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DOI

[10.1038/srep44599](https://doi.org/10.1038/srep44599)

Publication date

2017

Document Version

Final published version

Published in

Scientific Reports

Citation (APA)

Wang, X. P., Zhou, P. F., Li, Z. G., Yang, B., Hollmann, F., & Wang, Y. H. (2017). Engineering a lipase B from *Candida antactica* with efficient perhydrolysis performance by eliminating its hydrolase activity. *Scientific Reports*, 7, Article 44599. <https://doi.org/10.1038/srep44599>

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Engineering a lipase B from *Candida antactica* with efficient perhydrolysis performance by eliminating its hydrolase activity

Xu-Ping Wang¹, Peng-Fei Zhou², Zhi-Gang Li², Bo Yang², Frank Hollmann³ & Yong-Hua Wang¹

A Ser105Ala mutant of the lipase B from *Candida antarctica* enables 'perhydrolase-only' reactions. At the example of the chemoenzymatic Baeyer-Villiger oxidation of cyclohexanone, we demonstrate that with this mutant selective oxidation can be achieved in deep eutectic solvent while essentially eliminating the undesired hydrolysis reaction of the product.

In 1899, Adolf von Baeyer and Victor Villiger first reported the transformation of ketones into esters or lactones using peracids¹. Ever since then the Baeyer-Villiger (BV) oxidation represents one of the most-studied reactions in organic synthesis^{2,3}. Particularly, the formation of ϵ -caprolactone is of interest due to its importance as polymer building block⁴. Commonly, peracids such as *m*-chloroperbenzoic acid or peracetic acid are used as stoichiometric reagents in BV-oxidation⁵⁻⁷.

Due to the poor atom efficiency of this methodology catalytic methods are now in focus of current research. Highly stereoselective BV-oxidations using so-called Baeyer-Villiger Monooxygenases (BVMO) are known⁸⁻¹³. However, due to their cofactor-dependency and sometimes low intrinsic stability, BVMOs are not yet practical catalysts¹⁴. In addition, BVMOs also can suffer from product inhibition impairing their catalytic efficiency. Bornscheuer and coworkers solved this by the lipase-catalyzed oligomerization of the corresponding lactones which may not always be the desired solution (product)³. Alternatively, chemoenzymatic BV-oxidations using hydrolases are gaining relevance in organic synthesis¹⁵. These systems utilize hydrolase-catalyzed formation of per acids (in a promiscuous 'perhydrolase' activity) followed by spontaneous BV-oxidation (Fig. 1).

One major drawback of this approach however lies with the intrinsic activity of hydrolases with the products of interest. Esters are preferentially hydrolyzed by hydrolases leading to the undesired formation of ω -hydroxy acids. Hence, use of simple (aqueous) H₂O₂ is not possible but anhydrous reagents such as urea-H₂O₂ adducts have to be used¹⁶.

Recently, we have reported a mutant of the lipase from *Penicillium camembertii* where in the catalytic triad was disrupted by mutating Ser145 to an alanine¹⁷. Obviously this mutant showed no more hydrolase activity but some residual perhydrolase activity. The proposed mechanism for the Ser145Ala mutant involves activation of H₂O₂ by the remaining histidine¹⁷. In continuation of this work we suggested that a similar mutant of the well-known lipase B from *Candida Antarctica* (CalB) might exhibit a similar reaction pattern. This mutant has been investigated in detail by Hult and coworkers for various 'unnatural' reactions such as aldol¹⁸ and Michael-type reactions on α,β -unsaturated carbonyl groups^{19,20}. Therefore, we synthesized the analogous CalB mutant missing the catalytic serine residue of the catalytic triad (Ser105) and replaced it by an alanine.

Results and Discussion

The mutant enzyme was heterologously expressed and purified to homogeneity (as judged by SDS gel analysis, Fig. SI). Then, we proceeded with the evaluation of Ser105Ala for selective BV-oxidation of cyclohexanone. It is worth mentioning that control experiments using a thermally inactivated Ser105Ala (under otherwise identical

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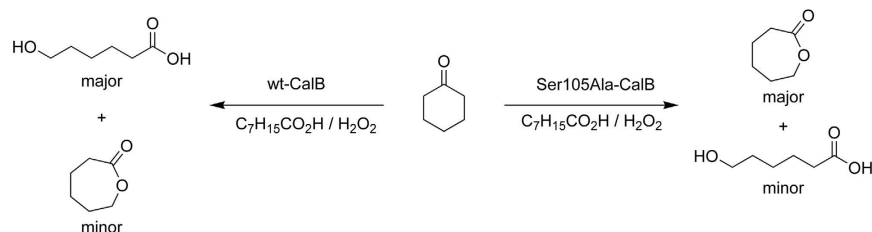


Figure 1. The chemoenzymatic BV-oxidation. The hydrolase mediates the perhydrolysis reaction of octanoic acid to peroctanoic acid, which then spontaneously transforms cyclohexanone to ϵ -caprolactone (desired reaction). Hydrolysis of the lactone product, catalyzed by the hydrolase, represents the undesired side-reaction.

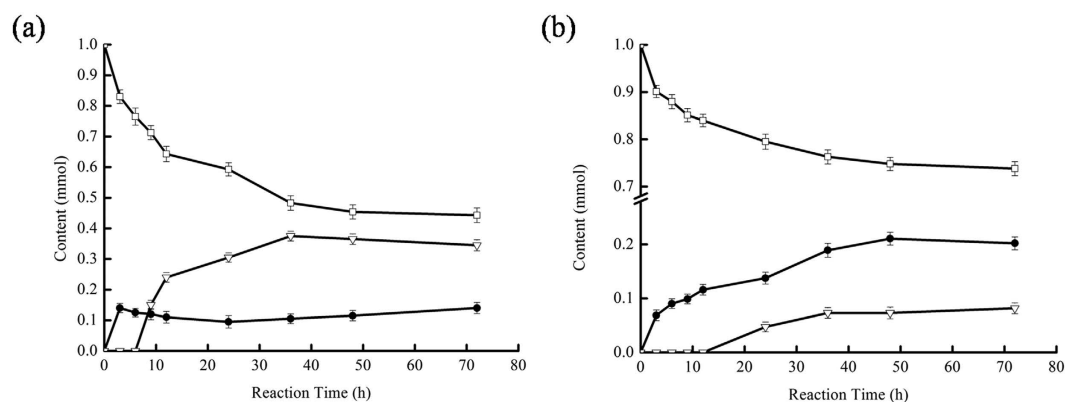


Figure 2. Products formation of ϵ -caprolactone (●) and 6-hydroxycaproic acid (△) and reducing of cyclohexanone (□) using wt-CalB (a) and Ser105Ala (b). General conditions: cyclohexanone (1 mmol), 30% aq. H₂O₂ (2 mmol), octanoic acid (1 mmol), 5 mg CalB (wild-type or S105A), H₂O (1 mL), *n*-hexane (2 mL). T = 40 °C.

conditions) revealed no significant Baeyer-Villiger oxidation activity. Also, no conversion was observed in the absence of free carboxylic acids (data not shown).

Quite expectedly, Ser105Ala exhibited almost no hydrolytic activity for example on ϵ -caprolactone whereas the wild type enzyme smoothly hydrolyzed this substrate (Fig. S2). Encouraged by this we proceeded to the chemoenzymatic BV-oxidation of cyclohexanone comparing both enzyme variants (Fig. 2).

Surprisingly, the ϵ -caprolactone formation rate was only two times higher in case of wt-CalB as compared to the Ser105Ala mutant. Furthermore, accumulation of ϵ -caprolactone continued for at least 48 h in case of the mutant whereas it stopped after 3 h using the wt-CalB. One plausible explanation for this may be acidification of the reaction medium due to lactone hydrolysis.

More importantly, the acid to lactone ratio was inverted from 2:1 (in case of the wt-CalB) to 1:2.5 in case of the Ser105Ala-mutant. In other words, the selectivity of the overall reaction was improved about 5-fold. The hydrolysis product observed in case of the Ser105Ala-reaction can most likely be attributed to spontaneous hydrolysis of the ϵ -caprolactone under the current reaction conditions. Indeed, control reactions testing the stability of ϵ -caprolactone in the reaction medium gave essentially a comparable hydrolysis rate (Fig. S2). Therefore, we are confident that this issue can be overcome in future research by e.g. *in situ* extraction of the lactone into a suitable organic phase thereby preventing the undesired hydrolysis.

It should be mentioned that, both the wt-CalB and Ser105Ala exhibited comparably poor stability under the reaction conditions chosen in Fig. 1. Essentially, after 48 h no more product formation was observable, which we attribute to a loss in catalytic activity of the biocatalyst used.

Inspired by a recent contribution reporting the beneficial effect of deep eutectic solvent (DES) on the activity and stability of lipase²¹, we decided to evaluate a range of DES as alternative solvents for the chemoenzymatic BV-oxidation of cyclohexanone (Table 1).

Pleasingly, using DES generally improved the overall conversion of cyclohexanone. Particularly, ChCl/sorbitol increased the product formation by almost a factor of two, both for the wt- and the mutant enzyme. Again, control reactions with thermally inactivated enzymes yielded no detectable conversion of the starting material.

Encouraged by this, we further elucidated ChCl/sorbitol as ‘performance additive’ for a range of chemoenzymatic BV-oxidations (Table 2).

The results shown in Table 2, confirm our previous observation that Ser105Ala enables significantly more selective reactions. In essence, hydrolysis was not observed with Ser105Ala. Interestingly, however, also the DES appeared to have a beneficial effect on the chemoselectivity by suppressing the undesired hydrolysis reaction. Currently, we are lacking a plausible explanation for this phenomenon. Possibly, the DES also influenced the water activity.

| Entry | Solvent | Conversion (%) | |
|-------|-------------------------------|----------------|-----------|
| | | wt-CalB | Ser105Ala |
| 1 | Water: <i>n</i> -hexane (1:2) | 55 | 24 |
| 2 | ChCl: urea (1:2) | 76 | 26 |
| 3 | ChCl: ethanediol (1:2) | 81 | 29 |
| 4 | ChCl: glycerol (1:2) | 85 | 33 |
| 5 | ChCl: xylitol (1:1) | 89 | 40 |
| 6 | ChCl: sorbitol (1:1) | 92 | 47 |

Table 1. BV-oxidation of cyclohexanone by wt-CalB and Ser105Ala in various DESs. General conditions: cyclohexanone (1 mmol), 30% aq. H₂O₂ (2 mmol), octanoic acid (1 mmol), 5 mg CalB (wild-type or S105A), DES (1.2 g), H₂O (0.3 mL). T = 40 °C, time 48 h.


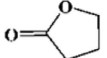

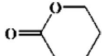

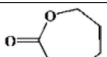
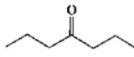
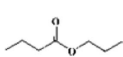
| Entry | Substrate | Product | wt-CalB | | Ser105Ala | |
|-------|---|---|----------------|-----------------|----------------|-----------------|
| | | | Conversion (%) | Selectivity (%) | Conversion (%) | Selectivity (%) |
| 1 |  |  | 99 | 93 | 99 | 100 |
| 2 |  |  | 95 | 48 | 51 | 97 |
| 3 |  |  | 92 | 46 | 47 | 99 |
| 4 |  |  | 79 | 35 | 38 | 96 |

Table 2. Substrate Scope of wt-CalB and Ser105Ala catalyzed BV-oxidation. General conditions: ketone (1 mmol), 30% aq. H₂O₂ (2 mmol), octanoic acid (1 mmol), 5 mg CalB (wild-type or S105A), ChCl/sorbitol (1.2 g), H₂O (0.3 mL). T = 40 °C, time 48 h.

In conclusion, Ser105Ala indeed is a ‘perhydrolase only’ enzyme. Expectedly, removal of the catalytic triad Ser eliminated the enzyme’s hydrolytic activity. However, the perhydrolase activity was largely maintained. Admittedly, the current mutant is not suitable for large-scale preparative applications and further improvements of the specific enzyme activity via structure-guided protein engineering are currently underway in our laboratory. Despite the preliminary character of this study the product to enzyme ratio achieved was already roughly 10:1. Therefore, we are confident that a combination of enzyme- and reaction-engineering will result in a practical protocol for the synthesis of lactones.

Experimental Section

Material. *Escherichia coli* DH5 α and plasmid pGAPZ α A (Invitrogen, USA) were used as cloning host and vector, respectively. *Pichia pastoris* X-33 (Life technology, China) strain was used for expression. Polymerase and DNA restriction endonuclease were purchased from Takara biotechnology Co. Ltd (Dalian, China). Chemicals used in this study were purchased from Aladdin[®] Chemistry Co. Ltd (Shanghai, China) at the highest purity available.

CalB cloning and mutagenesis. The codon optimized the lipase B from *Candida Antarctica* (CalB) gene was synthesized by Shengong biotechnology company (Shanghai, China). The resulted CalB gene was inserted into the pGAPZ α A plasmid to get the expression vector pGAPZ α A-CalB. Site-directed mutagenesis was carried out by site-directed mutagenesis following the QuikChange protocol (Stratagene, USA). Primers for mutant construction were designed by QuikChange Primer Design. The Ser105Ala mutant was confirmed by DNA sequencing in BGI (Shenzhen, China).

Protein Expression and Purification. Expression of CalB and mutant was performed as described previously¹⁷. They purified using Ion Exchange Chromatography (DEAE-Sephadex, GE Healthcare, China) and freeze dried. The purified proteins were analyzed by SDS-PAGE. Protein concentrations were determined by the Bio-Rad Protein Assay (Bio-Rad Laboratories, Inc, USA).

Preparation of DESs. The deep eutectic solvents (DESs) were prepared according to the method²². The corresponding solid components of the desired DESs in the correct proportion were placed in a 250 mL

round-bottom flask. The mixtures were heated at 100 °C under rotary evaporation until a homogeneous transparent liquid was formed.

Baeyer-Villiger oxidation reaction in water/*n*-hexane. Reactions mixture contained cyclohexanone (1 mmol), octanoic acid (1 mmol), *n*-hexane (2 mL), phosphate buffer (20 mmol pH 6.0, 1 mL) and lipase (wt-CalB, Ser105Ala or thermally inactivated CalB, 5 mg), 30% aq. H₂O₂ was added in 10 portions at 5 h intervals (total 2 mmol). The reaction was carried out at 40 °C for 72 h with magnetic stirring at 500 rpm. Extraction of the sample was done with ethyl acetate and removed water by anhydrous Na₂SO₄.

Baeyer-Villiger oxidation reaction in DESs. Reactions mixture contained ketone (1 mmol), octanoic acid (1 mmol), DES (1.2 g), H₂O (0.3 mL) and lipase (wt-CalB or Ser105Ala, 5 mg), 30% aq. H₂O₂ was added in 10 portions at 5 h intervals (total 2 mmol). The reaction was carried out at 40 °C for 48 h. Other reaction conditions and the sample treated method as above.

Hydrolysis of ϵ -caprolactone in reaction medium. To verify whether Ser105Ala could hydrolyze ϵ -caprolactone in the reaction medium, ϵ -caprolactone (1 mmol), octanoic acid (1 mmol), *n*-hexane (2 mL), phosphate buffer (20 mmol pH 6.0, 1 mL) and lipase (wt-CalB, Ser105Ala or thermally inactivated CalB, 5 mg) were mixed in 10 mL conical flask. Then 30% aq. H₂O₂ was added in 10 portions at 5 h intervals (total 2 mmol). The mixture was carried out at 40 °C for 24 h with magnetic stirring at 500 rpm. The thermally inactivated CalB was prepared by boiling CalB in water for 2 h. Extraction of the sample was done with ethyl acetate and removed water by anhydrous Na₂SO₄.

Compounds Analysis. Gas chromatographic analyses were carried out with an Agilent Technology model 7890 GC-instrument equipped with a WAX 30 m × 0.25 mm × 2.0 μ m column. A temperature program was used to keep the samples in a column oven at 60 °C for 1 min, then increased to 113 °C at 5 °C/min, increased to 190 °C at 20 °C/min, increased to 240 °C at 10 °C/min for 5 min. The split ratio was 30:1. The injector and the flame ionization detector temperatures were set at 250 and 280 °C, respectively. Peaks in GC chromatograms were identified by comparison of their retention times with reference standards.

Calculations.

$$\text{Conversion(\%)} = \frac{C_0 - C_1}{C_0} \times 100 \quad (1)$$

$$\text{Selectivity(\%)} = \frac{C_2}{C_2 + C_3} \times 100 \quad (2)$$

where C₀ (mM) refers to the concentration of ketones (cyclobutanone, cyclopentanone, cyclohexanone and 4-heptanone) at t = 0 h; C₁ (mM) refers to ketones at t = 48 h. C₂ (mM) refers to the concentration of lactones and ester (γ -butyrolactone, δ -valerolactone, ϵ -caprolactone and propyl butyrate); C₃ (mM) refers to the concentration of acids (6-hydroxycaproic acid, 5-hydroxyvaleric acid, 4-hydroxy-butanoic acid and butyrate).

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Acknowledgements

The authors thank Professor Junpeng Zhao for the useful discussion for this manuscript. This work was supported by National Natural science foundation of China (31471690), National High Technology Research and Development Program of China (863 program, 2014AA093514, 2014AA093601) and Science and Technology Planning project of Guangdong province (2014B020204003, 2015B020231006).

Author Contributions

Y.-H.W. conceived the idea. X.-P.W. and P.-F.Z. performed the data collection. Z.-G.L. analyzed the results. F.H. wrote the manuscript. All authors participated in the revising of the manuscript.

Additional Information

Supplementary information accompanies this paper at <http://www.nature.com/srep>

Competing Interests: The authors declare no competing financial interests.

How to cite this article: Wang, X.-P. *et al.* Engineering a lipase B from *Candida antactica* with efficient perhydrolysis performance by eliminating its hydrolase activity. *Sci. Rep.* **7**, 44599; doi: 10.1038/srep44599 (2017).

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