisited to fit with the state of the art in technological innovation in mobile networks and services. This step results in a list of possible solutions offered for solving the mobile coverage problem in rural areas. These solutions can be categorized into technological (see [Table](#page-5-0) 2), organizational (see [Table](#page-6-0) 3), and regulatory solutions (see [Table](#page-7-0) 4).

5.3. Step 3: developing the solution arrangement

The actual implementation of a solution requires the development of an arrangement in which the technological, the organizational and the regulatory aspects involved are all taken into consideration. This is represented in the third step of [Fig.](#page-9-0) 1. The subsequent activities are:

3a: selection of a limited number of technological solutions that match with the local key causes of the lack of mobile coverage and that fit with the local context. Technological solutions are selected first and become the foundation for constructing the solution arrangement because the local context defines the possible technological solution (s). The technological aspect refers to the technical aspects of the network deployment, i.e., the choice of the access technology, the backhaul technology, the power technology, and the passive infrastructure components (these are the basic required elements of a mobile network);

3b: selection of organizational solutions that match these technological solutions and that fit with the local context. The technological solutions can only be executed by a specific actor and/or require the involvement of certain actors. Hence, the organizational aspect pinpoints to the required and suitable actor(s) to roll out the technological solution;

3c: selection of regulatory solutions that match with the technological and organizational solutions and fit with the local context. Regulatory adjustments or new regulations may be required for implementing a particular solution by a certain actor or actors. Therefore, the regulatory aspect completes the arrangement to ensure the development of a comprehensive solution arrangement.

The outcome of step 3 is a limited number of solution arrangements that consist of a combination of a technological solution, an organizational solution, and a regulatory solution.

5.4. Step 4: stakeholder assessment

The last step of the DSS requires the involvement of the relevant stakeholders' assessment of the selection of the arrangements for solving the mobile coverage problem in rural areas, as represented in the fourth step in [Fig.](#page-9-0) 1. After the technological, organizational and regulatory solutions have been arranged into optional arrangements for the local situation, these need to be evaluated by the relevant stakeholders. After all, if the stakeholders disagree with the solution arrangement, implementing it will be difficult, if not impossible. This stakeholder assessment can be performed in different ways. We propose a two-phase evaluation. In phase 1, the stakeholders are asked to rank the solution arrangements on criteria of their own preference. In phase 2, they are asked to dive deeper into the 'top two' solution arrangements and for these to reflect on a number of pre-specified criteria: feasibility, negative

impacts, requirements and risks of the solution arrangement. Ideally, a broad range of relevant stakeholders is involved in this stakeholder assessment step. Now that the DSS is explained, we demonstrate its use by applying it to a case in the next section.

6. Demonstration of the decision support scheme

We use a case from Indonesia to demonstrate our DSS. According to the mobile network coverage data (CovData) of the Indonesian Ministry of Communication and Informatics (Kominfo), 3100 villages out of a total of 83,285 villages and sub-districts in Indonesia were completely unserved by a mobile network (Dital PPL, [2021b](#page-18-0)). This CovData set consists of the information about the 2G, 3G and 4G coverage level (in square meters) in each village and sub district in Indonesia captured in the second quarter of 2020. In CovData an unserved village is defined as a village with 0.00 km2 2G, 3G and 4G coverage of its settlement area and *<*50 % coverage of its land area. Almost all unserved villages have *<*30 % settlement area and are located outside the islands of Java and Bali, which are the two most densely populated and economically most developed islands in Indonesia. With the target of having 9.5 % of its GDP in 2025 from the digital economy ([Yasyi,](#page-19-0) 2020), solving mobile telecommunication coverage gaps in rural areas becomes crucial for Indonesia. Our DSS can support the Indonesian stakeholders to address this concern. Therefore, we demonstrate how the DSS can be used by applying it to the actual case of an unserved rural village in Indonesia.

We selected the unserved village of Unipa on the Indonesian island of Papua. This village is representative for many other villages in Indonesia, having a low population density and located in a mountainous area. In the next paragraphs we follow the steps as illustrated in [Fig.](#page-9-0) 1 to develop and assess a solution arrangement to provide mobile coverage for Unipa.

6.1. Step 1: identification of the causes of the mobile coverage gap

Unipa village can be categorized as a rural area since its total population is 797 ([Dukcapil,](#page-18-0) 2016). The settlement area of this village is 0.07 km² while the total area of the village is 530.45 km² ([Ditdal](#page-18-0) PPL, [2021a\)](#page-18-0). Hence, the population density in Unipa village is only 1.5 persons per km^2 . A fibre optic line passes through the village, and there are two 4G sites around 30 km from the village that are owned by BAKTI, the Indonesian Telecommunications and Information Accessibility Agency.¹ The topography of Unipa village is mountainous: the contour map of Unipa village area provided by Google Earth shows that Unipa village ranges from *<*100 m to around 3000 m above sea level. The accessibility to Unipa village and its electricity availability are relatively good. The village is accessible via both water and land routes from its nearby villages, and the land road can be traversed by four- or more-wheeled vehicles throughout the year (Tekno [Nusantara](#page-19-0) Kapital, 2020). More than 83 % of the residents use electricity. The national Indonesian grid operator PLN's electricity grid has served the village since 2017 ([Suroso,](#page-19-0) [2017\)](#page-19-0). Taking the village's conditions into account, the key causes of the mobile coverage problem in Unipa seem to be the low population density and its difficult topography.²

6.2. Step 2: collecting all solution options

Based on our literature review we collected all solution options, as

¹ BAKTI is an organizational unit within the Ministry of Communication And Informatics with "the task of carrying out the management of universal service obligation financing and the provision of telecommunications and informatics infrastructure and services" ([BAKTI,](#page-18-0) n.d.).

 2 We intentionally do not address the key cause 'lack of local support' as we were not able to interact with the local community and thus not able to assess this aspect. We reflect on this limitation in the discussion section.

presented in [Table](#page-8-0) 5. These were up to date at the moment of our research (April 2021) but may have to be updated when using the DSS in the future, as in particular new technological solutions may arise based on innovations in mobile technologies.

6.3. Step 3: developing the solution arrangement

The development of the solution arrangement for this case follows the steps presented in [Fig.](#page-9-0) 1 as described in detail in [Section](#page-10-0) 5.3/Step 3: Developing the solution arrangement.

6.3.1. Step 3a: selection of technological solutions

The first step is the *selection of a limited number of technological solutions* that match the local key causes of the lack of mobile coverage and that fit with the local context. Based on the previous cause analysis, we see that Unipa is serviced by PLN's electricity grid and has relatively good road accessibility. As the state of the electricity grid and road accessibility are not the key cause of the mobile coverage problem in Unipa, any technological solution that only addresses a 'lack of electricity grid' or 'low road accessibility' should not be chosen as the solution (see [Table](#page-8-0) 5). Hence, we select the potential technological solutions listed for the key factors 'low population density' and 'difficult topography'. These are: the battery performance prediction model, cached GEO-satellite backhaul, Store-and-Forward network, TUCAN3G, UAV and VillageCell (as described in [Table](#page-5-0) 2).

To match these available solutions with the Indonesian local context we evaluated each one of them against location-specific requirements. This leads to the following considerations:

- 1. the *cached GEOsat backhaul* (see [Table](#page-5-0) 2, solution 2) is not feasible in view of the requirements: the village has *<*1000 inhabitants and the area is mountainous [\(Chiha](#page-18-0) et al., 2020). In addition, the option of a satellite backhaul might not be cost-effective and preferred because there is already a fibre optic line passing the village;
- 2. the *Store-and-Forward solution* (see [Table](#page-5-0) 2, solution 5) does not fit as there is no regular public transportation that can carry the required

server from the village to the nearest internet access point (municipality centre or a mobile network site) for the transfer of messages;

- 3. as the village is well served by PLN's electricity grid, the solution of *solar power* does not apply to Unipa (see [Table](#page-5-0) 2, solution 6)
- 4. the *Ambient Network* solution is not fitting with a situation in which the basic mobile infrastructure is not present yet as in the case of Unipa village (see [Table](#page-5-0) 2, solution 8).

To conclude, the remaining feasible technological solutions for Unipa village are the use of TUCAN3G, UAV, VillageCell, and the battery performance prediction model (see [Table](#page-5-0) 2, solutions 1, 3, 4, 7 respectively). As the battery performance prediction model is a supporting solution, we combine it with the three access and backhaul solutions as follows (see Fig. 2):

Option 1: TUCAN3G + battery performance prediction model Option 2: UAV + battery performance prediction model Option 3: VillageCell + battery performance prediction model

In the following paragraphs, we discuss these solutions one by one to arrive at the ultimate choice. We refer to [Table](#page-5-0) 2 for the description of the key factors of the mobile coverage gap addressed and the measures to address them as well as the requirements and challenges for each solution.

6.3.1.1. Option 1: TUCAN3G + *battery performance prediction model.* With Option 1 the TUCAN3G solution is adopted: femtocells (which are part of TUCAN3G) can be used as the radio access technology, which fits with Unipa's low population density. Unipa village does not need to employ solar power as it already has an electricity grid, nor set up a chain of wireless point-to-point links, neither use a high tower as an optical line cable is available and the area contains highlands. The availability of the electricity grid and fibre optic cable may further reduce the deployment costs of the network than what Prieto Egido et al. suggest for the deployment of TUCAN3G [\(Prieto](#page-19-0) Egido et al., 2020). The required unlicensed terrestrial backhaul can be included in Option 1 to

Fig. 2. Selection process of the technological solutions for Unipa village (Step 3a).

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extend the network from Unipa village to its surrounding nine unserved villages. Extending the network to the surrounding unserved villages can potentially make the deployment and operational costs lower and allows for more profitability of the established network due to an increased number of users. The battery performance prediction model is included to minimize network down time due to battery failures.

6.3.1.2. Option 2: Unmanned Aerial Vehicle (UAV) + *battery performance prediction model.* Option 2 is based on the solution as suggested by Chiaraviglio et al. who present the use of Unmanned Aerial Vehicles (UVA) as mobile base stations for cellular connectivity ([Chiaraviglio](#page-18-0) et al., [2019](#page-18-0)). This solution is less cost-intensive than using fixed mobile base stations and offers more flexibility in determining the area to be covered. Their suggestion to use solar panels is not necessary in the case of Unipa village, as explained above, so the initial capital costs will be lower than those calculated by Chiaraviglio et al. [\(Chiaraviglio](#page-18-0) et al., [2017b\)](#page-18-0). Option 2 also uses a battery performance prediction model to minimize the revenue loss due to battery failures.

6.3.1.3. Option 3: VillageCell + *battery performance prediction model.*

The last technological solution is based on the use of VillageCell with OpenBTS as its main technology, which is suitable given Unipa's low population density. VillageCell emphasizes small-scale and local communication, it enables free voice calls and SMS communication within Unipa village and with its nine surrounding unserved villages. The battery performance prediction model is included in Option 3 to increase the network's uptime and to minimize the need for maintenance.

6.3.2. Step 3b: selection of organizational solutions

After the selection of the feasible technological solutions for Unipa village, the development of the solution arrangement is continued with the *selection of organizational solutions* that match with these technological solutions and that fit with the local context.

6.3.2.1. Option 1: TUCAN3G + *battery performance prediction model.* Option 1 employs TUCAN3G and the battery performance prediction model. The requirements for implementing these solutions are (see [Table](#page-5-0) 2): 'measures to protect the free-band backhaul link from interference' and 'measures to minimize the need to visit the location for maintenance'. These requirements imply the need for local support.

As the organizational solutions a Mobile Network Operator (MNO) or a Small Rural Operator (SRO) are considered the most feasible actors to implement the TUCAN3G solution. MNO and SRO are both present in Indonesia and can deploy the network by themselves. Other actors are not considered feasible as, according to Indonesian regulatory rules, neither the central or local authority nor a Mobile Virtual Network Operator (MVNO) have the formal authority to take up this leading role of network operator for TUCAN3G. Furthermore, a Community Cellular Network (CCN) is not feasible as at the time of our research it was not yet accommodated in Indonesia due to regulatory constraints.

6.3.2.2. Option 2: Unmanned Aerial Vehicle (UAV) + *battery performance prediction model.* UAV has similar requirements as TUCAN3G, so it also needs local support. Therefore, the same organizational solutions are suitable for Option 2: an MNO or SRO can take the lead in initiating the solution.

6.3.2.3. Option 3: VillageCell + *battery performance prediction model.* Option 3 employs a combination of VillageCell and the battery performance prediction model. For VillageCell, the requirement is that the local community prefers communication within the village and with neighboring villages (see [Table](#page-5-0) 2). This will not be an attractive business case for an MNO. Therefore, an SRO is the most likely organizational option for setting up the VillageCell solution. As BAKTI owns the two 4G

sites in the Unipa village area, BAKTI can support the implementation by negotiating with an MNO to allocate part of their spectrum for the VillageCell solution.

So far, the combination of the technological and organizational solutions for Unipa are:

- TUCAN3G + battery performance prediction model by an MNO
- UAV $+$ battery performance prediction model by an MNO
- TUCAN3G + battery performance prediction model by an SRO
- UAV + battery performance prediction model by an SRO
- VillageCell + battery performance prediction model by an SRO

6.3.3. Step 3c: selection of regulatory solutions

The next step in the DSS is to consider the regulatory aspects required to implement the solution (see [Table](#page-7-0) 4). When an MNO is selected as organizational solution, Cruz and Touchard suggest to give a Quality of Service (QoS) relaxation on the MNO's rural network and sectoral tax reduction proportional to the rural network investments for the MNO, which fosters investments in the rural solution (Cruz and [Touchard,](#page-18-0) [2018\)](#page-18-0). When an SRO is selected as organizational solution, this requires the matching of a Universal Service Fund (USF).

Table 6 summarizes the potential full arrangements in which the technological, organizational, and regulatory solutions for Unipa village are combined.

6.4. Step 4: stakeholder assessment

In the last step of the DSS a stakeholder assessment is performed in which relevant stakeholders' opinions and preferences are used to filter the (in this case 5) potential arrangements. The objective of thisstep is to select the most feasible arrangement that matches the preferences of relevant stakeholders best. We interviewed six representatives from the Indonesian Ministry of Communications and Informatics (Kominfo) and two representatives from two mobile network operators that are active in Indonesia. See [Section](#page-2-0) 2 for the research method used in this step.

6.4.1. Stakeholder preferences for arrangements

The six interviewees were first asked to rank the five arrangements for Unipa village as presented in Table 6. The interviewees were free to choose any evaluation criteria for their rankings. The interviewees' rankings can be found in [Table](#page-13-0) 7. By calculating the sum of the ranks given by all interviewees for each arrangement, the overall ranking was

Table 6

Potential arrangements for Unipa village.

Arrangement	Technological	Organizational	Regulatory
1	$TUCAN3G +$ battery performance prediction model	MNO	Relaxing the QoS standard for rural $networks + a$ taxation policy that fosters investments in rural areas
2	$UAV + battery$ performance prediction model	MNO	Relaxing the OoS standard for rural $networks + a$ taxation policy that fosters investments in rural areas
3	$TUCAN3G +$ battery performance prediction model	SRO	Universal Service Fund by BAKTI
4	$UAV + battery$ performance prediction model	SRO	Universal Service Fund by BAKTI
5	VillageCell $+$ battery performance prediction model	SRO	Universal Service Fund by BAKTI

Interviewees' preferences ranking of the solution arrangements for Unipa village.

elicited. The arrangements with the higher sums have a lower overall ranking, this shows that arrangements 3 (sum = 9) and 1 (sum = 18) are the two most preferred arrangements for Unipa village. The difference between the second most preferable and the third arrangement (sum = 28) is considerable, therefore only arrangements 1 and 3 were included in the next evaluation step.

Table 8

6.4.2. Stakeholder assessment of the selected arrangements

After the selection of the two preferred solution arrangements, we asked the interviewees to assess these solution arrangements on four pre-specified criteria: feasibility, negative impacts, requirements, and risks. These criteria are defined as follows:

- *Feasibility* describes whether or not an arrangement can be implemented and is sensible from the interviewee's point of view;
- *Negative impacts* refer to any direct adverse effect(s) that will *certainly* occur when the arrangement is implemented;
- *Requirements* list all prerequisites that have to be fulfilled for a feasible implementation;
- *Risks* are possible adverse effects that may or may not happen, depending on factors that are beyond our research project.

A summary of the interviewees' assessment of the preferred arrangements for Unipa village is presented in [Table](#page-13-0) 8.

Based on the interviewees' combined assessments of the feasibility, negative impacts, requirements, and risks of both arrangements we categorized these criteria into High, Medium, and Low, as shown in Table 9. A description of these levels can be found in [Appendix](#page-17-0) B. This categorization was approved by the interviewees.

An arrangement that has the highest feasibility and the lowest negative impacts, requirements, and risks can be considered the most suitable arrangement for the village. As seen from the overview in Table 9, arrangement 3 would be the preferred arrangement for Unipa village as, according to the interviewees, its feasibility is higher, and its negative impacts, requirements, and risks are lower than arrangement 1. Arrangement 3 for Unipa village includes TUCAN3G as the technological solution, an SRO to take the lead, and a Universal Service Fund (USF) as the regulatory solution. Arrangement 3 for Unipa village has medium-tohigh feasibility and medium-to-low negative impacts, requirements, and risks. Therefore, the feasibility of implementation of the arrangement is considered high among the stakeholders that were interviewed.

6.5. Synthesis of demonstration case

In this section, we demonstrated the use of the DSS by applying it to the case of the unserved Indonesian village of Unipa. We reflect on the demonstration in the Discussion section.

7. Discussion

This article has two objectives. The first objective is to create an overview of the causes of the mobile coverage gap in rural locations and the different types of solutions to solve this gap, and how these solutions can be combined. The second objective is to develop an integrated method for governmental bodies to choose specific mobile communication solutions under specific circumstances, considering local characteristics and stakeholders' preferences. Both objectives address knowledge gaps in the academic literature. To reach the first objective, we developed, based upon an extensive literature review, an overview of the causes of the mobile coverage gap in rural locations and the different types of solutions to solve this gap, and how these solutions can be combined. To reach the second objective, we developed, based on our causes-solutions-combinations overview, a decision support scheme for selecting a suitable solution arrangement for solving the mobile

coverage gap in a country or region. With the decision support scheme, several solution arrangements can be composed consisting of, first, a technological solution that fits the local context, second, an organizational solution that fits the technological solution and the local context, and, third, a regulatory solution that fits the technological and the organizational solution and the local context. The next step is having these solution arrangements evaluated by relevant stakeholders, to come to the optimal solution arrangement. We demonstrated the use of the DSS in the case of an unserved village in Indonesia.

Some limitations to our work need to be mentioned. The first limitation pertains to the fact that we based our overview of the causes of the mobile coverage gap in rural areas on a scientific literature review. However, a general notion is that published studies can suffer from selection bias such as against publishing null results. As a consequence, the importance of the variables identified in the literature can be either overstated or understated. Nevertheless, the causes of the mobile coverage gap in rural areas that we derived from our rigorous literature review offered a plausible perspective for taking technical, organizational, and institutional aspects into account to explore which solutions can address certain causes and how solutions can be selected and combined. However we do acknowledge that when our decision support system is used in practice, the local causes for the mobile coverage gap should be determined first to not preclude causes that are prominent in the local context but have not been covered in the academic literature. Hence, we encourage future cases to apply our decision support system for validation of its use but also for uncovering other, local causes that were not reported in the academic literature so far.

Another limitation of our research is the order in which the categories of solutions are applied in our case. We chose to start with a technological solution after which the organizational and regulatory solutions were considered in a funnelling way towards the final arrangement. However, alternative orders can be applied. E.g., if the starting point is a certain actor that wants to take the lead in solving the coverage gap, then the organizational solution comes first. Or, if the starting point is a new regulatory landscape and the question is which technological solutions and matching organizational solutions would fit with that. From the latter perspective, the decision support scheme could even be used to assess whether an existing regulatory framework still matches the currently available technological solutions – or whether it needs to be adapted.

The third limitation of our work has to do with consensus-seeking between stakeholders. Our demonstration case showed agreement among interviewees about the best solution arrangements in the evaluation step. Of course, this can be different in other local contexts or with the participation of a larger variety of stakeholders in the decisionmaking process. In that case, the decision support scheme can be used as a tool to channel the discussions to support the process towards a shared evaluation outcome.

The fourth limitation pertains to the evaluation criteria that we used: feasibility, negative impacts, requirements, and risks as other evaluation criteria can also be considered. Examples are whether the technical hardware for the solution arrangement is prone to theft or difficult to maintain and repair, and how expensive the mobile services would be for local users. Future research can investigate other evaluation criteria. The final limitation is in the selection of the interviewees, which was limited to six representatives from the Indonesian Ministry of Communications and Informatics and two representatives from two different

Table 9

Categorization of the feasibility, negative impacts, requirements, and risks of the arrangements for Unipa into high, medium and low.

Arr.	Feasibility				Consequences			Requirements			Risks		
	Tech	Org	Reg	Tech	Org	Reg	Tech	Org	Reg	Tech	Org	Reg	
	High High	Medium High	Low High	Low Low	Medium Medium	Medium Low	Low Low	Low Low	High Low	Medium Medium	Low Low	Medium Low	

mobile network operators. Since we could not visit the village, the input from local people or NGO representatives could not be included whereas their viewpoints could have influenced the evaluation outcome. Setting up such an evaluation with a broader representation of stakeholders can be tested with new cases and is recommended for users of our decision support scheme.

This leads to the future research topic to investigate the role of the decision support scheme in practice. Do stakeholders indeed base their decisions on what comes out of this scheme, including an evaluation by local stakeholders? Or will the key stakeholders stay with their own preferences, and/or with the solution arrangement they are familiar with, which may not necessarily be the best solution arrangement available? Another recommendation for further research is the generalizability of the overview of causes and the decision support system to other infrastructures, such as electricity. The telecommunication case is a unique infrastructure case in the sense that this infrastructure with public interests/values has been liberalized and commercialized in many countries. But it is still a common infrastructure case in the sense that other infrastructures such as energy and transportation infrastructures also require high upfront investments with low returns on investment. Hence, in the past they were considered as infrastructures with public values that were guaranteed by public governance models. So the question is, which causes identified in this paper are also applicable to other infrastructures? And to what extent can the steps in the DSS also be useful for other infrastructure cases?

We developed a decision support scheme that can be used in any developing country as step-by-step guidance to develop solution arrangements for mobile coverage problems in rural areas. In the demonstration, we showed how the DSS can be used to arrive at a feasible arrangement for the selected case of Unipa village in Indonesia, including a stakeholder assessment.

For now, we consider the available and applicable solutions as listed in [Tables](#page-5-0) 2, 3 and 4 up to date. Thus, a country's government can directly use these tables to support its effort to advance mobile coverage in rural areas. However, for future efforts to advance mobile coverage in rural areas, we suggest that the literature review for the inventory of available technological solutions is revisited to fit with the state of the art in technological innovation in mobile networks and services.

8. Conclusion

As mobile telecommunications services play a crucial role in developing countries, a comprehensive perspective on finding solutions for closing the mobile coverage gap is needed. In a literature review to explore potential solutions we found that only partial solutions such as in technologies or regulations are covered separately. Therefore, we developed an integral decision support scheme for decision makers to go beyond these partial solutions and to involve stakeholders to develop and prioritize potential solution arrangements. We demonstrate the decision support scheme by means of a case in the rural Indonesian Unipa village.

Our scientific contribution is demonstrating how partial solutions that are covered in the academic literature separately can be combined to develop an integral solution arrangement that aligns with the local context and causes of mobile coverage gaps in rural areas in developing countries. In addition, we include the important role of stakeholders in the development and assessment of potential solution arrangements as they are the key decision makers and contributors to enter the phase of implementation of the chosen solution arrangement. Hence, we complement the predominantly economic and regulatory literature on (gaps in) mobile communications networks with the societal and business aspects.

Our societal contribution is the design of an integrated decision support system for guiding the process of choosing a specific mobile communication solution that can be used by researchers, governments, or mobile network operators. The decision support system offers a stepby-step, interactive, multi-stakeholder approach for exploring and formulating solutions for the mobile coverage problem in rural areas, local characteristics and stakeholders' preferences into account. As such, our decision support system by its interactive nature contributes to closing the mobile coverage gap by crossing the boundaries between technological, organizational, and economic perspectives and invites stakeholders to take part in a decision-making process to combine their capacities and resources. We consider such an interactive, dynamic multi-stakeholder process essential to address and overcome the barriers towards the much-needed access to mobile telecommunications services in rural areas in developing countries.

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CRediT authorship contribution statement

Vannesya Harahap: Conceptualization, Investigation, Methodology, Validation, Writing – original draft. **Linda M. Kamp:** Supervision, Validation, Visualization, Writing – original draft, Writing – review $\&$ editing. **Jolien Ubacht:** Conceptualization, Methodology, Supervision, Validation, Visualization, Writing – original draft, Writing – review $\&$ editing.

Declaration of competing interest

The authors have no competing interests.

Data availability

Data will be made available on request.

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Appendix A

This table overview presents the sources that were used in the literature review for creating:

- the overview of the key issues for the lack of mobile coverage in developing countries (see [Section](#page-3-0) 3) and
- the inventory of available solutions to remedy this lack of mobile coverage in developing countries (see [Section](#page-4-0) 4).

The full references can be found in the reference list. See [Section](#page-2-0) 2 for the search and selection process of the literature review.

Table A.1

Sources used in the literature review.

(*continued on next page*)

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Appendix B

Table B.1

Categorization of the feasibility, negative impacts, requirements, and risks of the arrangement.

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Table B.1 (*continued*)

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