

**Insights from operating under gas-liquid Taylor flow and techno-economic optimisation  
Reactor design and economics of CO<sub>2</sub> electrolyzers**

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# **REACTOR DESIGN AND ECONOMICS OF CO<sub>2</sub> ELECTROLYSERS**

**INSIGHTS FROM OPERATING UNDER GAS-LIQUID TAYLOR FLOW  
AND TECHNO-ECONOMIC OPTIMISATION**



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**INSIGHTS FROM OPERATING UNDER GAS-LIQUID TAYLOR FLOW  
AND TECHNO-ECONOMIC OPTIMISATION**

## **Dissertation**

for the purpose of obtaining the degree of doctor  
at Delft University of Technology,  
by the authority of the Rector Magnificus Prof. dr. ir. T.H.J.J. van der Hagen,  
Chair of the Board for Doctorates,  
to be defended publicly on  
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*Keywords:* Electrochemical CO<sub>2</sub> reduction, multi-scale model, Taylor-Flow, techno-economic optimisation, tubular electrolyzers

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# SUMMARY

The electrochemical conversion of captured carbon dioxide (CO<sub>2</sub>) at low temperatures holds promise as a sustainable method for producing materials and fuel using renewable energy sources. However, technological hurdles such as mass transfer limitations and operational instability hinder its industrial application. This dissertation aims to address these challenges by exploring the use of gas-liquid Taylor flow (series of confined gaseous CO<sub>2</sub> bubbles, which are separated from each other by liquid electrolyte and from the channel walls by a thin liquid film) in electrolysis, which can enhance mass transfer without requiring complex electrode designs, potentially improving long-term operational reliability. Additionally, a multi-scale modelling framework is introduced to evaluate electrolyser designs from an economic standpoint, aiding in the identification of bottlenecks and guiding technology development.

In Chapter 2, we propose a tubular electrolyser design operating under gas-liquid Taylor flow to overcome mass transfer limitations. By developing a numerical model, we investigate the relationship between process conditions, mass transfer, and reactor performance. Insights gained from this model allow us to derive an easy-to-use analytical relation to evaluate the impact of changes in inlet flow rates on Faradaic efficiency and current density. We find that long gaseous CO<sub>2</sub> bubbles and low velocities enhance the current density towards CO, outperforming traditional H-cells. However, achieving performance comparable to flow-through electrolysers operated with a gas diffusion electrode (GDE) requires means to increase CO<sub>2</sub> solubility in the liquid electrolyte, by for example increasing pressure.

Chapter 3 focuses on experimentally testing how Taylor flow influences the electrolyser performance within the established zero-gap water electrolyser concept adapted for CO<sub>2</sub> reduction, by employing a silver gauze as the cathode. Our experimental findings reveal that Taylor flow enhances the Faradaic efficiency towards CO compared to single-phase flow, with minimal influence from gas holdup within the studied velocity range. Contrary to the tubular design, high velocities are desirable to increase the Faradaic efficiency towards CO in the rectangular flow channel. We find that further optimisation of cathode design and fabrication is needed to fully exploit the potential of this electrolyser concept.

In Chapter 4, techno-economic aspects of electrochemical CO<sub>2</sub> conversion are addressed, aiming to optimise operational parameters for industrial applications. A multi-scale model capturing mass transfer effects over the channel length of a GDE electrolyser is integrated into an economic framework to analyse the interdependencies of key performance variables on the economic outlook. The analysis indicates that optimal current densities may differ significantly from previously reported benchmarks, emphasising the importance of multi-scale modelling for evaluating electrolyser designs under economic considerations.



# SAMENVATTING

De elektrochemische omzetting van kooldioxide ( $\text{CO}_2$ ) met hernieuwbare energiebronnen bij lage temperaturen biedt hoop als duurzame methode voor het produceren van materialen en brandstof. Echter, technologische obstakels zoals massatransportbeperkingen en operationele instabiliteit belemmeren de industriële toepassing. In dit proefschrift wordt het gebruik van gas-vloeistof *Taylor flow* (reeks opgesloten gasvormige  $\text{CO}_2$  bellen, die van elkaar gescheiden zijn door vloeibaar elektrolyt en van de kanaalwanden door een dunne vloeistoffilm) in elektrolyse onderzocht, om deze obstakels te overwinnen. *Taylor flow* kan de stofoverdracht verbeteren zonder complexe elektrodeontwerpen te vereisen, en daarom mogelijk de operationele betrouwbaarheid op lange termijn verbeteren. Daarnaast presenteren we een *multi-scale model* om de werking van de reactor onder Industriële omstandigheden te evalueren. Dit helpt bij het identificeren van knelpunten en het sturen van technologieontwikkeling.

In Hoofdstuk 2 stellen we een tubulaire elektrolyser voor die onder gas-vloeistof *Taylor flow* werkt, om massatransportbeperkingen te overwinnen. We ontwikkelen een numeriek model om de relatie tussen procesomstandigheden, massatransport en de werking van de reactor te analyseren. Uit de bevindingen van dit model kunnen we een eenvoudige analytische relatie afleiden om de effecten van veranderingen in de inlaatvolumestroom op selectiviteit (*Faradaic efficiency*) en stroomdichtheid (reactiesnelheid) te evalueren. We vinden dat lange gasbellen en lage stroomsnelheden de CO-gerelateerde stroomdichtheid verbeteren en dat de werking beter is dan in traditionele H-cellen. Om echter vergelijkbare prestaties te bereiken als *flow-through* elektrolyser die een gasdiffusie-elektrode (GDE) gebruiken, moet de oplosbaarheid van  $\text{CO}_2$  in de vloeibare elektrolyt verhoogd worden, bijvoorbeeld door de druk te verhogen.

Hoofdstuk 3 richt zich op het experimenteel testen van hoe Taylor-stroming de prestaties van de elektrolyser beïnvloedt binnen het gevestigde concept van de *zero-gap* water elektrolyser, maar dan aangepast voor  $\text{CO}_2$ -reductie. We gebruiken een zilvergas als kathode om de reductie van  $\text{CO}_2$  te katalyseren. We tonen aan dat *Taylor flow* de selectiviteit voor CO verbetert in vergelijking met de inlaatvolumestroom, terwijl de invloed van de gas/vloeistofverhouding minimaal is. In tegenstelling tot cilindrische kanalen zijn hoge snelheden wenselijk in deze reactor met rechthoekige kanalen om de selectiviteit voor CO te verhogen. We concluderen dat verdere optimalisatie van het kathodemateriaal nodig is om het potentieel van dit reactorconcept volledig te benutten.

Hoofdstuk 4 behandelt de technisch-economische aspecten van de elektrochemische reductie van  $\text{CO}_2$  in een GDE-reactor, met als doel het optimaliseren van de procesomstandigheden voor industriële toepassingen. Hiervoor ontwikkelen we een *multi-scale model* dat de massatransporteffecten over de lengte van de reactor voorspelt. De resultaten van dit model worden vervolgens geanalyseerd vanuit economisch perspectief. De analyse geeft aan dat de optimale stroomdichtheden aanzienlijk kunnen verschillen van de eerder gerapporteerde richtwaarden. Modelleren over meerdere schalen



is daarom essentieel voor de evaluatie van reactorontwerpen vanuit economisch perspectief.

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# 1

## INTRODUCTION

### 1.1. ELECTROCHEMISTRY AND THE ENERGY TRANSITION

Energy sustains our daily lives, serving as the lifeblood of transportation, building material production, and the creation of everyday goods. Historically, our energy production heavily relied on burning fossil fuels, consequently releasing carbon dioxide (CO<sub>2</sub>) into the atmosphere, a prominent greenhouse gas. Although nature possesses mechanisms for recycling naturally emitted CO<sub>2</sub>, the advent of the industrial revolution introduced a linear energy flow, lacking a means to effectively recirculate emitted CO<sub>2</sub>. This phenomenon contributed to an atmospheric CO<sub>2</sub> increase, recognised as a primary driver of climate change. Consequently, societal and governmental efforts over recent decades have concentrated on mitigating CO<sub>2</sub> emissions across all sectors and closing the carbon cycle, with the ultimate aim of achieving a carbon-neutral society [1–3]. In the process industry, this transition away from fossil fuels towards renewable energy sources is well underway, however accompanied by challenges such as the fluctuating nature of renewable power sources, necessitating large-scale energy storage and transportation solutions as well as the production of base chemicals from non-fossil feedstocks [4–6].

Electrochemistry emerges as a pivotal technology in this transition, utilising electrical energy—rather than thermal energy—to drive chemical reactions. It enables the storage of electricity from renewable sources like wind and solar energy in chemical bonds, notably hydrogen. Hydrogen, currently utilised as both an energy carrier and a fundamental component in industrial processes, faces challenges due to its low energy density, resulting in costly storage and transportation [7]. Additionally, the production of higher hydrocarbons, vital as fuels and base chemicals, necessitates a combination of carbon monoxide (CO) and hydrogen (H<sub>2</sub>), referred to as syngas. One sustainable route to syngas production involves biomass gasification [8], which presents challenges related to biodiversity, food security, and water use at large scales [9]. Alternatively, captured CO<sub>2</sub> from industrial emissions, the atmosphere, or the ocean offers another avenue. Captured CO<sub>2</sub> can undergo electro-catalytic conversion into CO, subsequently combined with H<sub>2</sub> from water electrolysis to produce sustainable syngas for traditional processes like Fischer-Tropsch synthesis or fermentation, leading to the formation of higher hydrocarbons [10].

The electro-catalytic reduction of  $\text{CO}_2$  has received significant attention since the 1990s, as it can be directly powered by electricity from renewable sources. Low-temperature and pressure electrolysis presents the additional advantage of requiring no supplementary energy input, rendering it a compelling prospect in electrifying the process industry. Despite successful demonstrations of electrochemical  $\text{CO}_2$  conversion to CO and other hydrocarbons at laboratory scale, challenges in improving the performance of electrolyzers in terms of selectivity and current density, while ensuring operational stability and overall economic viability hinder large-scale implementation. Consequently, this dissertation addresses the scientific challenges in reactor design by exploring a novel reactor type (electrolysis cell operated under gas-liquid Taylor flow) and evaluating one of the most studied reactor design from an economic perspective.

## 1.2. THESIS AIM, RESEARCH QUESTIONS AND EMBEDDING

The electrochemical conversion of  $\text{CO}_2$  to CO or hydrocarbons shows promise for producing base chemicals from non-fossil sources. However, existing reactor designs, which strive to overcome mass transfer limitations in aqueous electrolytes, suffer from poor operational stability. A first aim of this dissertation is to explore an alternative approach by introducing gaseous  $\text{CO}_2$  bubbles into narrow channels filled with liquid electrolyte, creating gas-liquid Taylor flow. This approach seeks to overcome mass transfer limitations without the need for complex electrode designs, potentially improving operational stability. A subsequent aim of this dissertation is to develop a multi-scale framework for assessing reactor designs from an economic perspective, aiding in the identification of bottlenecks and optimal operating conditions. These two aims are structured around three research questions:

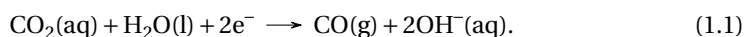
1. Under what conditions are tubular reactors operating under gas-liquid Taylor flow a competitive electrolyser design for  $\text{CO}_2$  conversion? (Chapter 2)
2. How does gas-liquid Taylor flow influence the reactivity and selectivity in a zero-gap membrane electrolyser for  $\text{CO}_2$  reduction? (Chapter 3)
3. How can economic considerations help identify bottlenecks in electrolyser design and operation? (Chapter 4)

The overarching goal of this dissertation—to contribute to the technological advancement of electrolyzers for the direct electrochemical conversion of  $\text{CO}_2$ —aligns with TU Delft's commitment to a sustainable future. TU Delft has initiated programs such as the Energy and Climate Action Initiative to facilitate collaboration between researchers and industry across various sectors. This dissertation is part of the Electrons to Chemical Bonds (E2CB) program, aimed at developing materials and reactor designs for the electrochemical production of base chemicals from  $\text{CO}_2$ , nitrogen, and biomass [11]. Collaborating with Dutch universities and industry partners such as Shell, TATA Steel, and Vattenfall, this project benefits from drawing on experimental and techno-economic expertise from various departments within TU Delft. Before addressing the research questions, we provide a concise background on electrolyser designs and performance metrics for the electrochemical conversion of  $\text{CO}_2$  in the remainder of this chapter.

### 1.3. ELECTROLYSER DESIGNS

Electrochemical reactors for the electrochemical reduction of  $\text{CO}_2$  are broadly divided into two categories: batch and flow-through reactors. Both categories share the following similarity: two electrodes which are locally separated but are conductively connected. The connection consists of an outer electrical circuit and an inner transport of charge which occurs in the form of ions in the electrolyte and electrons in the electrodes. The charge-transfer occurs at the electrode surface, with the reduction reaction (electron consuming reaction) at the cathode and the oxidation reaction (electron supplying reaction) at the anode. Typically  $\text{CO}_2$  is reduced at the cathode, while water oxidation to oxygen serves as the counter reaction at the anode due to its ease of implementation. A polymeric membrane keeps the reaction products separated while ensuring good ionic conductivity.

Figure 1.1 a) shows a schematic of this common electrolyser set-up for a batch reactor, often referred to as H-cell. This reactor typically involves a stagnant liquid electrolyte on both the cathode and anode sides, with  $\text{CO}_2$  continuously bubbling through the catholyte [12]. Depending on the electrode material  $\text{CO}_2$  can be reduced to several products including formic acid over tin, higher hydrocarbons like ethylene over copper or CO over silver [13], i.e.,



The activation potential required for  $\text{CO}_2$  reduction to any reaction product is similar to the equilibrium potential of the hydrogen evolution reaction



leading to the simultaneous formation of hydrogen at the cathode. The oxygen evolution reaction at the anode side typically takes place over iridium under acidic and neutral conditions, or over a more cost-effective nickel-based electrode under alkaline conditions [14]. In H-cells the electrodes are either made out of foils or a catalyst layer is deposited together with a polymeric ink on an inert and conductive substrate such as a glassy carbon sheet. This electrode configuration leads to mass transfer limitations at low reaction rates. Flow-through reactors, which employ porous electrodes instead as shown in Figure 1.1 b) and c), overcome these mass transfer limitations which allows operation under industrially relevant conditions [15, 16]. Both types of flow-through reactors come with their unique advantages and challenges for  $\text{CO}_2$  electrolysis, which are discussed in the following.

Figure 1.1 b) illustrates a design, featuring a zero-gap configuration where the membrane is sandwiched between the cathode and anode, often termed a membrane electrode assembly (MEA). The porous electrodes typically consist of a porous transport layer (PTL), which facilitates access of the reactant to the catalyst layer (CL), while providing the electrons required for the charge-transfer reaction. The catalyst particles are either coated on the membrane (CCM) or on the porous transport layer (CCS). Catalyst deposition in MEAs is particularly challenging, requiring both good ionic and electrical connections. For  $\text{CO}_2$  electrolysis sputtering and airbrushing are common techniques for the deposition of the catalyst layer. The PTL at the anode side is either fabricated via



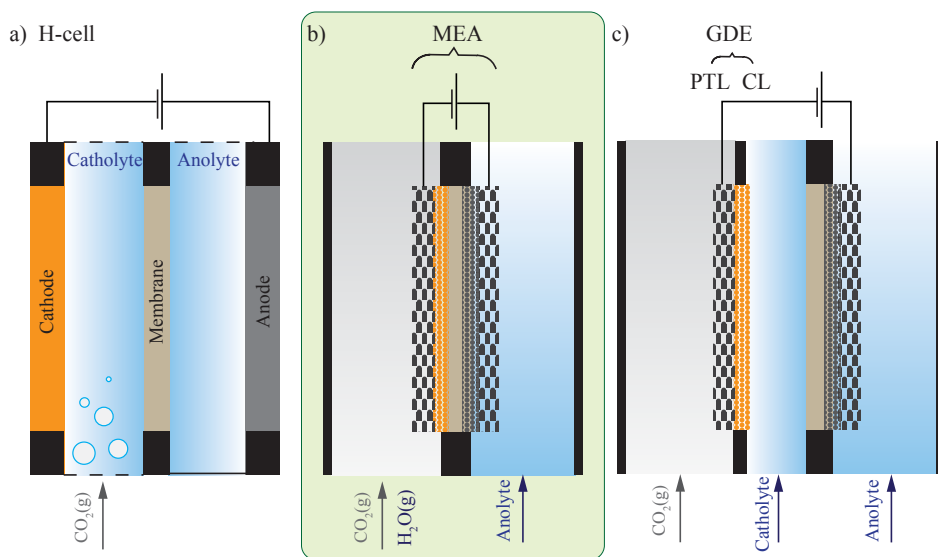


Figure 1.1: Sketch of a gas-fed batch cell/H-cell a), zero-gap flow-through cell with a membrane electrode assembly (MEA) fed with a gaseous  $\text{CO}_2$  water-vapor mix b) and flow-through cell fed with a liquid catholyte and gaseous  $\text{CO}_2$  which are separated by a gas diffusion electrode (GDE) comprised of the porous transport layer (PTL) and the catalyst layer (CL) c). The MEA set-up subject to most scale-up studies is highlighted in green.

sintering metal particles like titanium, or using structured sheets, foams, or grids. At the gas-fed cathode side the PTL is typically made from carbon fibres in the form of carbon sheets or cloth and is referred to as gas-diffusion electrode (GDE) [17–19]. The use of either a pure  $\text{CO}_2$  gas or a  $\text{CO}_2$ -water vapor mix stream at the cathode side significantly reduces mass transfer limitations, and demonstrates scalability up to an electrode area of around  $800 \text{ cm}^2$  [20].

Anion exchange membranes (AEMs), which supply  $\text{OH}^-$  ions from the cathode to the anode are predominantly used in zero-gap configurations, because cation exchange membranes (CEMs) and bipolar membranes (BPMs) supply  $\text{H}^+$  ions directly to the cathode resulting in an increase of the hydrogen evolution reaction and hence a drop in Faradaic efficiency towards the carbon products [21]. However, due to the poor ion selectivity of AEMs, cations from the anode (e.g.,  $\text{Cs}^+$  or  $\text{K}^+$ ) can cross over to the cathode, where they react with  $\text{CO}_2$  and  $\text{H}_2\text{O}$  to carbonate salts, blocking the  $\text{CO}_2$  pathway to the catalyst side over time [22]. Furthermore, crossover of (bi)carbonate anions from the cathode to the anode reduces the passage of  $\text{OH}^-$  ions, resulting in a shift in water oxidation towards the formation of  $\text{H}^+$ , leading to slow acidification of the recycled anolyte [23, 24]. The (bi)carbonate reacts back to  $\text{CO}_2$  in the acidic anolyte, causing  $\text{CO}_2$  gassing out from the anode side. Further, liquid products from the cathode can cross over to the anode side [25–28]. Therefore, additional downstream separation steps are required for zero-gap AEM electrolyzers [29, 30].

Introducing a liquid catholyte buffer layer between the GDE and the membrane, as depicted in Figure 1.1 c), permits the use of CEMs and BPMs, hence mitigating challenges in terms of salt precipitation and CO<sub>2</sub> crossover, while still maintaining good selectivity towards carbonaceous products (60-90%) [31–33]. Further, the flowing catholyte enables better control of the local reaction environments at the GDE compared to pure gas-phase MEA designs, facilitating ion mass transfer via diffusion, migration, and convection. Nonetheless, the liquid catholyte layer adds resistance to the ion pathways, increasing the required energy input to drive the reaction. Additionally, scaling this reactor type remains challenging due to the risk of flooding the GDE [34–36]. While various solutions have been proposed to mitigate flooding [37–39], this reactor type primarily serves for testing catalysts under industrially relevant conditions [40] and understanding mass transfer phenomena [41, 42].

This brief description on electrolyser designs illustrates that existing designs, which aim to overcome mass transfer limitations, suffer from poor operational stability. Other proposed reactor designs for CO<sub>2</sub> reduction, such as microfluidic flow cells [43], face similar challenges but offer potential through adaptations like gas-liquid Taylor flow, promising efficient mass transfer with a controllable reaction environments [44]. Chapter 2 of this dissertation examines the feasibility of such flow types for electrochemical CO<sub>2</sub> reduction from a theoretical perspective through modelling, while Chapter 3 dives into the experimentally measured performance.

## 1.4. PERFORMANCE METRICS FOR ELECTROLYSERS

The electrolyser design greatly influences the mass transfer rate and operational stability as discussed in the previous section. Five performance metrics can be identified to assess and compare different electrolyser designs for CO<sub>2</sub> electrolysis: cell potential, current density (reaction rate), Faradaic efficiency (selectivity) towards the desired product, single-pass conversion, and operational stability.

The electrochemical reduction of CO<sub>2</sub> is driven by the potential difference between the cathode and the anode, which is controlled by the applied cell potential ( $V$ ) through the outer electrical circuit, as shown in Figure 1.2 a). The required potential to drive the reaction is dictated by the thermodynamic cell potential plus kinetic losses associated with the electron transport, mass transport losses associated with the reactant/product transport, and ohmic losses associated with ionic transport. Increasing the applied cell potential typically leads to an increase of supplied electrons to the electrode surface and hence an increase in the reaction rate. The relation between cell potential and reaction rate is given in terms of current density ( $i = I/A$ ) through the Butler-Volmer equation. Ideally the current density increases with an increase of the applied cell potential, as shown for the hydrogen evolution reaction ( $i_{\text{HER}}$ ) in Figure 1.2 b). However, mass transfer limitations can occur such that an increase in cell potential does not yield any increase in current density: the limiting current density is reached as illustrated for the electrochemical CO<sub>2</sub> reduction ( $i_{\text{ECO2R}}$ ). As discussed in the previous section and illustrated in Figure 1.2 b) the CO<sub>2</sub> reduction reaction and the hydrogen evolution reaction share a similar equilibrium potential, meaning that typically both reactions take place simultaneously at the cathode. Thus, alongside carbonaceous reaction products, hydrogen is often generated as an undesired side product at the cathode, decreasing the

Faradaic efficiency ( $FE$ ) towards the  $\text{CO}_2$  reduction products. The Faradaic efficiency is heavily influenced by the local reaction environment, with the hydrogen evolution reaction being favoured over the  $\text{CO}_2$  reduction reaction in an acidic environment and vice versa in a basic environment. Importantly, in a basic environment  $\text{CO}_2$  can also react in a buffer reaction with the  $\text{OH}^-$  ions increasing mass transfer limitations near the electrode. Typically an increase in cell potential and hence current density unavoidably leads to an increase in the local pH due to the formation of  $\text{OH}^-$  ions during the reduction reaction. Changes in electrolyser design such as adding a catholyte flow chamber as discussed in the previous section can help in controlling the local pH but increase the overall cell voltage due to an increase in the distance the ions need to travel between the anode and cathode.

Economically favourable electrolysers aim for operation at high current densities ( $>200\text{-}300\text{mAcm}^{-2}$ ) [45, 46], high Faradaic efficiencies towards desired products ( $>95\%$ ) [46], and low cell potentials ( $<2\text{-}3\text{V}$ ) [47] to reduce investment and operational costs. In addition a single-pass conversion of at least 50% is typically indicated to be desirable to reduce separation costs [45]. These values are derived based on techno-economic analysis considering the performance metrics as completely independent from each other. However, naturally an increase in conversion leads to a decrease in selectivity (Faradaic efficiency) and high current densities imply a high cell potential, and vice versa. Chapter 4 of this dissertation dives into the interplay among these performance metrics and their impact on economic feasibility, focusing on a specific reactor design. Operational stability becomes important for scale-up, but is difficult to assess with the available literature data. It is therefore not taken as a direct performance metric in this dissertation.

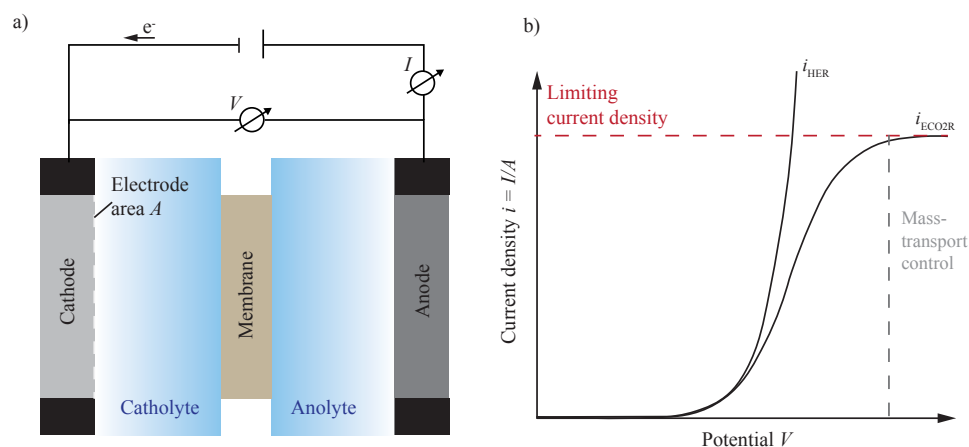


Figure 1.2: Schematic of a  $\text{CO}_2$  electrolyser with planar electrodes shown in a). The relation between current density ( $i = I/A$ ) and applied potential ( $V$ ) is sketched in b), with the partial current density towards hydrogen ( $i_{\text{HER}}$ ) and  $\text{CO}_2$  reduction ( $i_{\text{ECO2R}}$ ).

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# 2

## **ELECTROCHEMICAL REDUCTION OF CO<sub>2</sub> IN TUBULAR FLOW CELLS UNDER GAS-LIQUID TAYLOR FLOW**

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*Electrochemical reduction of CO<sub>2</sub> using renewable energy is a promising avenue for sustainable production of bulk chemicals. However, CO<sub>2</sub> electrolysis in aqueous systems is severely limited by mass transfer leading to low reactor performance insufficient for industrial application. This paper shows that structured reactors operated under gas-liquid Taylor flow can overcome these limitations and significantly improve reactor performance. This is achieved by reducing the boundary layer for mass transfer to the thin liquid film between the CO<sub>2</sub> bubbles and the electrode. This work aims to understand the relationship between process conditions, mass transfer, and reactor performance by developing an easy-to-use analytical model. We find that the film thickness and the volume ratio of CO<sub>2</sub>/electrolyte fed to the reactor significantly affect the current density and the Faradaic efficiency. Additionally, we find industrially relevant performance when operating the reactor at elevated pressure beyond 5 bar. We compare our predictions with numerical simulations based on the unit cell approach, showing good agreement for a large window of operation conditions, illustrating when the easy-to-use predictive expressions for the current density and Faradaic efficiency can be applied.*

## 2.1. INTRODUCTION

Introducing waste CO<sub>2</sub> as a feedstock for the production of base chemicals can close the carbon cycle and reduce the use of fossil resources [2, 3]. When powered by renewable electricity, the electrochemical conversion of CO<sub>2</sub> further enables the first steps towards the energy transition [4, 5]. The feasibility of this process has been demonstrated at lab scale, notably through impressive advances in catalyst development. However, the main challenge to reach commercialisation is imposed by mass transport limitations [6–8]. A strategy to enhance mass transfer is to actively introduce CO<sub>2</sub> as a gas flow while keeping diffusion paths between reactants and catalyst short by separating the gas flow from the liquid electrolyte through a gas diffusion electrode [7, 9–12]. However, flooding, salt formation, or drying out of the gas diffusion electrode are common problems and present a major obstacle towards commercialisation [13–16].

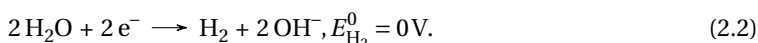
A promising reactor concept that enhances mass transfer without a gas diffusion electrode is a zero-gap membrane reactor operated under gas-liquid Taylor flow [17, 18]. A key feature of this flow type is the thin liquid film between the elongated bubbles and the electrode surface, see Figure 2.1 (a). This film is orders of magnitude thinner than the boundary layer for mass transfer in, for example, an H-cell in which CO<sub>2</sub> is fed by bubbling it through a static electrolyte, see Figure 2.1 (b). Reducing this boundary layer to the thin liquid film between the CO<sub>2</sub> bubbles and the electrode increases the resulting current density by orders of magnitude. While this is a proven concept for heterogeneous catalysis in flow cells with the catalyst coated on the wall [17–19], literature on this approach for electrochemical processes is scarce. First evidence was reported by Zhang et al. [20, 21], who demonstrated a general increase in activity and selectivity towards CO<sub>2</sub> in an electrolyser operated under gas-liquid Taylor flow. Contrary to the literature on heterogeneous catalysis, they primarily attributed the enhancing effect to the mass transfer inside the liquid slugs rather than to the mass transfer inside the thin liquid film around the bubbles. While the experimental demonstration is encouraging, a key step forward is understanding the mechanisms responsible for enhancing mass transfer in electrolysers operated under Taylor flow. Ideally, the insights are translated into an easy-

to-use relation between experimental conditions and reactor performance.

In this work, we propose a tubular cell design, inspired by the field of fuel cells [22, 23], for CO<sub>2</sub> electrolysis with a zero-gap membrane electrode assembly and develop a numerical model to reveal how reactor performance in terms of Faradaic efficiency and current density is governed by the key features of Taylor flow such as film thickness, bubble velocity, and volume fraction of CO<sub>2</sub> bubbles over aqueous electrolyte, for a given cathode potential. Based on these insights, we reveal the primary mechanism responsible for mass transfer enhancement and develop easy-to-use analytical relations to evaluate Faradaic efficiency and current density for parameters known a priori. We show that these relations are accurate within 10 - 15 % for a wide range of operating parameters by direct comparison to the full numerical simulations. For the reader interested in the main results, we structured the paper such that we directly provide the derived easy-to-use analytical relations for the reactor performance in terms of current density and Faradaic efficiency, followed by an illustration of the performance for one exemplary system. After that, we present the numerical model and validation. We believe that the easy-to-use analytical relations between operating parameters and reactor performance parameters offer a valuable tool to guide reactor design and experimental studies for electrochemical conversion.

## 2.2. SUMMARY OF MAIN RESULTS

To illustrate how operation under Taylor flow enhances the performance of electrochemical reactors, we consider an exemplary system: The reduction of CO<sub>2</sub> to CO in the tubular cell design shown in Figure 2.1 (a), with the inner and outer channel separated by a circular membrane electrode assembly. Liquid catholyte and gaseous CO<sub>2</sub> bubbles flow through the inner channel as Taylor flow, while liquid anolyte flows through the outer channel (Figure 2.7 appendix). For simplicity, we consider that CO and H<sub>2</sub> are the sole two reduction products. In practice, high selectivity's towards these products are achieved by preparing the membrane electrode assembly with silver catalyst particles [24, 25] (see Section 2.A). Therefore, we consider only the mass transfer limited CO<sub>2</sub> reduction reaction towards CO and the concentration-independent reduction of water towards H<sub>2</sub>



These reactions are driven by applying a fixed potential ( $E_c$ ) at the cathode. For simplicity, we consider an electrolyte with a high buffer capacity (e.g. 1 M KHCO<sub>3</sub>), such that local changes in pH can be safely neglected.

The analytical relation between two key reactor performance parameters, the Faradaic efficiency and the current density, and operating parameters are introduced for this exemplary system, with all relevant symbols summarised in Table 3.1 (appendix). The reactor performance enhancement under Taylor flow is then illustrated for a set of prototypical operating parameters based on the presented analytical relations. This illustration is followed by validating the analytical model against the full numerical model.

### 2.2.1. EASY-TO-USE ANALYTICAL RELATIONS

The Faradaic efficiency towards CO ( $FE_{CO}$ ) gives the amount of current driving the desired reduction towards CO over the overall current [26–28]

$$FE_{CO} = \frac{i_{CO}}{i_{CO} + i_{H_2}}, \quad (2.3)$$

with  $i_{CO}$  and  $i_{H_2}$  the current densities for CO and  $H_2$  respectively. The current density for a mass transfer limited species ( $CO_2$  in the here considered exemplary system) equals [29]

$$i_{CO} = 2Fk_{ov,CO_2}c_{CO_2}^* \frac{Da(\eta_{COER})}{1 + Da(\eta_{COER})}, \quad (2.4)$$

with Faraday's coefficient  $F$ , mass transfer coefficient  $k_{ov,CO_2}$  under Taylor flow, the saturation concentration of  $CO_2$  in the catholyte  $c_{CO_2}^*$ , which can be determined based on Henry's law and the Sechenov equation. Additionally  $Da(\eta_{COER})$  is the Damköhler number for the reduction reaction of  $CO_2$ , which progresses at a rate that depends on the activation overpotential. The activation overpotential  $\eta_{COER}$  is given by the applied cathode potential ( $E_c$ ) as follows

$$\eta_{COER} = E_c - (E_{CO}^0 - 0.059pH), \quad (2.5)$$

with the standard electrode potential  $E_{CO}^0$  as given in Eq. 1. The overpotential for the hydrogen evolution reaction (HER) is described similarly with  $E_{H_2}^0$  as given in Eq. 2. Inspired by the insights developed on heterogeneous catalysis under Taylor flow [17], the mass transfer coefficient  $k_{ov,CO_2}$  for electrochemical conversion of  $CO_2$  under Taylor flow

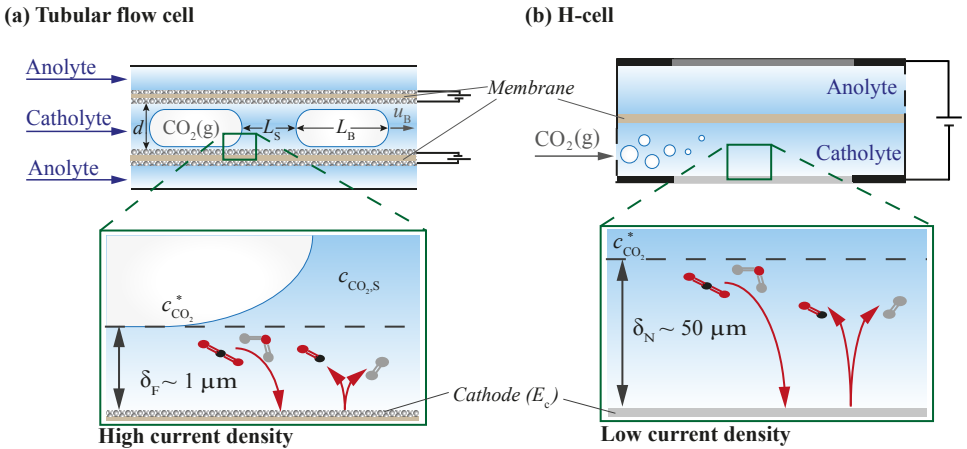


Figure 2.1: Schematic of a tubular flow cell operated under gas-liquid Taylor flow (a) and an H-cell with static electrolyte and a continuous inflow of gaseous  $CO_2$  at the cathode chamber (b). The enlarged regions show mass transfer limitations indicated as diffusion layer thicknesses in these systems (film thickness  $\delta_F$  and Nernst diffusion layer thickness  $\delta_N$ ).

can be written as

$$k_{\text{ov,CO}_2} = \frac{D_{\text{CO}_2}}{\delta_F} \left( \frac{L_B - d}{L_S + L_B} + \frac{L_S + d}{L_S + L_B} \frac{c_{\text{CO}_2,S}}{c_{\text{CO}_2}^*} \right), \quad (2.6)$$

with  $D_{\text{CO}_2}$  the diffusion coefficient of dissolved  $\text{CO}_2$  in the catholyte,  $L_S$  the length of the slug,  $L_B$  the length of the bubble, and  $d$  the tubular diameter (see Figure 2.1 (a)). The film thickness [30]

$$\frac{\delta_F}{d} = \frac{0.66Ca^{2/3}}{1 + 3.33Ca^{2/3}}, \quad (2.7)$$

depends on viscous and capillary forces as captured by the Capillary number  $Ca$ . The Capillary number is defined as  $Ca = (\mu u_B) / \gamma$  with  $u_B$  the velocity of the bubbles,  $\mu$  the viscosity of the catholyte and  $\gamma$  the interfacial tension between the  $\text{CO}_2$  bubbles and liquid catholyte. The concentration of  $\text{CO}_2$  in the liquid slugs  $c_{\text{CO}_2,S}$  is

$$\frac{c_{\text{CO}_2,S}}{c_{\text{CO}_2}^*} = \left( 1 + \frac{L_S + d}{\delta_F} \sqrt{\frac{D_{\text{CO}_2} \pi}{8 d u_B}} \frac{Da(\eta_{\text{COER}})}{1 + Da(\eta_{\text{COER}})} \right)^{-1}, \quad (2.8)$$

where the Damköhler number is defined based on the reaction rate of the reduction reaction of  $\text{CO}_2$  to  $\text{CO}$  (see Section 2.B). The reaction rate is described by Butler-Volmer kinetics [29],

$$Da(\eta_{\text{COER}}) = \frac{i_{0,\text{COER}}}{2F c_{\text{CO}_2}^*} \times \exp\left(\frac{-\alpha_{\text{COER}} F}{RT} \eta_{\text{COER}}\right) \frac{\delta_F}{D_{\text{CO}_2}}, \quad (2.9)$$

with  $D_{\text{CO}_2} / \delta_F$  the inverse of the mass transfer based on film theory,  $i_{0,\text{COER}}$  the exchange current density,  $\alpha_{\text{COER}}$  the cathodic charge transfer coefficient,  $R$  the universal gas constant, and  $T$  the temperature.

The above expressions (Eq. 2.3 – 2.9) form a complete set that allows straightforward calculation of the current density of  $\text{CO}$ . The current density of  $\text{H}_2$ , the species that is considered not to present mass transfer limitations, immediately follows from Butler-Volmer kinetics

$$i_{\text{H}_2} = -i_{0,\text{HER}} \exp\left(\frac{-\alpha_{\text{HER}} F}{RT} \eta_{\text{HER}}\right), \quad (2.10)$$

with the kinetic constants  $i_{0,\text{HER}}$  and  $\alpha_{\text{HER}}$  and the overpotential  $\eta_{\text{HER}}$  (see Eq. 2.5). The two key reactor performance parameters, the Faradaic efficiency towards  $\text{CO}$  ( $FE_{\text{CO}}$ ) and the current density for  $\text{CO}$  ( $i_{\text{CO}}$ ), can now be straightforwardly evaluated using Eq. 2.3 – 2.10, in which we introduced the Taylor flow specific behaviour in the general electrochemistry framework through the mass transfer coefficient (Eq. 2.6) and the concentration in the slugs (Eq. 2.8).

### 2.2.2. PERFORMANCE ENHANCEMENT UNDER TAYLOR FLOW

To illustrate the reactor performance under Taylor flow, we consider the prototypical operating parameters:  $\text{CO}_2$  bubbles flowing through the central tube with a diameter of  $d = 1 \text{ mm}$  at a velocity of  $u_B = 10 \text{ mm s}^{-1}$ , resulting in a thin film around the bubbles with a thickness of  $\delta_F = 1.6 \mu\text{m}$  (see Eq. 2.7). We further consider the gaseous  $\text{CO}_2$  bubbles to occupy a fraction  $\beta_g = V_B / (V_B + V_S) \approx (L_B - d/3) / (L_B + L_S) = 0.75$  of the channel volume.

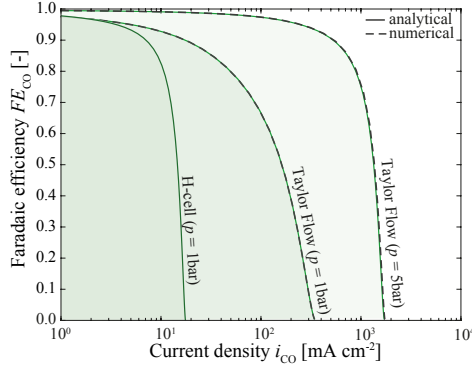


Figure 2.2: Map of reactor performance as current density and Faradaic efficiency towards CO, determined by the easy-to-use analytical relations (solid lines) and the full numerical model (dashed lines) for the prototypical operating conditions ( $d = 1 \text{ mm}$ ,  $u_B = 10 \text{ mm s}^{-1}$ ,  $\beta_g = 0.75$ ) at 1 and 5 bar. The reactor performance for larger  $u_B$ , larger  $d$ , and smaller  $\beta_g$  lies underneath the lines in the green highlighted areas.

The length of bubble and slug are considered equal to  $L_B + L_S = 5d$ . Using these prototypical operating parameters, and the electrochemical/fluid properties listed in Table 2.3 (appendix), including the saturation concentration of  $\text{CO}_2$  in a 1 M  $\text{KHCO}_3$  electrolyte at a pressure of 1 bar and ambient temperature ( $c_{\text{CO}_2}^* \approx 24 \text{ mol m}^{-3}$ ), we calculated  $FE_{\text{CO}}$  and  $i_{\text{CO}}$  for a range of cathode potentials ( $E_c = -0.6$  to  $-3.0 \text{ V}$  vs SHE) using Eqs. 2.3 - 2.10. The chosen potential range allows to study the reactor performance of the tubular Taylor flow reactor under mass transfer limitation, a regime in which the hydrogen evolution reaction fully overtakes the  $\text{CO}_2$  reduction reaction. The reactor performance of an H-cell can similarly be predicted by assuming (1) film theory for the mass transfer coefficient in Eq. 2.4

$$k_{\text{ov,CO}_2} = \frac{D_{\text{CO}_2}}{\delta_N}, \quad (2.11)$$

with  $\delta_N \approx 50 \mu\text{m}$  [31] and (2) replacing  $\delta_F$  in Eq. 2.9 by  $\delta_N$ . Figure 2.2 shows the predicted reactor performance in terms of  $FE_{\text{CO}}$  and  $i_{\text{CO}}$  for the H-cell and tubular Taylor flow reactor under ambient pressure for the prototypical operating parameters (solid lines). The x-axis intersect of the solid lines directly gives the mass transfer related reactor performance metric: Limiting current density. Comparing this metric for the H-cell and Taylor flow reveals that the reactor performance can be increased by an order of magnitude under Taylor flow. This increase is mainly attributed to the decrease in diffusion layer thickness. We evaluated the reactor performance for the range of operating parameters shown in Table 2.1. For  $u_B$  and  $d$  larger and  $\beta_g$  smaller than the prototypical operating parameters, the performance lies underneath the solid lines in the green highlighted areas. The reactor performance range can further be increased to compete with industrially required current densities and Faradaic efficiencies by operating under elevated pressure, e.g. to 5 bar. This increase is understood by the increase in solubility of  $\text{CO}_2$  in the liquid electrolyte ( $c_{\text{CO}_2}^* \approx 120 \text{ mol m}^{-3}$ ), which enhances the availability of  $\text{CO}_2$  at the electrode [32–34].

Table 2.1: Range of operating parameters used to test the validity of the easy-to-use analytical relations against full numerical simulations.

Symbol	Range	Unit	Label (Fig. 2.3)
$d$	1 - 3	mm	□ - ◇
$u_B$	0.01 - 0.3	$\text{ms}^{-1}$	green - black
$\beta_g$	0.25 - 0.75	-	empty - filled

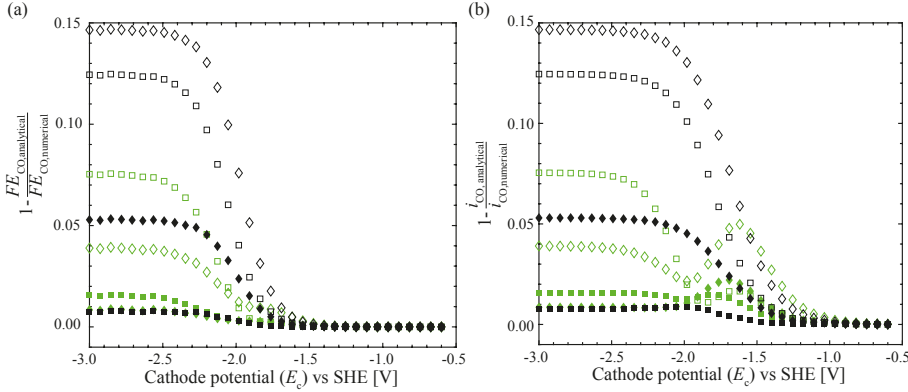


Figure 2.3: Comparison of the Faradaic efficiency (a) and current density (b) calculated with the easy-to-use analytical relations (Eq. 2.3 - 2.10) and the full numerical model over the upper and lower bound of the range of operating parameters listed in Table 2.1 and electrochemical/fluid properties listed in Table 2.3 (appendix). The filled, green squares represent the prototypical operating parameters for Taylor flow at 1 bar shown in Figure 2.2, all other symbols are summarized in Table 2.1

### 2.2.3. VALIDATION OF ANALYTICAL SOLUTION

To test the validity of the easy-to-use analytical relations (Eq. 2.3 - 2.10) in predicting the reactor performance for operation under Taylor flow, we compare the prediction of the easy-to-use relations with full numerical simulations. For the prototypical operating parameters, the easy-to-use analytical relations (solid lines in Figure 2.2) are in excellent agreement with the full numerical simulations (dashed lines). To test the validity of the easy-to-use analytical relations, we consider a wide range of operating parameters (at ambient pressure and temperature), see Table 2.1. This range is taken from Berčić and Pintar[35], because the range of capillary diameters overlaps with common channel dimensions for tubular fuel cells [22]. Figure 2.3 shows that the analytical relations predict the reactor performance within 15% for the studied parameter range. The limit of the analytical model, as derived in Section 2.C, can be expressed as

$$Pe \frac{\delta_F}{L_B - d - 2\delta_F} < 1, \quad (2.12)$$

with the Péclet number  $Pe$ , which relates convective to diffusive transport. The limit is met for low bubble velocities (black symbols in Figure 2.3), which reduces the relative error to less than 8%. It is further evident that the analytical model's accuracy increases for high void fractions and strongly increases for low bubble velocities (see also Figure 2.8 (appendix)).

## 2.3. FULL MODEL AND MECHANISTIC INSIGHTS

The numerical modelling of the hydrodynamics and mass transfer of Taylor flow in a unit cell approach [36] is well-documented in literature [19, 37–40]. Therefore, only this study's relevant geometrical Taylor flow parameters (Table 2.4 appendix) and assumptions are briefly described here, with all relevant equations and fluid/electrochemical properties summarized in Section 2.C. This approach allows for a systematic variation of the operating parameters and analysis of the mass transport limitations, providing the necessary mechanistic insights into the contribution of the operating parameters on the reactor performance.

### 2.3.1. FULL NUMERICAL MODEL

The hydrodynamics and species transport with an electrochemical wall reaction are numerically solved for the cathode department in a two-dimensional radial coordinate system following the unit cell approach, as shown in Figure 2.4. The model is solved steady-state, axisymmetrical and in the reference frame of the bubble. The dimensionless governing equations are listed in Table 2.5 (appendix), with the boundary conditions based on the work by van Baten et. al [37], see Table 2.6 (appendix). The bubble shape is assumed to be non-deformable [41–44] (most accurate for  $Ca \leq 10^{-3}$ ), allowing for the assumption of hemispherical bubble caps connected by a cylinder. In addition, by neglecting viscous effects at the bubble interface, it is sufficient to solely compute the liquid flow with a slip boundary condition at the bubble interface. Eq. 2.7 describes the uniform thickness of the lubrication film between bubble and wall. The flow velocities are chosen to fall in the laminar flow regime ( $Re < 800$ ). The validation of the velocity field can be found in Figure 2.9 (appendix).

The dimensionless species balances for  $\text{CO}_2$ ,  $\text{H}_2$  and  $\text{CO}$  are listed as convection-diffusion equations in Table 2.5 (appendix). Similar to the work by Cao *et al.*[44] the electrochemical reduction reaction is assumed to take place at the wall of the channel and is described by Butler-Volmer kinetics (see boundary conditions in Table 2.6 (appendix)). Contrary to their study, in which the cathode and anode are placed on the opposite channel sides with the gas bubbles flowing through the inter-electrode gap, the tubular Taylor flow cell is made of a zero-gap membrane electrode assembly (Figure 2.1 (a)). In this configuration the cathode and anode are sandwiched together with a membrane in between minimising the inter-electrode gap. The  $\text{CO}_2$  bubbles and the gas evolving products are therefore solely by-passing the cathode allowing us to neglect the effect of bubbles on the ohmic losses and potential distribution. Additionally, it is assumed that all gaseous products either directly diffuse back into the Taylor bubble or

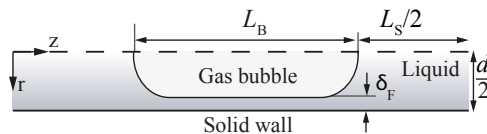


Figure 2.4: Schematic representation of two-dimensional axisymmetrical unit cell showing all relevant parameters.

form small bubbles after leaving the electrode such that local effects of gas evolution can be neglected. Further, it is assumed that the thin catalyst is directly in contact with anion exchange membrane, and a catholyte with a high buffer capacity (e.g. 1 M  $\text{KHCO}_3$ ) is used, which leads to ignoring any change in pH and its resulting effect on carbonate crossover (Section 2.E and Figure 2.10 (appendix)). The validation of the predicted mass transfer coefficient can be found in Table 2.7 (appendix).

All two-dimensional unit cell simulations are carried out with the finite element-based software COMSOL Multiphysics 5.6, in which the hydrodynamics are solved decoupled from the species transport. Calculation of the electrolyte dependent parameters, geometrical properties and evaluation of the analytical model equations was carried out in Matlab R2020a. Details regarding the mesh parameters (Table 2.8 and Figure 2.11 (appendix)), mesh independence (Figure 2.12 (appendix)) and solver scheme are given in Section 2.F.

### 2.3.2. MECHANISTIC INSIGHTS INTO THE MASS TRANSFER UNDER TAYLOR FLOW

To understand the main mechanism responsible for the enhanced reactor performance under Taylor flow, we now consider the limit in which the mass transfer limitations dominate over the reaction kinetics. In this limit, the analytical model is considerably simplified, allowing to quantify the separate contributions of the film and slug region to the mass transfer coefficient (Figure 2.5 (a)). This is achieved at high cathode potentials (-3.0 V vs SHE) that translate into high Damköhler numbers. For  $Da \gg 1$ , Eq. 2.4 simplifies to the limiting current density equation

$$i_{\text{Lim,CO}} = 2Fk_{\text{ov,CO}_2}c_{\text{CO}_2}, \quad (2.13)$$

where we introduce  $c_{\text{CO}_2}$  as the more generic concentration of  $\text{CO}_2$  in the bulk. In the film region,  $c_{\text{CO}_2}$  equals the saturation concentration  $c_{\text{CO}_2}^*$ . In the slug region,  $c_{\text{CO}_2}$  equals the average concentration  $c_{\text{CO}_2,\text{S}}$ , which depends on the mass transfer over the caps as described in Eq. 2.8. For high Damköhler [17], it simplifies to  $c_{\text{CO}_2,\text{S}} = c_{\text{CO}_2}^* (1 + (L_S + d_B) / \delta_F \sqrt{D\pi / (8du_B)})^{-1}$ . Considering Eq. 2.13, with the above expressions for the bulk concentration, together with the mass transfer coefficient based on film theory (Eq. 2.11) allows us to straightforwardly see the role of the operating parameters on the limiting current density. While the current density in the film region solely depends on the film thickness, it additionally depends on the void fraction in the slug region.

In Figure 2.5 (b) and (c), the limiting current density  $i_{\text{Lim,CO}}$  calculated with Eq. 2.13 and the full numerical simulations are shown for the film and slug region respectively, for varying dimensionless film thicknesses and three different void fractions. Increasing film thicknesses generally lead to a decrease in the mass transfer coefficient (see Eq. 2.11), which consequently leads to a decrease in current density (see Eq. 2.13). This inversely related dependency between limiting current density and film thickness becomes dominant in the film region (Figure 2.5 (b)). The numerical simulation further displays a slight dependency of limiting current density on void fraction for increasing film thicknesses, which is not captured by the analytical relation. The deviation between analytical relation and numerical model is mostly seen for low void fractions in which



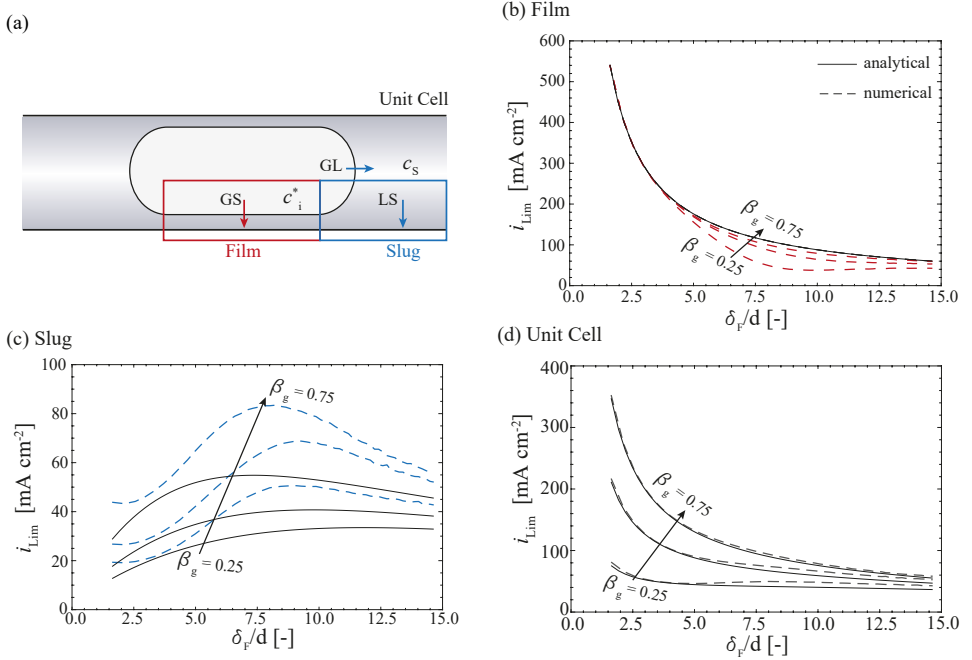


Figure 2.5: Schematic of the unit cell including film and slug region with the respective mass transfer routes (a). The limiting current density for a varying film thickness (Eq. 2.7) for the film region (b), the slug region (c), the unit cell (d).

the assumption of solely diffusive transport in the liquid film fails (see limit of analytical assumption in Eq. 2.12 and Section 2.C). The moderate dependency on film thickness and void fraction in the slug region predicted by Eq. 2.13 are reasonably in line with the full numerical simulations (Figure 2.5 (c)). Deviations between the numerical model and analytical relation are mostly arising from the simplified assumption that the diffusion layer thickness in the slug region equals the film thickness (see Figure 2.13 (appendix)). Importantly, the contribution to the current density from the film region is significantly larger than for the slug region. Only for the higher film thicknesses the contribution of both regions becomes similar (for additional information, see Figure 2.12 (appendix)). Figure 5 (d) shows that the current density for film and slug region together ( $i_{Lim,UC} = i_{Lim,F} (L_B - d) / L_{Lim,UC} + i_{Lim,S} (L_S + d) / L_{Lim,UC}$ ) and is well captured by the analytical model.

### 2.3.3. REACTOR PERFORMANCE UNDER VARYING OPERATING CONDITIONS

We quantified reaction performed in terms of the (limiting) current density and Faradaic efficiency towards CO. An additional representation useful in the light of downstream operations is to describe the performance in terms of the ratio between H<sub>2</sub> and CO<sub>2</sub> in the produced syngas. Figure 2.6 (a) shows the influence of bubble velocity in terms of the film thickness (see Eq. 2.7) on the Faradaic efficiency and H<sub>2</sub> to CO ratio for different

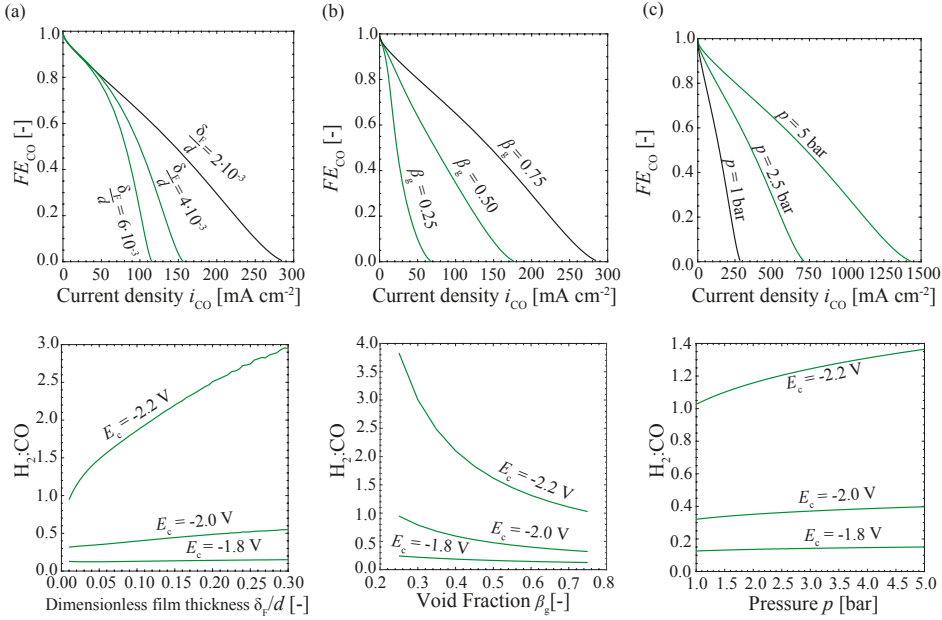


Figure 2.6: Prediction of reactor performance and  $H_2$  to  $CO$  ratio with the numerical model for varying film thicknesses (a), void fractions (b) and pressures (c). Black lines in the top figures indicate the same conditions with  $d = 1$  mm,  $u_B = 14$  mm s<sup>-1</sup> and  $\beta_g = 0.75$  at ambient pressure and temperature.

cathode potentials. Similarly to the limiting current density, the Faradaic efficiency decreases non-proportional with increasing the film thickness, leading to a shift in the  $H_2$  to  $CO$  ratio towards the formation of  $H_2$ . This becomes more prominent under higher applied potentials and is explained by increased mass transfer limitations at high cathode potentials. The bubble velocity and therefore film thickness can be directly controlled by the superficial velocities at the inlet [17] ( $u_B \approx u_{gas} + u_{catholyte}$ ) allowing to easily control the reactor performance.

The void fraction [17] can be varied based on the ratio of superficial velocities  $\beta_g \approx \epsilon_g = u_{gas}/u_{gas} + u_{catholyte}$  and its influence on Faradaic efficiency and  $H_2$  to  $CO$  ratio is shown in Figure 2.6 (b). Higher void fractions result in longer  $CO_2$  bubbles and shorter electrolyte slugs, leading to an increased film region compared to the slug region. As demonstrated previously, in Figure 2.5, the mass transfer in the film region is an order of magnitude higher than the one in the slug region for low velocities. Therefore, the Faradaic efficiency increases proportionally with increasing the void fraction, shifting the  $H_2$  to  $CO$  ratio towards  $CO$ .

Apart from varying the superficial velocities the pressure can be increased to change the saturation concentration of  $CO_2$  in the liquid electrolyte and thereby increase the Faradaic efficiency towards  $CO$  (Figure 2.6 (c)). Changes in the  $CO_2$  concentration increases the availability of  $CO_2$  and shift the equilibrium of the carbon reaction in the electrolyte according to Le Chatelier's principle, resulting in a slightly more acidic bulk pH. The changes in pH lower the activation potential (Eq. 2.5), leading to higher cur-

rent densities for the same cathode potential at increased pressure [32–34] (Figure 2.13 (appendix)). Therefore, the effect of pressure on the H<sub>2</sub> to CO for the herein shown potentials is comparably lower than the influence of film thickness and void fraction. This implies that pressure is a good way to increase Faradaic efficiencies and reduce the required cell potential, while the superficial velocities present a valuable way to control the H<sub>2</sub> to CO ratio.

## 2.4. DISCUSSION AND CONCLUSION

We introduced an easy-to-use analytical model to predict the current density and Faradaic efficiency in a tubular flow cell operated under gas-liquid Taylor flow. Comparing the reactor performance to numerical predictions for the electrochemical reduction of CO<sub>2</sub> to CO shows good agreement within the derived limits. Further, we showed that the limiting current density increases by an order of magnitude for the tubular Taylor flow cell compared to an H-cell reactor. The film thickness and void fraction significantly influence the Faradaic efficiency and H<sub>2</sub> to CO ratio. Further, the bubble region is mainly contributing to mass transfer for low velocities suggesting a preference for thin films and high void fractions, while for increasing velocities, the mass transfer becomes region independent. The tubular Taylor flow cell architecture offers a design which can be straightforwardly operated under elevated pressure [45–48] further improving mass transfer to achieve a high Faradaic efficiency (> 90%) at a current density of up to 500 mAcm<sup>-2</sup>, demonstrating the general potential of this reactor concept to overcome mass transfer limitations in the field of electrolysis. With tubular flow cells operated under gas-liquid Taylor flow yet to be explored experimentally in the field of electrolysis, we expect the generic insights into mass transfer and the simple analytical model to provide guidelines for experimental studies and reactor design choices.

Table 2.2: List of symbols.

Symbol	Description	Unit
<i>Latin letters</i>		
$A_{GL}$	Exchange area for gas-liquid mass transfer	$m^2$
$A_{LS}$	Exchange area for liquid-solid mass transfer	$m^2$
$Ca$	Capillary number	-
$c_{CO_2}$	Concentration of $CO_2$	$mol\,m^{-3}$
$c_{CO_2}^*$	Saturation concentration of $CO_2$	$mol\,m^{-3}$
$c_{CO_2,S}$	Concentration of $CO_2$ in the liquid slug	$mol\,m^{-3}$
$c_{wall}$	Concentration at the wall	$mol\,m^{-3}$
$Da$	Damköhler number	-
$D_i$	Diffusion coefficient	$m^2\,s^{-1}$
$d$	Diameter	m
$d_b$	Bubble diameter	m
$E_i^0$	Standard potential	V
$E_C$	Cathode potential	V
$F$	Faraday constant	$As\,mol^{-1}$
$FE_{CO}$	Faradaic efficiency towards CO	V
$H^{cp}$	Henry coefficient	$mol\,m^{-3}\,Pa^{-1}$
$i_i$	Exchange current density	$A\,m^{-2}$
$J_{GL}$	Gas-liquid molar flux	$mol/m^2/s$
$J_{LS}$	Liquid-solid molar flux	$mol/m^2/s$
$k_f$	Forward reaction rate constant	$mol^{-1}\,s$
$k_{GL}$	Gas-liquid mass transfer coefficient	$mol/s$
$k_{LS}$	Liquid-solid mass transfer coefficient	$mol/s$
$k_{ov,CO_2}$	Overall mass transfer coefficient of $CO_2$	$mol/s$
$k_r$	Backward reaction rate constant	$s^{-1}$
$L_B$	Bubble length	m
$L_F$	Film length	m
$L_S$	Slug length	m
$L_{UC}$	Unit cell length	m
$p$	Pressure	bar
$Pe$	Peclet number	-
$u_B$	Bubble velocity	$ms^{-1}$
$u_{TP}$	Two-phase velocity	$ms^{-1}$
<i>Greek letters</i>		
$\alpha_{COER}$	Transfer coefficient of the $CO_2$ reduction reaction	-
$\alpha_{HER}$	Transfer coefficient of the hydrogen evolution reaction	-
$\beta_g$	Void fraction	-
$\delta_F$	Film thickness	m
$\delta_N$	Nernst diffusion layer thickness	m
$\eta_{COER}$	Activation overpotential of the $CO_2$ reduction reaction	V
$\eta_{HER}$	Activation overpotential of the hydrogen evolution reaction	V
$\gamma$	Interfacial tension	$N\,m^{-1}$
$\mu$	Dynamic viscosity	Pas
$\rho$	Density	$kg\,m^{-3}$

## APPENDIX

### 2.A. CELL DESIGN

In H-cell configurations, the electrodes are commonly placed with some distance to the membrane, which allows to use either two dimensional electrodes like foils or porous three dimensional electrodes like meshes, felts and papers [49]. In the tubular design the anode and cathode are sandwiched together with a thin polymeric membrane in a zero-gap membrane electrode assembly (MEA) [50]. In this configuration porous electrodes have to be used to allow for the transport of ions and reactants to the catalyst sites. The electrode can then either be made entirely out of the catalyst material, e.g silver meshes or foams or the catalyst material is deposited on an electrical conductive substrate, e.g carbon paper. Several deposition techniques are available while drop-casting, airbrushing and sputtering are widely applied in the field of  $\text{CO}_2$  electrolysis [6]. Due to the weak interaction between substrate and catalyst layer these techniques are only limited suitable for tubular flow cells. Therefore, techniques like atomic layer deposition have been proposed in literature for these designs [47]. These techniques can be used to form very thin catalyst layers ( $\approx 10 \text{ nm}$ ) [9, 47].

The cathode in the H-cell could be made of a silver foil, while the cathode in the tubular configuration could be either a silver mesh or a porous transport layer which is coated with silver via atomic layer deposition (ALD). Based on the resulting thin catalyst layer the electrochemical reaction can be assumed to occur at the interface leading to the boundary conditions for the numerical model (Table 2.6). Additionally, to reduce mass transfer limitations for the reactant  $\text{CO}_2$  the catalyst layer would be placed close to the bubble interface and the thickness of the supporting substrate minimised to reduce additional ohmic resistance from the transport of ions to the catalyst side (see Figure 2.7).

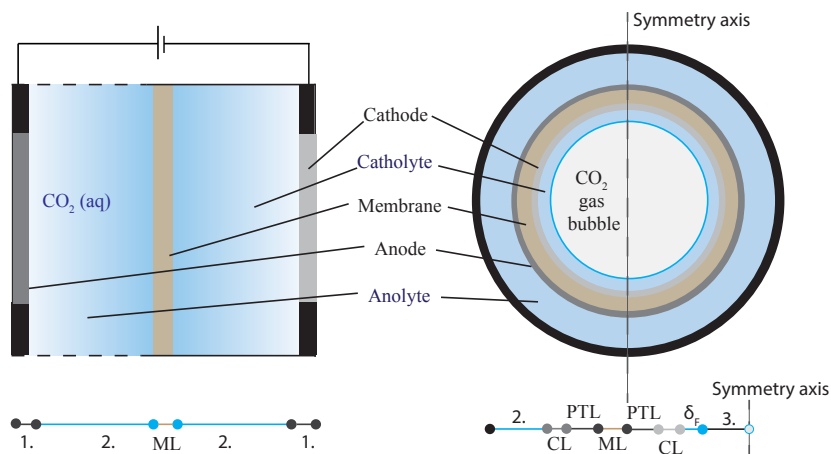


Figure 2.7: Cross sectional view of the H-cell and tubular Taylor flow configuration with the different layers electrode (1.), electrolyte (2.), membrane layer (ML), catalyst layer (CL), porous transport layer (PTL), film thickness ( $\delta_F$ ) and gaseous Taylor bubble (3.) represented by the line beneath each configuration.

## 2.B. REACTION RATE DEPENDENT CONCENTRATION IN THE LIQUID SLUGS

The mass transfer over the bubble caps ( $J_{GL}$ ) depends on the concentration difference between the saturation concentration at the gas-liquid interface ( $c_{CO_2}^*$ ) and the concentration in the liquid slugs ( $c_{CO_2,S}$ ) which is assumed well-mixed, the area of the spherical caps ( $A_{GL}$ ), and the mass transfer with the mass transfer coefficient  $k_{GL}$  described by penetration theory as follows [17]

$$J_{GL} = k_{GL} A_{GL} (c_{CO_2}^* - c_{CO_2,S}) = 2 \cdot 2 \sqrt{\frac{D_{CO_2} d}{\pi 2 u_{TP}}} \frac{1}{2} \pi d^2 (c_{CO_2}^* - c_{CO_2,S}), \quad (2.14)$$

with the two-phase velocity  $u_{TP}$ . The mass transfer ( $J_{LS}$ ) from the slugs to the channel wall is given by [17]

$$J_{LS} = k_{LS} A_{LS} (c_{CO_2,S} - c_{wall}) = \frac{D_{CO_2}}{\delta_F} (L_S + d) \pi d (c_{CO_2,S} - c_{wall}), \quad (2.15)$$

with the mass transfer coefficient  $k_{LS}$  described by film theory, and  $A_{LS}$  for the circular slug region. Equating the fluxes in Eq. 2.14 and 2.15, and using the reaction diffusion boundary condition that translates into  $c_{wall} = c_{CO_2}^* \cdot 1 / (1 + Da(\eta_{COER}))$ , we find

$$k_{LS} A_{LS} \left( c_{CO_2,S} - c_{CO_2}^* \frac{1}{1 + Da(\eta_{COER})} \right) = k_{GL} A_{GL} (c_{CO_2}^* - c_{CO_2,S}). \quad (2.16)$$

Rearranging this equation the ratio of slug concentration over saturation concentration is

$$\frac{c_{CO_2,S}}{c_{CO_2}^*} = \left( 1 + \frac{L_S + d}{\delta_F} \sqrt{\frac{D_{CO_2} \pi}{8 d u_B}} \frac{Da(\eta_{COER})}{1 + Da(\eta_{COER})} \right)^{-1}. \quad (2.17)$$

## 2.C. LIMIT OF THE ANALYTICAL MODEL

The analytical model, as described in the Section "Easy-to-use analytical relations", is based on a stagnant film approach which allows assuming film theory for the mass transfer in the bubble region and implies that the boundary layer for mass transfer equals the film thickness ( $\delta = \delta_F$ ). This assumption is only valid if the convective transport of species in the liquid film is smaller than diffusive transport. Starting from the stationary convection-diffusion equation

$$0 = D \frac{\partial^2 c}{\partial r^2} - u_B \frac{\partial c}{\partial z}, \quad (2.18)$$

with the convective ( $u_B \partial c / \partial z$ ) and diffusive ( $D \partial^2 c / \partial r^2$ ) transport terms, using  $\delta$  as the characteristic length scale in the radial direction and  $L_f$  as the characteristic length scale in the axial direction, we find that the diffusive contribution dominates over the convective contribution when

$$\frac{u_B \delta}{D} \frac{\delta}{L_f} < 1. \quad (2.19)$$

With the characteristic length  $\delta$  Eq. 2.19 can be rewritten in terms of the Peclet number  $Pe = u_B \delta / D$ . To arrive at Eq. 12 the length of the liquid film is given as the length of the cylindrical part of the bubble without considering the bubble caps  $L_F = L_B - d - 2\delta_F$ . It should also be noted that the numerical model does not consider a change in bubble shape, which is expected with increasing velocities. Increasing velocities leads to a flattening out of the rear back of the bubble [17], which can lower the convective transport in the film.

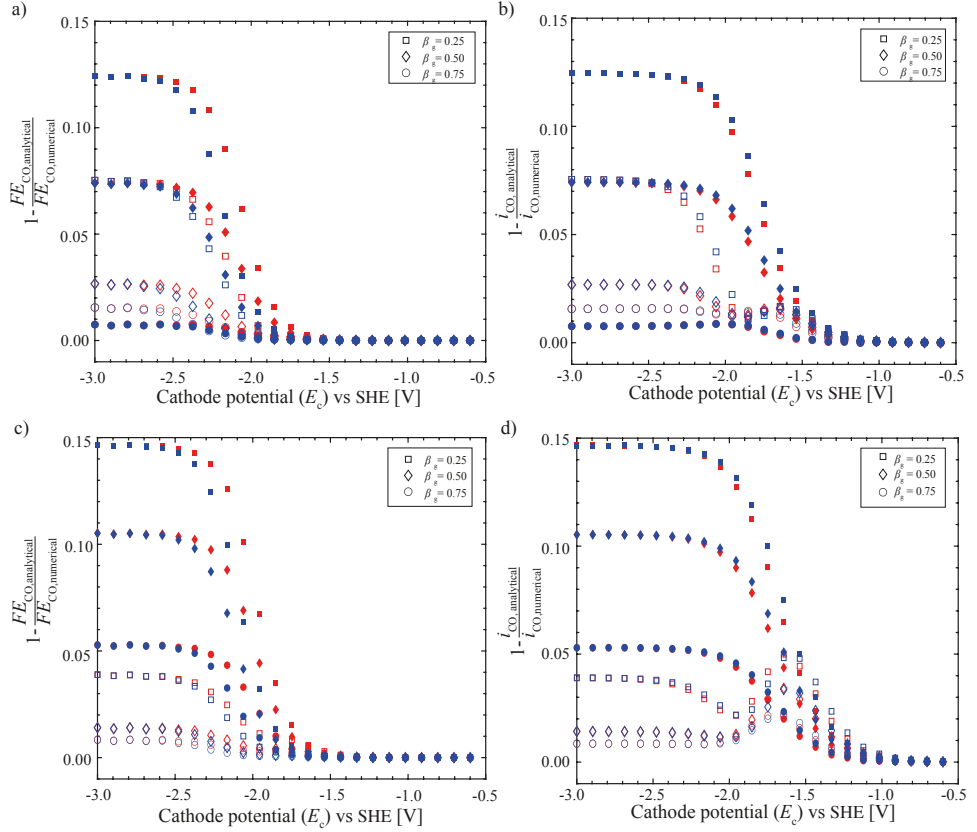


Figure 2.8: Relative error of analytical predictions towards the numerical results for a tube diameter of  $d = 1$  mm (a-b) and of 3 mm (c-d) for three different void fraction  $\beta_g$ . Red corresponding to a pressure of 1 bar and blue to a pressure of 5 bar. Filled symbols  $u_B = 0.03 \text{ m s}^{-1}$ , open symbols  $u_B = 0.1 \text{ m s}^{-1}$ .

## 2.D. FLUID/ELECTROCHEMICAL PROPERTIES AND MODEL DESCRIPTION

### 2.D.1. FLUID/ELECTROCHEMICAL PROPERTIES AND PARAMETER LIST

All fluid/electrochemical properties are summarised in Table 2.3.

Table 2.3: List of parameters.

Description	Value	Unit	Reference
<i>Electrolyte (1M KHCO<sub>3</sub>)</i>			
$\mu$ ( $T = 20^\circ\text{C}$ , $p = 1$ bar)	$1001.6 \cdot 10^{-6}$	Pa s	[51]
$\rho$ ( $T = 20^\circ\text{C}$ , $p = 1$ bar)	998.21	$\text{kgm}^{-3}$	[51]
$\gamma$ ( $T = 20^\circ\text{C}$ )	72.74	$\text{Nm}^{-1}$	[51]
$D_{\text{CO}_2}$ ( $T = 25^\circ\text{C}$ )	$1.97 \cdot 10^{-9}$	$\text{m}^2 \text{s}^{-1}$	[52]
$D_{\text{HCO}_3^-}$ ( $T = 25^\circ\text{C}$ )	$1.185 \cdot 10^{-9}$	$\text{m}^2 \text{s}^{-1}$	[53]
$D_{\text{CO}_3^{2-}}$ ( $T = 25^\circ\text{C}$ )	$9.23 \cdot 10^{-10}$	$\text{m}^2 \text{s}^{-1}$	[53]
$D_{\text{OH}}$ ( $T = 25^\circ\text{C}$ )	$5.293 \cdot 10^{-9}$	$\text{m}^2 \text{s}^{-1}$	[53]
$H^{\text{CP}}$ ( $T = 25^\circ\text{C}$ )	$3.4 \cdot 10^{-4}$	$\text{molm}^{-3} \text{Pa}^{-1}$	[54]
<i>Electrochemical reaction</i>			
$i_{0,\text{COER}}$	0.1098	$\text{Am}^{-2}$	[26]
$\alpha_{\text{COER}}$	0.17	-	[26]
$E_{\text{COER}}^0$	-0.11	V	[26]
$i_{0,\text{HER}}$	$4.1439 \cdot 10^{-5}$	$\text{Am}^{-2}$	[26]
$\alpha_{\text{HER}}$	0.25	-	[26]
$E_{\text{HER}}^0$	0	V	[26]
<i>Homogeneous reaction</i>			
$k_{1f}$	2.23	$\text{mol}^{-1} \text{s}$	[55]
$k_{1r}$	$1.5494 \cdot 10^{-5}$	$\text{s}^{-1}$	[56]
$k_{2f}$	$6 \cdot 10^6$	$\text{mol}^{-1} \text{s}$	[55]
$k_{2r}$	$4.6821 \cdot 10^4$	$\text{s}^{-1}$	[56]
<i>Unit cell geometry</i>			
$d$	1 - 3	mm	[57]
$u_B$	0.01 - 0.3	$\text{ms}^{-1}$	[35]
$\beta_g$	0.25 - 0.75	-	
$p$	1 - 5	bar	[33]



### 2.D.2. TAYLOR FLOW FEATURES

Taylor flow is introduced in the cathode chamber of a tubular zero-gap CO<sub>2</sub> electrolyser. The cathode flow channel in this study is modelled through a unit cell approach with the assumption of axisymmetry and steady-state. It is assumed that due to the periodicity of the flow, a single gas bubble surrounded by a liquid film and separated by two liquid half-slugs on either side, called a unit cell (UC), can be considered a representative of the flow [58]. The bubble's diameter can be determined based on the thickness of the lubrication film ( $\delta_F$ ), which forms around the bubble. The bubble ( $L_B$ ) and slug ( $L_S$ ) lengths can be obtained from the unit cell length ( $L_{UC}$ ) and void fraction ( $\beta_g$ ). The unit cell length and void fraction depend on the superficial gas and liquid velocities ratio and the cross junction geometry [59, 60]. Therefore, unit cell length and void fraction are directly used as input parameters to arrive at the modelling domain in this study. The unit cell length is given based on the diameter ( $L_{UC} = 5d$ ), while the void fraction gives how much of this unit cell is occupied by gas as the ratio between the gas bubble volume and unit cell volume ( $\beta_g = \frac{V_B}{V_{UC}}$ ). The resulting slug length for each void fraction as well as the geometry properties are summarised in Table 2.4.

Table 2.4: Taylor flow features for axisymmetric unit cell geometry.

Description	Definition	Unit
Film thickness [30]	$\delta_F = d \frac{0.66Ca^{2/3}}{1 + 3.33Ca^{2/3}}$	m
Bubble diameter	$d_B = d - 2\delta_F$	m
Film length	$L_F = \frac{d^2}{d_B^2} \beta_g L_{UC} - \frac{2}{3} d_B$	m
Bubble length	$L_B = L_F + d_B$	m
Slug length	$L_S = L_{UC} - L_B$	m

### 2.D.3. GOVERNING EQUATIONS

The unit cell is described by a bubble moving in a round channel with the diameter  $d$ . The modelling domain is, therefore, two dimensional and axisymmetric. Only the liquid phase is modelled, and the bubble shape is assumed to remain constant based on the steady-state unit cell approach, which represents a bubble at a fixed location in the reactor. Nonetheless a change in bubble length and bubble velocity is expected based on the diffusion of CO<sub>2</sub> to the reaction side, comparable to the process of dissolution. However, as in this study the reaction products H<sub>2</sub> and CO are formed, which have a low solubility in the liquid electrolyte and are therefore expected to form gas bubbles, it is not evident how the bubble size and composition change over the reactor length. The single-phase flow is described by solving the incompressible Navier-Stokes equation for laminar flow. Mass transfer is modelled by solving the advection-diffusion equation. The

dimensionless governing equations where we use a \* for all dimensionless quantities are summarised in Table 2.5. They were obtained by dividing all lengths with the channel diameter  $d$ , and all velocities with the liquid phase velocity which is determined under the assumption of a stagnant film [17]  $\frac{u_{TP}}{u_B} = 1 - \frac{4\delta_F}{d}$ . All concentrations are scaled with the saturation concentration of  $\text{CO}_2$  ( $c_{\text{CO}_2}$ ). These choices lead to the normalisation of the pressure by dividing it by  $\mu u_{TP} d$  and the introduction of the Reynolds number  $Re = \rho u_{TP} D / \mu$  and Peclét number  $Pe = ud / D$  in the governing equations.

Table 2.5: Dimensionless governing equations.

Mass conservation
$\frac{1}{r^*} \frac{\partial}{\partial r^*} (r^* u_r^*) + \frac{\partial u_z^*}{\partial z^*} = 0$
Momentum conservation
$Re [u^* \cdot \nabla^* u^*]_r = -\frac{\partial p^*}{\partial r^*} + [\nabla^{2*} u^*]_r$
$Re [u^* \cdot \nabla^* u^*]_z = -\frac{\partial p^*}{\partial z^*} + [\nabla^{2*} u^*]_z$
Species conservation
$Pe [u^* \cdot \nabla^* c_i^*]_r = [\nabla^{2*} c_i^*]_r$
$Pe [u^* \cdot \nabla^* c_i^*]_z = [\nabla^{2*} c_i^*]_z$

#### 2.D.4. BOUNDARY CONDITIONS AND ELECTROCHEMICAL MODEL

The simulations are taken in the reference frame of the bubble, therefore, the bubble velocity is set as moving wall, and the Hagen-Poiseuille parabolic velocity profile describes the inflow and outflow [61]. A zero slip condition is applied at the interface of the bubble. For the species transport, the interface between bubble and liquid is treated as a free surface, and the saturation concentration,  $c_{\text{CO}_2}^*$  is obtained from Henry's law ( $c_{\text{CO}_2}^* = H_1 P_B$ ) assuming constant pressure inside the bubble. The concentration is then corrected for the electrolyte salinity with the Sechenov equation [62]. At the inlet and outlet, the periodic boundary condition assumes that the concentration and fluxes of species are the same [37, 42]. Finally, to complete the model, an electrochemical wall reaction is imposed. The boundary conditions are summarized in Table 2.6.

The surface reaction rate,  $R_i = \frac{v_i^i}{z_i F}$ , is determined based on the electrochemical Butler-Volmer reaction kinetics. It is assumed that the anodic exponential term becomes negligible compared to the cathodic term at herein studied cathode potentials. This gives the partial current density  $i_{\text{CO}}$  for the reduction of  $\text{CO}_2$  to  $\text{CO}$  as the concentration-

Table 2.6: Boundary conditions for the numerical unit cell model, with  $\hat{z}$  denoting the unit vector in the z direction in similarly in r direction for  $\hat{r}$ .

Boundary	Hydrodynamics	Species Transport
<b>Inlet</b>	$u^* = \left(2(1 - 4r^{*2}) - \frac{u_B}{u_{TP}}\right) \hat{z} + 0\hat{r}$	Periodic Boundary
<b>Outlet</b>	$u^* = \left(2(1 - 4r^{*2}) - \frac{u_B}{u_{TP}}\right) \hat{z} + 0\hat{r}, P^* = 0$	Periodic Boundary
<b>Interface</b>	Slip condition, $\frac{\partial u^*}{\partial n^*} = 0$ - Free surface	$c_i^* = \frac{c_i^0}{c_{CO_2}^0}$
<b>Wall</b>	No slip, $u^* = -\frac{u_B}{u_{TP}} \hat{z} + 0\hat{r}$	$\nabla_n^* c_1^* = \frac{R_1(\eta)d}{D_{CO_2} c_{CO_2}^0}$

dependent Butler-Volmer equation

$$i_{CO} = -i_{0,COER} \frac{c_{CO_2,wall}}{c_{ref,CO_2}} \exp\left(\frac{-\alpha_{COER} F}{RT} \eta_{COER}\right), \quad (2.20)$$

while the competing hydrogen evolution reaction (HER) is mass transfer independent (see Eq. 10). The kinetic constants like exchange current density  $i_{0,COER}$ , cathodic transfer coefficient  $\alpha_{COER}$ , and reference concentration  $c_{ref,CO_2}$  are taken from the study by Wu [26] and are summarised in Table 2.3.

## 2.E. VALIDATION

### 2.E.1. HYDRODYNAMICS

For Taylor flow in circular capillaries, only a small portion of the liquid is transported through the film, while the rest of the fluid circulates between the bubbles creating the well-known vortexes[63]. The vortex centre is the position at which the velocity in the liquid slugs becomes zero. Thulasidas et al. [64] derived the position of the vortex centre from the Hagen-Poiseuille parabolic velocity profile inside the liquid slug

$$r_0 = \frac{d}{2\sqrt{2}} \sqrt{2 - \frac{|u_B|}{|u_{TP}|}}. \quad (2.21)$$

They further conducted experiments to determine the vortex centre as a function of capillary number. The velocity profile inside the liquid slug is validated by comparing the simulation results with the experimental work of Thulasidas et al. [64] and the analytical expression in Figure 2.9. The velocity profile in the film is validated against the theoretical model developed by Abiev [65]. The theoretical model is solved in Matlab to determine the velocity profile inside the liquid film and compared with the hydrodynamic simulations in COMSOL. For this a diameter of 3 mm and a velocity of  $0.3 \text{ m s}^{-1}$  is set. Figure 2.9 shows the expected trend of the plug flow behaviour for the theoretical velocity profile, while the numerical simulation slightly deviates from this. This is similar to the results by Martínez et al.[42], while the error remains below 6 %.

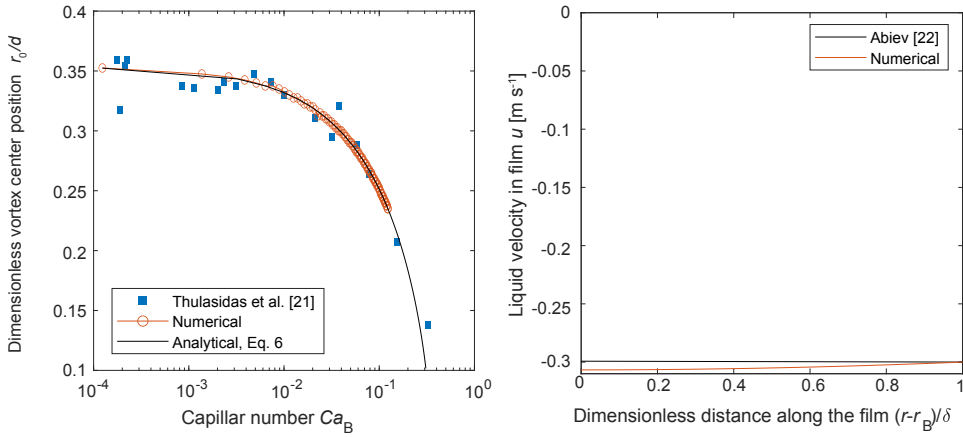


Figure 2.9: Vortex center position ( $r_0$ ) as a function of the capillary number (left) and velocity profile in the film region (right).

## 2.E.2. MASS TRANSFER

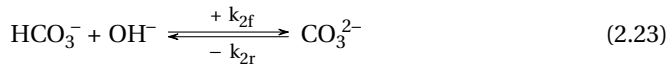
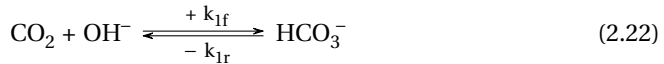
The mass transfer coefficient over the entire bubble in the unit cell is compared to the results from Martínez et al. [42]. The unit cell geometry is based on the reference case in their work, and the mesh is obtained with a fluidics controlled mesh in COMSOL. The results in terms of mesh, surface area and mass transfer coefficient are shown in Table 2.7. An error of less than 2% is found in the calculation of the mass transfer coefficient. This deviation can be attributed to the difference in the mesh.

Table 2.7: Comparison mass transfer

	Martínez [[42]]	This work
Total mesh elements	77 318	52 724
Elements in liquid film	19	22
Surface are (Unit cell)	$7.50 \cdot 10^{-5} \text{ [m}^2\text{]}$	$7.50 \cdot 10^{-5} \text{ [m}^2\text{]}$
$k_L a$ (Unit cell)	$0.080 \text{ [m}^3_{\text{L}}/\text{m}^3_{\text{UC}}/\text{s}]$	$0.081 \text{ [m}^3_{\text{L}}/\text{m}^3_{\text{UC}}/\text{s}]$

## 2.E.3. BUFFER REACTION

The homogeneous carbon equilibrium reaction occurs in the liquid electrolyte as soon as carbon dioxide is introduced into the solution. With increasing, production of hydroxide ions from the electrochemical reaction  $\text{CO}_2$  starts reacting in a buffer reaction. The following steps commonly describe the buffer reactions



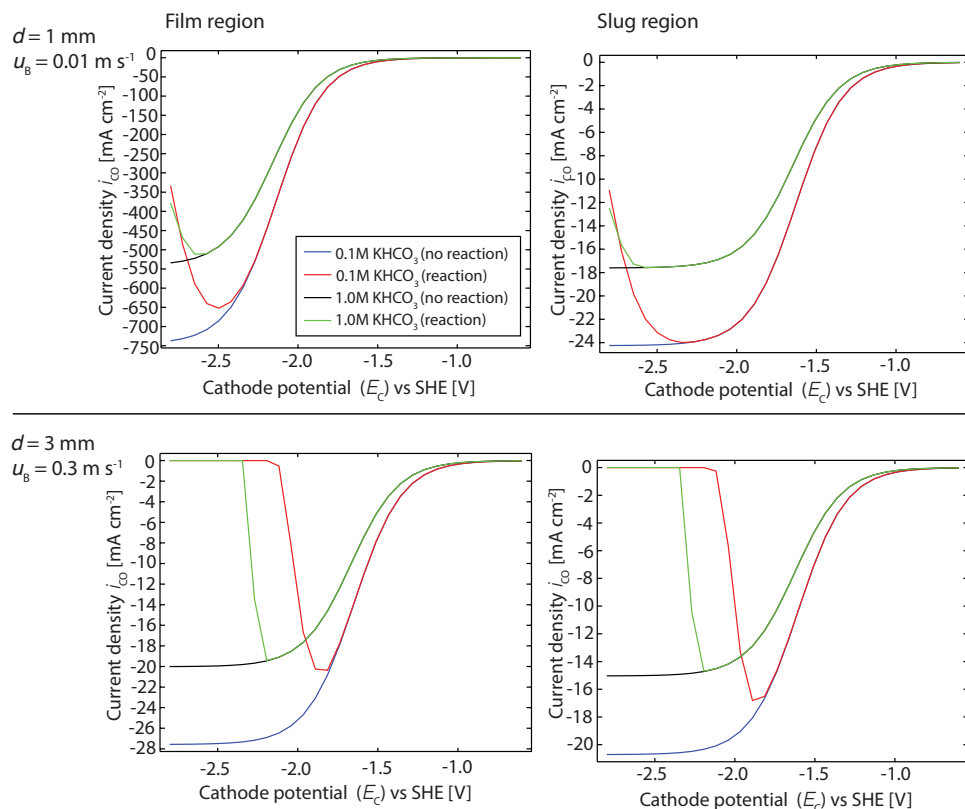


Figure 2.10: Current density over cathode potential from 1D diffusion reaction model with  $\delta = \delta_F$ .

The forward reaction rates are summarised in Table 2.3, while the backward reaction rates are corrected for the electrolyte salinity [56]. For H-cell and GDE reactors, the species balance is solved in addition to the homogeneous reaction as a source term, while migration is neglected [31, 66–68]. In this work, the transfer of hydroxide ions across the membrane, including migration, is not explicitly modelled to reduce computational costs. Further, as the homogeneous reactions lead to a stiff non-linear system of equations, the computational time and mesh requirements increase drastically. Therefore, the unit cell's geometry is simplified according to the analytical model; the film and slug region are viewed independent and the diffusion layer thickness is set to the film thickness ( $\delta = \delta_F$ ). This leads to a 1D reaction-diffusion system with the saturation concentration and slug concentration as the boundary condition respectively for the two regions. In Figure 2.10 the current density for different electrolyte compositions and cases is displayed. No reaction, in this case, refers to the assumption that the flux of hydroxide ions across the membrane is equal to the production rate at the electrode leading to a current independent pH near the catalyst. Reaction refers to the other extreme case assuming no flux of hydroxide ions across the walls. It becomes evident that for low cathode potentials, the effect of the buffer reaction can be neglected, while for

higher potentials, the buffer reaction leads to a decrease in current density. The onset of this effect depends on the concentration of the electrolyte. As the 1 M  $\text{KHCO}_3$  electrolyte shows a more significant buffer capacity and the depletion of  $\text{CO}_2$  in the homogeneous reaction starts setting in at higher potentials and values close to the theoretical limiting current density, we choose in this work an electrolyte of 1 M  $\text{KHCO}_3$  and work under the assumption that flux across the membrane equals the production rate. Therefore, the homogeneous buffer reaction is not modelled explicitly, and the potential window is chosen between -0.6 to -2.6 V vs SHE.

## 2.F. MESH FEATURES AND NUMERICAL SOLVER

A user-controlled mesh with unstructured quadrilateral elements is built, and a boundary layer with controlled element size and growth rate is introduced at the bubble's interface and wall. All meshes guarantee a minimum of 5 elements in the film region for all film thicknesses [69]. The mesh is then optimised to capture all relevant parameter combinations, hydrodynamics and species transport. The different meshes studied are summarised in Table 2.8. Note that the mesh parameters depend on the bubble velocity  $u_B$  and channel diameter  $d$ . For this study, the lower and upper limits of velocities and diameter are chosen for the mesh study. Relative tolerances of  $1 \cdot 10^{-3}$  and  $5 \cdot 10^{-4}$  are set for achieving convergence for the velocity profile and species transport, respectively. For hydrodynamics, the velocity profiles inside liquid slugs are observed. In the vortex centre, zero velocity is expected; therefore, the change in velocity at the vortex centre is taken as a point of comparison for the accuracy of the mesh, as shown in Figure 2.12. For the species transport, the local current density at the cathode over the unit cell and the concentration profile of  $\text{CO}_2$  in the liquid slug is observed (Figure 2.12). Based on this, Mesh D is chosen for all simulations in this work. The mesh is shown in Figure 2.11.

Note: All simulations for the mesh independence study are performed on a computer with an Intel Core i5-4690 processor, 3.5GHz processor speed, and 24GB RAM. All other simulations are carried out on a cluster with a varying allocation of nodes.

Table 2.8: Mesh details for mesh independence study.

	Total elements	Biggest element ( $\mu\text{m}$ )	Smallest element ( $\mu\text{m}$ )	Elements in film	Computational effort (seconds)	$u(r_0)$ $\text{cm s}^{-1}$	$i_{Lim,CO_2}$ $\text{mA cm}^{-2}$
<b>Case A</b>							
(1)	17 291	24 788	3 615	11	23	0.24	28.75
(2)	59 516	25 255	382	11	43	$3.28 \cdot 10^{-3}$	217.26
<b>Case B</b>							
(1)	60 123	10 210	2 869	11	78	0.19	24.13
(2)	121 839	15 923	182	11	79	$2.99 \cdot 10^{-3}$	217.42
<b>Case C</b>							
(1)	69 315	10 210	2 869	19	96	0.19	21.75
(2)	161 335	15 923	142	19	103	$2.99 \cdot 10^{-3}$	217.42
<b>Case D</b>							
(1)	<b>73 911</b>	<b>10 210</b>	<b>2 869</b>	<b>24</b>	<b>119</b>	<b>0.19</b>	<b>19.04</b>
(2)	<b>181 083</b>	<b>15 923</b>	<b>96</b>	<b>24</b>	<b>117</b>	<b><math>2.99 \cdot 10^{-3}</math></b>	<b>217.40</b>
<b>Case E</b>							
(1)	258 876	5 105	1 094	30	2 238	0.19	17.6
(2)	566 412	8 158	53	30	2 522	$2.18 \cdot 10^{-3}$	217.60
<b>Case F</b>							
(1)	277 260	5 105	1 090	38	2 376	0.18	18.30
(2)	645 342	7 962	63	38	3 465	$2.19 \cdot 10^{-3}$	217.61

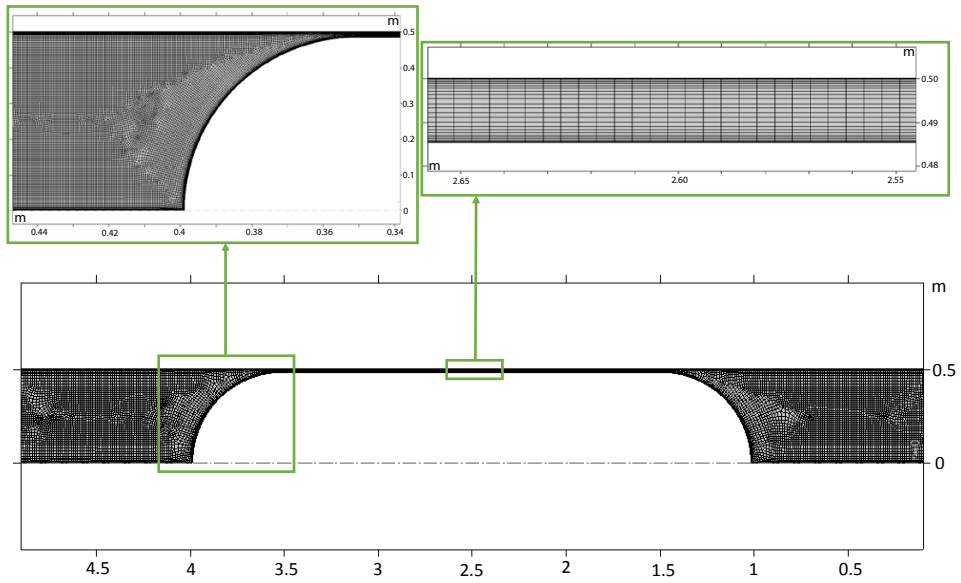


Figure 2.11: Mesh of the liquid domain in the unit cell with zoomed-in regions on bubble cap and film.

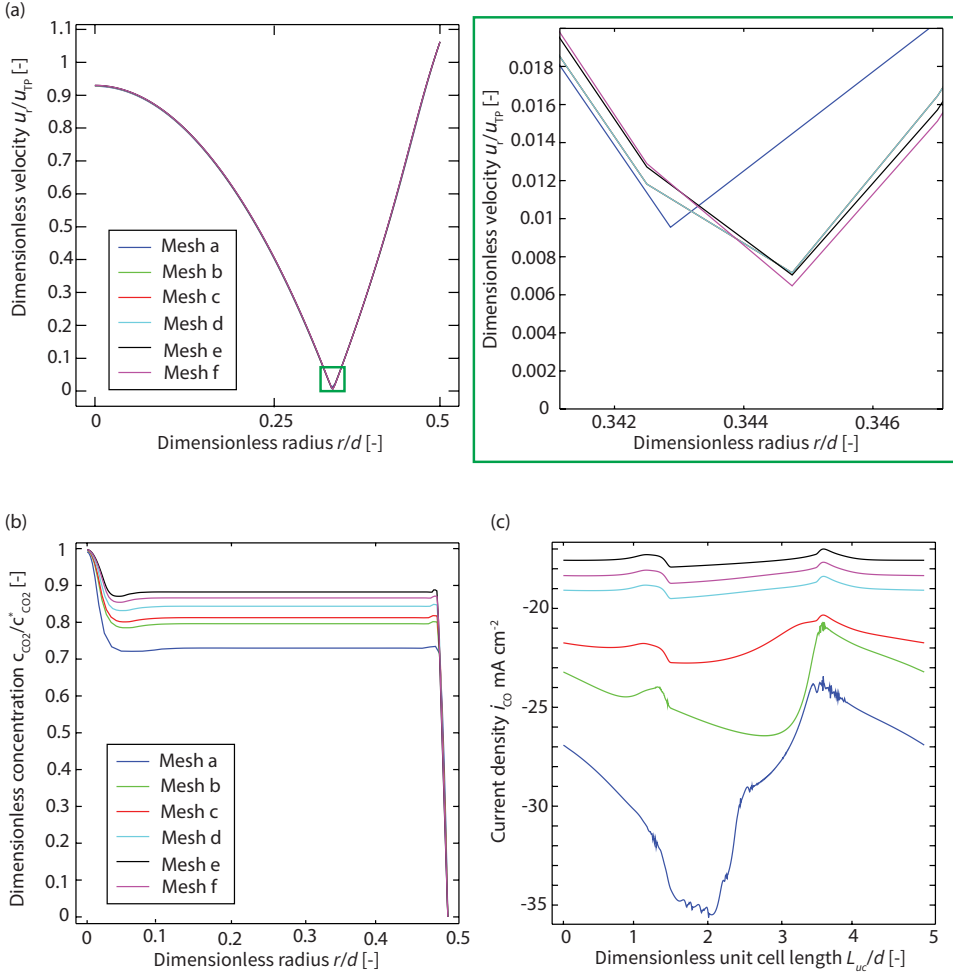


Figure 2.12: Velocity profile in the liquid slug for different meshes with a zoomed-in view of the vortex centre for a capillary with  $d = 3$  mm and a bubble velocity of  $0.3 \text{ ms}^{-1}$  (a).  $\text{CO}_2$  concentration profile (b) and local current density (c) for different meshes for a capillary with  $d = 3$  mm and a bubble velocity of  $0.3 \text{ ms}^{-1}$ .



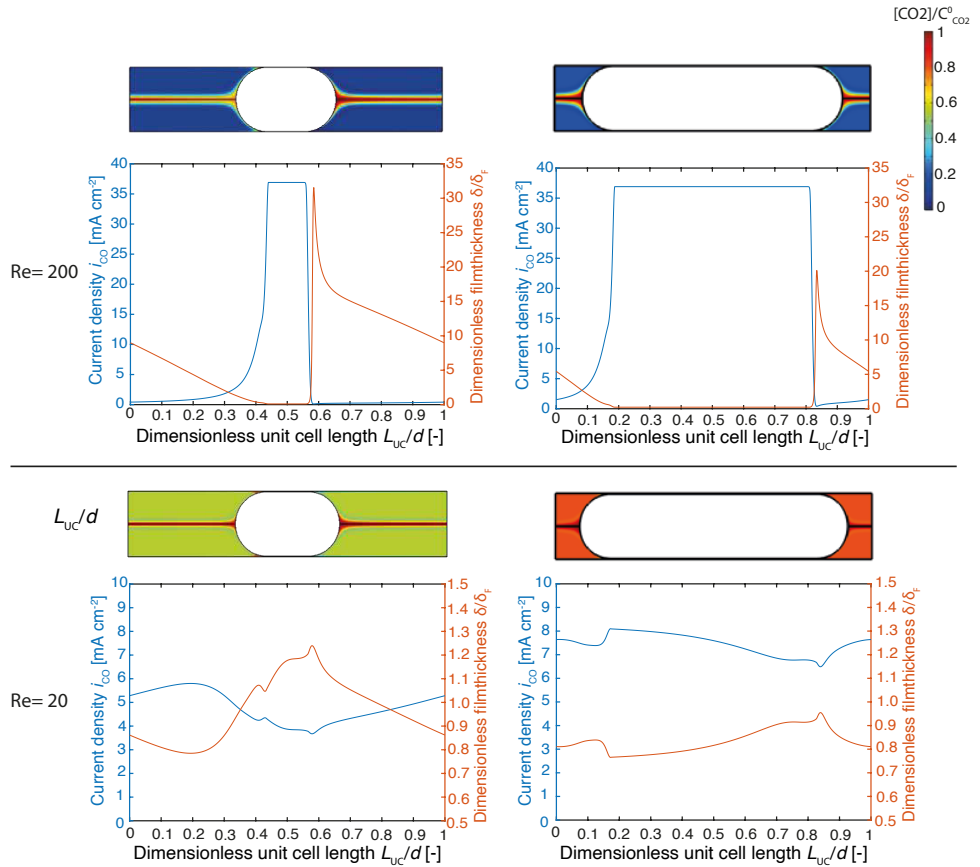


Figure 2.13: Dimensionless concentration of CO<sub>2</sub> for different void fractions and Reynolds-numbers with the limiting current density over the unit cell length and the dimensionless diffusion layer thickness.

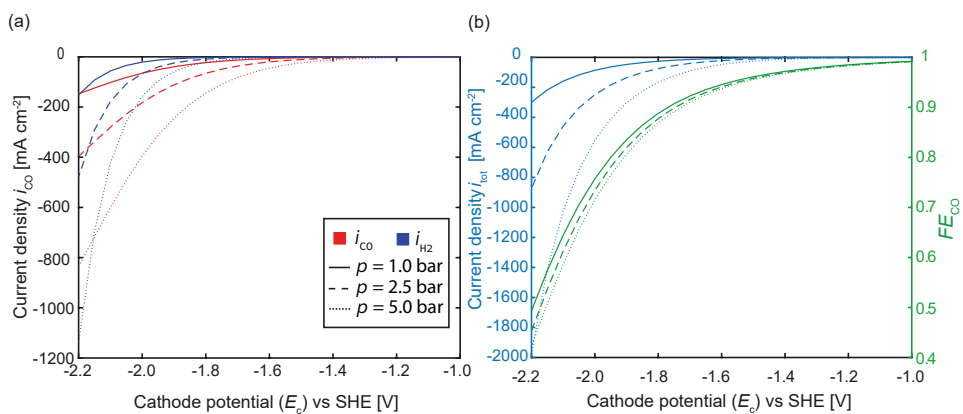


Figure 2.14: The influence of pressure on the partial current densities (a), and the sum of the partial current density and Faradaic efficiency (b). Pressure 1 bar solid line, 2.5 bar dashed and 5 bar dotted lines.

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# 3

## **EXPLORING THE VIABILITY OF A TAYLOR FLOW ELECTROLYSER FOR ENHANCED CO<sub>2</sub> ELECTROLYSIS.**

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This chapter is in preparation for submission to *Chemical Engineering Journal*.

*Electrochemical processes are an important part of the energy transition. A reactor concept that is already available at industrial scale is the zero-gap membrane electrolyser for electrolysis of water. Attempts to adapt this concept for the electrochemical reduction of CO<sub>2</sub> have shown success in alleviating mass transfer limitations, thereby theoretically rendering the process industrially feasible, however challenges persist regarding operational stability. Recent studies suggest a change of flow pattern from single-phase flow to gas-liquid Taylor flow as a potential solution to overcome mass transfer limitations without compromising operational stability. However, operation under Taylor flow has only been studied in tubular reactors that are difficult to scale up to industrially relevant scales. Therefore, in this work, we introduce an experimental setup designed to examine the impact of gas-liquid Taylor flow on the Faradaic efficiency for a range of current densities in a scalable zero-gap membrane electrolyser configuration for CO<sub>2</sub> electrolysis to CO. Our transparent reactor design, featuring an inlet junction that allows the generation of stable Taylor flow over a range of flow velocities and gas-to-liquid ratios, facilitates the investigation of hydrodynamics alongside reactor performance. We demonstrate that, at a constant current density, utilising Taylor flow doubles the Faradaic efficiency towards CO compared to utilising single-phase flow. Additionally, our findings indicate that, within the two-phase velocity range studied (0.9 – 4.5 cm s<sup>-1</sup>), the Faradaic efficiency towards CO is minimally influenced by the gas-to-liquid ratio, while it increases with flow velocity. Our results further suggest that changes in the pre-treatment and design of the electrode are needed to reach compatible current densities and Faradaic efficiencies for industrial application.*

### 3.1. INTRODUCTION

The energy transition demands an increased use of renewable electricity in the process industry. This includes the (temporary) storage of energy in chemical bonds [1–4], for example in H<sub>2</sub> through electrolysis of H<sub>2</sub>O, and the production of base chemicals or its precursors (syngas) through electrolysis [5–7]. Electrolysers at industrial scale, such as polymer electrolyte membrane (PEM) water electrolysers, are designed with a sandwich structure, where the electrodes and membrane are pressed together in a membrane electrode assembly (MEA), and the liquid electrolyte passes by at the anode and cathode side in a rectangular flow channel [8–11]. A similar design has been adapted for the electrochemical reduction of CO<sub>2</sub> [12–15]. Due to the low solubility of CO<sub>2</sub> in the liquid electrolyte, the achievable current densities in liquid-based electrolysers remain low [6, 16, 17]. Naturally, different studies have focused on increasing the solubility of CO<sub>2</sub> in the liquid electrolyte by, for example, increasing the pressure [18–21] or using non-aqueous electrolytes [22–25]. In parallel, possibilities to enhance the mass transfer rate by means of reactor design have been explored, leading to the widely adapted design of MEA electrolysers. These electrolysers are operated either with a humidified or dry gas stream of CO<sub>2</sub>, therefore reducing the liquid diffusion pathway of CO<sub>2</sub> to the catalyst [6, 15, 26, 27]. While these electrolysers reach high Faradaic efficiencies (selectivities) at industrially desired current densities (reaction rates), they suffer from operational stability, as the absence of a liquid electrolyte leads to salt precipitation [28–32].

One promising reactor design that increases mass transfer without the challenge of salt precipitation is a microreactor operated under gas-liquid Taylor flow [33, 34]. Microreactors are known to enhance mass transfer in thermo-catalytic and photoelectric

systems due to the high surface-to-volume ratio and short diffusion distances [35–38]. In the field of CO<sub>2</sub> electrolysis, microreactors have been explored as tubular flow cells operated under gas-liquid Taylor flow. In this reactor design, the gaseous CO<sub>2</sub> is pushed through the narrow flow channels in the form of elongated gas bubbles that are separated from the walls by a thin lubrication layer of liquid electrolyte and from each other by liquid slugs [33]. Zhang *et al.* [34] have shown that Taylor flow in annular flow channels generally increases the Faradaic efficiency towards CO<sub>2</sub> reduction products at fixed current densities compared to H-cell experiments. However, the reported Faradaic efficiencies are significantly lower than those achieved in MEA electrolyzers. Further, the design and scale-up of tubular electrolyzers is not straightforward [39], while successful scale-up of sandwich-structured MEA electrolyzers with their rectangular flow channels has already been achieved for water electrolyzers [40–42]. It is therefore of interest to understand to what extent gas-liquid Taylor flow can enhance mass transfer in an established reactor design. While there are various studies on the effect of Taylor flow in reactive, rectangular, single-channel microreactors for thermo-catalytic reactions [43–51], there are limited studies on the effect of Taylor flow in rectangular, single-channel microreactors for electrochemical reactions [38, 52, 53]. The available studies vary in reactor design with Taylor flow travelling through the inter-electrode gap instead of passing by the MEA, leading to very specific trade-offs [38], or focus on Taylor flow evolving as a result of gas evolution in water electrolysis [52, 53]. Therefore, there is a lack of knowledge on how Taylor flow influences the Faradaic efficiency for a given current density in mass transfer-limited electrolytic reactions such as the reduction of CO<sub>2</sub>.

In this work, we close this gap by studying how gas-liquid Taylor flow affects the CO<sub>2</sub> reduction performance using a transparent, sandwich-structured, MEA electrolyzer with a rectangular channel. As a model system, we chose the mass transfer-limited CO<sub>2</sub> reduction reaction to CO on a silver gauze. This choice is motivated by the stability of silver as a catalyst under various conditions with primarily gaseous reaction products (CO and H<sub>2</sub>) [54, 55], allowing for easy product analysis during the reaction. Furthermore, due to its porous gauze design, high conductivity, and hydrophilic nature, the silver gauze presents a ready-to-use electrode to achieve stable Taylor flow. We integrated an inlet configuration that allows forming the gaseous CO<sub>2</sub> bubbles directly in the flow channel and gives flexibility to manipulate the two-phase velocity and gas-to-liquid ratio, which are known to influence the mass transfer rate [56, 57]. We study the effect of these two flow features on the Faradaic efficiency (or selectivity) as the mass transfer-related reactor performance parameter for a range of given current densities. We show that for the chosen two-phase velocity range (0.9 – 4.5 cm s<sup>-1</sup>), the Faradaic efficiency towards CO is minimally influenced by the gas-to-liquid ratio for a fixed current density, while it increases with the two-phase velocity, contrary to most literature. On the one hand, we demonstrate the potential and limitations of Taylor flow for mass transfer-limited electrochemical reactions and on the other hand present a valuable tool to study the mass transfer of Taylor flow in a reactive flow channel.

### 3.2. EXPERIMENTAL

We designed an electrolyser for the study of the effect of Taylor flow on the performance of the reactor. Such an electrolyser requires: I) the integration of a gas-liquid cross junction where the Taylor bubbles form, II) a flow channel design with corresponding material selection suitable to establish stable Taylor flow (e.g. no irregular bubble formation due to hysteretic effects at partially wetting walls and no bubble coalescence or breakup due to bubbles getting stuck on hydrophobic or rough materials), and III) the selection of a membrane electrode assembly with a good operational stability under the considered flow and reaction conditions. The experimental set-up further requires the continuous monitoring of the reactor performance without disturbing the flow. In the following section we discuss the choices made to meet all of the above requirements for the electrolyser design, followed by a description of the experimental set-up and the electrochemical experiments.

#### 3.2.1. ELECTROLYSER DESIGN

The transparent electrolysis cell used for this study was designed and fabricated in-house and is shown schematically in Figure 3.1a). An anion exchange membrane (AEM) (SELEMION AMVN) is placed in the centre of the cell, sandwiched between the cathode and the anode, with two silicon gaskets for sealing. A silver gauze (Alfa Aesar, 99.9%, 80 mesh woven from 0.115 mm diameter wire) acts as the cathode and a nickel foam (MTI Corporation, EQ-benf-03) as the anode. Straight channels for the electrolytes at the anode and the cathode side are water cut into 2 mm thick titanium plates. The channels are  $W = 2$  mm wide,  $H = 2$  mm deep, and  $L = 85$  mm long. The geometrical area of the electrodes is  $A = W \times L = 170$  mm<sup>2</sup>. The design of the titanium plates provides external electrical contact to control the potential difference between anode and cathode. The

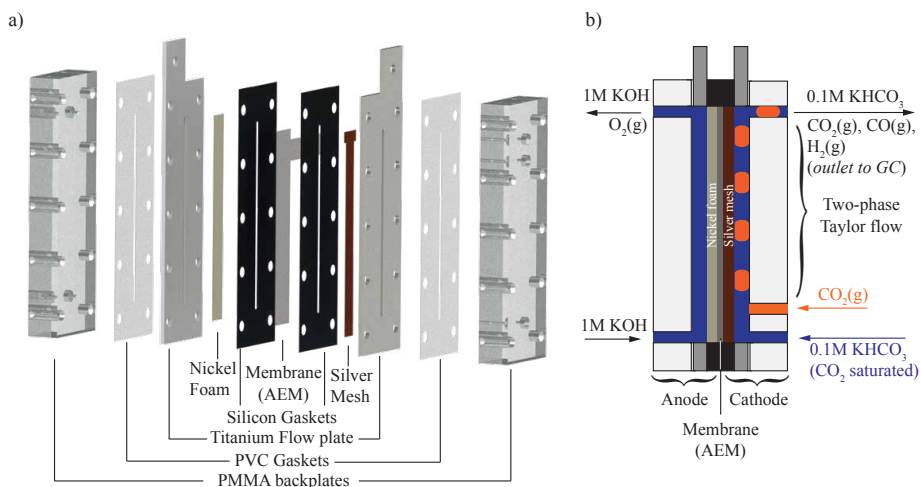


Figure 3.1: Electrolyser design. a) Exploded assembly drawing of the alkaline electrochemical reactor for the reduction of CO<sub>2</sub> to CO on a silver cathode. b) Side view of the reactor operated under gas-liquid Taylor flow forming at a T-junction.

entire assembly is clamped between two 20 mm thick transparent polymethyl methacrylate (PMMA) end-plates using ten M4 screw joints, each tightened to a torque of 1 N m. The screws are electrically isolated from the titanium plates by a layer of Kapton tape. Transparent polyvinylchlorid (PVC) gaskets are placed between the titanium flow plates and the transparent end-plates to prevent leakages. The liquid electrolyte solutions (1M KOH on the anode side and 0.1M KHCO<sub>3</sub> on the cathode side) are fed from the bottom of the cell via 1.5 mm wide openings at the end-plate, see Figure 3.1b). On the cathode side, a second inlet with the same dimensions is placed 5 mm away from the liquid electrolyte inlet. This inlet is used to introduce a pure gaseous CO<sub>2</sub> stream. At the T-junction, where the gaseous CO<sub>2</sub> and liquid electrolyte meet, bubbles are formed which travel as gas-liquid Taylor flow through the straight channel at the cathode side.

### 3.2.2. EXPERIMENTAL SET-UP AND ELECTROCHEMICAL EXPERIMENTS

The transparent electrolytic cell is integrated into the experimental set-up shown in Figure 3.2. This set-up allows to continuously visualise the features of the gas-liquid Taylor flow and measure the reactor performance parameters during the electrochemical reaction. We expect that the mass transfer and hence the reactor performance depends on the three following flow features of the Taylor flow: I) two-phase velocity  $u_{TP}$ , II) gas-to-liquid ratio  $\epsilon_g$ , and III) unit cell length  $L_{UC}$ . The two-phase velocity  $u_{TP}$  is defined as the sum of the superficial velocity of the gas  $u_g$  and liquid  $u_l$  phase, i.e.,

$$u_{TP} = u_g + u_l = \frac{\dot{Q}_g}{WH} + \frac{\dot{Q}_l}{WH}, \quad (3.1)$$

with  $\dot{Q}_g$  and  $\dot{Q}_l$  the volumetric flow rates of gas and liquid. The gas-to-liquid ratio  $\epsilon_g$ , also known as dynamic gas holdup, is defined as the ratio of superficial gas to two-phase velocity [56, 57], i.e.,

$$\epsilon_g = \frac{u_g}{u_{TP}}. \quad (3.2)$$

The unit cell length  $L_{UC}$  is simply defined as the sum of the length of a gas bubble  $L_B$  and a liquid slug  $L_S$ , i.e.,

$$L_{UC} = L_B + L_S. \quad (3.3)$$

Unlike the length of the gas bubbles and the liquid slugs, and hence the length of the unit cell, which are measured quantities, the two-phase velocity and the gas-to-liquid ratio are input quantities that are directly controlled through the gas and liquid flow rate. The flow rate of the fresh, gaseous CO<sub>2</sub> stream is controlled via a mass flow controller (MFC), while the liquid electrolytes at both the anode and cathode side are recirculated from gas-liquid separators (liquid volume of 100 mL) via HPLC pumps (KNAUER Blue Shadow). The HPLC pumps allow minimising pressure fluctuations, which can affect the formation of the two-phase flow. To further reduce any influence of pressure fluctuations, a 4 cm PEEK tube with an inner diameter of 130  $\mu$ m is placed at the liquid catholyte inlet, and a 8 cm long PEEK tube with an inner diameter of 25  $\mu$ m is placed at the gaseous CO<sub>2</sub> inlet. The resulting unit cell length for a fixed two-phase velocity and gas-to-liquid ratio is measured from five different photos captured by the camera through the transparent end-plates of the electrolysis cell at the channel inlet, middle, and outlet.

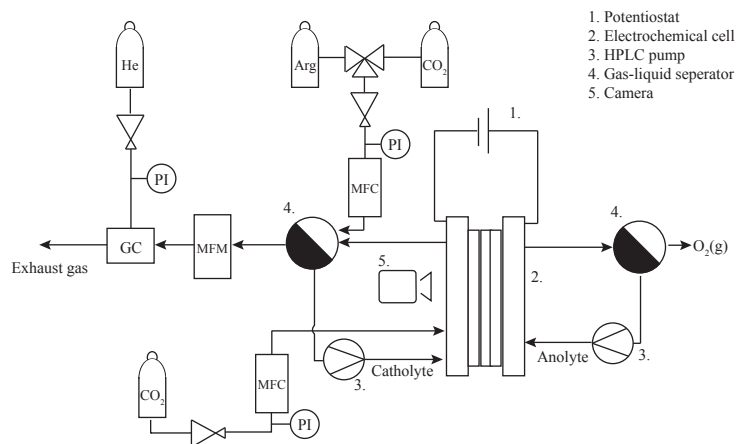


Figure 3.2: Schematic of the experimental set-up for the simultaneous visualisation of the gas-liquid Taylor flow and analysis of the electrochemical performance. The schematic shows all mass flow controllers (MFC) with the adjacent pressure indicators (PI) and mass flow meters (MFM) and gas-liquid separators to enable the recirculation of the liquid electrolytes.

The anode is fed with a 1M KOH electrolyte while the evolving gases are vented out to the atmosphere after leaving the electrolyser. The cathode is fed with a 0.1M KHCO<sub>3</sub> electrolyte which is constantly saturated with CO<sub>2</sub> by bubbling pure CO<sub>2</sub> at a flow rate of 8 mL min<sup>-1</sup> into the gas-liquid separator. The evolving gases from the cathode are pushed out of the reservoir (in this study by a constant Argon flow of 6 mL min<sup>-1</sup>) to the gas chromatograph (Micro GC, Agilent) where the gas composition is continuously analysed. The mass flow rate, which is measured before the GC, is used to calculate the Faradaic efficiency as further detailed in Section 3.A (appendix). All experiments are carried out at a constant current  $I$  resulting in a constant current density  $i_{\text{tot}} = I/A$  in a two-electrode configuration with a Biologic VMP-300 potentiostat. Prior to each experiment, both electrodes are cleaned ultrasonically in acetone, followed by ethanol and deionised water.

The range of velocities studied in this work is similar to the work by Haase *et al.* [51], i.e.,  $u_{\text{TP}} = 0.9 - 4.5 \text{ cm s}^{-1}$ , allowing a direct comparison of the observed trends to observations for thermo-catalytic Taylor flow reactors. This velocity range results in a catholyte flow rate between  $\dot{Q}_l = 0.55 - 10.80 \text{ mL min}^{-1}$ , for a gas-to liquid ratio between 0 - 75 %. The anolyte flow rate is in all cases fixed to 10 mL min<sup>-1</sup> to allow for fast removal of the evolving oxygen bubbles. For reference, we performed measurements in an H-cell as detailed in Section 3.B (appendix).

### 3.3. RESULTS & DISCUSSION

We first discuss the flow features and stability of the Taylor flow in Section 3.3.1, followed by a discussion on the reactor performance in terms of Faradaic efficiency and current density in Sections 3.3.2-3.3.5. More specifically, first the electrochemical performance of the silver gauze is evaluated in terms of Faradaic efficiency for varying current densi-

ties in a known system (H-cell) and then in the above described flow cell under single-phase and Taylor flow for a single set of flow rates as described in Section 3.3.2. Afterwards, the dependence of the Faradaic efficiency on the Taylor flow features in terms of the gas-to-liquid ratio and two-phase velocity is reported for a range of fixed current densities in Section 3.3.3 and 3.3.4, respectively. Lastly, the influence of electrode design is discussed in Section 3.3.5 from a theoretical point of view, pinpointing avenues towards design optimisation.

### 3.3.1. ANALYSIS OF THE TAYLOR FLOW FEATURES

We first study how the length of the bubbles, slugs, and unit cell depends on the directly controlled gas-to-liquid ratio and two-phase velocity. In a first set of experiments, we vary the gas-to-liquid ratio by varying the ratio between the gaseous and liquid inlet flow rates, while keeping the sum of the inlet flow rates fixed such that the two-phase velocity is fixed as well. For an increasing gas-to-liquid ratio, we observe longer  $\text{CO}_2$  gas bubbles separated by shorter electrolyte slugs for a fixed two-phase velocity of  $u_{\text{TP}} = 4.5 \text{ cm s}^{-1}$ , see Figure 3.3a). In a second set, we vary the two-phase velocity, while fixing the gas-to-liquid ratio to  $\epsilon_g = 0.75$ . For an increased two-phase velocity, we observe Taylor bubbles with a similar length, see Figure 3.3b). The dependence of the unit cell length on the gas-to-liquid ratio and two-phase velocity is shown in Figure 3.3c), with the produced Taylor flow for all states in between detailed in Section 3.C (appendix). For a fixed two-phase velocity, we expect a fixed unit cell length, irrespective of the gas-to-liquid ratio, based on the simple argument that the flow rate of gas  $\dot{Q}_g$  equals the volume of an individual bubble  $V_B$  multiplied by its generation frequency  $\nu$ , i.e.,  $\dot{Q}_g = \nu V_B$ . Similarly,  $\dot{Q}_l = \nu V_L$ , with

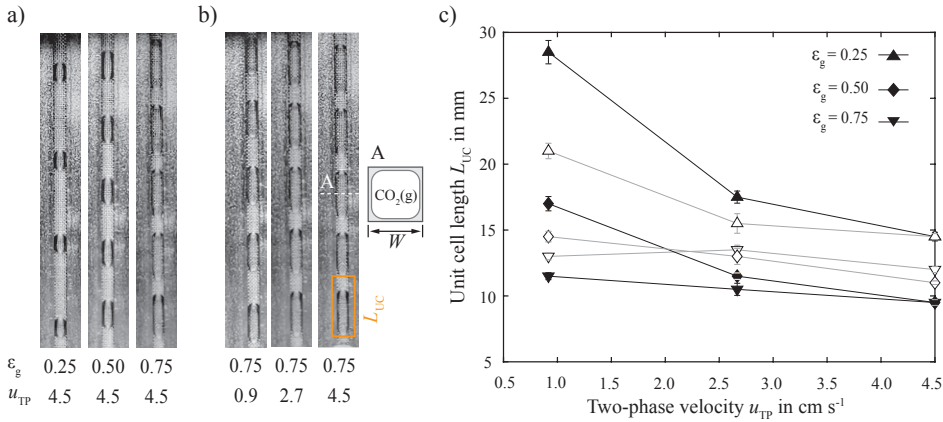


Figure 3.3: Taylor flow characterisation. a) Photographs of the observed Taylor flow for a fixed two-phase velocity  $u_{\text{TP}}$  in  $\text{cm s}^{-1}$  a) and a fixed gas-to-liquid ratio  $\epsilon_g$  b) with the resulting unit cell length  $L_{\text{UC}}$  in mm c). The unit cell is taken as indicated by the orange box and the expected cross sectional view of the gaseous  $\text{CO}_2$  bubble in the rectangular channel are shown in b). The Reynolds number and Capillary number follow as  $\text{Re} = \rho_l W u_{\text{TP}} / \mu_l = [20 - 100]$  and  $\text{Ca} = \mu_l u_{\text{TP}} / \gamma = [1.1 - 5.5] \times 10^{-4}$ , with  $\rho_l$  and  $\mu_l$  the density and viscosity of the liquid and  $\gamma$  the surface tension between the liquid and gas. All experiments are performed at  $T = 293 \text{ K}$  and  $p = 0.1 \text{ MPa}$  with the media:  $\text{CO}_2$ -electrolyte ( $0.1 \text{ M KHCO}_3$ ). Repeats are shown as open symbols and grey lines; the error bars are the standard deviation within one experiment.



$V_L$  the volume of an individual liquid slug. The sum of the flow rates hence is directly proportional to the volume of a unit cell, and hence to its length, such that  $u_{TP} \propto L_{UC}$ . A roughly constant unit cell length is indeed observed at the highest velocities. At lower velocities, this simple argument does not hold as seen from a strong dependence of the unit cell length on the gas-to-liquid ratio. This behaviour resonates with the findings of Haase *et al.* [51] and is characteristic for the formation of bubbles and slugs in rectangular microchannels at low velocities as explained in Korczyk *et al.* [58].

Over the entire range of two-phase velocities and gas-to-liquid ratios, we observe stable flow with no bubble coalescence/break-up or hysteretic or oscillatory behaviour during formation and flow through the channel, as clear from the well-defined length of the bubbles and slugs over time, evident from the error bars in Figure 3.3c).

### 3.3.2. ELECTROCHEMICAL PERFORMANCE

The state of the electrode and reactor design essentially determines the Faradaic efficiency and partial current density towards CO. Figure 3.4, therefore, shows the measured performance of the silver gauze in the established batch cell (H-cell (green line)) compared to the herein introduced flow cell. The flow cell is operated under two scenarios i) CO<sub>2</sub>-saturated single-phase flow with a velocity of 4.5 cm s<sup>-1</sup> (red line) and ii) Taylor flow with a gas-to-liquid ratio of 0.5 and a two-phase velocity of 4.5 cm s<sup>-1</sup> (blue line). As expected, the Faradaic efficiency towards CO increases when increasing the applied current density ( $i_{tot}$ ). After reaching a maximum further increasing the current density leads to a decrease in the observed Faradaic efficiency. The highest Faradaic efficiency and current density at which this maximum is reached varies per reactor type and flow condition, with the highest Faradaic efficiency towards CO reached in the H-cell and the lowest in the flow cell operated under CO<sub>2</sub>-saturated single-phase flow. Comparing the partial current density towards CO in Figure 3.4b) shows that the partial current density is almost independent of the reactor type and flow conditions below an applied current density of  $-9 \text{ mA cm}^{-2}$  (vertical grey line), indicating that the reaction is mainly controlled by kinetics (reaction rate limited). For higher applied currents, the partial current density for the flow cell operated under CO<sub>2</sub>-saturated single-phase flow is approaching a fixed value, showing that mass transfer limits the reaction (mass transfer controlled). For the H-cell and the flow cell operated under gas-liquid Taylor flow a decrease in the slope can be observed for increased applied currents indicating that mass transfer limitations start to influence the electrochemical performance.

The Faradaic efficiency and partial current density for H<sub>2</sub> is highest in the flow cell operated under CO<sub>2</sub>-saturated single-phase flow, see Figure 3.4c) and d), respectively. This is expected as CO<sub>2</sub> is not only consumed in the electrochemical reaction, but also in the homogeneous buffer reaction when the local pH increases. We expect that a boundary layer close to the electrode forms with a higher pH compared to the bulk electrolyte therefore decreasing the availability of CO<sub>2</sub> over the channel length. This leads to an increase in the hydrogen evolution reaction over the channel length, which increases with increasing the applied current [59, 60]. In the H-cell and the flow cell operated under gas-liquid Taylor flow, gaseous CO<sub>2</sub> is constantly supplied to the electrolyser. Therefore, a near constant boundary layer over the catalyst length is expected with a thickness of around 50 - 100  $\mu\text{m}$  in the H-cell [61, 62]. Under gas-liquid Taylor flow mass transfer

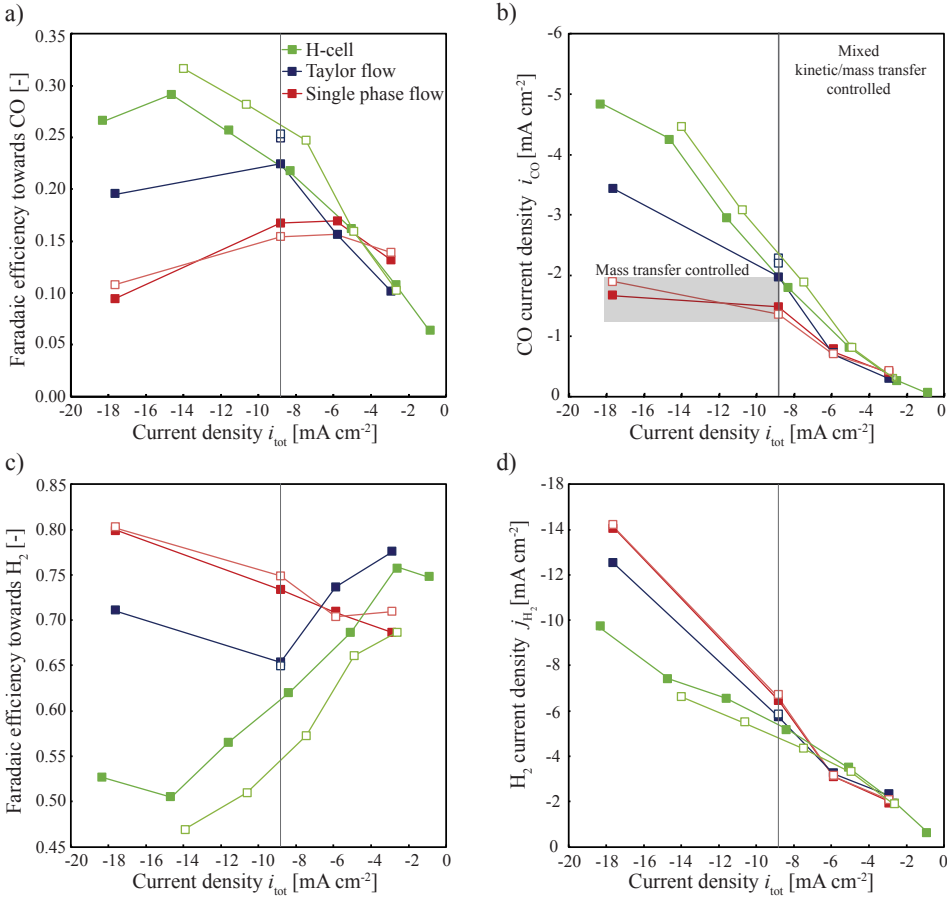


Figure 3.4: Electrochemical performance of CO<sub>2</sub> reduction in the electrochemical flow cell with rectangular millichannel under single-phase flow with  $u_l = 4.50 \text{ cm s}^{-1}$  (red) and under gas-liquid Taylor flow with  $u_l = 2.25 \text{ cm s}^{-1}$  and  $u_g = 2.25 \text{ cm s}^{-1}$  ( $u_{TP} = 4.5 \text{ cm s}^{-1}$  and  $\epsilon_g = 0.5$ ) (blue), together with reference measurements in an H-cell (green). a), c): Faradaic efficiency of CO, H<sub>2</sub> vs. current density. b), d): Partial current densities of CO, H<sub>2</sub> vs. total current density. Repeats are shown as open symbols. The vertical grey lines indicate the current density that was selected for the parametric studies on the influence of the gas-to-liquid ratio and the two-phase velocity, presented in Sections 3.3.3 and 3.3.4, respectively.

occurs through the thin lubrication film around the bubble, which is expected to dominate over the mass transfer from the bubble caps through the liquid electrolyte slugs. For low velocities ( $Ca < 0.04$  and  $Re < 100$ ), the bubble is expected to flatten out against the channel walls (see Section 3.3.1) with a nearly constant film thickness in the middle of the channel of around 0.05 times the characteristic channel length (herein around 100  $\mu\text{m}$ ) [56, 63, 64]. Hence, it is expected that the observed Faradaic efficiency of the H-cell and the flow cell operated under gas-liquid Taylor flow is comparable. While we observe that the H-cell outperforms the Taylor flow cell, we stress that the potential of the flow cell lies in the continuous operation and in increasing mass transfer by increas-

ing the operating pressure or decreasing the film thickness by decreasing the channel dimensions. Further, we note that the overall achieved Faradaic efficiency towards CO for both reactor types remains below reported values on silver foil [65], as further discussed in Section 3.3.5. However, as discussed above the silver gauze depicts the typical behaviour of kinetic and mass transfer controlled operating regimes (Figure 3.4b)) for a varying applied current. Hence, while the absolute Faradaic efficiency likely increases with optimising the electrode design, the reported trends are expected to remain unchanged. Therefore, we proceed in the following with a study on the influence of the gas-to-liquid ratio and two-phase velocity of the Taylor flow on the mass transfer related reactor performance in terms of Faradaic efficiency for a fixed current density of  $-8.8 \text{ mA cm}^{-2}$  as indicated by the grey lines in Figure 3.4, without further optimisation of the electrode design.

### 3.3.3. INFLUENCE OF THE GAS-TO-LIQUID RATIO

Figure 3.5 shows the influence of the gas-to-liquid ratio on the Faradaic efficiency at a fixed two-phase velocity  $u_{\text{TP}} = 4.5 \text{ cm s}^{-1}$  and current density  $i_{\text{tot}} = 8.8 \text{ mA cm}^{-2}$ . For reference, measurements of CO<sub>2</sub>-saturated single-phase flow (gas-to-liquid ratio of 0) are included for the same velocity and current density. In the Taylor flow configuration the Faradaic efficiency is roughly doubled compared to the single-phase flow. The Faradaic efficiency for a gas-to-liquid ratio of 0.25 and 0.5 remains unchanged with a slight increase for a gas-to-liquid ratio of 0.75.

We explain the observed behaviour as follows. The gaseous bubble length increases with increasing the gas-to-liquid ratio, as shown and discussed in Section 3.3.1. Therefore, the interfacial area for mass transfer from the gas bubble to the catalyst increases, while the area of the liquid slugs decreases. The mass transfer rate in the bubble region

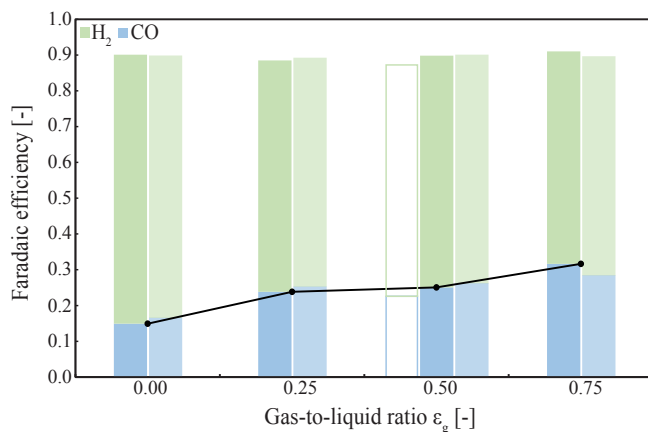


Figure 3.5: Influence of the gas-to-liquid ratio on the Faradaic efficiency towards CO and H<sub>2</sub> at a fixed two-phase velocity  $u_{\text{TP}} = 4.5 \text{ cm s}^{-1}$  ( $\dot{Q}_{\text{TP}} = 10.8 \text{ mL min}^{-1}$ ). The current was fixed to  $I = -15 \text{ mA}$  ( $i_{\text{tot}} = -8.8 \text{ mA cm}^{-2}$ ). Repeats are shown as the lighter coloured bars. The black line shows the observed trend. The open bars represent the closed data points for Taylor flow at  $i_{\text{tot}} = -8.8 \text{ mA cm}^{-2}$  in Figure 3.4a) and c).

is expected to remain constant for all gas-to-liquid ratios, while the mixing in shorter liquid slugs is intensified leading to an increased mass transfer rate for higher gas-to-liquid ratios. However, the increase in mass transfer rate and decline in liquid slug area seem to equal out such that only a minor influence of the gas-to-liquid on the Faradaic efficiency is observed, especially when considering the experimental error. This aligns with the observations from [35, 51, 66] in a thermocatalytic system. With the low observed conversion for the chosen conditions, no changes in bubble size over the channel length are observed (see Section 3.D (appendix)), such that other influences on the Faradaic efficiency such as residence time, alterations in two-phase velocity due to bubble shrinkage, and the influence of a locally increased pH can be neglected. We conclude that the influence of the bubble and slug region on the measured Faradaic efficiency is almost equal for all gas-to-liquid ratios. Higher gas-to-liquid ratios might still be favourable when operating at higher current densities where pH effects become significant. Therefore, in the following the influence of two-phase velocity on the Faradaic efficiency is studied for the largest gas-to-liquid ratio considered in this work, i.e.,  $\epsilon_g = 0.75$ .

### 3.3.4. INFLUENCE OF THE TWO-PHASE VELOCITY

Figure 3.6 shows the influence of the two-phase velocity on the Faradaic efficiency at a fixed gas-to-liquid ratio  $\epsilon_g = 0.75$  and current density  $i_{\text{tot}} = -8.8 \text{ mA cm}^{-2}$ . For reference, measurements of  $\text{CO}_2$ -saturated single-phase flow (gas-to-liquid ratio of 0) are included for the same velocity and current density. For the single-phase flow and the Taylor flow the Faradaic efficiency towards CO increases when increasing the velocity, while the Faradaic efficiency towards  $\text{H}_2$  decreases. Similar trends are observed for lower gas-to-liquid ratios (see Figure 3.12 (appendix)). Further, at the lowest velocities, the Faradaic efficiency towards CO is up to 4 times higher under Taylor flow conditions compared to the single-phase flow.

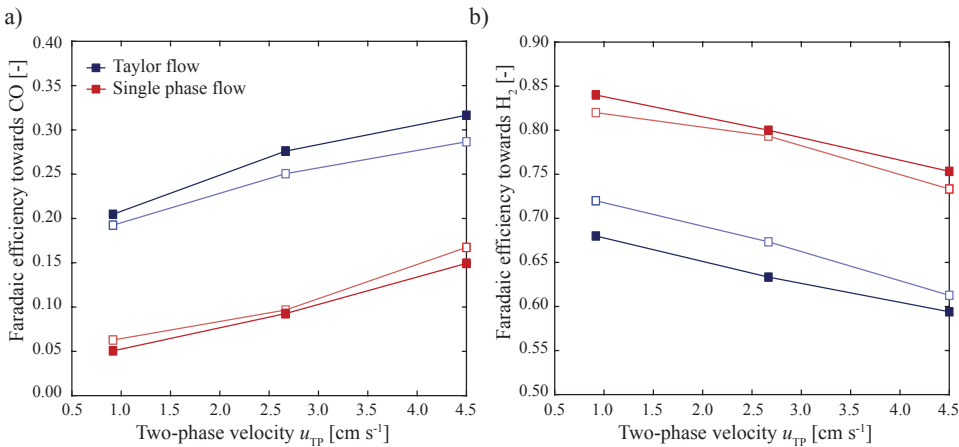


Figure 3.6: Influence of the (two-phase) velocity on the Faradaic efficiency towards CO a) and  $\text{H}_2$  b) at a fixed gas-to-liquid ratio of 0 (single-phase flow, red) and of 0.75 (Taylor flow, blue). The current was fixed to  $I = -15 \text{ mA}$  ( $i_{\text{tot}} = -8.8 \text{ mA cm}^{-2}$ ). Repeats are shown as open symbols.

We explain the observed trend as follows. The lubrication film around the bubble is expected to remain nearly constant in thickness for all chosen two-phase velocities, as discussed in the previous Sections 3.3.2 and 3.3.3. However, the liquid slugs are expected to be fully developed with a parabolic flow profile, such that an increase in two-phase velocity intensifies mixing and decreases the diffusive boundary layer in this region leading to an increase in mass transfer rates [56, 57, 67]. This increase in mass transfer can be observed in the increase of the Faradaic efficiency towards CO with increasing the two-phase velocity. This indicates that the mass transfer via the bubble caps through the liquid slugs dominates over the mass transfer through the thin lubrication film, contrary to common observations especially in circular capillaries and the resulting mass transfer theories [56, 57, 68]. However, based on the difficulty to quantify the film thickness, the influence of the corner flow, the concentration in the liquid slugs, and the influence of the local pH, no conclusion on the leading phenomena for this can be drawn. Nonetheless, the experimental set-up with its transparent end plates would allow for more detailed studies of the influence of the velocity profile in the liquid slugs by for example Particle Image Velocimetry (PIV). Determining the CO<sub>2</sub> concentration in the liquid slugs through Fluorescence Lifetime Imaging (FLIM, [69]) measurements can give additional insights in the observed trends.

### 3.3.5. CONSIDERATIONS FOR THE ELECTRODE DESIGN

The goal of this work was to investigate to what extent injecting CO<sub>2</sub> into an electrolyser in the form of elongated Taylor bubbles enhances the electrochemical performance of the reactor. With the cell design presented, we have established that gas-liquid Taylor flow significantly enhances the Faradaic efficiency compared to CO<sub>2</sub>-saturated liquid single-phase flow. To facilitate device design and fabrication, we chose to utilise a silver gauze as the cathode. Although the use of silver gauze facilitated a systematic study of the reactor performance on the Taylor flow features, the Faradaic efficiencies and current densities remained below industrially desired targets in both the H-cell and flow cell ( $i_{\text{CO}} < 5 \text{ mAcm}^{-2}$  and  $FE_{\text{CO}} < 35\%$ ). Thus, in this section we discuss how selection and design of the cathode can increase the current reactor performance.

As illustrated in Figure 3.7a), the silver gauze is pressed against a glassy carbon plate in the H-cell configuration. The glassy carbon is anticipated to catalyse the hydrogen evolution reaction within the tested potential range [70]. Due to the porous nature of the silver gauze the glassy carbon therefore can participate as a catalyst for the hydrogen evolution reaction, resulting in the increased Faradaic efficiency towards H<sub>2</sub> which we observe in our experiments. We expect that this is the main reason why the Faradaic efficiency towards CO on the silver gauze remains below reported values on silver foils. Lowering the required overpotential to drive the reaction on the silver gauze, for instance, by roughening the catalyst surface [71–73], is expected to further enhance the selectivity. Although these catalyst activation methods are also anticipated to enhance the overall Faradaic efficiency in the flow cell, discrepancies in the local reaction environment between the H-cell and flow cell suggest that additional considerations are necessary when designing the electrode for the flow cell.

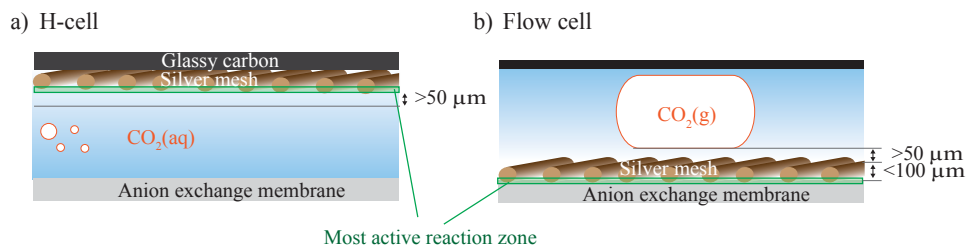


Figure 3.7: Representation of a) the cathode chamber in the H-cell configuration including the expected thickness of the diffusion layer close to the electrode and b) a unit cell with a gaseous CO<sub>2</sub> Taylor bubble flowing past the silver gauze with the expected liquid film and mesh thickness as the diffusion distance for the CO<sub>2</sub> to reach the most reactive zone in the electrolyser.

Typically, the most active reaction zone in the electrolyser is where the reactant CO<sub>2</sub>, ions (OH<sup>-</sup> or H<sup>+</sup>), and electrons meet, which in the flow cell is proximate to the membrane as depicted in Figure 3.7 b). Consequently, we anticipate that the mesh thickness adds an additional resistance to the mass transfer of dissolved CO<sub>2</sub> to the reaction zone, resulting in the previously reported higher partial current densities towards CO observed in the H-cell compared to the flow cell (Figure 3.4). Catalyst-coated membranes [74] could potentially reduce the diffusion distance for CO<sub>2</sub> in the liquid electrolyte to the catalyst sites while concurrently benefiting from improved reactivity on nanoporous catalyst structures [73]. However, ensuring good electrical conductivity and mechanical stability of the catalyst particles under flow conditions while mitigating any additional diffusion layer will pose a challenge.

### 3.4. CONCLUSION

We demonstrate how gas-liquid Taylor flow influences the Faradaic efficiency (selectivity) for the mass transfer limited electrochemical CO<sub>2</sub> reduction reaction to CO in a zero-gap membrane electrolyser design. We find that a shift from single-phase liquid electrolyte flow to gas-liquid (CO<sub>2</sub>-electrolyte) Taylor flow doubles the Faradaic efficiency towards CO formation. Further, we show that the gas-to-liquid ratio (the amount of gaseous CO<sub>2</sub> to liquid electrolyte) does not significantly influence the Faradaic efficiency, while increasing the two-phase velocity increases the Faradaic efficiency towards CO. Our findings indicate that the liquid electrolyte slugs are fully saturated with CO<sub>2</sub> and are the main contributor to mass transfer. Therefore, the observed influence of the flow features is contrary to general trends of Taylor flow in thermo-catalytic flow channels. This resonates with the work from Haase *et al.* [51], who show a similar mismatch between the expected and observed influence of the Taylor flow features on Faradaic efficiency for low Capillary and Reynolds numbers ( $Ca < 0.04$  &  $Re < 100$ ). We believe that the presented electrolyser design with its transparent design can be a valuable tool to gain a better understanding of the mass transfer at low two-phase velocities in Taylor flow as it allows to capture the hydrodynamics over the entire channel length during the reaction. The zero-gap membrane electrolyser design can be operated under pressure, which would further increase the mass transfer rate. Additionally, our results indicate

that optimisation of the membrane electrode assembly (MEA) can reduce mass transfer limitations arising from the electrode structure. Further, the current density (reaction rate) can be varied by the applied voltage such that the influence of conversion on the flow could be studied as well. The insights from this are expected to be translatable to applications in the field of electrochemical, photoelectrochemical and thermo-catalytic processes.

Table 3.1: List of symbols.

Symbol	Description	Unit
<i>Latin letters</i>		
$A$	Electrode area	$\text{m}^2$
$Ca$	Capillary number	-
$Cr_i$	Gas correction factor for species $i$	-
$F$	Faraday constant	$\text{Asmol}^{-1}$
$FE_i$	Faradaic efficiency towards species $i$	-
$H$	Channel height	$\text{m}$
$I$	Applied current	$\text{A}$
$i_i$	Partial current density towards species $i$	$\text{Am}^{-2}$
$i_{\text{tot}}$	Applied current density	$\text{Am}^{-2}$
$L$	Channel length of flow cell	$\text{m}$
$L_B$	Bubble length	$\text{m}$
$L_S$	Slug length	$\text{m}$
$L_{\text{UC}}$	Unit cell length	$\text{m}$
$\dot{n}_i$	Molar flow rate of species $i$	$\text{mols}^{-1}$
$p$	Pressure	$\text{bar}$
$\dot{Q}_g$	Gas flow rate	$\text{mLmin}^{-1}$
$\dot{Q}_l$	Electrolyte flow rate	$\text{mLmin}^{-1}$
$\dot{Q}_{\text{TP}}$	Sum of gas and liquid inlet flow rate	$\text{mLmin}^{-1}$
$R$	Universal gas constant	$\text{Jmol}^{-1}\text{K}^{-1}$
$Re$	Reynolds number	-
$T$	Temperature	$\text{K}$
$u_g$	Superficial gas velocity	$\text{ms}^{-1}$
$u_l$	Superficial electrolyte velocity	$\text{ms}^{-1}$
$u_{\text{TP}}$	Two-phase velocity	$\text{ms}^{-1}$
$V_B$	Volume of the gas bubble	$\text{m}^3$
$V_L$	Volume of the an individual liquid electrolyte slug	$\text{m}^3$
$v_i$	Volume fraction of species $i$	-
$\dot{V}$	Volumetric flow rate	$\text{m}^3\text{s}^{-1}$
$W$	Channel width	$\text{m}$
$x_i$	Mol fraction of species $i$	-
<i>Greek letters</i>		
$\gamma$	Interfacial tension	$\text{Nm}^{-1}$
$\epsilon_g$	Gas-to-liquid ratio	-
$\mu_l$	Dynamic viscosity of liquid electrolyte	$\text{Pas}$
$\nu$	Bubble generation frequency	$\text{s}^{-1}$
$\rho_l$	Density of liquid electrolyte	$\text{kgm}^{-3}$



## APPENDIX

### 3.A. CALCULATIONS OF THE FARADAIC EFFICIENCY FROM GC MEASUREMENTS

The Faradaic efficiency of the gaseous products are estimated based on the mole fractions of CO<sub>2</sub>, Ar, CO, and H<sub>2</sub> from the gas chromatograph (GC) injections. Five GC injections were taken over a time frame of 15 min, 10 min after starting the electrochemical measurement. This time was chosen based on preliminary experiments, which showed that no significant (< 5%) change in volume fraction for all gaseous products was observed after the initial 10 min. The gases are assumed to behave ideal, therefore the volume fraction of gaseous products from the GC is equal to the mole fraction. Since the sum of mole fractions is equal to 1, the mole fraction of water vapour  $x_{\text{H}_2\text{O}}$  in the gas stream is calculated as

$$x_{\text{H}_2\text{O}} = 1 - (x_{\text{CO}_2} + x_{\text{Ar}} + x_{\text{CO}} + x_{\text{H}_2}). \quad (3.4)$$

The molar flow rate  $\dot{n}_i$  of each product  $i$  is then calculated based on the mole fraction  $x_i$  and the volumetric flow rate at the reactor outlet  $\dot{V}_{\text{outlet}}$ , which was measured with the mass flow meter (see Figure 3.2), as

$$\dot{n}_i = \frac{p \dot{V}_{\text{outlet}} x_i}{RT}. \quad (3.5)$$

Since the mass flow meter is calibrated for CO<sub>2</sub> the gas flow rate first needs to be corrected based on the gas composition. For this a correction factor  $Cr_{\text{mix}}$  based on the gas conversion factor  $Cr_i$  (see Table 3.2) and volume fraction  $v_i = x_i$  for each of the components  $i$  in the gas is used

$$\frac{1}{Cr_{\text{mix}}} = \sum \frac{v_i}{Cr_i}. \quad (3.6)$$

The correction factor is then used to calculate the outlet flow rate  $\dot{V}_{\text{outlet}}$  based on the measured flow rate  $\dot{V}_{\text{measured}}$

$$\dot{V}_{\text{outlet}} = \dot{V}_{\text{measured}} \frac{Cr_{\text{mix}}}{Cr_{\text{CO}_2}}. \quad (3.7)$$

The Faradaic efficiency for the gaseous products CO and H<sub>2</sub> is calculated as

$$FE_{\text{CO}} = \frac{2\dot{n}_{\text{CO}}F}{I}, \quad (3.8)$$

$$FE_{\text{H}_2} = \frac{2\dot{n}_{\text{H}_2}F}{I}, \quad (3.9)$$

with the molar flow rate  $\dot{n}_{\text{CO}/\text{H}_2}$  of CO/H<sub>2</sub> (Eq. 3.5), the Faraday constant  $F$ , the applied current  $I$  and 2 as the number of electrons required per reaction. Liquid samples were not taken, such that the Faradaic efficiency towards HCOOH<sup>-</sup> could not be determined.

Table 3.2: Gas conversion factors for components in gas stream to the GC [75].

Species	Conversion factor $Cr_i$
CO	1
CO <sub>2</sub>	0.74
H <sub>2</sub>	1.01
H <sub>2</sub> O	0.79
Ar	1.4

### 3.B. CHARACTERISATION OF THE CATHODE

The silver gauze is tested in a flow-through H-cell [76]. In the cell, the silver gauze is pressed against a glassy carbon plate to ensure electrical contact and avoid leakages. The experiments are performed in a three-electrode configuration using a leakless miniature Ag/AgCl reference electrode and a platinum foil as the anode. The gaseous CO<sub>2</sub> is bubbled into the bottom of the flow cell with a constant flow rate of 8 mL min<sup>-1</sup>, and the outlet gas-stream is analysed continuously via GC injections following the same procedure as described in Section 3.2.2. Before each experiment, the ohmic resistance is measured and used to correct the applied cathode potential.

The measured Faradaic efficiency towards CO/H<sub>2</sub> and total current density for each applied potential is shown in Figure 3.8. For all potentials, the sum of the Faradaic efficiency remains around 80%. Since the liquid products were not analysed, we expect that formic acid is formed in the liquid phase. Further, due to the low quantities of product gases, we expect a measurement error in flow rate correction of up to 5%. The highest achieved Faradaic efficiency towards CO is around 30% at a potential of -1.27 V vs reversible hydrogen electrode (RHE) with a total current density of around -14 mA cm<sup>-2</sup>. Similar to silver foils with a polycrystalline surface, the required overpotential to drive the reaction is higher compared to nanoporous silver catalysts [71–73]. Analysing the surface structure of the silver gauze using a JEOL JSM 6010LA (JEOL, Tokyo, Japan) scanning electron microscope (SEM), utilising both secondary and back-scattered electrons for imaging (SEI and BEC images in Figure 3.9), we do indeed see a defective polycrystalline surface, which remains relatively unchanged after the electrochemical experiments as shown in Figure 3.9. The secondary electron SEM images reveal the rough surface of the silver gauze, which is most likely a result of its production process, and this roughness remains moderately unchanged after electrolysis. The back-scattered electrons SEM images, on the other hand, depict the polycrystalline structure of the employed silver gauze for electrolysis.

However, the Faradaic efficiency towards CO remains way below the commonly observed 80–90% on silver foil [65, 71–73]. We believe that this stems from the glassy carbon participating in the electrochemical reaction forming hydrogen. Han *et al.* [70] showed that the hydrogen evolution has an onset potential of around -1.00 V vs RHE on bare glassy carbon. Further, the local pH in the porous structure of the silver gauze will certainly be higher compared to the surface near a flat silver foil, further decreasing the availability of CO<sub>2</sub>.

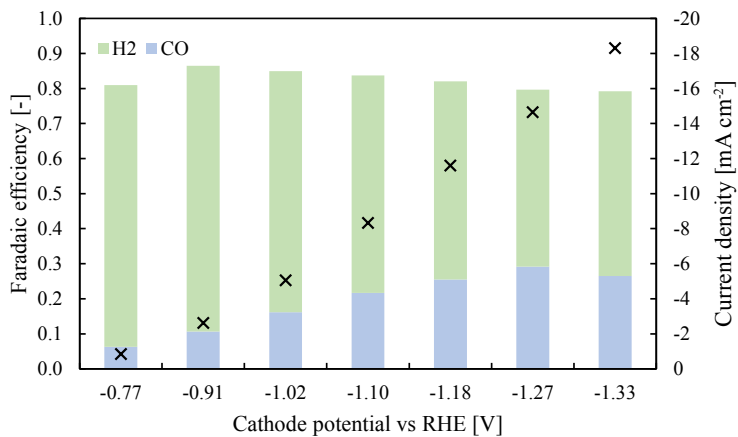


Figure 3.8: Faradaic efficiency (bars) and measured current density (crosses) over cathode potential vs RHE measured in an H-cell configuration for a silver gauze pressed onto a glassy carbon plate.

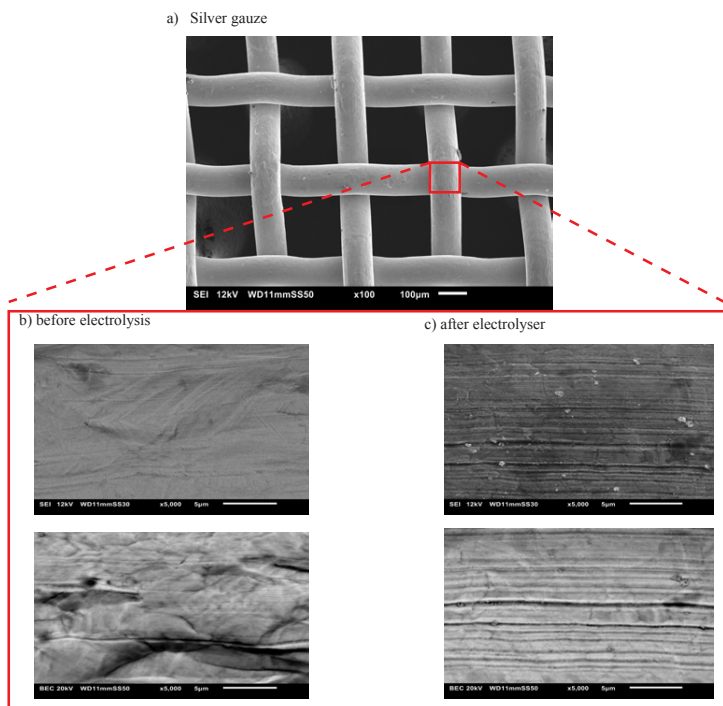


Figure 3.9: SEI and BEC image of silver gauze a), zoomed in region before b) and after c) the electrochemical experiments.

### 3.C. OBSERVED TAYLOR FLOW

Figure 3.10 shows the stable Taylor flow for a gas-to-liquid ratio  $\epsilon_g$  of 0.25 and 0.5 for the two-phase velocities  $u_{TP} = 0.9$  and  $2.7 \text{ cm s}^{-1}$ .

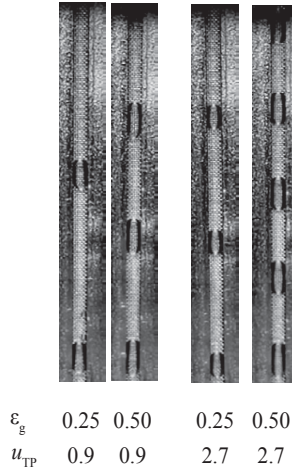


Figure 3.10: Photographs of the observed Taylor flow for a varying gas-to-liquid ratio  $\epsilon_g$  and a varying two-phase velocity  $u_{TP}$ .

### 3.D. CONVERSION AND FARADAIC EFFICIENCY

The conversion is given by the ratio of CO<sub>2</sub> flow rate into the reactor and the outlet flow rate of CO [77], which for all three cases (H-cell, single phase flow and Taylor flow) remains below 5 %. We further see no significant change in bubble to unit cell length for the Taylor flow set-up before starting the electrolysis and during the electrochemical reduction of CO<sub>2</sub> as shown in Figure 3.11.

The Faradaic efficiency towards CO and H<sub>2</sub> for varying two-phase velocity and gas-to-liquid ratio of 0.25 and 0.5 is shown in Figure 3.12. Similar to the influence for a gas-to-liquid-ratio 0.75 (Section 3.3.4), the Faradaic efficiency towards CO increases when increasing the two-phase velocity.

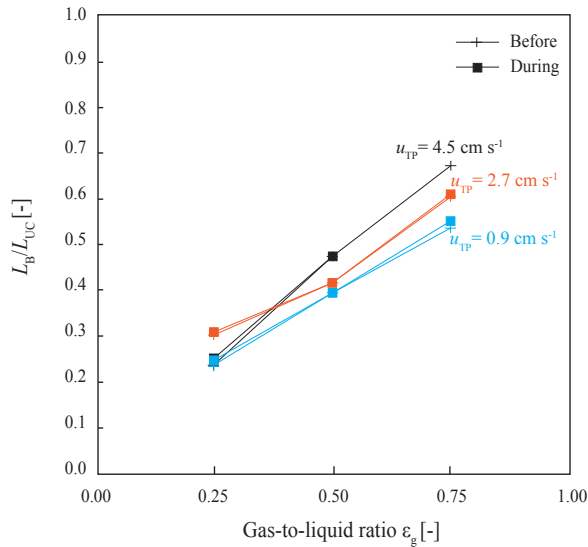


Figure 3.11: Experimentally measured gas bubble to unit cell length ratio at the inlet of the reactor over the gas-to-liquid ratio without the electrochemical reaction (before) and with the electrochemical reaction (during).

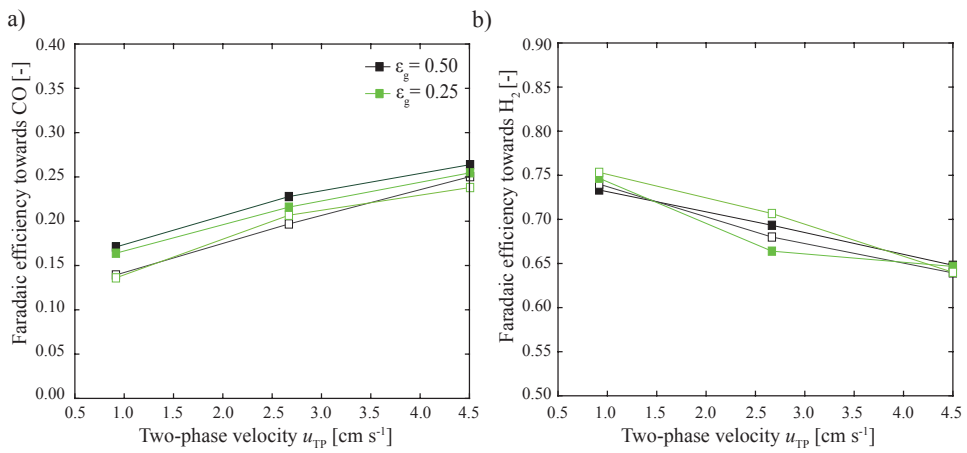


Figure 3.12: Faradaic efficiency towards CO a) and H<sub>2</sub> b) for a gas-to-liquid ratio  $\epsilon_g$  of 0.25 and of 0.50 (Taylor flow). Repeats are shown as open symbols.

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# 4

## **TECHNO–ECONOMIC ASSESSMENT OF CO<sub>2</sub> ELECTROLYSIS: HOW INTERDEPENDENCIES BETWEEN MODEL VARIABLES PROPAGATE ACROSS DIFFERENT MODELLING SCALES.**

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*The production of base chemicals by electrochemical conversion of captured CO<sub>2</sub> has the potential to close the carbon cycle, thereby contributing to the future energy transition. With the feasibility of low-temperature electrochemical CO<sub>2</sub> conversion demonstrated at lab scale, research is shifting towards optimising electrolyser design and operation for industrial applications, with target values based on techno-economic analysis. However, current techno-economic analyses often neglect experimentally reported interdependencies of key performance variables such as the current density, the Faradaic efficiency, and the conversion. Aiming to understand the impact of these interdependencies on the economic outlook, we develop a model capturing mass transfer effects over the channel length for an alkaline, membrane electrolyser. Coupling the channel scale with the higher level process scale and embedding this multi-scale model in an economic framework allows us to analyse the economic trade-off between the performance variables. Our analysis shows that the derived target values for the performance variables strongly depend on the interdependencies described in the channel scale model. Our analysis also suggests that economically optimal current densities can be as low as half of the previously reported benchmarks. More generally, our work highlights the need to move towards multi-scale models, especially in the field of CO<sub>2</sub> electrolysis, to effectively elucidate current bottlenecks in the quest toward economically compelling system designs.*

## 4.1. INTRODUCTION

The current anthropogenic carbon economy does not possess the ability to reduce CO<sub>2</sub>. Instead, it solely oxidises various fossil-based carbon sources to CO<sub>2</sub>, leading to increasing atmospheric concentrations. Closing the carbon cycle by converting waste CO<sub>2</sub> to bulk chemicals is a promising avenue to minimise emissions and fossil-based resource consumption [2, 3]. One technology offering the potential of achieving this transition is the electrochemical conversion of CO<sub>2</sub> [4, 5]. Techno-economic studies have led researchers to identify target values for performance variables [6–8] and pathways toward the profitable deployment of this emerging technology [9–13].

The first techno-economic analyses studied the economic feasibility of electrochemical CO<sub>2</sub> reduction by presenting target values for the performance variables to reach a break-even point [6, 7]. These performance variables include the current density, the Faradaic efficiency, and the cell potential, while the conversion rate is fixed [6, 7, 14, 15]. Importantly, these variables are usually assumed to be independent [6–8, 14, 16]. Under this assumption, the threshold values for the first three variables were derived utilising a generalised electrochemical CO<sub>2</sub> reduction plant model based on a fixed conversion rate and price indication for existing electrolyser technologies [6, 7, 14]. The derived thresholds include current densities above 250–300 mA cm<sup>-2</sup> and cell potentials below 1.8 V to reduce capital and operational costs of the electrolyser unit, respectively [7, 14]. Further, Faradaic efficiencies above 80–90% reduce downstream separation costs of the product [7, 14]. Although these thresholds provide significant guidance for experimental studies, their underlying analyses neglect the interdependencies of current density, Faradaic efficiency, cell potential, and conversion on the mechanistic level [12, 17]. This confines the techno-economic analyses to univariate sensitivity analyses, potentially leading to overestimation of the solution space for feasible performance values and electrolyser designs.

Understanding the interdependencies of the performance variables is thus crucial for electrolyser design, operation, and optimisation. Therefore, experimental studies on electrolyser design have mostly been accompanied by modelling efforts, to capture the interdependencies at the channel scale [18–25]. These models are used to resolve local effects in the electrolyser, for example, to understand concentration gradients and mass transfer limitations due to the change in pH near the catalyst layer [18, 20]. While these models can provide relevant insights into the interdependencies of the performance variables they so far have not been translated into techno-economic analyses. Channel models can additionally account for concentration gradients along the flow channel, taking into account their effect on single-pass conversion [26–28]. For example, the study of Kas *et al.* [26] showed an increased loss of CO<sub>2</sub> to carbonate formation at high current densities due to the limited buffer capacities of the electrolyte. This insight reveals a trade-off between current density and conversion, one of the interdependencies commonly neglected when using fixed performance variables for techno-economic analyses.

This study presents a multi-scale modelling approach ranging from the mechanistic channel scale over the electrolyser stack scale to the process scale (Figure 4.1 a)), assessing interdependencies on the electrolyser design level from an economic perspective. For the multi-scale model, a channel model accurate enough to capture interdependencies between the performance metrics of the electrolyser is developed, which then allows to evaluate and demonstrate the influence of the interdependencies on the selected process economic indicator. The channel model is based on a first-principle model of an alkaline flow-through CO<sub>2</sub> electrolyser for the production of ethylene, capturing the interplay between CO<sub>2</sub> conversion, Faradaic efficiency, and cell voltage for varying current densities. This interplay, in turn, influences the electrolyser and downstream unit investment and operating costs. Employing this multi-scale framework for techno-economic assessment and optimisation allows for computing the desired target performance variables based on mechanistic insights.

## 4.2. MULTI-SCALE MODEL

The multi-scale modelling framework comprises three scales: the process, the electrolyser, and the channel scale, as shown in Figure 4.1 a). While the multi-scale model is generic and independent of the desired product, we will show the model setup and results for ethylene as the main product with a target production rate of 10,000 kgd<sup>-1</sup>. The choice of this gaseous throughput is motivated by the objective to investigate industrially relevant conditions while ensuring that the financial correlations used for the cost estimate remain applicable. The throughput is used to calculate investment and operating costs for the electrolyser and gas separation, herein considered to be a pressure-swing adsorption unit (PSA). The design of the electrolyser is based on a flow-through gas diffusion electrode (GDE) cell, motivated by its extensive application in experimental studies aiming for high current densities [21, 29, 30]. The electrolyser is operated under ambient pressure and room temperature (see Table 4.1). The liquid catholyte flow rate is fixed to evaluate the electrolyser performance, while the liquid post-processing is not considered in the cost evaluation. It is assumed in the model that the electrolyser is continuously fed with fresh electrolyte and all formed ionic species, for example bicarbonate,



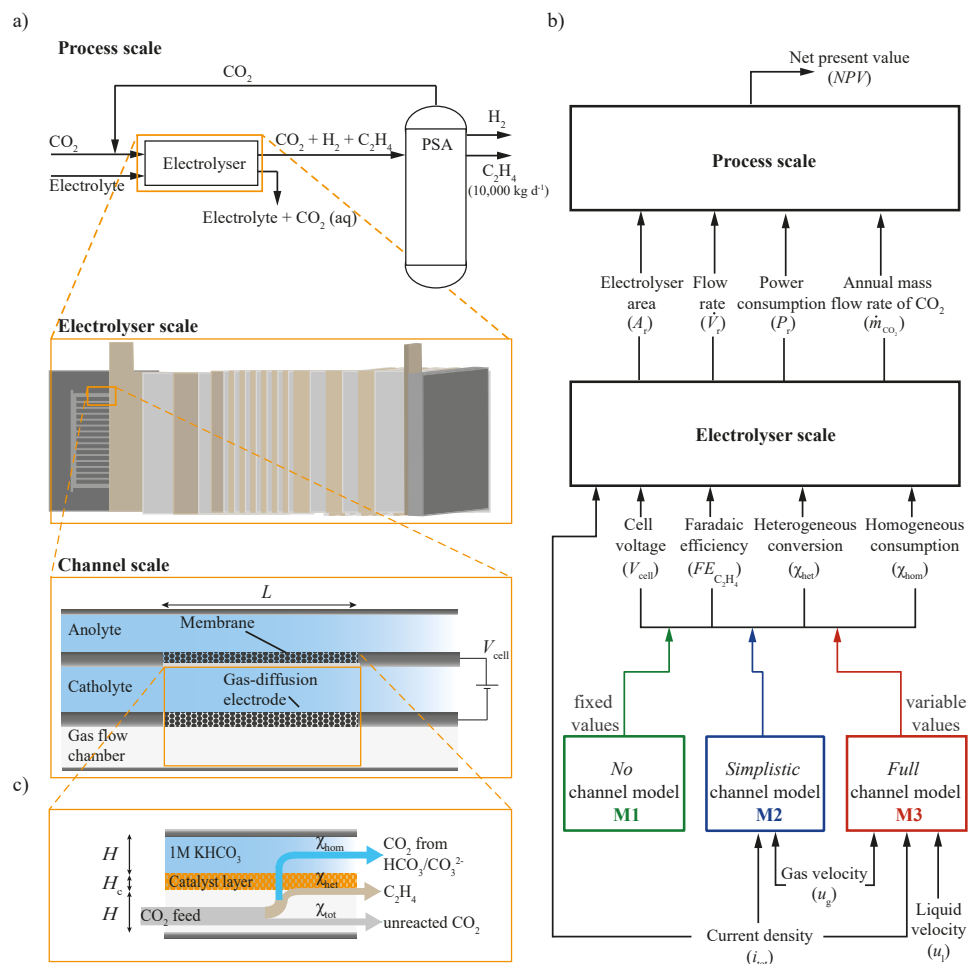


Figure 4.1: Overview of the connection between the process, electrolyser, and channel scale model a) with the relevant in- and output variables and parameters to couple the scales b). The *no* channel model (M1) uses fixed performance variables, while the *simplistic* channel model (M2) and the *full* channel model (M3) capture the different pathways of CO<sub>2</sub> through the electrolyser c) with increasing level of detail.

leave the reactor with the liquid electrolyte stream. The gas phase is solely composed of the reactant CO<sub>2</sub>, the target product C<sub>2</sub>H<sub>4</sub>, and the side product H<sub>2</sub>, as shown in the flow chart in Figure 4.1 a).

The electrolyser performance is described based on the following five performance variables (Figure 4.1 b)): the current density  $i_{tot}$ , the cell voltage  $V_{cell}$ , the Faradaic efficiency  $FE_{C_2H_4}$ , the heterogeneous conversion  $\chi_{het}$ , and the homogeneous consumption  $\chi_{hom}$ . The energy efficiency is not considered separately in this work as it directly follows from the cell voltage and Faradaic efficiency [14]. While the first three are common terms in electrochemistry, the last two are understood as the conversion rates of (i)

CO<sub>2</sub> due to the heterogeneous electrochemical reaction at the catalyst forming the reaction product (often referred to as single pass conversion [30–32]) and (ii) the loss of CO<sub>2</sub> due to the homogeneous carbon equilibrium reactions in the liquid electrolyte, respectively (Figure 4.1 c). The current state of the art techno-economic assessments rely on a fixed set of these performance variables, which are chosen independently of each other [6, 7, 12, 14]. To illustrate the propagation of the interdependencies across scales we introduce three exemplary models (see Table 4.2). The *no* channel model (M1) is based on the current state of the art and therefore neglects the interdependencies on the channel scale. For M1, we use a variable current density  $i_{\text{tot}} = [50 - 250 \text{ mA cm}^{-2}]$  in combination with fixed electrochemical variables  $V_{\text{cell}} = 3.69 \text{ V}$  and  $FE_{\text{C}_2\text{H}_4} = 0.7$ , and the fixed conversion rates  $\chi_{\text{het}} = 0.5$  and  $\chi_{\text{hom}} = 0$ . The *simplistic* (M2) and *full* (M3) channel model are governed by the physics at the channel scale with increasing level of detail (Figure 4.2). They, therefore, capture interdependencies between the performance variables as further explained in the following section. All three models are embedded in the same electrolyser and process scale model.

Table 4.1: Overview of channel dimensions and operating parameters.

Parameter	Unit	Value	Description
$L$	[m]	0.10	Channel length
$H$	[m]	$1.00 \cdot 10^{-3}$	Channel height
$W$	[m]	$1.00 \cdot 10^{-2}$	Channel width
$H_c$	[m]	$3.00 \cdot 10^{-6}$	Catalyst layer thickness
$\epsilon$	[-]	0.70	Porosity
$T$	[K]	300	Temperature
$P$	[Pa]	$1.00 \cdot 10^5$	Pressure

Table 4.2: Values of performance variables for the *no* (M1), *simplistic* (M2), and *full* (M3) channel model, with a variable current density  $i_{\text{tot}} = [50 - 250 \text{ mA cm}^{-2}]$ .<sup>1</sup>

Model	$V_{\text{cell}}$ [V]	$FE_{\text{C}_2\text{H}_4}$ [-]	$\chi_{\text{het}}$ [-]	$\chi_{\text{hom}}$ [-]
M1	3.69	0.70 <sup>[29]</sup>	0.50 <sup>[7]</sup>	0.00 <sup>[7]</sup>
M2	$f(i_{\text{tot}})$	$f(\dots)$	$f(\dots)$	0.13 <sup>2</sup>
M3	$f(i_{\text{tot}})$	$f(\dots)$	$f(\dots)$	$f(\dots)$

#### 4.2.1. CHANNEL SCALE MODEL

We consider two-dimensional channel scale models for a GDE-cell, which are most applicable for shallow channels in which the height is much smaller than the width ( $W = 10H$  in this work, Table 4.1). The interdependencies between the performance variables  $i_{\text{tot}}$ ,  $V_{\text{cell}}$ ,  $FE_{\text{C}_2\text{H}_4}$ ,  $\chi_{\text{het}}$ , and  $\chi_{\text{hom}}$  are captured through a mechanistic model of the GDE-cell. The GDE-cell is characterised by a gaseous and a liquid flow channel separated

<sup>1</sup>Performance variables which are depending on more than one other variable are denoted as  $f(\dots)$ .

<sup>2</sup>with a fixed additional current density ( $i_{\text{hom}} = 50 \text{ mA cm}^{-2}$ ) and a single channel gas flow rate of 10 sccm, for more details see Section 4.A (appendix).

by a gas diffusion electrode in the cathode compartment. The anode is separated from the cathode side by a proton exchange membrane (Figure 4.1 a), which is not explicitly modelled in this work.

The *simplistic* channel model (M2) solely considers the gas flow channel, with the catalyst layer modelled as an abrupt interface (see Figure 4.2, top). For this the description of the homogeneous consumption ( $\chi_{\text{hom}}$ ) is fixed and solely depends on the single channel gas flow rate  $u_g$  (see Section 4.A(appendix)). The *full* channel model (M3) considers in addition to the gas flow channel the catalyst and the liquid boundary layer in the electrolyte chamber (see Figure 4.2, bottom). Model M3 hence includes the parasitic homogeneous reactions occurring in the catalyst layer, thereby allowing to fully resolve the homogeneous consumption. The governing equations for M2 and M3 are given in the following sections, together with the relevant assumptions. The chosen channel dimensions and operating parameters are listed in Table 4.1. All other input parameters used in the models M2 and M3 are listed in Section 4.B (appendix). All relevant derivations, boundary conditions, the model validation, and discussion of assumptions for model M3 are given in Section 4.C (appendix).

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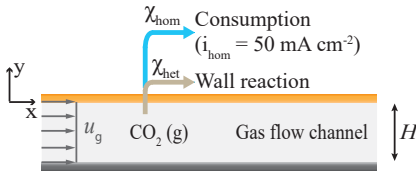
#### MASS TRANSPORT AND SPECIES BALANCE

We present a model to describe the concentration  $c_k$  of species  $k$  along the channel length and across the three layers (gas flow channel, catalyst layer, and liquid boundary layer) with the coordinates  $x$  and  $y$  as defined in Figure 4.2. The *simplistic* channel model M2 and the *full* channel model M3 share the same modelling domain for the gas flow channel. In the gas flow channel pure gaseous  $\text{CO}_2$  is introduced and described by plug-flow behaviour. The gaseous mass transport in the porous gas diffusion layer is neglected and the catalyst layer is assumed to be fully flooded. Therefore, the phase transfer of gaseous  $\text{CO}_2$  to the liquid electrolyte takes place at the interface of the gas channel and the catalyst layer. The concentration of  $\text{CO}_2$  is assumed to be in equilibrium at the gas-liquid interface. The species balance for gaseous compounds ( $\text{CO}_2(\text{g})$ ,  $\text{C}_2\text{H}_4(\text{g})$ , and  $\text{H}_2(\text{g})$ ) in the gas channel is described by

$$\frac{\partial c_k}{\partial x} = -\frac{\dot{n}_{k,\text{gl}}(x)}{u_g H}, \quad (4.1)$$

where  $u_g$  is the superficial gas velocity in the gas flow channel and  $H$  is the channel height (see Section 4.C.1 (appendix) for derivation). The term  $\dot{n}_{k,\text{gl}}(x)$  denotes the molar

*Simplistic channel model M2*



*Full channel model M3*

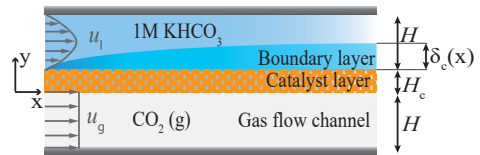


Figure 4.2: The *simplistic* channel model (M2) with an assumed, fixed homogeneous consumption of  $\text{CO}_2$  and the *full* channel model (M3) with a fully resolved homogeneous consumption of  $\text{CO}_2$ .

flux (per unit area) across the gas-catalyst interface. This flux is equal to the molar production or consumption rate of the gaseous compounds over the catalyst layer height  $H_c$  at any location  $x$  in the single channel

$$\dot{n}_{k,gl}(x) = \int_0^{H_c} (\dot{N}_{k,het} + \dot{N}_{k,hom}) dy. \quad (4.2)$$

The term  $\dot{N}_{k,het}$  denotes the consumption/production rate of  $\text{CO}_2(\text{g})$ ,  $\text{C}_2\text{H}_4(\text{g})$ , and  $\text{H}_2(\text{g})$  in  $\text{mol s}^{-1} \text{m}^{-3}$  due to the heterogeneous electrochemical reactions, while  $\dot{N}_{k,hom}$  in Eq. 4.2 denotes the consumption rate of the dissolved  $\text{CO}_2(\text{aq})$  due to the homogeneous buffer reactions in the liquid electrolyte.

For the *simplistic* channel model (M2) the catalyst layer is not explicitly modelled, with the consumption rate considered as part of the heterogeneous reaction term, adding an additional current density for the homogeneous consumption rate of  $i_{\text{hom}} = 50 \text{ mA cm}^{-2}$  (see Section 4.A (appendix)) [12, 27]. By approximating the homogeneous consumption with a fixed additional heterogeneous reaction rate the species balance for dissolved  $\text{CO}_2(\text{aq})$  and the ionic species does not need to be solved. This approach eliminates  $\dot{N}_{k,hom}$  from Eq. 4.2 and therefore allows straightforward calculation of the (single channel gas flow rate dependent) consumption rate (see Section 4.A (appendix)). The concentration of the gaseous compounds  $\text{CO}_2$ ,  $\text{C}_2\text{H}_4$ , and  $\text{H}_2$  along the channel length is then fully described by Eqs. 4.1 and 4.2.

For the *full* channel model (M3), the catalyst layer and the liquid boundary layer are fully captured by explicitly solving the species balance for all species (including  $\text{OH}^-$ ,  $\text{HCO}_3^-$ , and  $\text{CO}_3^{2-}$  as the ionic species considered in this work) which allows to calculate the homogeneous consumption rate. Similar to previous modelling studies [20, 26] in the following we neglect migration for all ionic species and the cross-over of carbonate and bicarbonate to the anode side [33–35]. The steady state species balance of the dissolved  $\text{CO}_2(\text{aq})$  and the ionic species in the catalyst layer ( $0 \leq y < H_c$ ) is then governed by diffusion as well as homogeneous and heterogeneous reactions [20]

$$0 = \dot{N}_{k,diff} + \dot{N}_{k,hom} + \dot{N}_{k,het}, \quad (4.3)$$

with the term  $\dot{N}_{k,diff}$  accounting for species transport through diffusion. In the catalyst layer, this term is calculated via

$$\dot{N}_{k,diff} = \epsilon^{3/2} D_k \frac{\partial^2 c_k}{\partial y^2}, \quad (4.4)$$

with the diffusion coefficient  $D_k$  and the prefactor arising from the porosity  $\epsilon$  and tortuosity  $\tau = \epsilon^{-1/2}$  [36]. Outside the catalyst layer, within the boundary layer ( $H_c < y < H_c + \delta_c(x)$ ), the balance equation of the dissolved  $\text{CO}_2(\text{aq})$  and the ionic species is only governed by diffusion [26]

$$0 = \dot{N}_{k,diff}, \quad (4.5)$$

with the diffusive transport given as

$$\dot{N}_{k,diff} = D_k \frac{\partial^2 c_k}{\partial y^2}. \quad (4.6)$$

The formation of the diffusive boundary layer on the liquid electrolyte side hinders the transport of fresh electrolyte to the catalyst layer, resulting in an increase in local pH and homogeneous reaction rate in the catalyst layer along the channel length [26]. This effect is included by calculating the thickness of the boundary layer according to the L ev eque approximation [37]

$$\delta_c(x) = 1.022 \left( \frac{xHD_{\text{HCO}_3^-}}{u_1} \right)^{1/3}, \quad (4.7)$$

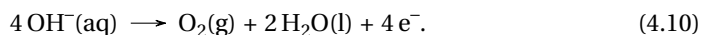
with the average liquid electrolyte velocity  $u_1$ . The L ev eque approximation entails that two assumptions need to hold for Eq. 4.7 to be a good approximation: *a*) constant concentration at the catalyst-electrolyte interface, and *b*) a developing boundary layer with  $\delta_c(x) \ll H$  [38]. Since the supply of  $\text{HCO}_3^-$  is the limiting factor in retaining the electrolyte buffer capacity in the catalyst layer [26] the length of the boundary layer is equally calculated for all species using the diffusion coefficient  $D_{\text{HCO}_3^-}$ . The concentrations of the ionic species are fixed to the equilibrium concentration in the 1M  $\text{KHCO}_3$   $\text{CO}_2$  saturated electrolyte at the liquid electrolyte/boundary layer interface and the no flux boundary condition is imposed at the catalyst/gas channel interface. Similarly, the concentration of the dissolved  $\text{CO}_2(\text{aq})$  is assumed to be in equilibrium with the gaseous  $\text{CO}_2(\text{g})$  concentration at the catalyst/gas interface and the no flux boundary condition is imposed at the catalyst/boundary layer interface (see Section 4.C.2 (appendix)). This allows the species balance in the catalyst (Eq. 4.3) and boundary layer (Eq. 4.5) to be calculated, which are coupled through Eq. 4.2 to the species balance in the gas channel (Eq. 4.1). The concentration profile in the gas channel, catalyst layer, and liquid boundary layer is thereby fully described, with the calculations of the required heterogeneous production and homogeneous consumption rate given in the following sections.

#### HETEROGENEOUS REACTIONS

The heterogeneous electrochemical reduction reactions of  $\text{CO}_2(\text{aq})$  and  $\text{H}_2\text{O}(\text{l})$  take place in the catalyst layer. Copper catalysts form a wide distribution of gaseous and liquid products, which in this work are limited to  $\text{C}_2\text{H}_4$  and  $\text{H}_2$ , by considering the following two cathodic reactions:



Note that this is a simplification in this work, and that, to the best of the authors knowledge, no catalyst for selective ethylene production has been reported. At the anode, the oxygen evolution reaction is facilitated, i.e.



The electrochemical reaction rate for the species consumed or formed at the electrodes is calculated via Faraday's law [39]

$$\dot{N}_{\text{k,hct}} = \sum_r \frac{\nu_{\text{k,r}} \dot{i}_{\text{k}}}{z_r F H_c}, \quad (4.11)$$

in which  $\nu_{k,r}$  denotes the stoichiometric coefficient for species  $k$  in reaction  $r$ ,  $z_r$  the amount of transferred electrons in reaction  $r$ , and  $F$  the Faraday constant. The current density  $i_{\text{tot}}$  is calculated via the Tafel equation fitted to experimental data reported by Tan *et al.* [30] (see Section 4.C.3 (appendix))

$$i_{\text{tot}} = i_0 \exp\left(-\frac{\alpha_c F}{RT} \eta_c\right), \quad (4.12)$$

with  $i_0$  the exchange current density,  $\alpha_c$  the transfer coefficient,  $R$  the universal gas constant, and  $\eta_c$  the applied cathode overpotential. In fitting the data for the current density  $i_{\text{tot}}$ , all reported carbonaceous species are considered to be ethylene, thereby simplifying the kinetic expression. It is further assumed that hydrogen is only produced at the onset of mass transport limitations towards  $\text{CO}_2$  [40, 41], which ensures that the current density  $i_{\text{tot}}$  remains constant over the electrode length (galvanostatically controlled) leading to the following partial current densities of  $\text{C}_2\text{H}_4$  and  $\text{H}_2$ :

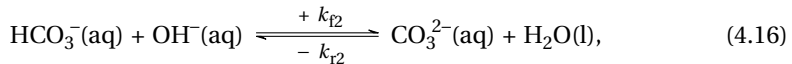
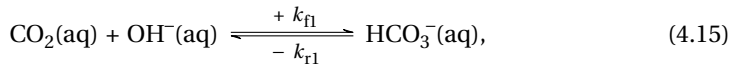
$$i_{\text{C}_2\text{H}_4}(x, y) = i_{\text{tot}} \frac{c_{\text{CO}_2}(x, y)(\text{aq})}{c_{\text{CO}_2}^{\text{ref}}(\text{aq})}, \quad (4.13)$$

$$i_{\text{H}_2}(x, y) = i_{\text{tot}} - i_{\text{C}_2\text{H}_4}(x, y), \quad (4.14)$$

with  $c_{\text{CO}_2}^{\text{ref}}(\text{aq})$  the  $\text{CO}_2$  equilibrium concentration within the electrolyte at standard conditions ( $P = 1.00 \cdot 10^5$  Pa and  $T = 300$  K). The dissolved  $\text{CO}_2(\text{aq})$  concentration in the liquid electrolyte ( $c_{\text{CO}_2}(x, y)(\text{aq})$ ) relates to the gaseous  $\text{CO}_2$  concentration along the channel length  $c_{\text{CO}_2}$  through Henry's law. The changes in the  $\text{CO}_2(\text{aq})$  concentration over the catalyst layer height is driven by the heterogeneous consumption (Eq. 4.11) and homogeneous conversion (Eq. 4.17). The motivation and limits of these simplified kinetics are discussed in Section 4.C.4 (appendix).

#### HOMOGENEOUS REACTIONS

In addition to the heterogeneous reaction,  $\text{CO}_2$  is also consumed by the homogeneous reactions within the electrolyte in the catalyst layer. These reactions are constituted by the bicarbonate-buffer reactions, balancing the pH of the solution. This reaction mechanism is only considered in model M3 and described by the following equilibrium reactions [20]



where  $k_{f1}$  and  $k_{f2}$  are the forward reaction rate constants, and  $k_{r1}$  and  $k_{r2}$  the respective reverse reaction rate constants, the values to all of which are provided in Table 4.7. Under consideration of the above equilibrium reactions, the volumetric homogeneous reaction

terms can be written as [18]

$$\begin{aligned}
 \dot{N}_{\text{CO}_2(\text{aq}),\text{hom}} &= -k_{f1} [\text{CO}_2][\text{OH}^-] + k_{r1} [\text{HCO}_3^-] \\
 \dot{N}_{\text{OH}^-(\text{aq}),\text{hom}} &= -k_{f1} [\text{CO}_2][\text{OH}^-] + k_{r1} [\text{HCO}_3^-] \\
 &\quad - k_{f2} [\text{HCO}_3^-][\text{OH}^-] + k_{r2} [\text{CO}_3^{2-}] \\
 \dot{N}_{\text{HCO}_3^-(\text{aq}),\text{hom}} &= k_{f1} [\text{CO}_2][\text{OH}^-] - k_{r1} [\text{HCO}_3^-] \\
 &\quad - k_{f2} [\text{HCO}_3^-][\text{OH}^-] + k_{r2} [\text{CO}_3^{2-}] \\
 \dot{N}_{\text{CO}_3^{2-}(\text{aq}),\text{hom}} &= k_{f2} [\text{HCO}_3^-][\text{OH}^-] - k_{r2} [\text{CO}_3^{2-}],
 \end{aligned} \tag{4.17}$$

with the notation  $[c]$  used for the concentration  $c_k$ . The significance of the homogeneous reactions can be explained by the increased rate of Eq. 4.15 at higher alkalinity, which inevitably occurs at elevated heterogeneous reaction rates due to increased hydroxide production. For simplicity, it is assumed that the homogeneous reactions occur solely within the catalyst layer, where pH and  $\text{CO}_2(\text{aq})$  concentration are highest. The void fraction within the catalyst layer is not accounted for.

#### 4.2.2. ELECTROLYSER SCALE MODEL

The electrolyser scale model couples the calculated concentration profiles from the channel scale model to the process scale model (Figure 4.1 b)). Firstly, the input variables  $\chi_{\text{het}}$ ,  $\chi_{\text{hom}}$ ,  $FE_{\text{C}_2\text{H}_4}$ , and  $V_{\text{cell}}$  are calculated based on the  $\text{CO}_2(\text{g})$  and  $\text{C}_2\text{H}_4(\text{g})$  concentrations obtained from the channel scale models M2 and M3 for a variable  $i_{\text{tot}}$ . For this, the electrolyser is assumed to be composed of a number of hydraulically, thermally, and electrically independent channels. The overall conversion achieved in the electrolyser then equals the single-channel conversion, which is calculated assuming a constant pressure as

$$\chi_{\text{tot}} = \frac{c_{\text{CO}_2}(x=0) - c_{\text{CO}_2}(x=L)}{c_{\text{CO}_2}(x=0)}, \tag{4.18}$$

with the channel length  $L$ . The heterogeneous conversion is calculated as [30–32]

$$\chi_{\text{het}} = \frac{2c_{\text{C}_2\text{H}_4}(x=L)}{c_{\text{CO}_2}(x=0)}, \tag{4.19}$$

with 2 being the stoichiometric coefficient (see Eq. 4.9). The homogeneous consumption is then calculated as the difference between those figures, i.e.

$$\chi_{\text{hom}} = \chi_{\text{tot}} - \chi_{\text{het}}. \tag{4.20}$$

The Faradaic efficiency (selectivity) towards ethylene is calculated based on the product concentration and gas velocity  $u_g$  at the channel outlet [33] (see Section 4.D (appendix))

$$FE_{\text{C}_2\text{H}_4} = \frac{c_{\text{C}_2\text{H}_4}(x=L)12u_gFH}{i_{\text{tot}}L}, \tag{4.21}$$

with 12 being the amount of electrons required to reduce  $\text{CO}_2$  to  $\text{C}_2\text{H}_4$ . The cell voltage is further related to the current density (see Eq. 4.12) via

$$V_{\text{cell}} = E_{\text{a}}^0 + \eta_{\text{a}}(i_{\text{tot}}) + |E_{\text{c}}^0| + |\eta_{\text{c}}|(i_{\text{tot}}) + \eta_{\Omega}(i_{\text{tot}}), \quad (4.22)$$

with the constant anodic and cathodic standard potentials  $E_{\text{a,c}}^0$  and the current density dependent overpotentials  $\eta_{\text{a,c},\Omega}$  (see Section 4.E (appendix) for more details). The input variables for the electrolyser scale for models M2 and M3 (Table 4.2) are then fully described by Eqs. 4.18 - 4.22.

Based on these input variables, the required electrolyser area  $A_{\text{r}}$ , the volumetric gas flow rate  $\dot{V}_{\text{r}}$ , the annual mass flow rate  $\dot{m}_{\text{CO}_2}$ , and the power consumption  $P_{\text{r}}$  are calculated next (see Section 4.D (appendix) for derivations), to estimate the investment and operating costs for the electrolyser and separation unit. The required electrolyser area is calculated taking into account the Faradaic efficiency as well as the current density

$$A_{\text{r}} = \frac{\dot{F}_{\text{C}_2\text{H}_4, \text{target}} 12F}{i_{\text{tot}} F E_{\text{C}_2\text{H}_4}}, \quad (4.23)$$

with the daily production target 10,000 kg  $\text{d}^{-1}$  converted to  $\dot{F}_{\text{C}_2\text{H}_4, \text{target}} \approx 4.13 \text{ mol s}^{-1}$ . Further, the volumetric flow rate associated with the electrolyser setup is calculated from the heterogeneous conversion and target production rate as

$$\dot{V}_{\text{r}} = \frac{2\dot{F}_{\text{C}_2\text{H}_4, \text{target}}}{\chi_{\text{het}} c_{\text{CO}_2}(x=0)}. \quad (4.24)$$

The annual mass flow rate of  $\text{CO}_2$  through the electrolyser then follows from the annual production target ( $\dot{m}_{\text{C}_2\text{H}_4, \text{target}} = 3,500,000 \text{ kg yr}^{-1}$ ). The corresponding annual consumption rate of  $\text{CO}_2$  equals

$$\dot{m}_{\text{CO}_2} = \left(1 + \frac{\chi_{\text{hom}}}{\chi_{\text{het}}}\right) 2 \frac{44}{28} \dot{m}_{\text{C}_2\text{H}_4, \text{target}}. \quad (4.25)$$

Finally, the overall power consumption  $P_{\text{r}}$  follows from the product of the cell voltage and current density, i.e.

$$P_{\text{r}} = V_{\text{cell}} i_{\text{tot}} A_{\text{r}}. \quad (4.26)$$

### 4.2.3. PROCESS SCALE MODEL

The process scale model describes the overall process and its economic performance. This model represents a simplified plant layout based on previous techno-economic analyses [7, 14], with a  $\text{CO}_2$  feed source through direct air capture (DAC), an electrolyser unit for the electrochemical  $\text{CO}_2$ -reduction, and subsequent gas separation in a pressure swing adsorption (PSA) unit (Figure 4.1 a). Liquid pre- and post-processing steps are not taken into account. The currency used is US dollar (multiple years).

The selected process economic indicator is the end-of-lifetime net present value ( $NPV$ ) of the overall process, assuming 20 years of continuous operation [7]. The  $NPV$



is calculated by taking into account the cash flow  $CF(t)$  on an annual basis as

$$NPV = \sum_{t=1}^{20} \frac{CF(t)}{(1+IR)^t}, \quad (4.27)$$

in which  $t$  is the respective year of operation. The term  $IR$  denotes the interest rate and is assumed to be 10% throughout the lifetime [7]. It is assumed that the plant is erected within the first year and operates at full capacity for the remaining 19 years of operation with the cash flow calculated as

$$CF(t) = \begin{cases} TCI, & \text{for } t = 0, \\ C_{\text{rev}} - C_{\text{op}} - C_{\text{m}}, & \text{for } t \geq 1, \end{cases} \quad (4.28)$$

where  $C_{\text{rev}}$ ,  $C_{\text{op}}$ , and  $C_{\text{m}}$  describe the annual revenue, operating costs, and maintenance costs, respectively.  $TCI$  is the total capital investment, which comprises the costs for the electrolyser, the separation unit, and all additional infrastructural facilities (balance of plant). The investment costs for the electrolyser are proportional to the required electrolyser area ( $\$920 \text{ m}^{-2}$ ) [7], while the costs for the PSA unit scale with the overall volumetric flow rate at the electrolyser outlet with a reference cost [42] of 1.99 M\$ and a reference flow rate of  $1000 \text{ m}^3 \text{ h}^{-1}$ . The balance of plant costs are assumed to make up 35/65 of the electrolyser costs [7]. The total capital investment based on the equipment costs can then be calculated as

$$TCI = (\$920 \text{ m}^{-2} A_r)(1 + 35/65) + \$1.99 \cdot 10^6 \left( \dot{V}_r \frac{3600 \text{ s h}^{-1}}{1000 \text{ m}^3 \text{ h}^{-1}} \right)^\beta, \quad (4.29)$$

where  $A_r$  is the required area (Eq. 4.23) and  $\dot{V}_r$  the volumetric flow rate at the electrolyser outlet (Eq. 4.24). The term  $\beta$  is a fitting factor associated with the cost correlation for the PSA unit, assumed to be 0.7 according to the regression function proposed by Paturska *et al.* [42] for flow rates between  $500 \text{ m}^3 \text{ h}^{-1}$  and  $1400 \text{ m}^3 \text{ h}^{-1}$ .

The annual revenue depends on the annual production target, and market price of ethylene (herein taken as  $\$1.3 \text{ kg}^{-1}$ ) [7] as

$$C_{\text{rev}} = \$1.3 \text{ kg}^{-1} \dot{m}_{\text{C}_2\text{H}_4, \text{target}}. \quad (4.30)$$

The annual costs are then determined by the  $\text{CO}_2$  price (herein taken as  $\$0.04 \text{ kg}^{-1}$ ) [14], which is slightly lower than the most optimistic assumption for commercial DAC units using chemical absorption [43]. For the electricity price, an optimistic value of  $\$0.03 \text{ kWh}^{-1}$  is taken based on predictions published by Haegel *et al.* [44]. The operating costs associated to separation are assumed to be only made up of the electricity costs ( $0.25 \text{ kWh m}^{-3}$ ) [42], which allows calculating the overall operating costs based on the annual consumption rate of  $\text{CO}_2$  (Eq. 4.25), the overall power consumption (Eq. 4.26) and the volumetric flow rate (Eq. 4.24) as

$$\begin{aligned} C_{\text{op}} &= \$0.04 \text{ kg}^{-1} \dot{m}_{\text{CO}_2} + \$0.03 \text{ kWh}^{-1} \\ &\quad \times (8400 \text{ h yr}^{-1} P_r + 0.25 \text{ kWh m}^{-3} \\ &\quad \times 30.24 \cdot 10^6 \text{ s yr}^{-1} \dot{V}_r). \end{aligned} \quad (4.31)$$

The annual maintenance costs are taken to be 2.5% of the capital investment costs for the electrolyser [7], i.e.

$$C_m = 0.025 \cdot \$920 \text{ m}^{-2} A_r. \quad (4.32)$$

As a comparative figure for the models (M1 to M3) the *relative NPV* is defined as follows

$$NPV_{\text{rel},M_x} = \begin{cases} \frac{NPV_{M_x}}{\max(NPV_{M1})} & \text{for } 0 < NPV_{M_x} \\ \frac{\max(NPV_{M1})}{NPV_{M_x}} & \text{for } NPV_{M_x} \leq 0, \end{cases} \quad (4.33)$$

for any model  $M_x$  with  $x \in [1, 2, 3]$  (for further detail, see Section 4.F1 (appendix)). The highest  $NPV$  of model M1 is taken as a reference point, as at this value the investment costs for the electrolyser do not influence the overall  $NPV$  anymore and the resulting current density is commonly reported as the target value in techno-economic analyses [6, 7, 14].

#### 4.2.4. IMPLEMENTATION

For the *no* channel model M1 the input variables to the electrolyser scale are fixed (Table 4.2) such that the required electrolyser area, the volumetric gas flow rate, the annual mass flow rate of  $\text{CO}_2$ , and the overall power input required to achieve the target production for  $\text{C}_2\text{H}_4$  (Eqs. 4.23 - 4.26) can be straightforwardly calculated. The *relative NPV* (Eq. 4.33) is then calculated based on these variables as a comparison metric. For the *simplistic* channel model (M2) and the *full* channel model (M3), the input variables to the electrolyser scale depend on the output of the channel scale. Therefore, the concentration of  $\text{CO}_2$ ,  $\text{C}_2\text{H}_4$ , and  $\text{H}_2$  along the channel length needs to be calculated first. The concentrations are then used to calculate the input variables to the electrolyser scale (Eqs. 4.18 - 4.22) and subsequently the input variables to the process scale are calculated (Eqs. 4.23 - 4.26), followed by the  $NPV$  and *relative NPV*.

The species balance (Eq. 4.1) in the gas channel is solved for model M2 and M3 via Heun's method for varying current densities ( $i_{\text{tot}}$ ) and gas velocities ( $u_g$ ). For the *simplistic* model (M2) the flux across the gas-catalyst interface (Eq. 4.2) is given as a boundary condition, while for the *full* channel model (M3) the flux across the gas-catalyst interface is updated at every finite difference by solving the governing equations in the catalyst and boundary layer (Eq. 4.3 & 4.5). The solution to these respective domains is found with the `Matlab` R2020a built-in solver `bvp4c`, where the extent of the boundary layer is adapted on each step according to Eq. 4.7 and the solution of the previous step supplied as an initial guess to the current step. The extend of the boundary layer is calculated for a fixed electrolyte flow rate of  $0.54 \text{ m s}^{-1}$  to minimise the consumption of  $\text{CO}_2$  due to the buffer reaction [26], while still ensuring operation in the laminar flow regime. To gain insight into the optimal mode of operation, the model has been constructed to allow for facile use with the `Matlab` built-in non-linear optimiser `fmincon` and `fminsearch`.<sup>3</sup>

<sup>3</sup>The full multi-scale model is available via <https://github.com/IsabellBagemihl/Multi-scaleModelElectrochemicalCO2Reduction.git>

### 4.3. RESULTS AND DISCUSSION

In this section we first discuss the interdependencies between three performance variables on the channel scale for the *no* channel (M1), the *simplistic* channel (M2), and the *full* channel (M3) model. For this, we consider the heterogeneous conversion  $\chi_{\text{het}}$ , the homogeneous consumption  $\chi_{\text{hom}}$ , and the Faradaic efficiency  $FE_{\text{C}_2\text{H}_4}$  and their dependency on the current density. As the cell voltage  $V_{\text{cell}}$  can be straightforwardly calculated (Eq. 4.22) it is not explicitly discussed. Secondly, we discuss the propagation of the interdependencies from the channel scale to the process scale model in terms of the *relative NPV* for varying current densities and gas velocities. The contribution of selected technical input variables to the process *NPV*, together with the contribution of economic parameters, are evaluated in a sensitivity analysis in Section 4.E.2 (appendix). Lastly, the optimisation results are presented and discussed in light of current developments in the literature.

4

#### 4.3.1. INTERDEPENDENCY OF PERFORMANCE VARIABLES ON THE CHANNEL SCALE

The heterogeneous conversion ( $\chi_{\text{het}}$ ), homogeneous consumption ( $\chi_{\text{hom}}$ ), and selectivity ( $FE_{\text{C}_2\text{H}_4}$ ) with varying current densities ( $i_{\text{tot}}$ ) are presented for models M1 to M3 in Figure 4.3. Contrary to the case of fixed performance variables (M1), using a mechanistic channel scale model results in a dependency of the above-stated variables predicting the expected trend of increased conversion rates with higher current densities as shown in Figure 4.3 a). For low conversions, this trend is linear as the supply of  $\text{CO}_2$  to the catalyst is not limited (for more details, see Section 4.G (appendix)). For the *simplistic* channel model M2, the limit of the linear scaling is reached at higher conversions than for the *full* channel model (M3). This can be explained by comparing the trends for the consumption rate of  $\text{CO}_2$  for model M2 and M3 in Figure 4.3 b). While the consumption rate is constant in model M2 the consumption of  $\text{CO}_2$  in M3 increases with increasing

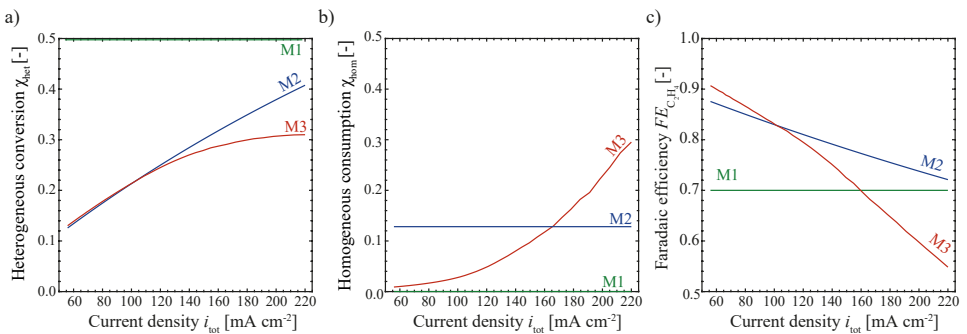


Figure 4.3: Heterogeneous conversion a), homogeneous consumption b) and Faradaic efficiency c) for models M1 to M3 as a function of current density, with a fixed channel geometry (Table 4.1) and a single channel gas flow rate of 10 sccm ( $u_{\text{g}} = 0.0167 \text{ ms}^{-1}$ ). For model M2 a fixed additional current density ( $i_{\text{hom}} = 50 \text{ mA cm}^{-2}$ ) is used to account for the homogeneous reactions, while these are fully resolved in model M3 for a fixed single channel liquid flow rate of 325 mL.min<sup>-1</sup> ( $u_{\text{l}} = 0.54 \text{ ms}^{-1}$ ).

the current densities. Increased consumption rates of  $\text{CO}_2$  limit the availability of  $\text{CO}_2$  at the catalyst site and therefore limit the heterogeneous conversion therefore leading to a deviation from the linear scaling at lower current densities for M3 compared to M2. The steep exponential increase in homogeneous consumption for model M3 can be explained by the dependency of the formation of hydroxide ions on the current density, as seen in Eq. 4.8 and 4.9, paired with the limited buffer capacity of the electrolyte. This eventually leads to higher consumption rates through the homogeneous reaction than through the heterogeneous reaction at high current densities. This, in turn, influences the selectivity, resulting in a steep decrease of  $FE_{\text{C}_2\text{H}_4}$  towards the formation of ethylene with increased current density for M3 as shown in Figure 4.3 c). For a constant consumption rate in M2 the Faradaic efficiency towards ethylene displays a weaker dependency on the current density because the main driver for the depletion of  $\text{CO}_2$  at high current densities is the increased heterogeneous conversion instead of the homogeneous consumption.

#### 4.3.2. PROPAGATION OF INTERDEPENDENCIES FROM THE CHANNEL SCALE TO THE PROCESS SCALE MODEL

Having established how the level of detail at the channel scale influences the input for the electrolyser scale (in the form of  $\chi_{\text{het}}$ ,  $\chi_{\text{hom}}$ ,  $FE_{\text{C}_2\text{H}_4}$ , and  $V_{\text{Cell}}$  for a given  $i_{\text{tot}}$ ,  $u_g$ , and  $i_{\text{hom}}$  (M2) or  $u_l$  (M3)), the propagation of the level of detail to the process scale is shown in terms of the *relative NPV* in Figure 4.4. The *relative NPV* (Eq. 4.33) compares the *NPV* (Eq. 4.27) for each model to the maximum *NPV* reached with model M1 ( $NPV \approx 24 \text{ M\$}$  at  $i_{\text{tot}} \approx 600 \text{ mAcm}^{-2}$ ). The calculations for the maximum *NPV* of model M1 can be found in Section 4.F.1 (appendix) with a discussion on the negative *NPV* in Section 4.H (appendix). Therefore, a decrease or increase of the  $NPV_{\text{rel,Mx}}$  indicates the same relative change in the *NPV*, hence both terms will be used interchangeably in the following. In Figure 4.4 a) and b) the green lines depicts the  $NPV_{\text{rel,M1}}$  calculated with the *no channel model* (M1), with the current density being the only variable input parameter to the electrolyser scale (see Table 4.2 and Figure 4.1). As described in previous literature [7], the current density in M1 solely influences the electrolyser area (Eq. 4.23) and therefore the investment costs leading to the expected trend of a steady increase in the *NPV* with an increase in current density as shown in Figure 4.4 a), eventually reaching the asymptotic value of 1. Contrary to model M1 an increase in current density leads to a decrease in the *NPV* for high current densities (see Section 4.F.2 (appendix)), for both mechanistic models (M2 and M3) resulting in a clear optimum within the range of variation of the current density. A similar trend is observed when fixing the current density while varying the single channel gas flow rate as shown in Figure 4.4 b). While the single channel gas flow rate shows no effect on the *NPV* in model M1 as the input variables are fixed, both mechanistic models display again a clear optimum. The significance of the single channel gas flow rate on the *NPV* was also observed in the sensitivity analysis in Section 4.F.2 (appendix). This analysis reveals that the interdependencies of the input variables translate to important trade-offs on the process scale, which cannot be captured with fixed variable models like model M1.

The maxima in the *NPV* for the channel models M2 and M3 are explained through the interdependence of mass transfer limitation, heterogeneous conversion, and homo-

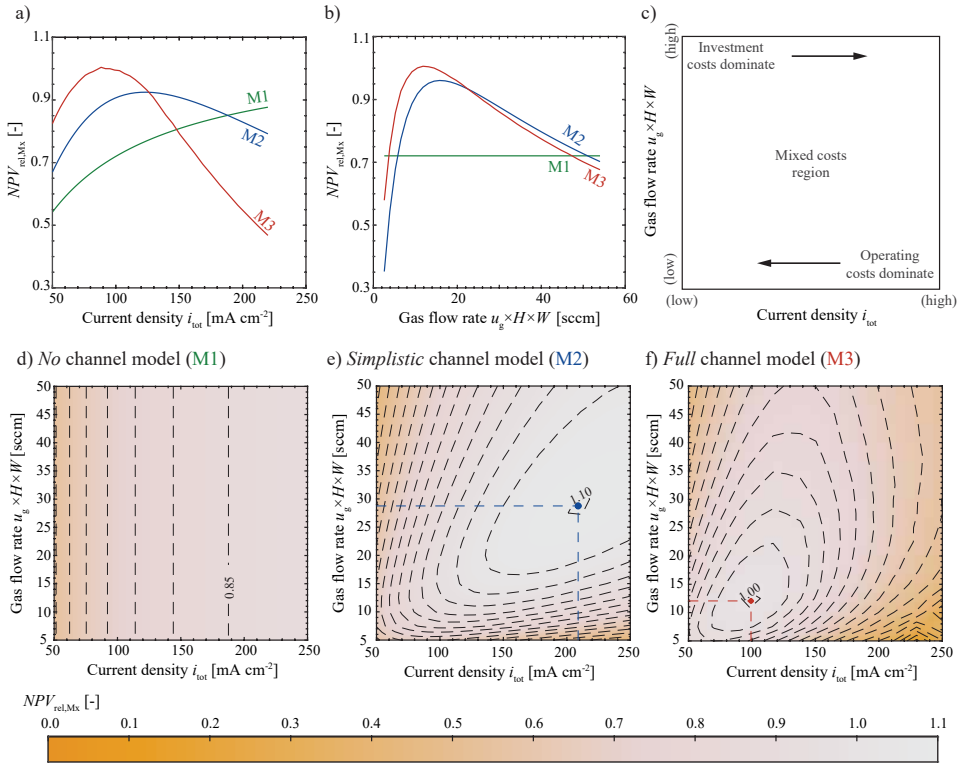


Figure 4.4: *Relative NPV* as a function of current density for a fixed single channel gas flow rate  $u_g \times H \times W = 10$  sccm a) and as a function of the single channel gas flow rate for a fixed current density  $i_{tot} = 100 \text{ mA cm}^{-2}$  b). Schematic of main cost drivers for varying current densities and single channel gas flow rates with the arrows indicating the direction of decrease of the respective cost unit c). Contours (with an equidistant spacing of 0.05) of the *relative NPV* for varying current densities and single channel gas flow rates for M1 d), M2 e), and M3 f), with the dots indicating the optimum for model M2 and M3. For model M2 a fixed additional current density ( $i_{hom} = 50 \text{ mA cm}^{-2}$ ) is used to account for the homogeneous reactions, while these are fully resolved in model M3 for a fixed single channel liquid flow rate of  $325 \text{ mL} \cdot \text{min}^{-1}$  ( $u_l = 0.54 \text{ ms}^{-1}$ ).

geneous consumption. Figure 4.4 c) shows this trade-off schematically in terms of investment and operating costs linked to the current density and single channel gas flow rate. From the previous section we learned that low current densities retain low heterogeneous conversion rates and high selectivities. Further the high CO<sub>2</sub> concentrations in the catalyst layer cause the mass transfer related overpotential (Eq. 4.13), and hence the overall cell potential (Eq. 4.22), to decrease. A low cell potential reduces the required power input, translating to lower operating costs for the electrolyser. However, due to the low conversion, larger electrode areas and separation units are required to maintain a specific throughput, which increases the investment costs. High current densities on the other hand increase the heterogeneous conversions rate and therefore reduce investment costs, but also lead to an unwanted expense of electrons through the increased reduction of water in the hydrogen evolution reaction (Eq. 4.8), therefore in-

creasing the operating costs. The trade-off between investment and operating costs is similarly observed for varying single channel gas flow rates. High single channel gas flow rates reduce the residence time in the channel and consequently reduce the heterogeneous conversion rate leading to an increased daily gas throughput to achieve the target production rate of ethylene. This in turn requires a larger separation unit increasing the investment costs. Low single channel gas flow rates, on the other hand, increase the heterogeneous conversion, lowering the daily gas throughput. However lead to a depletion of  $\text{CO}_2$  along the channel which results in an increase towards the hydrogen evolution reaction. This in turn increases the operating costs of the electrolyser as electricity is now lost towards the parasitic side reaction.

The insights on the trade-offs explain the difference in the impact of current density and gas flow rate on the costs. The single channel gas flow rate mainly influences the heterogeneous conversion rates through the residence time in the channel and consequently, the investment costs of the gas separation unit and the operating costs of the electrolyser. The current density however influences both, the investment and operating costs of the electrolyser, as well as for the separation unit.

Mapping the *relative NPV* for all three models (M1-M3) over the space of varying current densities and single channel gas flow rates allows us to compare how the optimal operating areas vary with the level of mechanistic detail in the model. Figure 4.4 d) to f) show that for all models low current densities and high single channel gas flow rates are not optimal based on the high investment costs. However, only models M2 and M3 additionally show a higher loss region for high current densities and low single channel gas flow rates. The *no* channel model (M1) does not display this trade-off due to a fixed conversion rate (see Table 4.2) leading to fixed operating costs. Therefore, a clear optimum for the operating conditions is found for the models considering the interdependencies between the performance variables (indicated by the dots in Figure 4.4 e) and f)).

### 4.3.3. OPTIMISATION RESULTS

The pronounced impact of the current density and single channel gas flow rate on the *NPV* has been discussed in the previous section. It was further shown that considering the interdependencies (model M2 and M3) between the performance variables leads to a trade-off between investment and operating costs which manifests in a clear optimum for the operating conditions. The optimisation results are summarised in Table 4.3. Note that the optimal results for M1 are not shown as the conversion rate is fixed, and the optimal current density always lies at the upper constraint, i.e. at  $250 \text{ mA cm}^{-2}$ . Figure 4.5 compares the optimisation results with literature based operating targets. It can be seen that the optimal current density for M2 lies close to the values suggested as minimal-threshold in non-mechanistic techno-economic analysis (above  $200 \text{ mA cm}^{-2}$ ), while this value is considerably lower when modelling the consumption of  $\text{CO}_2$  as a function of the process conditions (M3). Here, the optimal current density lies at  $\approx 100 \text{ mA cm}^{-2}$ , roughly half the value that is found for M2 and lower than the threshold values proposed in the literature [6, 7, 14]. This is driven mainly by the prediction of a

<sup>4</sup>For model M3 the obtained optimal values show a dependency on the initial guess with a deviation of less than 5%.

Table 4.3: Overview of the optima for model M2 and M3 as shown in Figure 4.4 e) and f).

Variable	Unit	M2	M3 <sup>4</sup>
<b>Input</b>			
$i_{\text{tot}}$	[mA cm <sup>-2</sup> ]	209	99.2
$u_{\text{g}}$	[m s <sup>-1</sup> ]	0.05	0.02
<b>Channel scale</b>			
$V_{\text{cell}}$	[V]	3.80	3.47
$FE_{\text{C}_2\text{H}_4}$	[-]	0.89	0.85
$\chi_{\text{het}}$	[-]	0.17	0.18
$\chi_{\text{hom}}$	[-]	0.04	0.02
<b>Electrolyser scale</b>			
$\dot{V}_{\text{r}}$	[m <sup>3</sup> s <sup>-1</sup> ]	1.23	1.14
$A_{\text{r}}$	[m <sup>2</sup> ]	$2.57 \cdot 10^3$	$5.67 \cdot 10^3$
$P_{\text{r}}$	[MW]	20.4	19.5
$\dot{m}_{\text{CO}_2}$	[kg yr <sup>-1</sup> ]	$1.40 \cdot 10^3$	$1.25 \cdot 10^3$
<b>Process scale</b>			
NPV	[M\$]	-22.0	-24.0

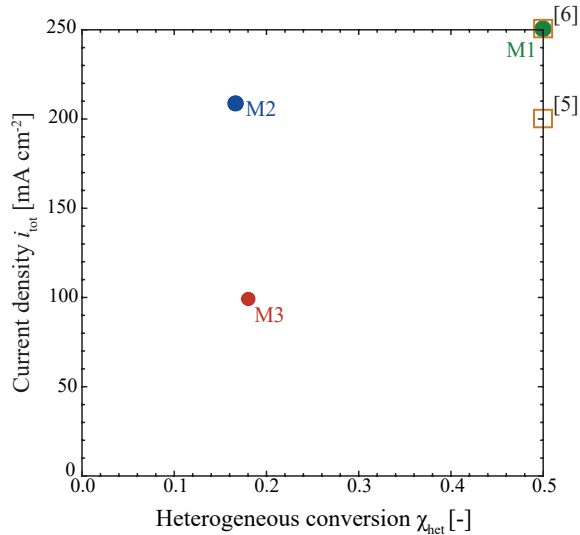


Figure 4.5: Optimal operation points for M1, M2, and M3 (circles) in terms of current density and heterogeneous conversion based on the optimal single channel gas flow rate as presented in Figure 4.4 e) and f). For M1 this point is found at the boundary of its domain ( $\chi_{\text{het}} = 0.5$ ). The target values based on literature [6, 7] are denoted as squares.

strong increase in unwanted homogeneous consumption at high current densities, rendering the operation at lower current densities desirable. The observed product distribution for the formation of higher hydrocarbons and the reported increase in selectivity with increasing current density is neglected in this work. As the kinetic expressions (Eqs. 4.11 - 4.14) and the assumptions regarding the separation unit are the same in models M2 and M3, the trade-off for the heterogeneous conversion is the same in both models. Hence, the optimiser converges to a single channel gas flow rate which facilitates a similar conversion rate for both models of slightly below 20%. This comparably low conversion is subject to how the kinetics are formulated in this work, leading to a strictly inverse relationship between the conversion and the Faradaic efficiency. Besides the simplification of the kinetics on the findings, other important assumptions are taken. In the remainder of the paper, we discuss their foreseen impact on our findings.

#### 4.3.4. LIMITATIONS OF RESULTS

The presented channel scale model is more advanced than the majority of CO<sub>2</sub>R models reported previously, yet several phenomena are not included such as liquid product formation and their migration to and oxidation at the anode, migration of bicarbonate/carbonate ions, and hence degassing of CO<sub>2</sub> at the anode side. While we provide a detailed discussion on the influence of these phenomena on our findings in Section 4.I (appendix), we here highlight the influence of migration and degassing of CO<sub>2</sub>. In anion exchange membrane electrolyzers for example a significant amount of CO<sub>2</sub> crosses over to the anode side in form of carbonate ions as the main charge carrying species under steady-state conditions [33]. This increases the consumption rate of CO<sub>2</sub> compared to the predictions of model M3 [35], leading to lower optimal CO<sub>2</sub> conversions. In bipolar membrane electrolyzers this effect can be minimized at the cost of increasing the ohmic resistance and hence required cell potential [34], decreasing the overall NPV (see Section 4.H (appendix)). Further, we assume the investment and operating costs of the separation unit (PSA) to only depend on the overall required single channel gas flow rate and not on the composition of the gas stream itself. Additionally, the liquid products and potential cleaning steps of the electrolytes are not considered. Conceivably, including detailed models of the required post treatment units leads to higher investment costs and optimal CO<sub>2</sub> conversion rates.

Considering the various assumptions underlying these results the authors would like to stress that the herein reported optimal values shall not be understood as newly proposed target values for the performance variables. Rather, they shall showcase how combining mechanistic and techno-economic models allows for design optimisations in the field of CO<sub>2</sub> electrolysis. More importantly, they show how the level of mechanistic detail in such models strongly influences the resulting recommendations. In this sense, the distance between the optimum points for the models M1, M2, and M3 in Figure 4.5 can be understood as resulting from different levels of mechanistic understanding, while the distance between the optimum points and the literature recommendations results from a discrepancy between required, and currently possible electrolyser performances.



#### 4.4. CONCLUSION AND OUTLOOK

Target values for performance variables for the low-temperature electrochemical conversion of CO<sub>2</sub> have so far been derived from techno-economic analysis based on the assumption that the performance variables such as current density and Faradaic efficiency are independent. In this work, we present a multi-scale framework that incorporates mechanistic models of a GDE-based CO<sub>2</sub> electrolyser to capture the interdependence between the performance variables required as input to the electrolyser scale: heterogeneous conversion, homogeneous consumption, Faradaic efficiency, and cell voltage. This framework is used to perform a techno-economic assessment and optimisation for a CO<sub>2</sub>-electrolysis-based process, revealing optimal target values for the performance variables that can strongly deviate from previously reported targets. For the herein chosen electrolyser design this manifests in an optimal current density of around half of commonly reported values. While it should be noted that the optima in this work are derived based on simplified reaction mechanisms and design considerations and therefore should not be taken as fixed optimum values for future electrolyser designs, the used approach nonetheless highlights the dependency of the mechanistic detail and interdependencies between performance variables on the economic viability of an electrolyser design. This work further presents a tool to evaluate electrolyser design choices based on an economic objective, which in its generic form can be applied to various electrolyser designs [19, 45–48] and CO<sub>2</sub>-reduction products such as CO or formate [20, 26, 49]. For different electrolyser designs and products, the modelling assumptions, and hence, the economic predictions, are highly dependent on the available data, therefore it is important to *a*) move towards more holistic, multi-scale modelling approaches in the field of CO<sub>2</sub> electrolysis, and to *b*) communicate measured or targeted electrolyser performance with all applicable boundary conditions, including achieved conversions.

Table 4.4: List of symbols.

Symbol	Description	Unit
<i>Roman</i>		
$A_r$	Required electrolyser area	$m^2$
$c_{CO_2}^{ref}(aq)$	Reference concentration	$mol\ m^{-3}$
$c_k$	Concentration of species k	$mol\ m^{-3}$
$C_m$	Annual maintenance costs	$\$ yr^{-1}$
$C_{op}$	Annual operational costs	$\$ yr^{-1}$
$C_{rev}$	Annual revenue	$\$ yr^{-1}$
$CF$	Cash flow	$\$ yr^{-1}$
$D_k$	Diffusion coefficient of species k	$m^2\ s^{-1}$
$E_r^0$	Standard potential of the reaction r	V
$E_r$	Applied potential vs RHE of the reaction r	V
$\dot{F}_{C_2H_4,target}$	Molar flow rate of targeted $C_2H_4$ production	$mol\ s^{-1}$
$F$	Faraday constant	$As\ mol^{-1}$
$FE_{C_2H_4}$	Faradaic efficiency towards ethylene	-
$H$	Channel height	m
$H_c$	Catalyst layer thickness	m
$H_m$	Membrane layer thickness	m
$H_{CO_2,elec.}$	Henry constant $CO_2$	-
$i_{hom}$	Additional current density for homogeneous consumption	$A\ m^{-2}$
$i_{0,k}$	Exchange current density of species k	$A\ m^{-2}$
$i_k$	Partial current density of species k	$A\ m^{-2}$
$i_{tot}$	Current density (sum of partial current densities)	$A\ m^{-2}$
$IR$	Interest rate	-
$k_f$	Forward reaction rate constant	$m^3\ mol^{-1}\ s^{-1}$
$k_r$	Reverse reaction rate constant	$s^{-1}$
$L$	Channel length	m
$M_k$	Molar mass of species k	$kg\ mol^{-1}$
$\dot{m}_k$	Annual mass flow rate of species k	$kg\ yr^{-1}$
$\dot{n}_{k,gl}$	Molar flux across the gas-catalyst interface	$mol\ m^{-2}\ s^{-1}$
$\dot{N}_{k,diff}$	Diffusive species transport	$mol\ m^{-3}\ s^{-1}$
$\dot{N}_{k,het}$	Electrochemical production rate	$mol\ m^{-3}\ s^{-1}$
$\dot{N}_{k,hom}$	Homogeneous consumption rate	$mol\ m^{-3}\ s^{-1}$
$NPV$	Net present value	\$
$P$	Pressure	Pa
$P_r$	Overall power consumption	W
$R$	Universal gas constant	$J\ mol^{-1}\ K^{-1}$
$t$	Time	s
$T$	Temperature	K
$TCI$	Total capital investment	\$
$u_g$	Gas velocity	$ms^{-1}$
$u_l$	Liquid velocity	$ms^{-1}$
$u_x$	Flow velocity in $x$ direction	$ms^{-1}$

$V_{\text{cell}}$	Electrolyser cell potential	V
$\dot{V}_r$	Volumetric flow rate through electrolyser	$\text{m}^3 \text{s}^{-1}$
$W$	Channel width	m
$x$	Coordinate in flow direction	m
$y$	Coordinate perpendicular to the flow direction	m
$z_r$	Amount of transferred electrons in reaction r	-

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*Greek*


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$\alpha_r$	Transfer coefficient of the reaction r	-
$\beta$	Fitting factor for the cost correlation of the PSA unit	-
$\delta_c(x)$	Liquid boundary layer thickness	m
$\epsilon$	Porosity	-
$\eta_r$	Overpotential of the reaction r	V
$\eta_\Omega$	Ohmic losses	V
$\kappa$	Conductivity	$\text{Sm}^{-1}$
$\nu_{k,r}$	Stoichiometric coefficient of species k in reaction r	-
$\tau$	Tortuosity	-
$\chi_{\text{het}}$	Heterogeneous conversion	-
$\chi_{\text{hom}}$	Homogeneous consumption	-
$\chi_{\text{tot}}$	Overall conversion	-

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## APPENDIX

### 4.A. HOMOGENEOUS CONVERSION IN MODEL M2

The *simplistic* channel scale model M2 is represented by a plug flow reactor in which the CO<sub>2</sub> flow is reacting at the channel wall in the electrochemical reaction (Eq. 4.9). The loss of CO<sub>2</sub> in the homogeneous carbonate reaction (Eqs. 4.15 - 4.16) is not explicitly modelled but simplified by introducing a fixed additional current density at the wall ( $i_{\text{hom}}$ ) which leads to the following species balance for CO<sub>2</sub> based on Eq. 4.1 - 4.2

$$\frac{\partial c_{\text{CO}_2, \text{M2}}}{\partial x} = - \frac{2(i_{\text{C}_2\text{H}_4} + i_{\text{hom}})}{12Fu_g H} \quad (4.34)$$

This approach allows to include a homogeneous consumption term, which solely depends on the gaseous flow rate for a fixed additional current density ( $i_{\text{hom}} = 50 \text{ mA cm}^{-2}$ ) [12, 27]. To calculate the homogeneous consumption we need to know how much CO<sub>2</sub> is consumed by this additional current density. We determine this by solely considering the reaction due to the additional current density  $i_{\text{hom}}$  in Eq. 4.34. The change in CO<sub>2</sub> concentration over the channel length based on the additional current density is given by

$$\int_{c_{\text{CO}_2, \text{hom}, \text{M2}}(x=0)}^{c_{\text{CO}_2, \text{hom}, \text{M2}}(L)} dc = - \frac{2i_{\text{hom}}}{12Fu_g H} \int_0^L dx. \quad (4.35)$$

The homogeneous consumption for this simplification equals the total conversion (Eq. 4.18)

$$\chi_{\text{hom}} = \frac{c_{\text{CO}_2, \text{hom}, \text{M2}}(x=0) - c_{\text{CO}_2, \text{hom}, \text{M2}}(x=L)}{c_{\text{CO}_2, \text{hom}, \text{M2}}(x=0)} = \frac{2i_{\text{hom}}L}{12Fu_g H} \frac{RT}{P}, \quad (4.36)$$

with the concentration of CO<sub>2</sub> at the channel inlet given by the ideal gas law. For a single channel gas flow rate of 10 sccm and the herein used channel geometry the homogeneous consumption comes out to be  $\chi_{\text{hom}} = 0.13$  (Table 4.2).

## 4.B. MODEL PARAMETERS

The parameters for the simulations are summarised in Table 4.5.

Table 4.5: Input parameters for channel scale models.

Parameter	Description	Value	Unit	Reference
<b>Operating conditions</b>				
$u_g$	Gas velocity	$1.00 \cdot 10^{-3}$	$[\text{m s}^{-1}]$	-
$u_l$	Liquid velocity	0.54	$[\text{m s}^{-1}]$	-
$\eta_c$	Cath. overpotential	-0.70 to -0.90	[V]	30
$T$	Temperature	300	[K]	-
$P$	Pressure	$1.00 \cdot 10^5$	[Pa]	-
<b>Geometry</b>				
$\epsilon$	Porosity	0.70	[-]	30
$H$	Channel height	$1.00 \cdot 10^{-3}$	[m]	26
$W$	Channel width	0.01	[m]	26
$L$	Channel length	0.10	[m]	-
$H_c$	Cat. layer thickness	$3.00 \cdot 10^{-6}$	[m]	30
$H_m$	Mem. layer thickness	$115 \cdot 10^{-6}$	[m]	46
<b>Species/material properties</b>				
$D_{\text{CO}_2}$	Diffusion coeff. $\text{CO}_2$	$1.91 \cdot 10^{-9}$	$[\text{m}^2 \text{s}^{-1}]$	50
$D_{\text{OH}^-}$	Diffusion coeff. $\text{OH}^-$	$5.30 \cdot 10^{-9}$	$[\text{m}^2 \text{s}^{-1}]$	50
$D_{\text{CO}_3^{2-}}$	Diffusion coeff. $\text{CO}_3^{2-}$	$0.92 \cdot 10^{-9}$	$[\text{m}^2 \text{s}^{-1}]$	50
$D_{\text{HCO}_3^-}$	Diffusion coeff. $\text{HCO}_3^-$	$1.91 \cdot 10^{-9}$	$[\text{m}^2 \text{s}^{-1}]$	50
$H_{\text{CO}_2, \text{elec.}}$	Henry constant $\text{CO}_2$	$0.85^5$	[-]	51
<b>Electrochemical properties</b>				
$\kappa_e$	Electrolyte conduct.	5.50	$[\text{S m}^{-1}]$	52
$\kappa_m$	Membrane conduct.	9.30	$[\text{S m}^{-1}]$	46
$i_{0, \text{OER}}$	Exch. current density OER	$1.00 \cdot 10^{-7}$	$[\text{A m}^{-2}]$	53
$c_{\text{CO}_2}^{\text{ref}}(aq)$	Reference concentration	34.0	$[\text{mol m}^{-3}]$	-
$E_c^0$	Standard eq. potential cathode	0.08	[V]	-
$E_a^0$	Standard eq. potential anode	1.23	[V]	-

<sup>5</sup> Converted to concentration form at standard conditions.

### 4.C. Full CHANNEL SCALE MODEL M3

The electrochemical reactor setup under consideration is the gas diffusion electrode (GDE) cell with an electrolyte gap, as described in Figure 4.6. The channels of the GDE-cell are situated on a flowplate, where the gas-flow is directed co-currently to the electrolyte flow in the liquid flow chamber. The gas- and liquid flow chamber are separated by the gas-diffusion layer and the catalyst layer, whereas the liquid flow chamber itself is separated by a membrane. The *full* channel model M3 formulated in this work only encapsulates the domains which are considered dominant for the transport and reaction of the main reactants and products, those being the gas flow chamber, the catalyst layer, and the liquid boundary layer. Hence it is assumed that the height of the gas diffusion layer can be neglected for gas phase transport and that gaseous species do not diffuse into the bulk electrolyte. Other physical effects necessary to describe the overall cell performance are derived from approximate relationships. The domains in which this is done are shown in Figure 4.6 bordered by dashed red lines, while the compartments which are explicitly modeled are framed by solid red lines.

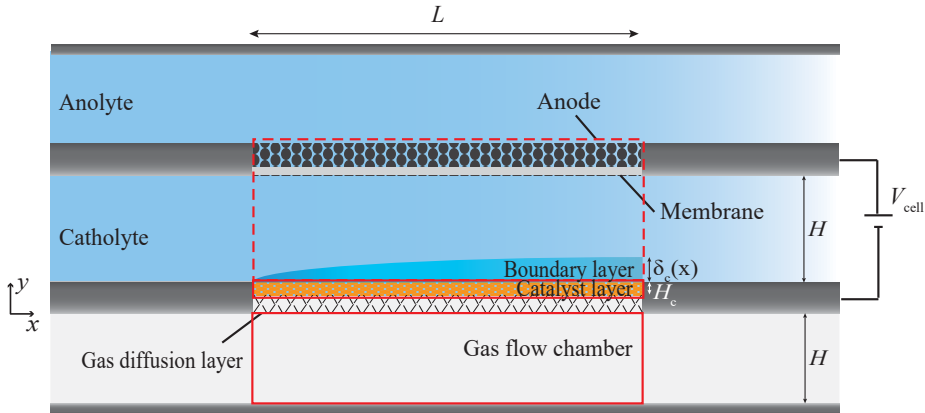


Figure 4.6: Schematic of a single channel in the considered GDE cell. Model domains which are treated explicitly have a solid red border, those for which approximate relationships are used have a dashed red border.

#### 4.C.1. DERIVATION OF GOVERNING GAS CHANNEL EQUATION

In this Section, the derivation of the governing equation for the gas channel (Eq. 4.1) is presented, starting from the generic form of a species balance and simplifying it by assuming unidirectional flow with convective transport dominating diffusive transport in the  $x$ -direction,

$$\frac{\partial c_k}{\partial t} = -\frac{\partial c_k}{\partial x} u_x(y) + D_k \frac{\partial^2 c_k}{\partial y^2}. \quad (4.37)$$

Assuming steady state, and integrating over the channel height ( $y$ -direction), hereby exploiting the mean value theorem for integrals, we obtain the following expression

$$\begin{aligned} 0 &= -\frac{1}{H} \int_0^{-H} \frac{\partial c_k}{\partial x} u_x(y) dy + \frac{D_k}{H} \int_0^{-H} \frac{\partial^2 c_k}{\partial y^2} dy, \\ \rightarrow 0 &= -\frac{\partial c_k}{\partial x} u_g + \frac{D_k}{H} \left[ \frac{\partial c_k}{\partial y} \right]_0^{-H}. \end{aligned} \quad (4.38)$$

Note that in Eq. 4.38, the definition of the *mixing cup velocity* [38] has been used, denoted as  $u_g$ . It is equal to the gas velocity when assuming plug flow behaviour. The integral appearing in the second term can be readily evaluated by realising that at the gas-catalyst interface ( $y = 0$ ) the equality of fluxes is imposed, while at the opposing wall ( $y = -H$ ) a no-flux condition holds, i.e.

$$\begin{aligned} -D_k \left. \frac{\partial c_k}{\partial y} \right|_{x,y=0} &= \dot{n}_{k,gl}(x), \\ \left. \frac{\partial c_k}{\partial y} \right|_{x,y=-H} &= 0. \end{aligned} \quad (4.39)$$

Inserting these boundary conditions into Eq. 4.38 yields

$$0 = -\frac{\partial c_k}{\partial x} u_g + \frac{D_k}{H} \left( -\frac{\dot{n}_{k,gl}(x)}{D_k} - 0 \right). \quad (4.40)$$

Three species are considered to be present in the gas phase, those being  $C_2H_4$ ,  $CO_2$ , and  $H_2$ . Therefore, three initial conditions are required at the channel inlet to solve all concentration profiles. These are given as:

$$c_{CO_2}(x=0) = \frac{P}{RT}, \quad c_{H_2}(x=0) = 0, \quad c_{C_2H_4}(x=0) = 0. \quad (4.41)$$

It is hence assumed that  $CO_2$  is present as a pure ideal gas at the channel inlet.

#### 4.C.2. BOUNDARY CONDITIONS

The governing equations for the gas flow channel, the catalyst layer, and the boundary layer (Eqs. 4.1 - 4.6) give rise to a set of differential equations that are second order in  $y$ , each requiring two boundary conditions for a unique solution. These boundary conditions have to be met at the catalyst-gas interface at  $y = 0$  and at the boundary layer/electrolyte interface at  $y = H_c + \delta_c(x)$  (Figure 4.6), making it a boundary value problem. The physical behaviour of the considered species at these boundaries is mainly governed by the aggregate state of the respective species, hence it is sensible to group the boundary conditions accordingly. Since it is assumed that the gaseous products are instantly transported into the gas channel upon formation, only  $CO_2$  needs to be accounted for. For the  $CO_2$  solvated within the catalyst layer, thermodynamic equilibrium with the gas-phase is assumed at the catalyst-gas interface (computed via Henry's law), while no crossover is assumed at the extent of the boundary layer (no-flux condition). It

is known that crossover of CO<sub>2</sub> into the electrolyte may occur, however this is neglected in this case. Hence, the boundary conditions for CO<sub>2</sub> can be written as

$$\begin{aligned}
 c_{\text{CO}_2}(x, y = 0) &= c_{\text{CO}_2,g}(x)H_{\text{CO}_2,elec.}, \\
 \left. \frac{\partial c_{\text{CO}_2}}{\partial y} \right|_{x,y=H_c+\delta_c(x)} &= 0
 \end{aligned}
 \tag{4.42}$$

The Henry constant  $H_{\text{CO}_2,elec.}$  is hereby approximated to be that of CO<sub>2</sub> in pure water at ambient conditions. For ionic species, the boundary conditions are opposed to those of the gaseous species. Ionic species cannot diffuse from the catalyst into the gas-phase, hence a no flux condition is imposed on the catalyst-gas channel interface. The concentration of ionic species at the extent of the boundary layer is set to be the equilibrium concentration in the bulk electrolyte, which depends on the concentration of the electrolyte. The boundary conditions for ionic species follow as

$$\begin{aligned}
 \left. \frac{\partial c_k}{\partial y} \right|_{x,y=0} &= 0, \\
 c_k(x, y = H_c + \delta_c(x)) &= c_{k,elec.}
 \end{aligned}
 \tag{4.43}$$

Figure 4.7 qualitatively depicts the concentration profiles of CO<sub>2</sub> and an exemplary ionic species in the catalyst and boundary layer together with the corresponding boundary conditions at two different  $x$ -positions along the channel. Note how the boundary layer is further extended at the second position and how the gas phase concentration of CO<sub>2</sub> is further depleted at that point.

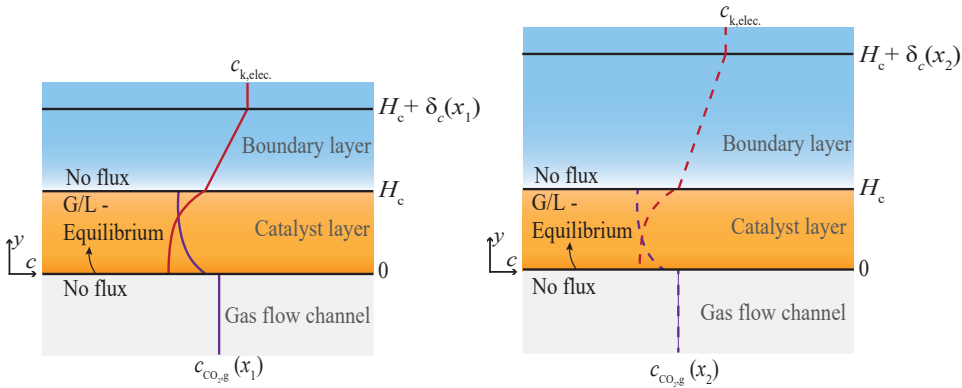


Figure 4.7: Qualitative depiction of the boundary conditions at two different channel positions,  $x_1$  and  $x_2$ , with  $x_2$  being further downstream. Axis are not at scale.



### 4.C.3. KINETIC PARAMETERS

**Heterogeneous reactions.** Given that  $C_2H_4$  and  $H_2$  are considered as the only products (i.e. not including the whole range of carbonaceous products which can form on a copper catalyst) it is refrained from estimating the heterogeneous reactions parameters for every possible reaction, and instead, an overall current-voltage relationship for the cathode side of the cell is used. The reason for this simplification is twofold:

1. The local reaction environment is known to strongly influence product distribution. To the best of the author's knowledge, there exists no kinetic framework which can account for this.
2. A simplistic kinetic approach is deemed favourable for comparing different operational modes for large scale  $CO_2$  electrolyzers, as intended in this work.

To obtain the desired estimate of a possible  $i$ - $E$  curve for the single channel models M2 and M3, experimental data reported by Tan *et al.* [30] is fitted through the Butler-Volmer equation

$$i_{\text{tot}} = i_0 \exp\left(-\frac{\alpha_c F}{RT} (E_c - E_c^0)\right) \quad (4.44)$$

using a least squares minimisation with  $\alpha_c$  and  $i_0$  as fitting parameters. The experiments were conducted with a GDE-based flow electrolyser with copper nanoparticles on carbon paper and 1M  $KHCO_3$  as catholyte [30]. This study has been chosen as the experimental setup is well defined and representative of the modelling domain considered herein. The obtained values of the fitting parameters and their 95% interval are presented in Table 4.6. The resulting  $i$ - $E$  curve together with the 95% confidence is plotted in Figure 4.8. Contrary to the experimental data ethylene is considered as the only carbonaceous product. The fitted kinetic constants shown in Table 4.6 are taken as the kinetic constants for calculating the total current density. Since  $CO_2$  is mass transfer limited the partial current density for ethylene is then given by the mass-transfer dependent Butler-Volmer equation which includes the concentration overpotential based on the Nernst equation (Eq. 4.13) and shares the same Butler-Volmer constants as the total current density and the partial current density for the hydrogen formation. This simplifying assumption for the kinetic constants is motivated by the difficulty in fixing the individual kinetic constants for each cathode reaction in the Butler-Volmer equation as discussed by Brée *et al.* [46]. The uncertainty in determining the kinetic constants arises from the data scarcity and that reported values vary drastically for different experimental studies,

Table 4.6: Fitting parameters and their 95% confidence interval obtained by fitting the Butler-Volmer equation (Eq. 4.44) to the experimental  $i$ - $E$  curve by Tan *et al.* [30].

Parameter	Unit	Value
$\alpha_c$	[-]	0.26
$\alpha_{c,95}$	[-]	-0.28 to 0.79
$i_0$	[mA cm <sup>-2</sup> ]	0.022
$i_{0,95}$	[mA cm <sup>-2</sup> ]	-0.41 to 0.46

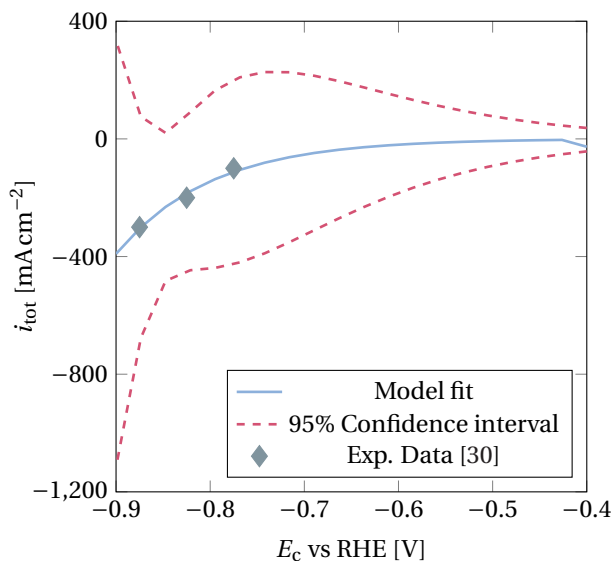


Figure 4.8: Experimental data [30] and model fit together with 95% confidence interval.

as the kinetic constants are difficult to decouple from the mass transport phenomena occurring in the cell [54]. By simplifying the kinetic constants as explained above the partial current densities in this study can be directly calculated with the one set of fitted kinetic constants. The influence of this assumption is discussed in the following Section 4.C.4 (appendix). It can be seen that, while the experimental data points seem to be well represented with the Butler-Volmer equation with  $\alpha_c = 0.26$  and  $i_0 = 0.022 \text{ mA cm}^{-2}$  as fit parameters, the confidence intervals  $\alpha_{c,95}$  and  $i_{0,95}$  are rather large. In fact, the 95 % confidence interval  $\alpha_{c,95}$  exceeds the lower limit drops below 0. These large values for the confidence intervals of both fit parameters can be attributed to the small number of data points, a problem also encountered in similar  $\text{CO}_2\text{RR}$  modelling studies [46]. While many kinetic studies of  $\text{CO}_2\text{RR}$  on copper electrodes have been conducted, the number of studies utilising GDE cells at high current densities is still limited and therefore, few studies are available containing more data points. Considering the restricted pool of reported experiments, the data provided by Tan *et al.* [30] seem the best fit for the current purpose.

**Homogeneous reactions.** The kinetic constants for the homogeneous buffer reaction are taken from Schulz *et al.* [55] and are corrected for the electrolyte salinity [56]. A summary of the values can be found in Table 4.7 for 1M  $\text{KHCO}_3$  electrolyte solution.

#### 4.C.4. COMPARISON OF THE PREDICTION OF MODEL M3 WITH LITERATURE

The two modelling studies [26, 49] on  $\text{CO}_2$  electrolyser designs which similar to this work resolve the local effects along the channel length are not straightforwardly comparable to the herein presented results as they consider a different catalyst and therefore products with a different amount of electrons transferred per reaction. Yang *et al.* [49] consider the

Table 4.7: Reaction rate constants for homogeneous buffer reactions.

Forward rate [ $\text{m}^3 \text{mol}^{-1} \text{s}^{-1}$ ]	Reverse rate [ $\text{s}^{-1}$ ]
$k_{f1} = 5.93$	$k_{r1} = 1.34 \cdot 10^{-4}$
$k_{f2} = 1.00 \cdot 10^{-5}$	$k_{r2} = 2.15 \cdot 10^{-4}$

formation of  $\text{HCOO}^-$ , while Kas *et al.* [26] consider a silver catalyst with the main product being CO. Both of these reduction products require a 2 electron transfer reaction while the reaction chosen in this study towards ethylene requires a 12 electron transfer reaction. Further, the kinetics for the heterogeneous electrochemical reaction are simplified under the assumption that either  $\text{CO}_2$  is reduced or  $\text{H}_2$  is formed which is comparable to a constant current (galvanostatic) control (see Section 4.2.1). Kas *et al.* [26] presented for the first time the trade-off between heterogeneous conversion and homogeneous consumption of  $\text{CO}_2$ . As this trade-off is the main driver for the herein acquired results, their study was chosen as a point of comparison to validate the single channel model. For this purpose, the liquid flow rate and the channel length are adapted in this Section to the ones presented by Kas *et al.* [26] to  $1 \text{ mL min}^{-1}$  and 1 cm. Further, the model developed in this work is adjusted from a 12 electron transfer to 2 electron transfer reaction for the purpose of comparison in this Section. The predicted heterogeneous conversion and homogeneous consumption for different single channel gas flow rates are compared in Figure 4.9. Additionally, to compare the impact of the simplified reaction kinetics on the overall trends, the reaction kinetics in model M3 are changed to the Butler-Volmer kinetics and its constants, as presented in the work by Kas *et al.* [26] and referred to as BV kinetics in Figure 4.9.

The results of this work and the work by Kas *et al.* [26] show a comparable trend for varying single channel gas flow rates, while the heterogeneous conversion for increasing current densities is lower in this work. As expected, the homogeneous consumption rate is then overpredicted. This is most likely rooted in the assumption of a fully flooded catalyst layer in this work compared to an ideally wetted catalyst layer in the work by Kas *et al.* [26]. Weng *et al.* [20] show that for a fully flooded catalyst layer the  $\text{CO}_2$  concentration, and hence, Faradaic efficiency, decrease for higher current densities compared to an ideally wetted catalyst layer due to an increase in local pH and the bicarbonate buffer reaction. It is therefore, no surprise that the homogeneous consumption due to the bicarbonate buffer reaction is predicted higher with our model compared to the work by Kas *et al.* [26], leading similarly to a decreased heterogeneous conversion rate. Whether, the catalyst layer is fully flooded, partially flooded, or ideally wetted, depends on multiple parameters such as GDE fabrication, operating conditions, and duration of the reaction. Generally, a fully or partially flooded catalyst layer is expected under long term operation. Sisler *et al.* [12] note that the loss of  $\text{CO}_2$  due to the homogenous consumption is often underestimated. Therefore a fully flooded catalyst layer, which present the most restricted operating regime, was chosen for this work.

Comparing the predictions for conversion and consumption of this work for the incorporation of the full Butler-Volmer kinetics (dashed line) and the simplified Butler-Volmer kinetics (dotted line) in Figure 4.9, we observe that the conversion is predicted

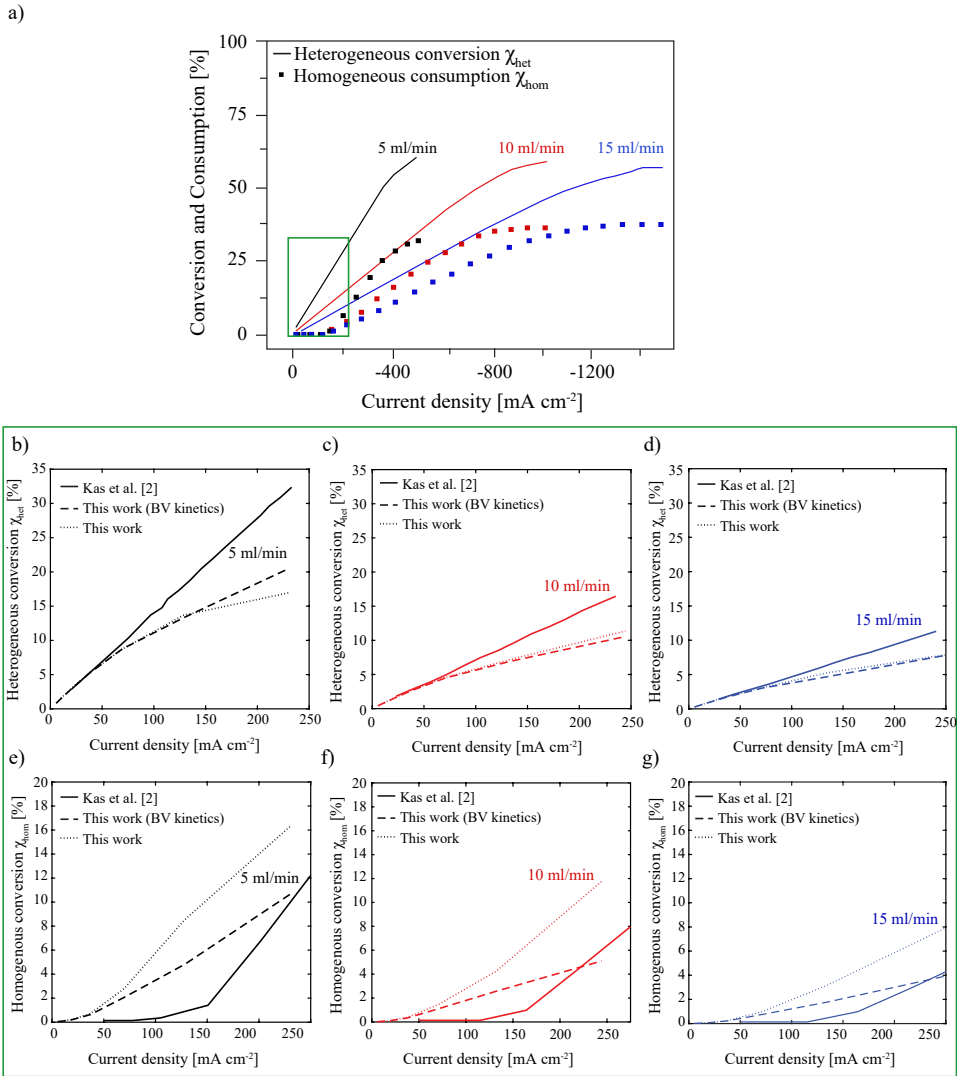


Figure 4.9: Numerical results for the heterogeneous electrochemical conversion from  $\text{CO}_2$  to CO and the homogeneous consumption of  $\text{CO}_2$  in the carbon equilibrium reaction from Kas *et al.* [26] a). Zoomed in region for the relevant current density range used in this study comparing the numerical results from Kas *et al.* [26] with the numerical results obtained with the *full* channel model M3 in this work for varying single channel gas flow rates b)-g). The numerical results for M3 considering the Butler-Volmer kinetics uses the values reported by Kas *et al.* [26] (dashed lines), while the simplified kinetics (dotted line) are selected and used in this work.

similar by both approaches while the consumption is overestimated in the case of the simplified kinetics. This is expected as for the full Butler-Volmer kinetics a fixed electrode potential is applied leading to a fixed partial current density for hydrogen and hence a fixed flux of  $\text{OH}^-$  along the electrode. The partial current density towards CO is changing

along the electrode with the change in  $\text{CO}_2$  concentration. This leads to a varying total current density for the full Butler-Volmer kinetics, which is averaged over the length for varying cathode potentials. The simplified Butler-Volmer kinetics similarly predict a varying partial current density of CO depending on the  $\text{CO}_2$  concentration, leading to a similar prediction in heterogeneous conversion. Contrary, to the full Butler-Volmer kinetics, in the current paper, a fixed total current density is assumed over the electrode length. This assumption leads to an increase in hydrogen formation and production of  $\text{OH}^-$ . The increase in pH is believed to be the main reason for the observed increased homogeneous consumption rate. It is further interesting to note that even though this also affects the local  $\text{CO}_2$  concentration, the impact on the heterogeneous conversion is negligible.

To further test the validity of the herein taken assumption and the simplification of the Butler-Volmer kinetics, the predicted partial current density towards ethylene with varying flow rates is compared to the experimental data by Tan *et al.* [30] in Figure 4.10 a). In the work by Tan *et al.* [30] a copper catalyst, which forms various C1 and C2+ products, is studied. In the current study ethylene is considered as the only  $\text{CO}_2$  reduction product (which allows to simplify the post-process steps). We note that a catalyst which selectively produces ethylene has so far not been reported and hence presents a simplification in this study. For a point of comparison the sum of the partial current densities for the C1 and C2+ products from Tan *et al.* [30] is taken and compared to the predicted current density towards ethylene. Figure 4.10 a) shows that for a total current density of  $100 \text{ mA cm}^{-2}$ , the partial current density with varying flow rate is predicted well, while for a total current density of  $200 \text{ mA cm}^{-2}$ , especially for low single channel gas flow rates, the partial current density towards ethylene is underpredicted. We note that the amount of electrons transferred varies widely for the individual C1 and C2+ products which could be a reason for the observed difference in partial current densities. Comparing the predicted heterogeneous conversion for varying single channel gas flow rates with the ex-

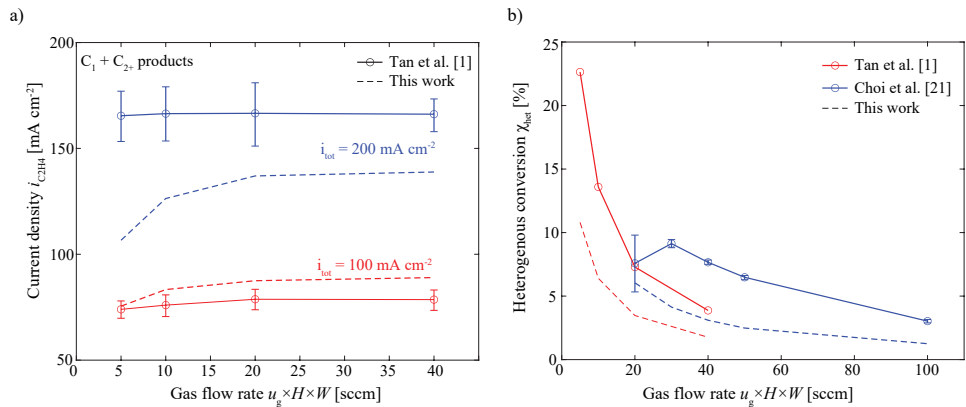


Figure 4.10: Numerical results for varying flow rates of a) the partial current density of ethylene in comparison to the sum of the partial current densities of C products obtained experimentally in the work by Tan *et al.* [30], b) the heterogeneous conversion in comparison to the experimental data by Tan *et al.* [30] and Choi *et al.* [32].

perimental data by Tan *et al.* [30] and Choi *et al.* [32] shows a similar trend while the absolute values are being under predicted. This has already been observed in Figure 4.9 for the comparison with the data from Kas *et al.* [26] and the discrepancy in absolute values might similarly be due to the assumption of a fully flooded catalyst layer which might not be the case for the reported experimental values.

Conclusively, we note that while the absolute values cannot be predicted with the herein presented model and assumptions, the trends and trade-offs follow the experimentally and numerically observed trends. In this light, we reiterate that the current paper aims to show the trade-offs between conversion and consumption and their impact across scales and does not aim to provide new target values for electrolyser development as absolute values highly depend on the modelling assumptions, as shown above and throughout this study. Therefore, the sensitivity and accuracy of the proposed model is adequate to the purpose of the current paper.

#### 4.D. ELECTROLYSER SCALE MODEL

The required electrolyser area,  $A_r$  is the most important variable for the capital investment costs and depends on the desired production rate according to

$$\dot{F}_{C_2H_4, \text{target}} = A_r \int_0^{H_c} \langle \dot{N}_{C_2H_4, \text{het}} \rangle dy, \quad (4.45)$$

with  $\langle \dot{N}_{C_2H_4, \text{het}} \rangle$  the channel length-averaged rate. Solving the integral in Eq. 4.45 with Eq. 4.11 leads to

$$\dot{F}_{C_2H_4, \text{target}} = A_r \frac{\langle i_{C_2H_4} \rangle}{12F}, \quad (4.46)$$

with  $\langle i_{C_2H_4} \rangle$  the channel length-averaged current density. The required electrolyser area  $A_r$  as presented in Eq. 4.21 is obtained by combining Eq. 4.46 with the definition of the Faradaic efficiency

$$FE_{C_2H_4} = \frac{i_{C_2H_4}}{i_{\text{tot}}}. \quad (4.47)$$

Assuming that the number of channels linearly scales with the reactor performance the relation between the required electrolyser area  $A_r$  and the volumetric gas flow rate  $\dot{V}_r$  leaving the electrolyser is given as

$$\dot{V}_r = u_g H \frac{A_r}{L}. \quad (4.48)$$

Knowing that  $\dot{F}_{C_2H_4, \text{target}} = c_{C_2H_4}(x=L) \dot{V}_r$  the Faradaic efficiency can further be expressed in terms of the gas velocity and product concentration at the channel outlet (Eq. 4.21) by rearranging Eq. 4.47 with Eq. 4.48. The volumetric gas flow rate  $\dot{V}_r$  (Eq. 4.24) leaving the electrolyser and entering the PSA unit can further be expressed from the definition of the heterogeneous conversion (Eq. 4.19)

$$\chi_{\text{het}} = \frac{2c_{C_2H_4}(x=L) \dot{V}_r}{c_{CO_2}(x=0) \dot{V}_r} = \frac{2\dot{F}_{C_2H_4, \text{target}}}{c_{CO_2}(x=0) \dot{V}_r}. \quad (4.49)$$

The electrolyser unit is embedded in a Reaction-Separation-Recycle process (see Figure 4.11), in which the flowrate fed into the reactor is composed of an external stream ( $\dot{m}_{\text{CO}_2,\text{in}}$ ) and a recycle stream ( $\dot{m}_{\text{CO}_2,\text{recycle}}$ ). The magnitude of the recycle stream depends on the purity of the end product and the achieved conversion within the reactor itself. Perfect separation for the  $\text{CO}_2$  stream in the PSA unit is assumed. For the current purpose, assuming a perfect separation is justifiable since this is *a*) commonly done for preliminary design optimisations [57] and *b*) the separation efficiencies for PSA are reported to be quite high for similar feed streams [58]. The required  $\text{CO}_2$  supply  $\dot{m}_{\text{CO}_2}$  then depends on the achieved conversion rate. Rewriting the conversion equations (Eqs. 4.18 - 4.19) with  $c_i = \dot{m}_i / (M_i \dot{V}_r)$  in terms of mass flow rates under the assumption that the flow velocity is not changing from the electrolyser inlet to outlet (see Section 4.C) gives

$$\chi_{\text{het}} = 2 \frac{\dot{m}_{\text{C}_2\text{H}_4,\text{target}}}{M_{\text{C}_2\text{H}_4}} \frac{M_{\text{CO}_2}}{\dot{m}_{\text{CO}_2} + \dot{m}_{\text{CO}_2,\text{recycle}}}, \quad (4.50)$$

$$\chi_{\text{tot}} = \frac{\dot{m}_{\text{CO}_2}}{\dot{m}_{\text{CO}_2} + \dot{m}_{\text{CO}_2,\text{recycle}}}. \quad (4.51)$$

Inserting Eq. 4.50 in Eq. 4.51 and rearranging yields the required supply of fresh  $\text{CO}_2$  to the electrolyser

$$\dot{m}_{\text{CO}_2} = 2 \dot{m}_{\text{C}_2\text{H}_4,\text{target}} \frac{\chi_{\text{tot}}}{\chi_{\text{het}}} \frac{M_{\text{CO}_2}}{M_{\text{C}_2\text{H}_4}}, \quad (4.52)$$

which together with Eq. 4.20 gives the annual consumption rate of  $\text{CO}_2$  (Eq. 4.25).

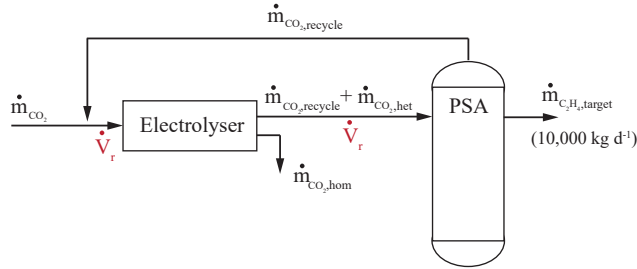


Figure 4.11: Conceptual flow configuration showing the  $\text{CO}_2$  containing streams.

#### 4.E. ADDITIONAL CONSTRAINTS

Mitigating voltage losses is crucial for effective cell operation. These losses are constituted by required overpotentials to drive the reactions at the desired rate, as well as ohmic losses and make up the required cell voltage according to Eq. 4.22. At the cathode side, concentration overpotential  $\eta_{\text{con},c}$  does not have to be taken into account due to the use of the concentration dependent Butler-Volmer equation (see Eq. 4.13). The activation overpotential is hence given as

$$\eta_c = E_c - E_c^0, \quad (4.53)$$

with the cathode potential  $E_c$  vs RHE (reversible hydrogen electrode). The cathode overpotential can be calculated for a given current density  $i_{\text{tot}}$  from the Butler-Volmer kinetics (Eq. 4.12).

For the OER occurring at the anode, modelling studies have reported negligible contributions of the concentration overpotential  $\eta_{\text{con},a}$ , which is herein neglected [46, 49]. The anodic activation overpotential on the other hand can become considerable, and must be taken into account. It can be approximated via the following expression

$$\eta_a = \frac{RT}{0.5F} \sinh^{-1} \left( \frac{i_{\text{tot}}}{2i_{0,\text{OER}}} \right), \quad (4.54)$$

which is referred to as the *hyperbolic sine approximation*. This approximation is exact if the anodic and cathodic charge transfer coefficients in the Butler-Volmer equation are equal [59]. Note that the OER is the only reaction occurring at the anode, meaning that  $i_{0,\text{OER}}$  is equal to the cell current density. Ohmic losses are calculated via Ohm's law accounting for the conductivity of the membrane and the electrolyte

$$\eta_\Omega = i_{\text{tot}} \left( \frac{H}{\kappa_e} + \frac{H_m}{\kappa_m} \right), \quad (4.55)$$

in which  $H_m$  is the membrane thickness, and  $\kappa_e$ , and  $\kappa_m$  are the conductivity of the electrolyte and membrane, respectively. Values can be found in Table 4.5.

## 4.F. PROCESS SCALE MODEL

### 4.F.1. LIMITING NPV FOR MODEL M1

As a comparative figure between models M1 to M3, the *relative NPV* (Eq. 4.33) is introduced, which uses  $\max(NPV_{M1})$  as a reference value. The NPV for each model is calculated based on the multi-scale model introduced in the main manuscript in the section "Multi-scale model". In Figure 4.12 the NPV for the *no channel* model M1 is plotted against the current density showing that the NPV reaches 99% of its final value at  $\approx 600 \text{ mA cm}^{-2}$  at  $\approx -24 \text{ M\$}$ , which is taken as  $\max(NPV_{M1})$  in Eq. 4.33.

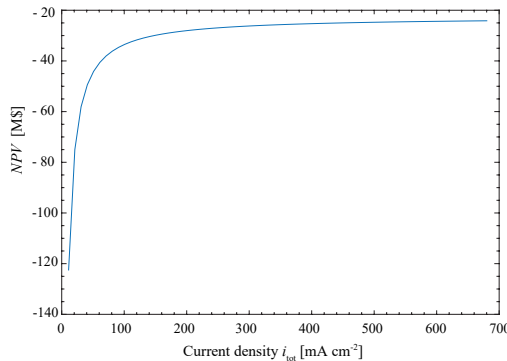


Figure 4.12: NPV for the *no channel* model M1 as a function of current density.



#### 4.F.2. SENSITIVITY ANALYSIS

A sensitivity analysis is performed to evaluate the influence of selected process variables and economic parameters on the economic indicator, the *NPV*. These parameters and their ranges of variation are listed in Table 4.8. The results for the *simplistic* (M2) and *full* (M3) channel model are shown in Figure 4.13.

The *NPV* is negative for all (individual) ranges of variation. The flow rate and total current density show a significant influence on the obtained *NPV*, especially for model M3. The sensitivity analysis also shows a significant influence of the electricity costs on the *NPV*. This matches with conclusions from previous techno-economic studies, which conclude that low electricity prices are essential for the profitable production of higher hydrocarbons via electrochemical CO<sub>2</sub> reduction. The variation in selling price, CO<sub>2</sub> price, and production rate show a lower but also significant influence on the *NPV*.

Incorporating the channel scale model allows to study the influence of flow rate compared to other studies as this variable now influences the conversion and consumption rates, which are commonly fixed model inputs. The total current density influences the cell voltage, conversion, consumption and Faradaic efficiency. An increase in total current density shows a negative effect on the *NPV*, while a decrease in total current density seems to improve the *NPV*. This observation is contrary to results reported in other techno-economic studies [6, 7, 14] in which an increase in current density generally increases the *NPV*. The influence of the current density and single channel gas flow rate on the *NPV* is further discussed in Section 4.3.

Table 4.8: Base case and variation ranges for sensitivity analysis.

Sensitivity variables	better	base	worse
Gas flow rate [sccm]	50	10	5
Total current density [ $\text{mAcm}^{-2}$ ]	300	200	100
Selling price ethylene [ $\$ \text{kg}^{-1}$ ]	+15%	1.3	-15%
Electricity price [ $\$ \text{kW}^{-1} \text{h}$ ]	0.02	0.03	0.04
CO <sub>2</sub> price [ $\$ \text{kg}^{-1}$ ]	0	0.04	0.07
Electrolyser cost [ $\$ \text{m}^{-2}$ ]	450	920	1840
PSA cost [ $\$ \text{m}^{-2}$ ]	-20%	1990000	+20%
Interest rate [-]	+20%	0.1	-20%
Production rate [ $\text{kgd}^{-1}$ ]	-20%	10000	+20%
Electrolyser lifetime [ $\text{yr}^{-1}$ ]	-20%	20	+20%

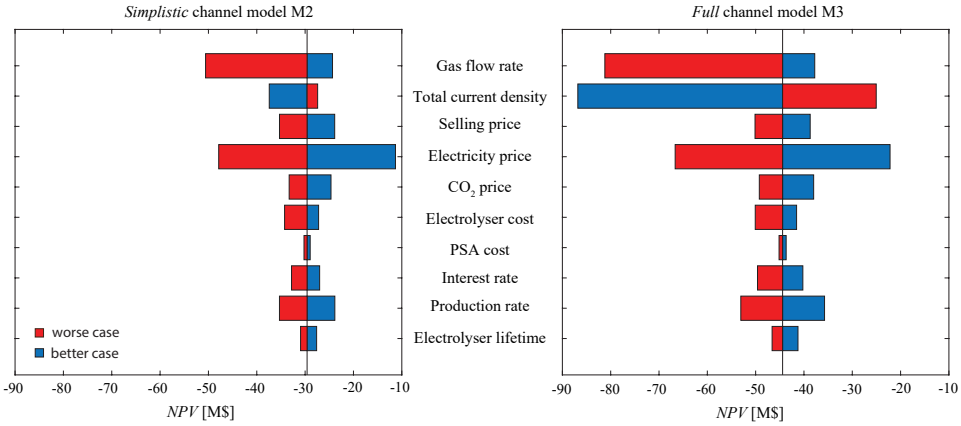


Figure 4.13: Sensitivity analysis of results for CO<sub>2</sub> to ethylene (Table 4.8).

## 4.G. SCALING RELATION BETWEEN HETEROGENEOUS CONVERSION AND CURRENT DENSITY

The *simplistic* model M2 does not explicitly model the catalyst layer and describes the electrolyser solely as channel flow with a reacting wall. The reaction rate at the wall is described by the partial current density towards C<sub>2</sub>H<sub>4</sub> (Eq. 4.13). Combining Eq. 4.34 (omitting the  $i_{\text{hom}}$  term) and Eq. 4.13 gives

$$\frac{\partial c_{\text{CO}_2}}{\partial x} = -\frac{i_{\text{tot}}}{6F} \frac{c_{\text{CO}_2}}{c_{\text{CO}_2}^{\text{ref}}(aq)} \frac{1}{u_g H}. \quad (4.56)$$

Integrating over the reactor length  $x$  with the boundary condition  $c_{\text{CO}_2}(x=0) = c_{\text{CO}_2}^0$  gives the expected exponential trend for the concentration of CO<sub>2</sub> over the reactor length

$$c_{\text{CO}_2}(x) = c_{\text{CO}_2}^0 \exp\left(-\frac{i_{\text{tot}}}{6F c_{\text{CO}_2}^{\text{ref}}(aq)} \frac{x}{u_g H}\right). \quad (4.57)$$

For low conversions, the CO<sub>2</sub> concentration drops almost linearly with the total current density  $i_{\text{tot}}$  for a fixed gas velocity  $u_g$ . With the heterogeneous conversion rate in turn depending linearly on the CO<sub>2</sub> concentration (Eq. 4.19), this explains the observed linear trend between heterogeneous conversion rate and total current density.

## 4.H. FIXED PERFORMANCE VARIABLES FOR MODEL M1

Within this work, all *NPVs* for the electrochemical CO<sub>2</sub> reduction process to C<sub>2</sub>H<sub>4</sub> are found to be negative. This stands in contrast to other publications, such as the seminal paper of Jouny *et al.* [7]. The discrepancy can be explained upon inspection of the employed fixed performance variables for the electrolyser. While prior studies have attempted to find fixed performance variables which render the electrolysis process profitable, this study takes into account their interdependency. While the performance variables are not resolved through the channel scale model of the *no channel* model M1, the interdependencies are taken into account by choosing the fixed performance variables based on a combination of experimental results ( $FE_{C_2H_4} = 0.70$ ) [29] and calculations taken in this work for the cell potential ( $V_{cell} = 3.69$  V, Eq. 22 in the main manuscript), which is based on the threshold current density of  $200 \text{ mA cm}^{-2}$  from Jouny *et al.* [7]. Table 4.9 directly compares the selected performance targets used by Jouny *et al.* [7] to the ones used in model M1 of this work. These performance targets act as an input to the electrolyser scale. The *NPV* is then calculated according to the Eqs. 4.18 - 4.27, similar to the *no channel* model M1. As stated, no positive *NPV* were obtained for the values used in this work as illustrated by the black line in Figure 4.14. To confirm that our electrolyser and process models also yield positive *NPVs* we computed the *NPVs* with model M1 for the targets used by Jouny *et al.* [7] as listed in Table 4.9 (red line in Figure 4.14). To illustrate the importance of  $V_{cell}$ , we also computed the *NPVs* for the fixed cell voltage chosen in this work of  $V_{cell} = 3.69$  V (green line in Figure 4.14) instead of the 2.00 V from Jouny *et al.* [7]. Figure 4.14 shows that even with relatively optimistic values used by Jouny *et al.* [7] for both the heterogeneous conversion and the Faradaic efficiency, only the calculations with a cell voltage of 2.00 V achieve a positive *NPV* for a current density above  $100 \text{ mA cm}^{-2}$ . The *NPV* increases with increasing the current density, as the capital investment costs decrease with increasing current density for a fixed cell potential. An increase in fixed cell potential reduces the overall *NPV*, while the trend with varying current density does not change. The cell potential drives the capital investment costs and is closely linked to the electricity price. When the cell potential is not fixed but its dependency on current density is considered (black line in Figure 4.14) a clear trade-off for increasing current densities is observed. The intersect at around  $200 \text{ mA cm}^{-2}$ , which equals a cell potential of  $V_{cell} = 3.69$  V shows that an increasing cell voltage decreases the *NPV*. Conclusively, the choice of the fixed performance variables has a strong influence on the *NPV* of the electrolysis process, with the cell voltage being one of the main cost drivers. This is in line with the sensitivity results reported by Jouny *et al.* [7].

Table 4.9: Comparison of performance targets used in prior work by Jouny *et al.* [7] and in model M1 of this study.

	$\chi_{het}$ [-]	$FE_{C_2H_4}$ [-]	$V_{cell}$ [V]	$\chi_{hom}$ [-]
Jouny <i>et al.</i> [7]	0.50	0.90	2.00	0.00
This work	0.50	0.70[29]	3.69	0.00

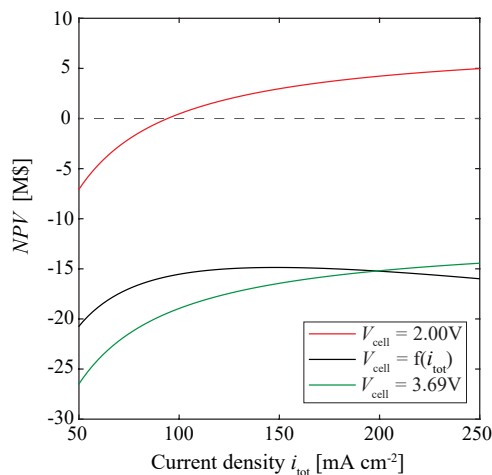


Figure 4.14: Comparison of the  $NPV$  for model M1 with the performance targets used by us for  $V_{\text{cell}} = 3.69\text{V}$  (green line, reported in the bottom row of Table 4.9) and by Jouny *et al.* [7] for  $V_{\text{cell}} = 3.69\text{V}$  (red line, reported in the top row of Table 4.9) and a variable cell voltage (see Section 4.E, black line)

## 4.I. SUMMARY OF ASSUMPTIONS AND THEIR IMPACT ON THE RESULTS

The aim of this work is to define a channel scale model which can sufficiently capture the interdependencies between the performance metrics (Faradaic efficiency, current density, cell voltage, heterogeneous conversion, and consumption) to study their influence on the different scales and economic outlook. The constraints for this model include a fast run time to make it suitable for optimisation studies and the limited available data from experimental results, which makes the validation and extraction of kinetic constants difficult [46]. These constraints lead to the following assumptions discussed throughout the main manuscript and summarised below, together with their justification. Further, the foreseen impact of these assumptions on the  $NPV$  and the optimal operating conditions is discussed.

**Assumptions of the full channel model M3.** In this work only the cathode compartment of a GDE cell is modelled (see Section 4.C). The simplifications in regard of the geometry include that the gas diffusion layer of the cathode electrode is neglected. This layer adds an extra transport resistance for the gases to reach and leave the catalyst layer, since the purpose of this layer is to facilitate their transport. The work by Weng *et al.* [20] shows that the influence on local concentration in the catalyst layer is minimal, justifying that we neglected this layer in this work. Further, species transport is only explicitly modelled in the gas channel, the cathode catalyst layer, and the liquid boundary layer. The extent of the liquid boundary layer is approximated through the L ev eque approximation [37] (Eq. 4.7). The transport in the membrane, the electrolyte flow channels, and at the anode are not modelled. The ohmic resistance of the catholyte chamber and membrane as well as the expected overpotential at the anode are approximated through Ohm's law and the *hyperbolic sine approximation* [59] (Eq. 4.54), respectively. Transport in the gas

channel in the axial direction is dominated by convection. Pressure loss is expected to be small and its effect on flow velocity is therefore neglected. It is further assumed that the chosen catalyst only produces ethylene from  $\text{CO}_2$  or hydrogen from water. However, a catalyst which can selectively produce ethylene is so far not known. The most common catalyst used to produce higher hydrocarbons via electrochemical  $\text{CO}_2$  reduction is copper, which produces a variety of gas and liquid  $\text{CO}_2$  reduction products. Including a specific catalyst in the channel scale model, requires the kinetics of all products to be described by the Butler-Volmer equation (Eq. 4.12) with all individual kinetics constants. Nevertheless, most of the kinetic constants reported in literature vary significantly between different studies and reactor designs as it is difficult to decouple them from the mass transfer and local reaction conditions in the reactor [54]. Therefore the reaction kinetics in this work are simplified with the only carbonaceous product being ethylene and therefore neglecting any additional gas and liquid products forming from  $\text{CO}_2$ . The catalyst layer is assumed to be fully flooded, while stability issues like gas breakthrough due to a pressure difference between the gas and liquid channel [60] are neglected. Transport in the liquid phase is therefore mainly given by diffusion. Diffusion is further corrected for the porosity of the catalyst layer. The phase-transfer occurs at the gas-liquid boundary at the gas channel side. In the catalyst layer the electrochemical reaction and the homogeneous carbonate buffer reaction take place and act as a sink term for the  $\text{CO}_2$ . It is assumed that the reactor is constantly fed with fresh  $1\text{M KHCO}_3$  electrolyte, while recycling and post-treatment of the electrolyte is not considered in the costs. Further, migration of bicarbonate/carbonate ions is neglected [20, 26] as only the cathode compartment is modelled. The resulting carbon balance from these assumptions is shown in Figure 4.15 a). The  $\text{CO}_2$  lost to the carbonate buffer reaction is not recovered. Hence an increase in bicarbonate/carbonate formation leads to a decrease in the *NPV*.

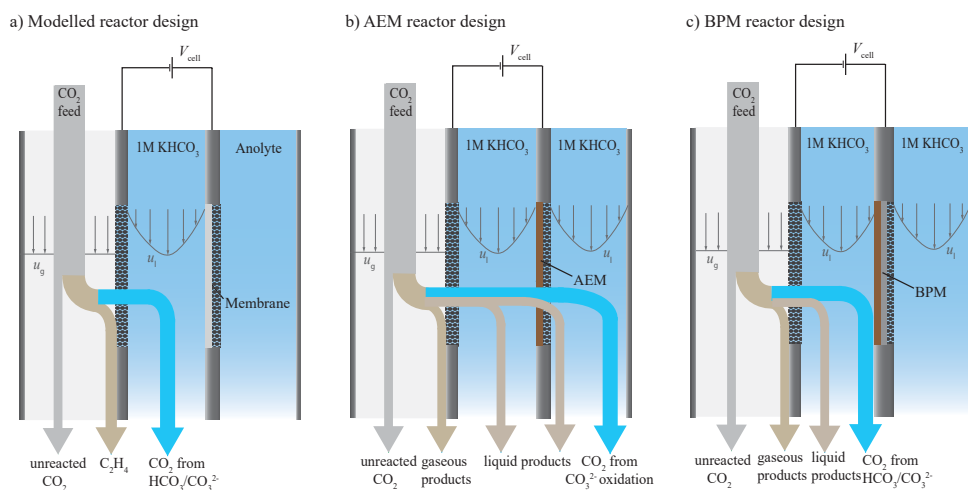


Figure 4.15: Carbon balance for the modelled a), an anion exchange membrane (AEM) b), and a bipolar membrane (BPM) c) GDE reactor.

**Impact of assumptions on results.** In comparison to the carbon balance resulting from the modelling assumptions (Figure 4.15 a) the expected carbon balance for GDE reactors with a fixed type of membrane and anolyte are shown in Figure 4.15 b) and c). For a copper catalyst at the cathode various gaseous and liquid carbonaceous products are expected to form. Considering additional gaseous products increases the required post-treatment steps for the cathode gas stream to separate the products, which adds additional investment and operating costs. It is expected that the profit from these products does not balance the additional costs therefore leading to a decrease of the overall *NPV*. Considering the effect of gas composition and the effect on separation efficiency further leads to higher optimal conversion rates compared to our study. The electrolytes are commonly recirculated, therefore liquid products need to be separated from the liquid streams to avoid accumulation. For anion exchange membrane (AEM) reactors up to 30 - 40% of the liquid products can crossover the membrane to the anode side and be oxidised [61]. The effect of oxidation on the overall cell potential is generally low, therefore no changes in the predicted optimal current density and gas flow rate are expected. The AEM further allows for bicarbonate and carbonate ions to migrate to the anode side. It was found that under steady-state conditions the catholyte pH becomes alkaline and the main charge carrier is carbonate [33]. The carbonate ions which migrate from the cathode to the anode then react in the liquid anolyte with the  $H^+$  ions from the water splitting reaction. This leads to the formation of  $CO_2$  gas in the anolyte. Considering this effect the loss of  $CO_2$  is expected to increase [35]. An increase in the consumption rate of  $CO_2$  intensifies the trade-offs shown between model M2 and M3 and shifts the optimal performance to lower  $CO_2$  conversions. One approach to minimise liquid product and carbonate crossover to the anode is the use of bipolar membranes [34, 62]. However for these membrane types the ohmic loss and hence the overall cell voltage increase. An increase in cell voltage leads to a decrease of the overall *NPV* as discussed in Section 4.H (appendix).

Overall it is expected that the absolute values for the *NPV* decrease if the required additional cleaning steps for the gas and liquid streams are considered. Further, the optimal operating conditions are expected to vary when considering a variety of products or additional loss terms for  $CO_2$  such as degassing due to migration. However, the presented trade-offs and sensitivity towards the process economics are expected to be similar. Including the channel scale model into existing process models which consider additional pre- and post-treatment units presents an interesting next step and could for example give clarity under which conditions the recovery of the degassed  $CO_2$  is desirable.

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# 5

## CONCLUSION AND OUTLOOK

### 5.1. ELECTROREDUCTION OF CO<sub>2</sub> UNDER TAYLOR FLOW

The utilisation of flow-through electrolyzers with gas-diffusion electrodes (GDEs) has been a prevalent approach for the reduction of CO<sub>2</sub> to mitigate mass transfer limitations within liquid electrolytes. Despite its conceptual similarity to fuel cells, this design poses challenges for long term operation and scale-up such as flooding and salt precipitation within the GDE. Addressing these challenges is imperative for realising the full potential of this electrolyser type. An alternative approach involves operating electrolyzers under gas-liquid Taylor flow, potentially enhancing mass transfer without the need for a GDE. Chapter 2 and 3 aimed to explore the viability of gas-liquid Taylor flow as an alternative for the electrochemical conversion of CO<sub>2</sub>.

First, a numerical model to evaluate the reactor performance of Taylor flow in a tubular electrolyser was developed in Chapter 2. The numerical model allowed to investigate the influence of velocity and void fraction on current density and Faradaic efficiency within a tubular flow cell for the reduction of CO<sub>2</sub> to CO. Our findings highlight the significance of the thin liquid film around the gaseous CO<sub>2</sub> bubbles in facilitating mass transfer, particularly at low velocities. Consequently, enhancing the Faradaic efficiency towards CO production favours operation under Taylor flow with long gaseous CO<sub>2</sub> bubbles at low velocities. Moreover, the tubular design's compatibility with high pressure operation presents an opportunity for further enhancing the current density towards CO, potentially making it a promising alternative to conventional GDE designs.

The influence of gas-liquid Taylor flow on the Faradaic efficiency and current density was then experimentally tested in Chapter 3. For this we adapted a common zero-gap electrolyser design with a membrane electrode assembly (MEA), introducing a silver gauze on the cathode side to allow for the reduction of CO<sub>2</sub> to CO. Operating this flow cell with a pure CO<sub>2</sub>-saturated liquid electrolyte feed compared to introducing gaseous CO<sub>2</sub> Taylor bubbles in the rectangular channels shows a significant increase in Faradaic efficiency at a fixed current density. Contrary to previous findings in tubular reactors, increasing the gas-to-liquid ratio did not significantly affect Faradaic efficiency, while an increase in two-phase velocity correlated with an increase in Faradaic efficiency.

The analysis from Chapter 2 and 3 indicates a need to explore the impact of electrode design and increased pressure on structured electrolyzers operated under gas-liquid Taylor flow for CO<sub>2</sub> reduction. Here, we discuss some future research directions.

Catalyst-coated membranes are proposed as an alternative to the silver gauze used in Chapter 3 to reduce additional diffusion resistance for CO<sub>2</sub>. The silver gauze was chosen for its convenient integration in the electrolyser, not for being the best catalyst design in terms of current density and Faradaic efficiency. A pre-treatment of the gauze similar to silver foils as for example through forced oxidation by plasma treatment is expected to increase the overall observed Faradaic efficiency. Challenges in stable electrode design under Taylor flow, particularly with tubular designs, have been explored in the master thesis project of Hortensius [1]. It was concluded that chemical bonding of the catalyst to a substrate is necessary to prevent washing off the catalyst layer. Coating techniques like atomic layer deposition (ALD) are suggested, although challenges remain in deposition efficiency as explored in the master thesis work from Angistali [2]. Therefore, first determining a "wish-list" for the electrode design by using established coating methods such as airbrushing and then exploring techniques like ALD for longer operational stability of the electrode could be a viable option to explore this research gap. Knowledge gained from catalyst fabrication via ALD for the application in water electrolysers can then potentially be adapted.

5

Conversion dynamics, especially bubble behaviour, directly affect mass transfer and reactor length. Consideration of a tandem catalyst system, where CO<sub>2</sub> is first reduced and then passed over a copper catalyst for higher hydrocarbon production, is suggested. These aspects could be explored in the electrochemical set-up by adjusting the applied voltage and introducing a copper catalyst either as a sequence with a copper gauze or by coating copper on the silver gauze.

Integrating back-pressure regulators into the electrochemical set-up could facilitate operation under pressure, albeit limited to a few bars due to current design constraints. Safety considerations would need adjustment for this modification.

Scaling up the tubular and the filter-press electrolyser for the operation under gas-liquid Taylor flow requires addressing feed uniformity and velocity optimisation for mass transfer and heat management. Incorporating heat transfer and Joule heating into numerical models could aid in analysing trade-offs, though with increased computational complexity. Research gaps associated with scaling up tubular or filter-press electrolyser designs, not limited to Taylor flow operation, are identified as following:

1. How can an equal feed of stable Taylor flow across multiple channels be ensured?
2. What are the trade-offs for achieving optimal velocity in terms of mass transfer rates and heat management?

Ensuring uniform Taylor flow distribution across multiple channels is a challenge not unique to CO<sub>2</sub> electrolysers, and it is being explored in other research areas [3]. Solutions from these endeavours could potentially be adapted for implementation here. Incorporating heat transfer and joule heating into the numerical model presented in Chapter 2 may help address mass transfer and heat management concerns. However, extending this model to longer channels would increase computational demands. Initially, the established model could undergo sensitivity analysis to identify relevant trade-offs. Research gaps unique to scaling up tubular or filter-press electrolyser designs, not only in the context of Taylor flow operation, are identified and briefly discussed in subsequent sections.

### 5.1.1. TUBULAR ELECTROLYSERS

Tubular electrolyzers have garnered attention across various electrochemical applications, including high-temperature solid oxide fuel cells and low-temperature polymer electrolyte membrane (PEM) fuel cells [4] and water electrolyzers [5], due to their enhanced surface-area to volume ratio, uniform contact pressure, and potential for cost reduction. In the realm of CO<sub>2</sub> electrolysis, tubular GDEs, known as hollow fibres, have gained traction driving experimental development and scale-up efforts [6].

However, there is limited knowledge on the scale-up of tubular electrolyzers with Laube *et al.* [5] proposing to bundle the individual tubes of a proton exchange membrane water electrolyser cells similar to tubular heat exchangers or in a stack. The patent by Meerkerk [7] (NEWES BV, NL) similarly describes a stacked design for the multiple tubes. As a first step this design could be adapted for CO<sub>2</sub> electrolysis and scaled to a small test cell with a few tubes if proven successful in a singular tube. This test set-up could then aid in understanding current distribution, energy efficiency, and the potential of operation under fluctuating energy input through pairing it with a modelling approach. Due to the complexity of modelling CO<sub>2</sub> electrolyzers, as described in the following section in some more detail, these research gaps are likely best to be tackled first for water electrolyser cells or fuel cells before transferring the knowledge to CO<sub>2</sub> electrolyser.

### 5.1.2. FILTER-PRESS ELECTROLYSERS

Filter-press electrolyzers incorporating zero-gap membrane electrode assemblies (MEAs) have emerged as a promising design for scaling up CO<sub>2</sub> electrolyzers. These electrolyzers consist of flow plates at the anode and cathode to distribute reactants and remove products. Situated between these flow plates, separating the anode and cathode is a polymeric membrane. Despite the challenge of enhancing the selectivity of the anion exchange membrane for CO<sub>2</sub> electrolyser, as also pursued in water electrolysis, the design of the flow plate plays a crucial role in scaling up.

When scaling up CO<sub>2</sub> electrolyzers operated under gas-liquid Taylor flow, addressing the influence of under-rib flow (convection through the porous electrode between flow channel zones) on flow stability becomes important in flow plate design. Initial steps to address this research gap were undertaken in the master thesis work by Uche [8]. Combining the electrolyser cell design from Schneider's master thesis [9] with the Taylor-flow setup described in Chapter 3 would enable an assessment of the extent to which under-rib flow disrupts Taylor flow and how this disruption affects reactor performance. Additionally, the honeycomb-like electrolyser design proposed by Rajaei and Haverkort [10] presents an intriguing avenue for scaling up CO<sub>2</sub> electrolyzers under Taylor flow, given its resemblance to monolithic reactors commonly used for Taylor flow reactors in thermo-catalysis.

Further, achieving a uniform distribution of reactants, heat, and current to enhance the operational stability of CO<sub>2</sub> electrolyzers, requires optimisation of the flow field design and operating conditions. Numerical modelling combined with experimental insights, as demonstrated by Subramanian *et al.* [11] for CO<sub>2</sub> electrolysis and Olesen *et al.* [12] for water electrolyzers, holds promise for assessing these parameters. However, owing to the complexity of numerically solving all relevant governing equations in CO<sub>2</sub> electrolysis [13], careful consideration is necessary to evaluate how phenomena can be de-



coupled, and estimates of the magnitude of effects should be prioritised. First steps in this direction have been made in the thesis work from Weisser Lopez and Jacobs [14, 15]. Experimental techniques that enable observation of an operating electrolyser and assessment of heat distribution [16] and local pH development [17] are invaluable tools for evaluating relevant phenomena and represent an important initial step in determining which phenomena to incorporate into future large-scale models.

## 5.2. THE ASSESSMENT OF CO<sub>2</sub> ELECTROLYSERS

The assessment of electrochemical reactors for CO<sub>2</sub> conversion typically revolves around key performance metrics such as current density (reaction rate), Faradaic efficiency (selectivity) towards the desired product, and cell potential (required energy input/energy efficiency). Techno-economic studies have set threshold values that an electrochemical reactor must achieve for economic viability. However, these studies often overlook experimentally reported interdependencies among these performance metrics. In Chapter 4, we demonstrated how these interdependencies impact the economic outlook through a multi-scale model. For this we built a framework that captures mass transfer effects across the channel length of an alkaline, gas-diffusion electrode (GDE) membrane electrolyser with a flowing catholyte. By coupling the channel-scale dynamics with higher-level process scales and embedding this multi-scale model within an economic framework, we revealed that the derived target values for performance variables strongly depend on the interdependencies described in the channel-scale model. Notably, economically optimal current densities can be significantly lower than previously reported benchmarks, possibly half as low.

Future research on the distribution of reactants, temperature and current density becomes pivotal during scale-up. Integrating these insights at the channel/electrolyser scale could facilitate the derivation of scale-up rules and aid in identifying optimal operational parameters. Beyond the research gaps pertaining to electrolyser design, the broader process and technology surrounding it exert significant influence on desired reactor performance from an economic standpoint. Notably, pre- and post-processing of electrolytes can substantially affect optimal reactor performance and the economic outlook. Moreover, exploring processes for forming higher hydrocarbons and comparing implementation strategies, such as bioreactors versus electro-catalytic and thermocatalytic methods, holds promise. These research gaps can potentially be addressed through the integration of electrolyser models (e.g., from COMSOL or Matlab) in detailed process modelling (e.g., in Aspen), as explored in the conceptual design project [18] and master thesis work by Kroes [19]. This framework could facilitate the utilisation of superstructured optimisation or serve as an evaluation tool for AI-generated process designs to bring technology development, implementation strategies and economics together. This framework could then be adapted to include studies on process control and operation under a variable energy input.

Despite these technological and economic uncertainties, achieving successful decarbonisation of the process industry necessitates synergy across sectors and countries. Therefore, fostering knowledge exchange across departments and industries, as envisioned by initiatives like the TU Delft e-refinery institute, becomes increasingly vital.

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# PROPOSITIONS

1. Film theory fails to describe mass transfer in Taylor flow at low flow rates in rectangular channels.  
*This proposition pertains to Chapter 3 of this thesis and Haase et al., Theoretical Foundations of Chemical Engineering, 2020, 54, 48–63.*
2. At low flow rates the mass transfer coefficient in gas-liquid Taylor flow is independent of the void fraction.  
*This proposition pertains to Chapter 3 of this thesis.*
3. Neither current density nor Faradaic efficiency alone is sufficient to predict profitability of CO<sub>2</sub> electrolysers.  
*This proposition pertains to Chapter 4 of this thesis.*
4. A multiscale approach in modelling of CO<sub>2</sub> electrolysis is crucial to identify bottlenecks and optimal process parameters.  
*This proposition pertains to Chapter 4 of this thesis.*
5. Producing base chemicals from seawater is the only sustainable route for the chemical industry.
6. A key challenge in CO<sub>2</sub> and water electrolysis is not material optimization but reactor design.
7. Transport phenomena courses must include transport of charged species.
8. Institutional and personal biases make universities the ideal environment for discrimination and exclusion.
9. The academic career path is not made for family-oriented people.
10. Governments should prohibit any gender-related advertisement for children's products to provide the foundation for equal career chances.



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*Life need not be easy, provided only that it is not empty.* – Lise Meitner

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# CURRICULUM VITÆ

Isabell Bagemihl was born on August 6th, 1994 in Hamburg, Germany. In 2016, she completed her B.Sc. degree in process engineering at Hamburg University of Applied Sciences. She then moved to Stuttgart and majored in chemical and plastics engineering during her M.Sc. program at the University of Stuttgart. She completed her studies in 2019 in the group of Prof. dr. C. Bonten working on the prediction of structural mechanical properties of fiber reinforced plastic parts by means of coupled process simulations considering the fiber microstructure and matrix plasticity. Part of this work was carried out at the group of Prof. dr. T. Osswald at the University of Wisconsin Madison. The work was awarded with the national best thesis award in 2019 in the field of: Methods and approaches for the development and design of plastic compounds from the WAK (Wissenschaftlicher Arbeitskreis der Kunststofftechnik). From 2019, she started working at the Chemical Engineering Department, Faculty of Applied Sciences, Delft University of Technology as a PhD candidate. She worked on the reactor design and the influence of flow patterns (Taylor flow) on the performance of the electrochemical conversion of CO<sub>2</sub> to value added products under the supervision of her promoters Prof. dr. J.R. van Ommen and Dr. V. van Steijn.





# LIST OF PUBLICATIONS

## JOURNAL PUBLICATIONS RELATED TO THIS DISSERTATION:

3. **I. Bagemihl**, N. Girichandran, S. Saeedy, J. Bleeker, Albert Santoso, Saeed Saedy, R. Kortlever, J.R. van Ommen, V. van Steijn, "Exploring the viability of a Taylor flow electrolyser for enhanced CO<sub>2</sub> electrolysis.", *Under preparation*.
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1. **I. Bagemihl**, C. Bhatraju, J.R. van Ommen, V. van Steijn, "Electrochemical reduction of CO<sub>2</sub> in tubular flow cells under gas-liquid Taylor flow." *ACS Sustainable Chem. Eng.*, 2022.

## OTHER JOURNAL PUBLICATIONS:

2. J. Bleeker; S. S. J. Deursen; L. C. Bakker; T. J. J. M. van Overveld; K. M.R. Lawrence; **I. Bagemihl**; G. Lastrucci; D. Bosma; C. V. Schinkel; E. C. Wagner; J.R. Ommen; D. Vermaas, "Pressure-pulsed flow triples mass transport in aqueous CO<sub>2</sub> electrolysis.", *Under review*.
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## CONFERENCE PROCEEDINGS/PRESENTATIONS:

5. **I. Bagemihl**, L. Cammann, M. Pérez-Fortes, V. van Steijn, J.R. van Ommen, AIChE Annual Meeting, Phoenix, United States of America, *Oral Presentation*, 2022.
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