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A finite element analysis and experimental study**

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1 **Fixation Effects of Different Types of Cannulated Screws on vertical Femoral Neck Fracture: A**
2 **Finite Element Analysis and Experimental Study**

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22

23 **Abstract**

24 Femoral neck fractures (FNFs) in young patients usually result from high-energy violence, and the
25 vertical transcervical type is typically challenging for its instability. FNFs are commonly treated with
26 three cannulated screws (CS), but the role of screws type on fixation effects (FE) is unclear. The
27 purpose of this study was to evaluate the FE of ten types of CS with different diameters, lengths,
28 depths, and pitches of thread via finite element analysis which was validated by a biomechanical test.
29 Ten vertical FNF models were grouped, fixed by ten types of CS, respectively, all in a parallel, inverted
30 triangular configuration. Their FE were scored comprehensively from six aspects via an entropy
31 evaluation method, as higher scores showed better results. For partial-thread screws, thread length and
32 thread shape factor (TSF) are determinative factors on stability of FNF only if thread depth is not too
33 thick, and they have less cut-out risk, better compression effects and better detached resistance of
34 fracture than full-thread screws, whereas full-thread screws appear to have better shear and shortening
35 resistance. A combination of two superior partial-thread screws and one inferior full-thread screw for
36 vertical FNF may get optimal biomechanical outcomes. The type of cannulated screw is important to
37 consider when treating vertical FNF.

38

39 **Keywords:**

40 Vertical femoral neck fracture

41 Types of screws

42 Finite element analysis

43 Biomechanics

44

45 **Introduction**

46

47 Femoral neck fractures (FNFs) in young patients usually result from high-energy violence, and the
48 vertical transcervical type is typically challenging for its instability [1, 2]. For these young patients,
49 without osteoporotic bones like elderly patients, internal fixation with multiple cannulated screws (CSs)
50 is often preferred due to several advantages, such as less damage to soft tissue, lower amount of bone
51 removal, less blood loss, ease of operation, and lower cost [3, 4]. Although the clinical outcomes have
52 demonstrated the approach's efficiency for bone healing [5, 6], the surgical failure rate for vertical FNFs
53 remains high [7, 8]. Surgeons have attempted to modify multiple-screw fixation with regard to the
54 direction, number of screws and configuration to improve its biomechanical properties [9-11]. However,
55 the role of screws type on fixation effects is unclear, and the question of how the use of different types
56 of screws affects the vertical FNF's biomechanical stability is still open and has increasingly attracted
57 attention [12, 13]. The sliding mechanism of a partial-thread screw (PS) allows linear intraoperative and
58 postoperative compression in the treatment of vFNFs and facilitates fracture healing [14]. However,
59 sliding implants can lead to femoral neck shortening which has been shown to be correlated with reduced
60 quality of life and impaired gait pattern [15]. Consequently, some researchers chose to use full-thread
61 screws in the inferior position to defend against shear stress and to prevent femoral neck shortening [4,
62 15, 16].

63 Screws used for vertical FNF are generally cancellous screws, but these vary in diameter, thread
64 length, thread depth, and thread pitch, without a standard clinical guideline. Thus, selecting appropriate
65 screws is crucial for each individual patient, and a biomechanical evaluation of the screws is needed. The
66 purpose of this study is to evaluate the biomechanical fixation effects of different types of screws via

67 Finite Element Analysis (FEA) and to validate the modelling method by an experimental test. Ten
68 commonly-used types of cannulated screws were employed, including PS and FS. Our goal was to find
69 the pros and cons of different types of screws and help surgeons to better understand the biomechanical
70 properties of screws to make a suitable choice when treating vertical FNF.

71

72 **Methods**

73

74 *Screw Models*

75 Three-dimensional (3D) models of 10 Synthes and Stryker screws with different lengths, diameters,
76 and threads (Figure 1, Synthes Depuy-Synthes, West Chester, PA, USA; Stryker, Stryker Corporation,
77 Kalamazoo, MI, USA) were created using Solid Works 14.0 (Solid Works Corp, Dassault Systèmes,
78 Concord, MA, USA). Their geometric details were obtained from the manufacturer's surgical guide
79 brochures (Table 1 and Figure 1). These parameters are usually used in screw pull-out study. Among
80 them, thread depth and thread shape factor (TSF) were calculated by the equations as follows [17, 18]:

$$81 \quad \textit{Thread depth} = \frac{\textit{Major diameter} - \textit{Minor diameter}}{2}$$

$$82 \quad \textit{TSF} = 0.5 + 0.57735 \times \frac{\textit{Thread depth}}{\textit{pitch}}$$

83 Three parallel screws with inverted triangular configuration were selected for fixation of FNF [9],
84 among which, two cannulated screws (90 mm in length) were in the proximal region, and one screw (100
85 mm in length) was in the distal region. The distance between the anterior end of the screw and the
86 subchondral bone was approximately 5 mm. The models of all screws were stored in IGES format.

87 *Femur Model*

88 The model of a Sawbone femur (Model 3402, 4th Generation Sawbone, Vashon, WA, USA) was

89 created from computed tomography (CT) scans [2]. CT data (0.6 mm in thickness, DICOM format)
90 were imported into Mimics 20.0 (Materialise NV, Leuven, Belgium) to create a 3D model. The model
91 was then imported into Hypermesh 14.0 (Altair Engineering, Inc., Detroit, MI, USA) for meshing (1 mm
92 in length). Based on the meshed model (Figure 2a), the characteristics of the proximal femur--including
93 the femoral head center (FHC), femoral neck axis (FNA), femoral shaft axis (FSA) [20], and narrowest
94 surface (NS)--were calculated using customised Matlab programs (MathWorks, Natick, MA, USA). The
95 narrowest surface was defined as the smallest area across the femoral neck (Figure 2b). The femoral neck
96 axis and thread center (defined as the point at which the screw axis intersected with the narrowest surface)
97 were used to determine the proper orientation and location for the three screws. The femoral head center,
98 femoral shaft axis, femoral medial condyle, and lateral condyle were used to create a vertical FNF surface
99 with a modified Pauwels angle of 70° (Figure 2c) [2, 21, 22]. The Pauwels angle was measured by using
100 a modified method described in the previous study [21].

101 *Assembly of Components*

102 Three same types of screws were inserted into the fractural femur along the direction of the femoral
103 neck axis and were ensured not to pass through the neck cortex. Ten FNF structures fixed with 10 types
104 of screws were created from the femur model via a Boolean operation in 3-Matic 11.0 ((Materialise NV,
105 Leuven, Belgium). The distal parts of femur were cut off, leaving the proximal parts for further analysis
106 [2].

107 *FEA Meshing and Material Properties*

108 The screws and femur model were imported into Hypermesh 14.0 for meshing with a mesh size of
109 1 mm, based on the convergence test of the proximal femur in the previous article [22, 23]. The number
110 of nodes (ranging from 174,389 to 272,700) and elements (ranging from 800,108 to 1,242,898) for all

111 models was recorded. A 4-node tetrahedron body element (C3D4) was used for the bone and screws
112 according to previous studies [2, 22]. The properties of the Sawbone femur were linear, elastic, and
113 isotropic. Young's moduli (E) was 16.7 and 0.155 GPa for cortical and cancellous bones, respectively,
114 and Poisson's ratio (ν) of 0.3 was assumed for both of them, while the screws were modelled as medical
115 grade titanium steel (E = 110 GPa, ν = 0.33) [2].

116 *FEA Boundary Conditions*

117 The combined models were imported into Abaqus 6.14 (Dassault Systemes Simulia Corp.,
118 Johnstone, RI, USA) for static simulation. The slipping friction factor of the bone-block interface was
119 set to 0.46 while the corresponding factor for the interface of the bone and screws was set to 0.3 [2, 22].
120 To ensure consistency with later validation experiments, the contact zone between the axial loading
121 platen and the femur head was set as one reference point, together with a small zone of nodes on the head.
122 Freedom of the distal femur (108 mm in length) was restrained in the simulation tool, which is the same
123 as the setup in the validation experiments. Movement restrictions were assigned for the cortical faces of
124 the femoral distal region (Figure 2d). A force of 2000 N along the femoral shaft, similar to the previous
125 study [2], was applied to the face of the loading platen. To simulate the compression effects (CE) of
126 partial-thread screws in FNF fixation, a pre-tension force of 230 N [19, 22] was applied to each screw.
127 Full-thread screws do not add pre-tension force because of their non-pressurising capacity.

128 *Validation Experiments*

129 A Sawbone femur as same as that in the FE model was fixed with three parallel screws (6.5 mm in
130 diameter) in an inverted triangular configuration. A 3D printing guide plate, as in previous studies [4, 12
131 22], was employed to ensure the fracture line was created exactly from the femoral neck to the lesser
132 trochanter and the screws were inserted in the appropriate position (Figure 3a). Screws' anteroposterior

133 and lateral views were obtained by using fluoroscopy to validate they were in the right position (Figure
134 3b and c). The femoral distal end was trimmed with a band saw on the medial, lateral, and posterior sides
135 to keep the final working length of the distal femur within 108 mm, and then potted into a square steel
136 cube filled with anchoring cement (Die-Stone, Heraeus Kulzer Dental Ltd Company, Hanau, Germany).
137 The strain on femur surface during compression was measured via VIC-3D (XR-9M, Correlated
138 Solutions Company, Westford, MA, USA), based on the theory of digital image correlation [22, 24, 25].
139 And then, stress on femur outface was calculated from strain via inputting femur cortical Young's moduli
140 and Poisson's ratio (16.7GPa & 0.3) into VIC-3D [26] for validating FEA modelling method. The fixed
141 femur was positioned with 15° of adduction in the frontal plane and aligned vertically in the sagittal plane
142 to replicate the single-legged mid-stance phase of gait (Figure 3d) [27, 28]. As in a previous study [29],
143 an axial force (i.e. vertical force, similar to the FE models) was applied to the top of the femur head
144 utilising displacement control (linear waveform, max force=2000N, rate=2mm/min, preload=10N). The
145 force was approximately three times the body weight of an adult (70 kg), which reflects the situation
146 during daily walking activities. Testing was performed three times in an Instron 5569 mechanical tester
147 (Instron Corp., Canton, MA, USA), and the VIC-3D camera began capturing as soon as the loading platen
148 contacted the femur head.

149 *FEA and Statistical Analysis*

150 Six parameters, reflecting six biomechanical aspects, were calculated to analyse the fixation effects
151 of FNF with regard to the major internal fixation failure risk [30] as follow: stiffness, bone cutting rate
152 (BCR), cut-out risk (COR), compression effects (CE), shear resistance of fracture (FSR), and detached
153 resistance of fracture (FDR) (Table 2).

154 The fixation effects of the models were rated based on these six parameters (the best model of a

155 certain parameter rated 10, the worst rated 1). These six parameters might be all important to estimate
 156 the fixation effects of FNF, but in different aspects. Thus, an objective entropy evaluation method (EEM)
 157 [31, 32] was adopted to assess the weight coefficients (WC) of each parameter according to their entropy
 158 redundancy (ER) in this study. 10 models need to be evaluated and 6 evaluation parameters need to be
 159 weighted; thus, the original data matrix is:

$$160 \quad X = (x_{ij})_{m \times n}$$

161 Where $m = 10$ and $n = 6$.

162 The WC of each parameter can be calculated by the following formula according to a previous study
 163 [32]:

$$164 \quad WC_j = \frac{1 - ER_j}{\sum_{j=1}^n (1 - ER_j)} \quad (j = 1, 2, \dots, n)$$

165 Where the ER_j is calculated as follows:

$$166 \quad ER_j = -\frac{1}{\ln m} \sum_{i=1}^m p_{ij} \ln p_{ij}$$

$$167 \quad p_{ij} = r_{ij} / \sum_{i=1}^m r_{ij}$$

168 Where the p_{ij} is the probability of each parameter. If $p_{ij} = 0$, we can define $\lim_{p_{ij} \rightarrow 0} p_{ij} \ln p_{ij} = 0$.

169 r_{ij} is the standard values of each parameter of i^{th} sample, which can be calculated as follows:

$$170 \quad r_{ij} = \frac{x_{ij} - \min_i \{x_{ij}\}}{\max_i \{x_{ij}\} - \min_i \{x_{ij}\}}$$

171 The scores for all models were then multiplied by the WC to obtain the total score (EEM Score). The
 172 EEM Score and the Average Score (WC of each parameter assumed to be equal) for all parameters were
 173 used to determine the fixation effects of FNF.

174 The models were divided into two groups according to their compression ability: the partial-thread

175 Group (PG) and full-thread Group (FG). For statistical analysis, linear regression was used to evaluate
176 the presence of a linear correlation between the experimental and FEA results, and independent sample
177 T-tests were used to compare the fixation effects between PG and FG. P-values less than 0.05 was
178 considered as statistically significant.

179 Please attach Figure 1 here

180 Please attach Figure 2 here

181 Please attach Figure 3 here

182 Please attach Table 1 here

183 Please attach Table 2 here

184

185 **Results**

186

187 *Validation of the Modelling Method*

188 To validate the modelling method for 2000N of axial force on the femur with 15° of adduction, the
189 Von Mises stress of FEA and the experimental results were compared with linear regression (Figure 4).
190 The slope was 0.45 and the linear correlation coefficient was 0.90, indicating a strong correlation between
191 FEA and the experimental results. Therefore, the FE modelling method is reliable for comparing the
192 fixation effects among different screws.

193 *FEA Results*

194 Among the 10 screw models (Table 3), Model S1 was the most stiff (1993.5 N/mm, rated 10),
195 whereas Model A4 was the least stiff (1566.4 N/mm, rated 1). The average stiffness of the PG models
196 (Figure 5) was 1810.70 ± 141.66 N/mm, greater than that of the FG models (1710.88 ± 73.41 N/mm), but

197 without statistical significance ($p = 0.25$).

198 The lowest BCR was found in Model A5 (4.44%, rated 10) and the highest was in Model A4 (8.76%,
199 rated 1). The average BCR in PG ($6.39 \pm 0.79\%$) was not statistically different ($p = 0.63$) from that in FG
200 ($5.95 \pm 1.59\%$).

201 Model S2 had the lowest COR (6.79 MPa, rated 10), whereas Model S3 had the highest (17.14 MPa,
202 rated 1). The average COR in PG (8.87 ± 1.52 MPa) was significantly lower than that in FG (16.08 ± 0.61
203 MPa) ($p < 0.01$).

204 The CE for Model A3 was the best (5.40 MPa) with a score of 10, whereas that for Model A5 was
205 the worst (0.87 MPa, rated 1). The average CE in PG (4.81 ± 0.89 MPa) was much better than that in FG
206 (1.77 ± 0.67 MPa) ($p < 0.01$).

207 The FSR for Model S4 (1.07×10^{-1} mm) was the smallest among the models (rated 10), whereas the
208 FSR for Model A1 (2.40×10^{-1} mm) was the largest (rated 1). The average FSR in PG was 1.96×10^{-1}
209 $\pm 3.01 \times 10^{-2}$ mm, significantly larger than that in FG ($1.29 \times 10^{-1} \pm 1.78 \times 10^{-2}$ mm) ($p < 0.01$).

210 Model S1 had the strongest FDR (6.36×10^{-3} mm, rated 10), while Model A4 had the weakest (5.11×10^{-2}
211 mm, rated 1). The average FDR in PG ($6.93 \times 10^{-3} \pm 3.53 \times 10^{-4}$ mm) was significantly ($p < 0.01$) less than
212 that in FG ($3.96 \times 10^{-2} \pm 6.35 \times 10^{-3}$ mm).

213 Model S1 had the highest EEM Score (8.23) in PG as well as the highest score across all models
214 (Figure 6a). Model A1 had the lowest EEM Score in PG (5.07), which was inferior to Model S4 and A6
215 (5.79 & 5.22) and better than those of the other models in FG. The average EEM Score in PG (6.57 ± 1.05)
216 was significantly larger ($p = 0.03$) than that in FG (4.43 ± 1.24) (Figure 6b). After adjusting the weight
217 coefficient of each parameter to equal, the Average Score for Model S1 remained the highest (8.00)
218 across all models. Model A1 still had the lowest score in PG (4.50), which was better than scores of A4

219 and S3 and worse than those of the other screws in FG. Although the Average Score of PG (6.37 ± 1.21)
220 was greater than that of FG (4.63 ± 1.25), there were no significant differences between them ($p = 0.08$)
221 (Table 4).

222 Please attach Figure 4 here

223 Please attach Figure 5 here

224 Please attach Figure 6 here

225 Please attach Table 3 here

226 Please attach Table 4 here

227 **Discussion**

228

229 Despite previous studies mostly focused on the direction, number and the configuration of screws
230 for vertical femoral neck fractures (FNFs) of young patients, the types of screws used on fixation is also
231 an important factor which could affect the biomechanical characters and clinical outcomes. However,
232 there still lacks of consensus on types of screws in clinical practice [4]. Our study has shown that different
233 type of screws had its cons and pros biomechanically (Figure 6), and suggested that a better choice of
234 screw types may improve the biomechanics of the bone-screw composite structure for vertical FNF in
235 young patients.

236 Ten commonly-used types of screws including partial-thread screws and full-thread screws were
237 chosen in this study, which had diameters ranging from 6.5 mm to 8.0 mm with thread lengths, thread
238 depths, and pitches varied, representing most commonly-used screws in clinical practice. And six
239 biomechanical parameters were employed in this study, namely stiffness, BCR, COR, CE, FSR and FDR
240 (Table 2), representing different biomechanical aspects of the fixation effects [30]. In order to evaluate

241 fixation effects thoroughly, we attempted to combine these six parameters together. However, to the best
242 of our knowledge, there are no previous studies weighting the importance of these parameters. Therefore,
243 entropy evaluation method (EEM) [31, 32] was chosen in this study to objectively weight importance of
244 each parameter. This method was originally a concept of thermodynamics, which was first added into
245 the information theory by C.E.S Hannon, and it is now applied widely in the fields of engineering
246 technology [32]. Based on the basic principle of information theory, the information is a measure of
247 system orderly degree, but the entropy is a measure of the system's disorder. The smaller the information
248 entropy of the indicators (ER, entropy redundancy) is, the larger the amount of information provided by
249 indicators. This will also make the role played in the comprehensive evaluation more important and mean
250 the weight coefficient should be higher. The opposite is also true. To confirm whether EEM was suitable
251 to represent the weight coefficients of each parameter, the Average Score with all six parameters given
252 equal weight was also calculated. The results based on Average Score showed that the best two screws
253 were S1 and A3 and the worst was A4, same to results based on EEM (Table3), though the Average Score
254 in the partial-thread screws Group (PG) was not significantly higher than that of the full-thread screws
255 Group (FG) (Table4).

256 Based on EEM, the fixation performance of PG (6.57 ± 1.05) was significantly ($p = 0.03$) better than
257 that of FG (4.43 ± 1.24) (Figure 6b). The advantage of partial-thread screws was evidenced by lower COR,
258 higher CE, and better FDR, as shown in Figure 5. However, FG showed excellent performance in
259 protecting the FNF from shear movement. The different advantages of the PG and FG indicated that
260 combination of both screw types would be beneficial. According to previous studies [4, 33], for unstable
261 femoral neck fractures (Pauwels Type III), optimal results were obtained by stabilizing the fracture with
262 a combination of inferior full-thread screws and superior partial-thread screws due to their distinct

263 biomechanical characters. In addition, cannulated screws in an inverted triangle configuration can
264 achieve better clinical outcomes compared to the regular triangle one [9]. Consequently, we hypothesized
265 that the combination of one full-thread screw positioned inferiorly to resist shear deforming force and
266 two partial-thread screws placed superiorly to provide adequate compression and eliminate the gap
267 between fragments may get optimal fixation effects. In clinical practice, partial-thread screws are usually
268 used first and then full-thread screws so that the compressive effects will not be affected. This hypothesis
269 will be tested in the future. Furthermore, Screw S1 had the highest EEM Score of 8.23, with the best
270 stiffness (1993.5 N/mm, rated 10) and FDR (6.36E-03 mm, rated 10); excellent CE (5.31 MPa, rated 9),
271 BCR (5.54%, rated 7), and COR (10.12 MPa, rated 7); and moderate FSR (1.62E-01 mm, rated 5). With
272 these rates, Screw S1 showed the best fixation effect in the PG, and even across all models (Figure 5),
273 while Screw A3 rated second. In contrast, Screw A1, with the shortest thread and thinnest diameter, had
274 the lowest EEM Score of 5.07, indicating the poorest fixation performance for vertical FNF in the PG.

275 Why S1 performed better fixation effect than A2 and A3 within the PG? We found S1 had longer
276 thread length (20mm) than A2 and A3 (16mm), although TSF of S1 (0.68) is smaller than that of A2 and
277 A3 (0.76), which indicated sufficient thread length without crossing the fracture line can increase the
278 surface area of the threads in contact with the cancellous bone of the femoral head [32] and lead to a
279 better fixation effect. Comparing A1, A2, and A3, all in the same thread lengths, A2 and A3 with bigger
280 TSF of 0.76, show better fixation performance than A1 (TSF of 0.68). However, the thread length and
281 TSF are determinative factors on stability of FNF only if the thread depth of the screw is not too thick.
282 For instance, although S2 has the longest thread length (25mm) and largest thread shape factor (0.81),
283 which should have had the best pull-out strength [17, 18], it did not achieve the best fixation performance
284 for FNF in the PG with only a score of 6.49 (Table 3). This could be explained by the fact that the too

285 thick thread depth (1.50mm) kept the screw's stem far from the support of the cortical layer, which are
286 very important for supporting the screws biomechanically [35], and eventually reducing the fixation
287 effect of FNF. On the other hand, within the FG, Screw S4, whose geometrical parameter (TSF of 0.81)
288 should be associated with the best pull-out strength, indeed had the best performance in vertical FNF
289 fixation (score 5.79) with the best FSR and relative better BCR, COR and FDR. Unlike S2 in the PG, for
290 full-thread screws, the support from the cortical layer was not negatively affected by the thread depth
291 because the thread covers the entire stem in the FG and lead to the screws always receive support from
292 the cortical layer. Furthermore, the COR of S3 and A4 who had the smallest diameters (6.5mm), were
293 the worst (rated 1&2), implying that a thinner full-thread screw may enhance the risk of cutting out from
294 the femoral head. All screws in the FG had better FSR and lower CE than those in the PG (Table3), which
295 provides evidence supporting the recent suggestion to include full-thread screws during multiple-screw
296 fixation in vertical FNF [12, 15, 33].

297 As to the method in this study, we chose Finite Element Analysis (FEA) because it has advantages
298 to achieve ideal reduction of fragments and put screws in a specific position which is hard in reality. Also,
299 direct comparison could be done in the same femur in this way [2]. An experimental test was designed
300 to validate our modelling method, in which a 3D printing guide template was used to keep three screws
301 in same direction, at same location and with same configuration. Thus, variation between FEA and test
302 was minimised. Comparison between FEA and experimental test (Figure 4) showed that the Von Mises
303 stress value obtained with FEA was lower than that obtained with the experimental tests (slope = 0.45),
304 but the correlation coefficients were consistent ($R = 0.9$). The lower slope may be due to the C3D4 mesh
305 type we used, as reported by Simonovski [36], and be due to the simplification of bone material properties
306 and inhomogeneity in FEA. However, the correlation coefficient between results of FEA and the

307 experimental test was nearly the same as the values in other studies ($R = 0.78-0.96$) [22, 37]. Thus, the
308 modelling method is effective for comparing the biomechanical fixation effects of FNF models.
309 Moreover, the reason we used Sawbone but not cadaver in the validated experiment is because Sawbone
310 has been confirmed to be a suitable replacement of cadaver [38].

311 There are still some limitations in this study. One is that we used 4-node linear tetrahedron body
312 element (C3D4) instead of 10-node quadratic tetrahedron body element (C3D10) to save analysis time.
313 However, it is reliable enough in this study, as it showed a higher correlation with the experimental test
314 ($R = 0.9$). The other limitation is that we were unable to obtain more types of screws from the market.
315 For instance, we lack screws with thread length of 16 mm and major diameters of 8.0mm, and screws
316 with thread length of 25 mm and major diameters of 7.3/7.0/6.5 mm, which could have been employed
317 for better comparisons. Nonetheless, the current study had uncovered enough biomechanical properties
318 for the different types of screws evaluated. In addition, the material property of synthetic bone is
319 relatively simplified compared to human bone, which could lead to a few differences in real-world
320 applications. However, since Sawbone femora were commonly used in previous studies for being highly
321 consistent with human bones [2, 38], the analysis of this study could still reflect the real-world clinical
322 biomechanical conditions.

323

324 **Conclusions**

325

326 The fixation performance of partial-thread screws was significantly better than that of full-thread
327 screws. However, full-thread screws showed excellent performance in protecting the FNF from shear
328 and shortening movement. A combination of two superior partial-thread screws and one inferior full-

329 thread screw for vertical FNF may get optimal biomechanical outcomes. For partial-thread screws, the
330 thread length and TSF are determinative factors on stability of FNF only if the thread depth of the screw
331 is not too thick. Whereas, the thread depth of full-thread screws does not affect the fixation effects on
332 FNF significantly. Moreover, thinner full-thread screws may be associated with high cut-out risk from
333 the femoral head. The type of cannulated screw is important to consider when treating vertical FNF.

334

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Figure 1. Ten types of cannulated screws models.

Figure 2. The process of placing screws and analyzing. (a) Anatomical feature point of femur. (b) Locating femoral head center (FHC), femoral neck axial (FNA), femoral shaft axial (FSA), femoral mechanics shaft (FMS) and the narrowest surface (NS) according to anatomical feature point. All types of screws were inserted to femoral neck in parallel inverted triangle configuration so that their thread centers (TC) were on the same line and their major diameter (MD = 6.5mm (orange), 7.0mm (green), 7.3mm (yellow) and 8.0mm (red)) were tangent at cortical sides. (c) Screws were inserted to femoral neck fracture (FNF) of modified Pauwels 70 degree at a certain place according to the location of FNA and thread center. (d) Fixed models were converted to 3D mesh and calculated in Abaqus. (e) The shear and detached direction of fracture.

Figure 3. (a) Inserting the screws in accurate position by using 3D printing guide template. ‘(b)’ and ‘(c)’ Anteroposterior and lateral view of screws in fluoroscopy. (d) The setup of biomechanical test.

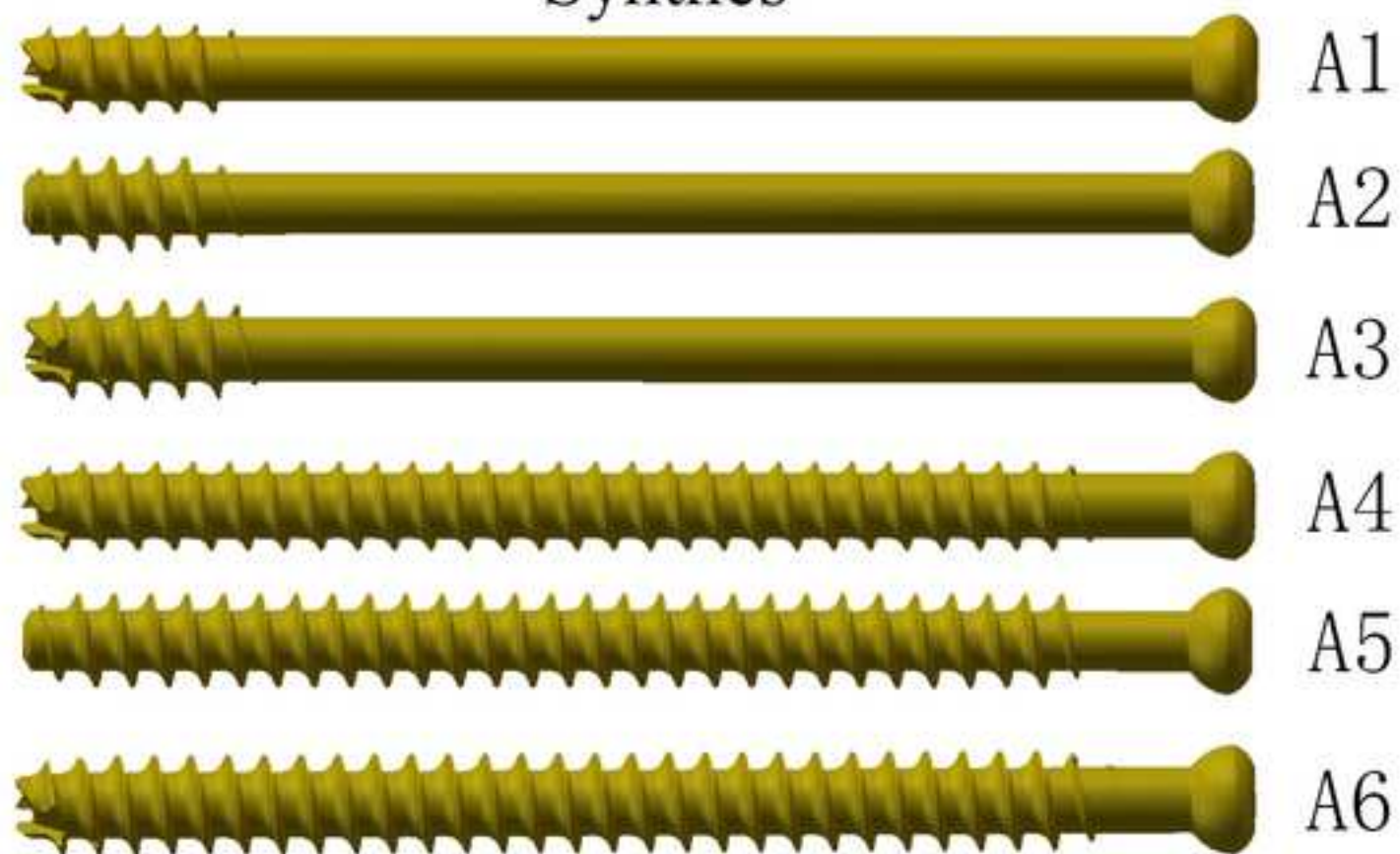
Figure 4. Linear regressing of FEA and Experiment, the stress of experiment was calculated from strain via inputting femur cortical Young’s moduli (16.7GPa) and Poisson’s ratios (0.3) into VIC-3D.

Figure 5. The comparison of six parameters of fixation effects between PG and FG.

Figure 6. The fixation effects of ten types of screws (a) and the comparison between PG and FG (b).

Figure 1

Synthes



Stryker



Figure2

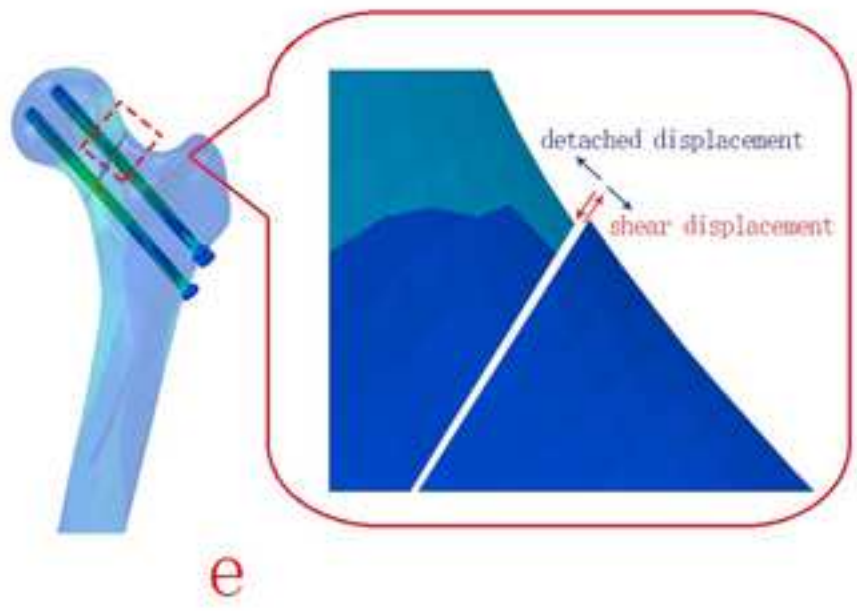
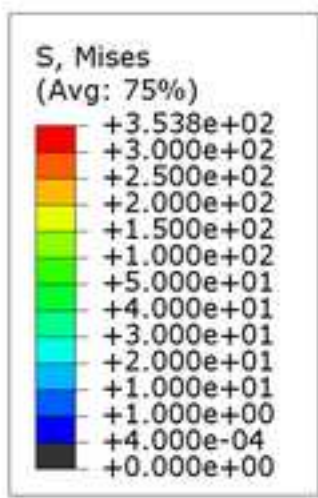
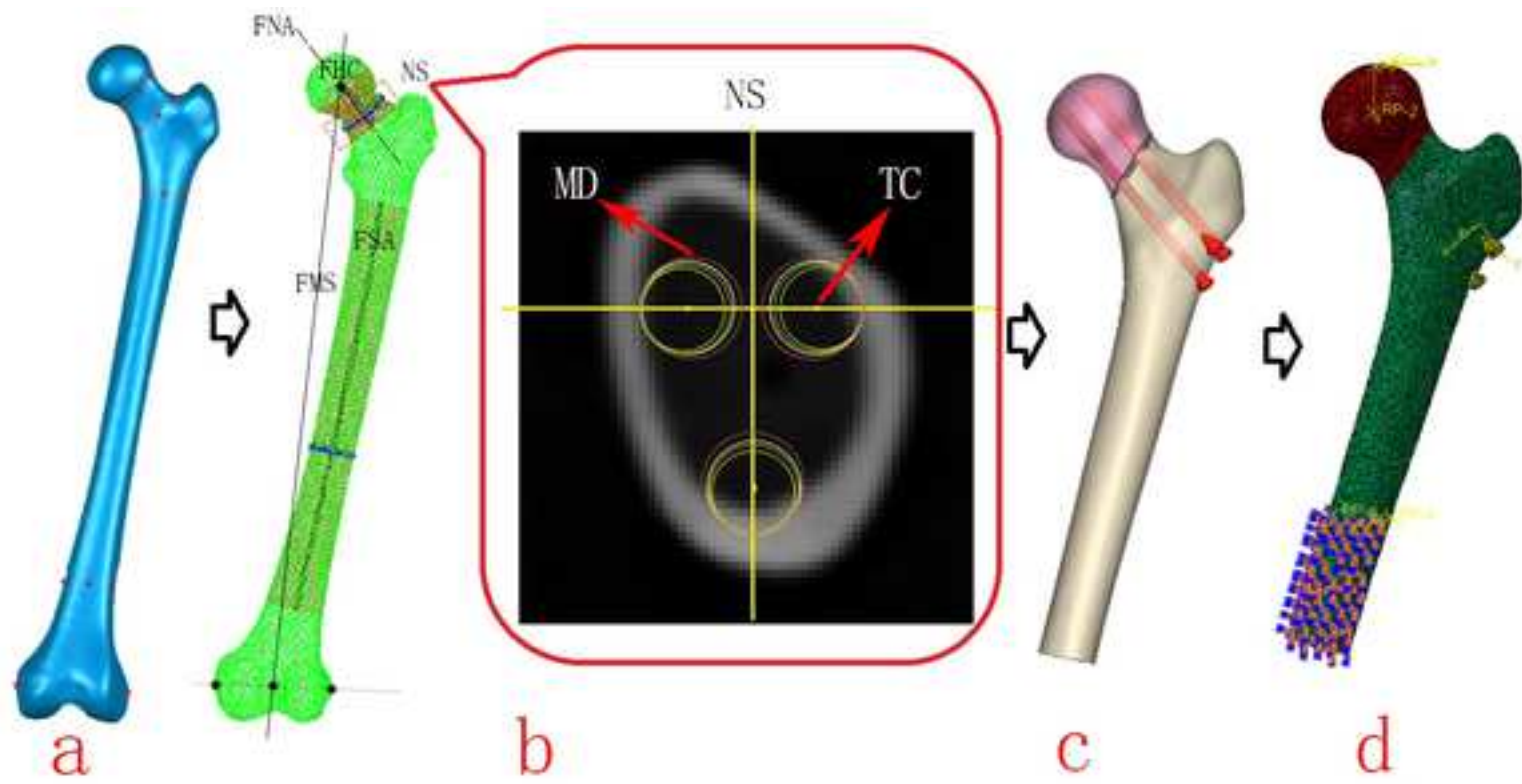
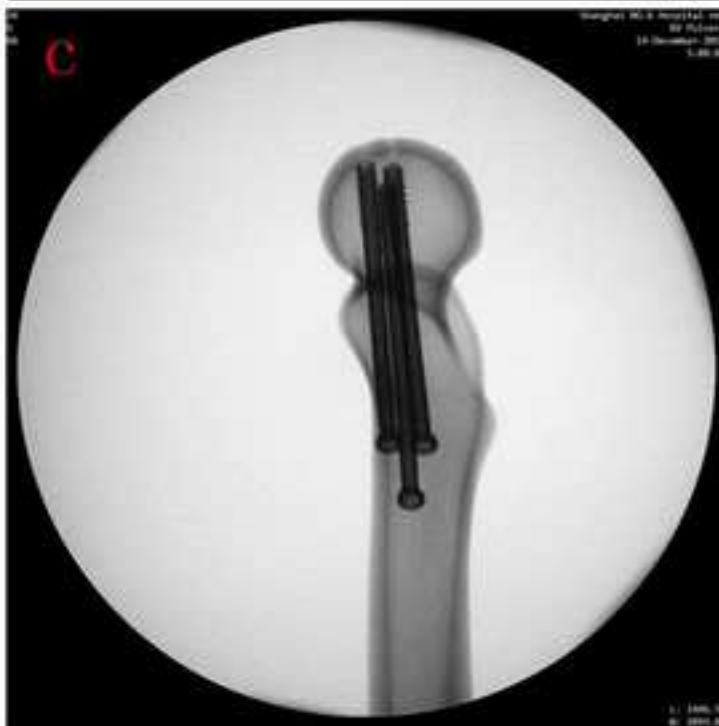
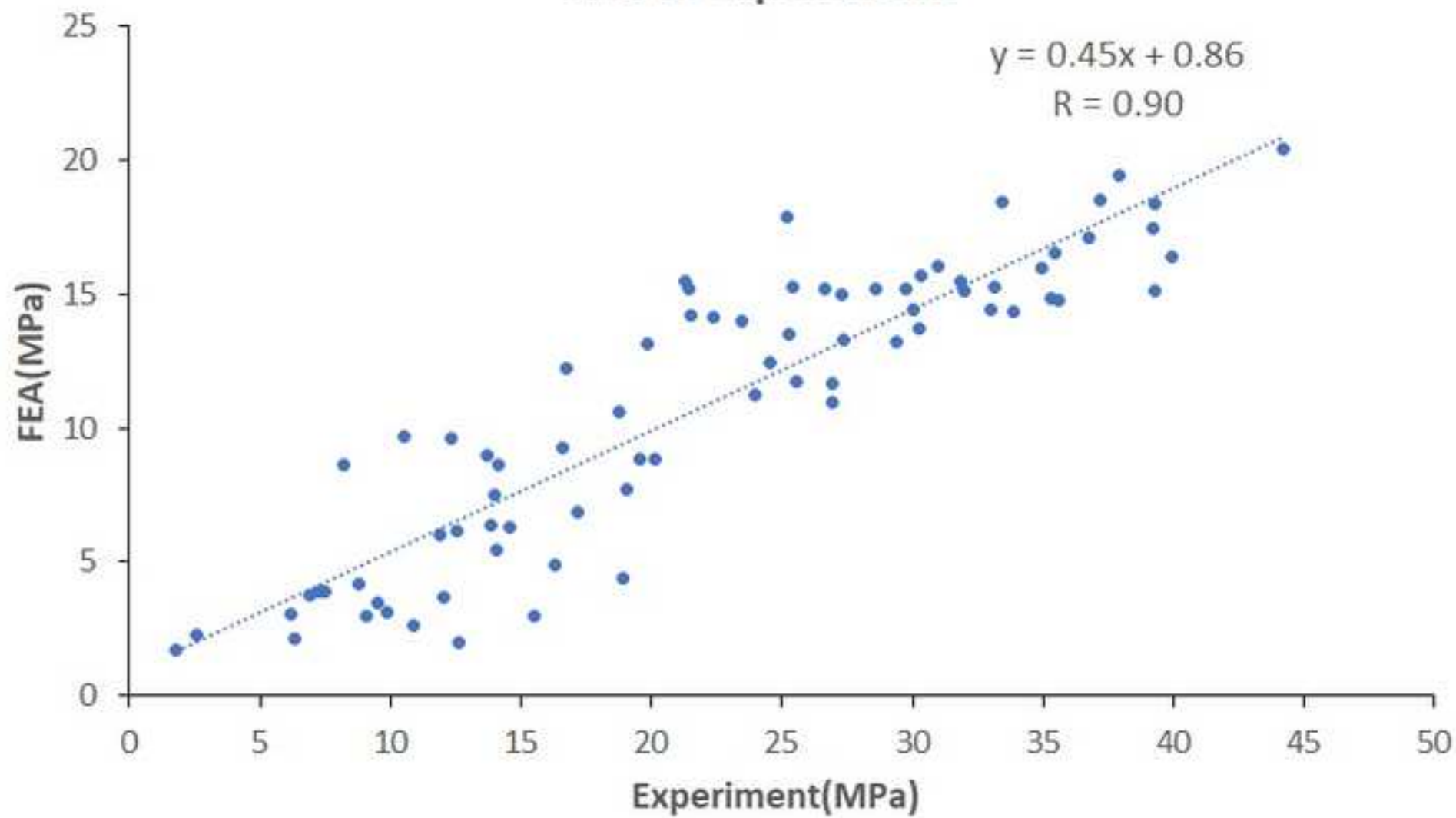
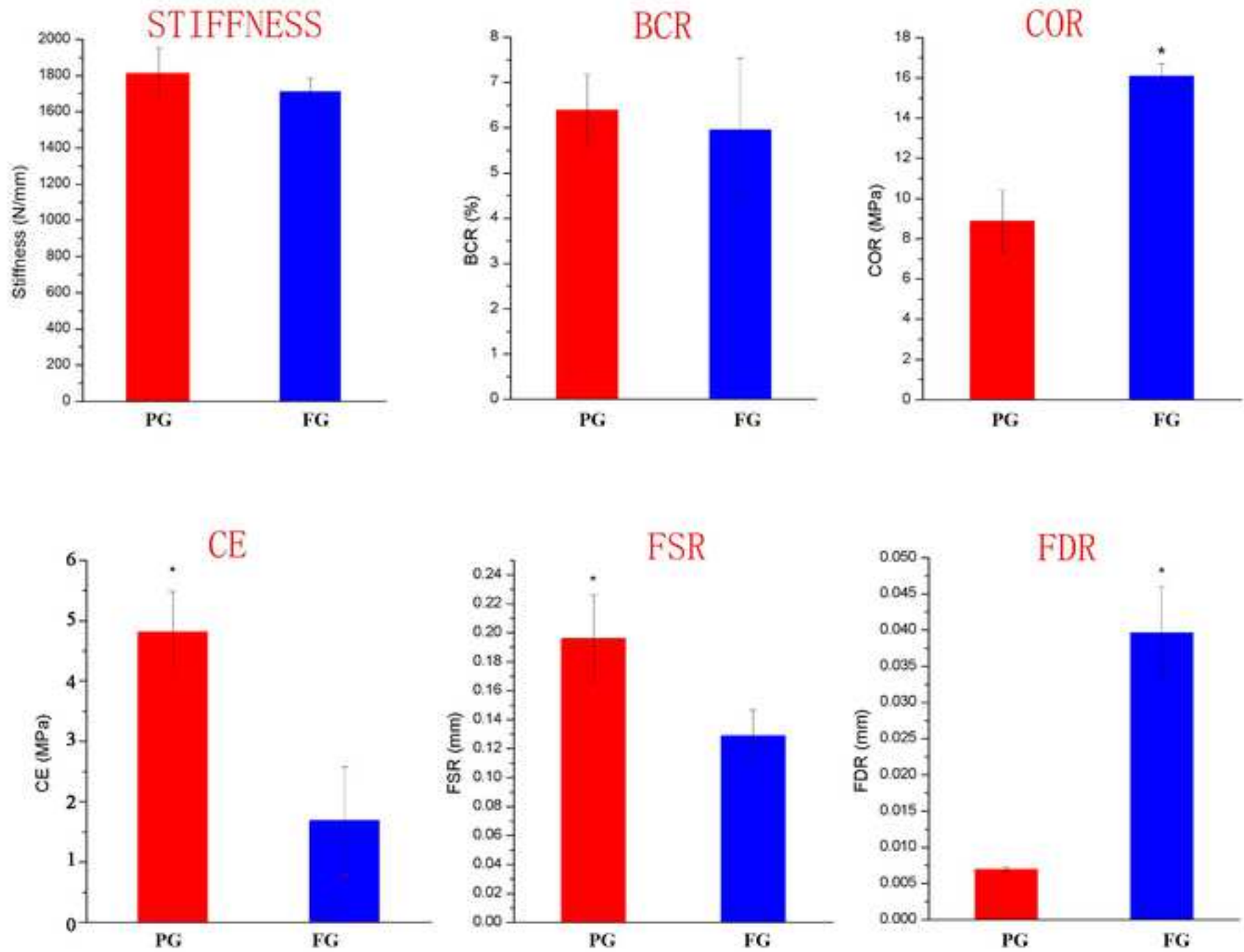


Figure3



FEA vs Experiment





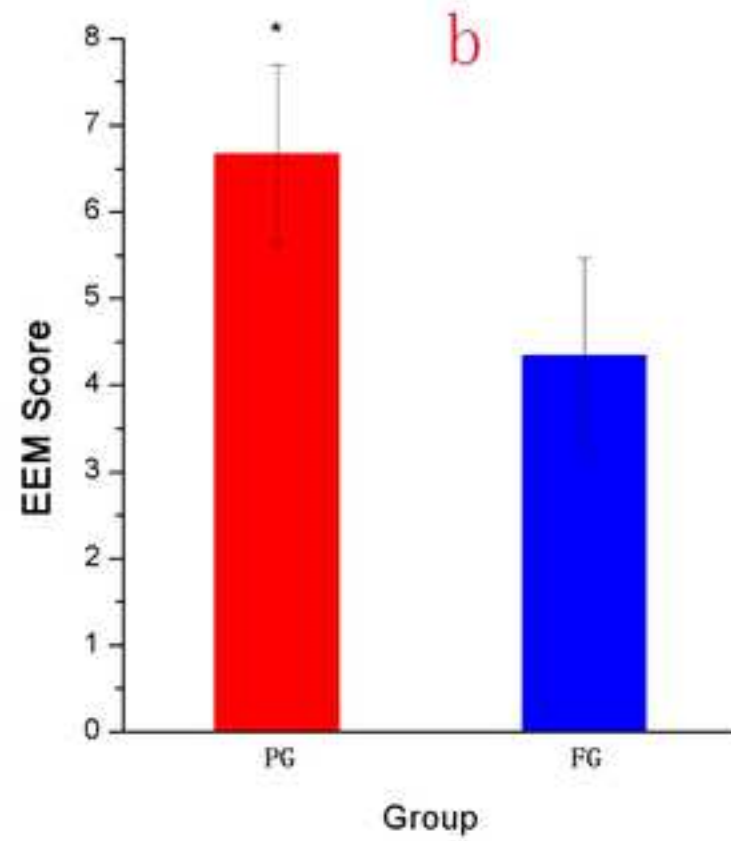
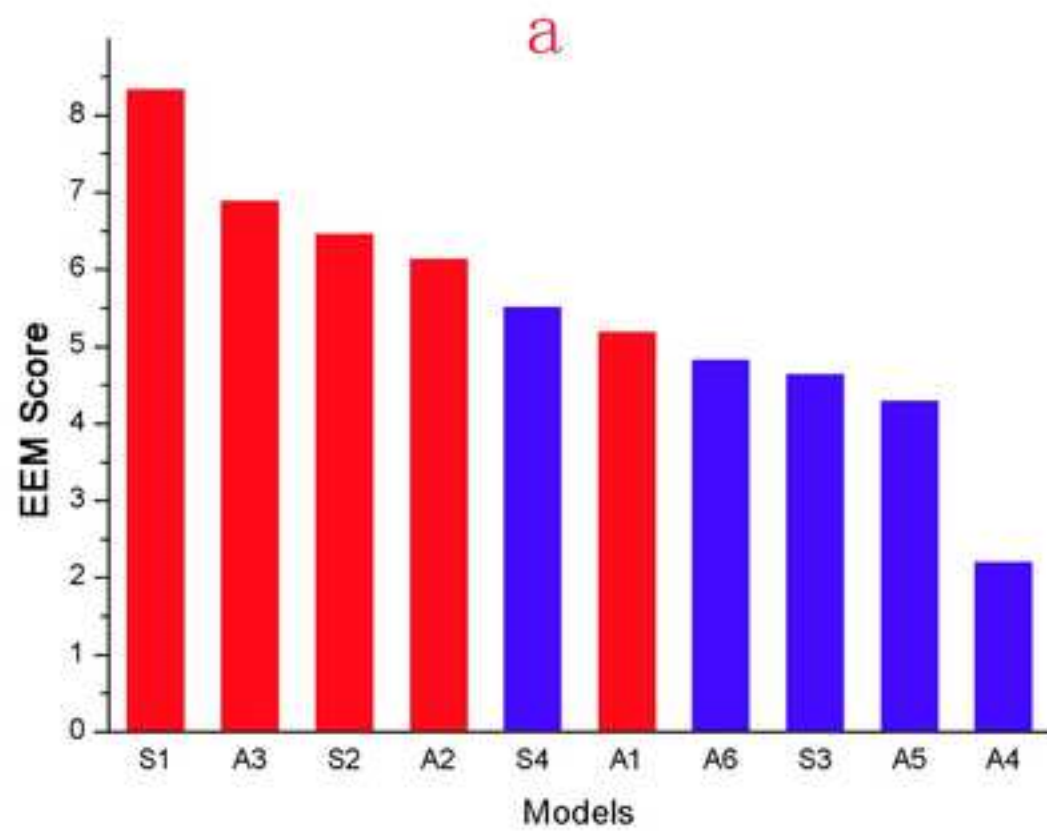


Table 1

Geometrical parameter of screws.

| Models | Thread length | Major dia | Minor dia | Thread depth | Pitch | TSF |
|--------|---------------|-----------|-----------|--------------|-------|------|
| A1 | 16 | 6.5 | 4.8 | 0.85 | 2.75 | 0.68 |
| A2 | 16 | 7.0 | 4.5 | 1.25 | 2.75 | 0.76 |
| A3 | 16 | 7.3 | 4.8 | 1.25 | 2.75 | 0.76 |
| A4 | full | 6.5 | 4.8 | 0.85 | 2.75 | 0.68 |
| A5 | full | 7.0 | 4.5 | 1.25 | 2.75 | 0.76 |
| A6 | full | 7.3 | 4.8 | 1.25 | 2.75 | 0.76 |
| S1 | 20 | 6.5 | 5.0 | 0.75 | 2.22 | 0.70 |
| S2 | 25 | 8.0 | 5.0 | 1.50 | 2.75 | 0.81 |
| S3 | full | 6.5 | 5.0 | 0.75 | 2.22 | 0.70 |
| S4 | full | 8.0 | 5.0 | 1.50 | 2.75 | 0.81 |

*Thread shape factor (TSF) = $0.5 + 0.57735 d/p$, dia=diameter.

Table 2

The fixation value of ten types of screws.

| Group | Models | Stiffness (N/mm) | BCR (%) | COR (MPa) | CE(MPa) | FSR (mm) | FDR (mm) | EEM Score | Average Score |
|-------|--------|------------------|-----------|-----------|-----------|---------------|---------------|-----------|---------------|
| PG | A1 | 1620.8 (2) | 7.87 (2) | 10.31(6) | 5.16 (8) | 2.40E-01 (1) | 7.08E-03 (8) | 5.07 | 4.50 |
| | A2 | 1877.6 (8) | 6.38 (4) | 9.86(8) | 3.05 (6) | 1.87E-01 (3) | 7.16E-03 (7) | 6.05 | 6.00 |
| | A3 | 1891.7 (9) | 5.92 (6) | 7.27(9) | 5.40 (10) | 1.70E-01 (4) | 7.35E-03 (6) | 7.03 | 7.33 |
| | S1 | 1993.5 (10) | 5.54 (7) | 10.12(7) | 5.31 (9) | 1.62E-01 (5) | 6.36E-03 (10) | 8.23 | 8.00 |
| | S2 | 1669.9 (3) | 6.25 (5) | 6.79(10) | 5.14 (7) | 2.21E-01 (2) | 6.70E-03 (9) | 6.49 | 6.00 |
| FG | A4 | 1566.4 (1) | 8.76 (1) | 16.38(2) | 1.54 (3) | 1.61E-01 (6) | 5.11E-02 (1) | 2.19 | 2.33 |
| | A5 | 1768.0 (7) | 4.44 (10) | 15.52(5) | 0.87 (1) | 1.28E-01 (7) | 4.13E-02 (2) | 4.80 | 5.33 |
| | A6 | 1728.1 (4) | 5.48 (8) | 15.77(3) | 2.41 (4) | 1.22E-01 (9) | 3.61E-02 (4) | 5.22 | 5.33 |
| | S3 | 1751.0 (6) | 6.49 (3) | 17.14(1) | 2.67 (5) | 1.24E-01 (8) | 3.69E-02 (3) | 4.12 | 4.33 |
| | S4 | 1740.9 (5) | 4.56 (9) | 15.59(4) | 1.36 (2) | 1.07E-01 (10) | 3.27E-02 (5) | 5.79 | 5.83 |
| ER | 0.896 | 0.865 | 0.875 | 0.869 | 0.861 | 0.727 | | | |
| WC | 11.5% | 14.9% | 13.8% | 14.4% | 15.3% | 30.1% | | | |

PG Partial-thread Group; FG Full-thread Group; BCR bone cutting rate; COR cut-out risk; CE compression effects; FSR shear resistance of fracture; FDR detached resistance of fracture; EEM entropy evaluation method; ER entropy redundancy; WC weight coefficient. From second row on, the value in bracket was Models' scores in certain fixation category. ER was calculated by EEM according to all these six types of fixation effects value and used to determine the WC. EEM Score were equal to the sum of each score multiplied by each WC. Average Score was average value of six parameters with assumption that each parameter has the same WC.

Table 3

Comparison of Partial-thread Group (PG) and Full-thread Group (FG)

| Types | PG | FG | P value |
|------------------|-------------------|-------------------|---------|
| Stiffness (N/mm) | 1810.70±141.66 | 1710.88±73.41 | 0.25 |
| BCR (%) | 6.39±0.79 | 5.95±1.59 | 0.63 |
| COR (MPa) | 8.87±1.52 | 16.08±0.61 | 0.00 |
| CE(MPa) | 4.81±0.89 | 1.77±0.67 | 0.00 |
| FSR (mm) | 1.96E-01±3.01E-02 | 1.29E-01±1.78E-02 | 0.00 |

| | | | |
|---------------|-------------------|-------------------|------|
| FDR (mm) | 6.93E-03±3.53E-04 | 3.96E-02±6.35E-03 | 0.00 |
| EEM Score | 6.57±1.05 | 4.43±1.24 | 0.03 |
| Average Score | 6.37±1.21 | 4.63±1.25 | 0.08 |

Author contributions

SZ: Study design, data analysis, interpretation, finite element analysis, and manuscript preparation. D-

JJ: Study design, manuscript preparation. SZ and D-JJ are co-first authors. ML, JD, KY and LD: Data

acquisition, format checking. T-Y T, YF and B-V T: review & editing. W-TJ, HH, and C-QZ designed

and approved the manuscript and should be considered as corresponding authors. The author (s) read and

approved the final manuscript.

Explanation of why more than 8 authors are justified

In this study, ten types of screws were analyzed by FEA method as well as a validating experiment,

which need more than 8 authors to work together to finish this quite a lot work.