

Microwave-induced resistance oscillations in a back-gated GaAs quantum well

Fu, X.; Ebner, Q. A.; Shi, Q.; Zudov, M. A.; Qian, Q.; Watson, J. D.; Manfra, M. J.

DOI

[10.1103/PhysRevB.95.235415](https://doi.org/10.1103/PhysRevB.95.235415)

Publication date

2017

Document Version

Final published version

Published in

Physical Review B

Citation (APA)

Fu, X., Ebner, Q. A., Shi, Q., Zudov, M. A., Qian, Q., Watson, J. D., & Manfra, M. J. (2017). Microwave-induced resistance oscillations in a back-gated GaAs quantum well. *Physical Review B*, *95*(23), Article 235415. <https://doi.org/10.1103/PhysRevB.95.235415>

Important note

To cite this publication, please use the final published version (if applicable). Please check the document version above.

Copyright

Other than for strictly personal use, it is not permitted to download, forward or distribute the text or part of it, without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license such as Creative Commons.

Takedown policy

Please contact us and provide details if you believe this document breaches copyrights. We will remove access to the work immediately and investigate your claim.

Microwave-induced resistance oscillations in a back-gated GaAs quantum wellX. Fu,¹ Q. A. Ebner,¹ Q. Shi,¹ M. A. Zudov,^{1,*} Q. Qian,² J. D. Watson,^{2,†} and M. J. Manfra^{2,3,4}¹*School of Physics and Astronomy, University of Minnesota, Minneapolis, Minnesota 55455, USA*²*Department of Physics and Astronomy and Birck Nanotechnology Center, Purdue University, West Lafayette, Indiana 47907, USA*³*Station Q Purdue, Purdue University, West Lafayette, Indiana 47907, USA*⁴*School of Materials Engineering and School of Electrical and Computer Engineering, Purdue University, West Lafayette, Indiana 47907, USA*

(Received 21 March 2017; revised manuscript received 27 April 2017; published 9 June 2017)

We performed effective mass measurements employing microwave-induced resistance oscillation in a tunable-density GaAs/AlGaAs quantum well. Our main result is a clear observation of an effective mass increase with decreasing density, in general agreement with earlier studies which investigated the density dependence of the effective mass employing Shubnikov–de Haas oscillations. This finding provides further evidence that microwave-induced resistance oscillations are sensitive to electron-electron interactions and offer a convenient and accurate way to obtain the effective mass.

DOI: [10.1103/PhysRevB.95.235415](https://doi.org/10.1103/PhysRevB.95.235415)

It is well established that the effective electron mass m^* in GaAs/AlGaAs-based two-dimensional electron gas (2DEG) can deviate from the band mass of bulk GaAs, $m_b = 0.067m_0$ (m_0 is the free electron mass). One cause for this deviation is the nonparabolicity of the GaAs conduction band which leads to an enhancement of m^* with respect to m_b . This enhancement becomes more pronounced at higher carrier densities and/or in narrower quantum wells. Another important aspect is electron-electron interactions which, depending on the carrier density n_e , can either increase or decrease m^* [1–9]. Since cyclotron resonance is immune to interactions [10], one usually resorts to m^* measurements using Shubnikov–de Haas oscillations (SdHO) to pick up these effects [3,4].

SdHO is a prime example of magnetoresistance oscillations which originate from Landau quantization when a 2DEG is subjected to a varying magnetic field B and low temperature T . These oscillations owe to the commensurability between the Fermi energy and the cyclotron energy $\hbar\omega_c = \hbar eB/m^*$. Since these energies are both inversely proportional to m^* , m^* cancels out and the SdHO frequency $B_{\text{SdHO}} = \pi\hbar n_e/e$ can only be used to obtain the carrier density n_e . The information about m^* is contained in the SdHO amplitude which is proportional to $(X_T/\sinh X_T) \exp(-\pi/\omega_c\tau_q)$, where $X_T = 2\pi^2 k_B T/\hbar\omega_c \propto m^*$, k_B is the Boltzmann constant, and τ_q is the quantum lifetime. Therefore, the only way to extract m^* from the SdHO measurements is through the examination of the decay of the SdHO amplitude with increasing temperature. Such an approach, however, is very time consuming as it requires very long B sweeps at several different temperatures, followed by a careful analysis. Furthermore, the SdHO method suffers from a relatively low accuracy even if the data reduction procedure seems to work properly [3,11–13]. Therefore, it is very desirable to employ other experimental probes, which are free from the above drawbacks, to obtain m^* .

One such probe is based on a phenomenon known as microwave-induced resistance oscillations (MIRO) which

emerge in irradiated 2DEGs [14,15]. While MIRO also originate from Landau quantization, the role of the Fermi energy is now assumed by the energy of the incident photon $\hbar\omega$, where $\omega = 2\pi f$ is the microwave frequency. As a result, the effective mass m^* can be obtained directly from the MIRO frequency,

$$B_\omega = \frac{m^*\omega}{e}, \quad (1)$$

which does not contain any other unknown parameters and can be measured precisely in a single B sweep. In addition, it was recently shown [9] that m^* obtained using Eq. (1) differs from the value obtained from magnetoplasmon resonance [9], indicating sensitivity of the MIRO mass to interaction effects. Both of the above properties make MIRO an accurate, fast, and convenient option to investigate effective mass renormalization due to electron-electron interactions.

In this paper we investigate the effect of the carrier density n_e on the effective mass obtained from the MIRO frequency in a high-mobility GaAs/AlGaAs quantum well equipped with an *in situ* back gate. At a higher electron density ($n_e \approx 3.16 \times 10^{11} \text{ cm}^{-2}$), the analysis of the MIRO frequency revealed $m^* < m_b$, in accord with Ref. [9], which investigated MIRO in samples of similar density. When the carrier density was lowered down to $n_e \approx 1.26 \times 10^{11} \text{ cm}^{-2}$, our MIRO data clearly showed an increase of m^* . While the increase of m^* is expected to occur with decreasing n_e , the detection of this increase previously required going to much lower densities [4], presumably, due to a considerably lower accuracy of the traditional SdHO approach.

Our 2DEG resides in a 30-nm GaAs/AlGaAs quantum well located about 200 nm below the sample surface. The structure is doped in a 2-nm GaAs quantum well at a setback of 63 nm on a top side. The *in situ* gate consists of an n^+ GaAs layer situated 850 nm below the bottom of the quantum well [16]. The density of the 2DEG at zero gate bias is $n_e \approx 1.64 \times 10^{11} \text{ cm}^{-2}$ [16]. Ohmic contacts were fabricated at the corners and midsides of the lithographically defined $1 \times 1 \text{ mm}^2$ van der Pauw mesa. The low-temperature electron mobility varied from $\mu \approx 0.4 \times 10^7$ to $1.2 \times 10^7 \text{ cm}^2/\text{V s}$ over the density range studied. Microwave radiation of $f = 34 \text{ GHz}$,

*Corresponding author: zudov@physics.umn.edu

†Present address: QuTech and Kavli Institute of Nanoscience, Delft Technical University, 2600 GA Delft, The Netherlands.

generated by a synthesized sweeper, was delivered to the sample immersed in liquid ^3He via a rectangular (WR-28) stainless steel waveguide. The resistance R was measured using a standard low-frequency (a few Hz) lock-in technique.

Before presenting our experimental results, we recall that the radiation-induced correction to resistance which gives rise to MIRO can be described by [17,18]

$$\delta R \propto -\lambda^2 \epsilon \sin 2\pi \epsilon, \quad (2)$$

where $\epsilon \equiv \omega/\omega_c \equiv B_\omega/B$ and $\lambda = \exp(-\epsilon/2f\tau_q)$ is the Dingle factor. It then follows that the N th-order MIRO maximum (+) and minimum (-) can be described by [17,18]

$$\epsilon = \epsilon_N^\pm \equiv N + \delta_N^\pm, \quad \delta_N^\pm \approx \mp 0.25, \quad (3)$$

while the N th zero-response node, defined by $\delta R = 0$, occurs at

$$\epsilon = N. \quad (4)$$

While Eq. (3) is very simple, it should be used with caution. First, it follows from Eq. (2), which is valid only in the regime of overlapping Landau levels, i.e., when the amplitude of oscillations in the density of states (given by $\lambda \ll 1$) due to Landau quantization is small. Second, it works best at low radiation intensities as high microwave power is known to reduce $|\delta_N^\pm|$ or even introduce additional oscillations [19,20]. Finally, at sufficiently low values of $f\tau_q$, the exponential dependence of the Dingle factor can be strong enough to cause a significant shift of the oscillation extrema towards lower ϵ [21–24]. These considerations suggest that it is important to confirm that $|\delta_N^\pm| \approx 0.25$. While none of the above limitations apply to Eq. (4), direct determination of the node positions from the experimental data is not possible.

In Fig. 1 we present magnetoresistance $R(B)$ for three different densities, $n_e \approx 1.26$ (top trace), 1.71 (middle trace), and $3.16 \times 10^{11} \text{ cm}^{-2}$ (bottom trace), measured at $T = 1.5 \text{ K}$ under irradiation by microwaves of $f = 34 \text{ GHz}$. It is evident that as the density is lowered, MIRO continuously shift to higher magnetic fields, reflecting an increase of the effective mass. The shift can also be discerned by comparing vertical line segments drawn at $B_N = B_\omega/N$ for $N = 1, 2, 3$, computed using Eq. (1) and m^* values obtained as discussed below.

Since $\epsilon = B_\omega/B \propto m^*/B$, m^* can be readily obtained from the slope of ϵ_N^\pm vs $1/B$ evaluated at the MIRO extrema. This approach is illustrated in Fig. 2, showing ϵ_N^+ (●) and ϵ_N^- (○) as a function of $1/B$ at the MIRO maxima (cf. 2+, 3+, 4+) and minima, respectively, obtained from R (solid line) measured at $n_e = 1.26 \times 10^{11} \text{ cm}^{-2}$, $T = 0.5 \text{ K}$, and $f = 34 \text{ GHz}$. One readily observes that the data points for both maxima and minima fall on the same straight line passing through the origin. This observation is important as it confirms that the positions of the MIRO maxima are accurately described by Eq. (3). The linear fit (solid line) generates the MIRO frequency $B_\omega = 0.804 \text{ kG}$, from which one obtains $m^* = 0.0662m_0$ using Eq. (1).

While $|\delta_N^\pm| \approx 0.25$ is a good approximation for $N = 2, 3, 4$, the extrema near the cyclotron resonance are pushed towards the nodes at $\epsilon = \pm 1$ and are characterized by a considerably smaller $|\delta_1^\pm|$. As a result, these extrema cannot be directly included in the analysis to obtain the mass. However, since

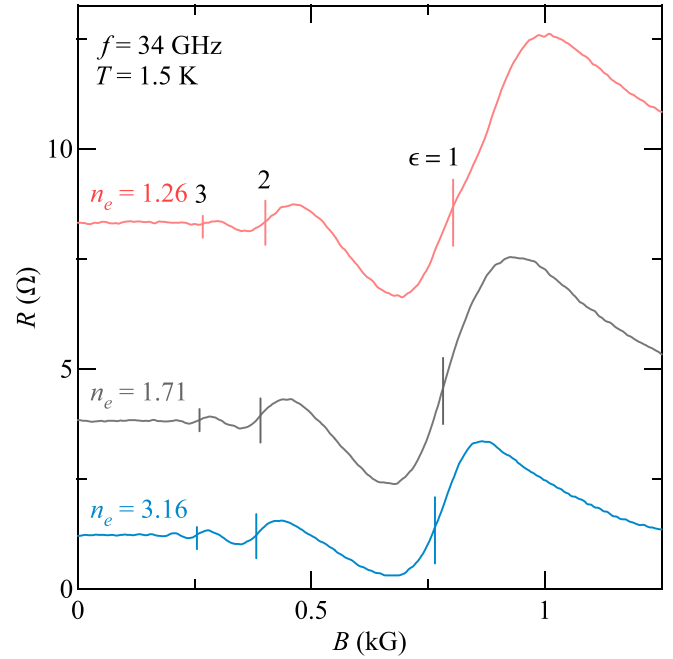


FIG. 1. Magnetoresistance $R(B)$ measured at density $n_e \approx 1.26$ (top trace), 1.71 (middle trace), and $3.16 \times 10^{11} \text{ cm}^{-2}$ (bottom trace) at $T = 1.5 \text{ K}$ under irradiation by microwaves of $f = 34 \text{ GHz}$. Vertical line segments are drawn at $B = B_\omega/N$ for $N = 1, 2, 3$, as marked.

$|\delta_1^+| \approx |\delta_1^-|$, one can use the average position of these extrema, i.e., $1/B_1 = (1/B_1^+ + 1/B_1^-)/2$, to obtain data points at the node between them, $\epsilon = N = \pm 1$. As shown in Fig. 2, these

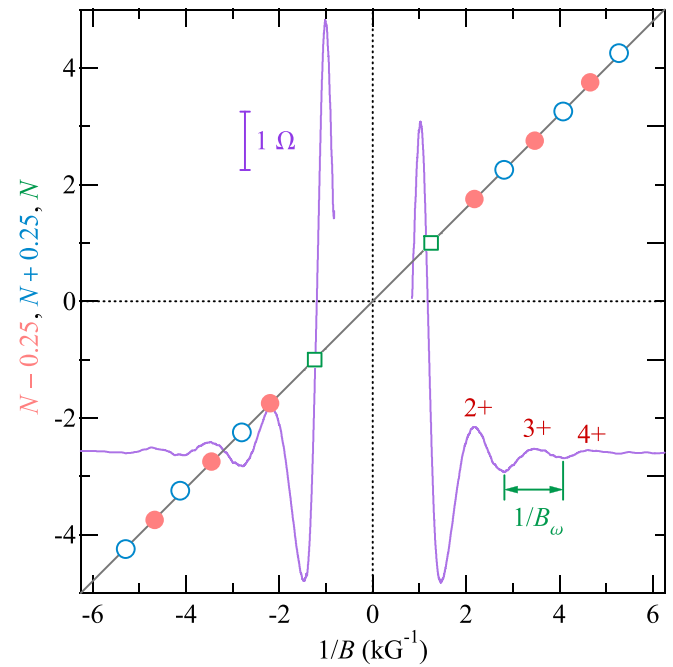


FIG. 2. $N - 0.25$ (●) and $N + 0.25$ (○) as a function of $1/B$ at the MIRO maxima (cf. 2+, 3+, 4+) and minima, respectively, obtained from R (solid line) measured at $n_e = 1.26 \times 10^{11} \text{ cm}^{-2}$, $T = 0.5 \text{ K}$, and $f = 34 \text{ GHz}$. $N = \pm 1$ (□) vs $1/B = (1/B_1^+ + 1/B_1^-)/2$ (see text). Linear fit to B_ω/B generates MIRO frequency $B_\omega = 0.804 \text{ kG}$, from which one obtains $m^* = 0.0662m_0$ using Eq. (1).

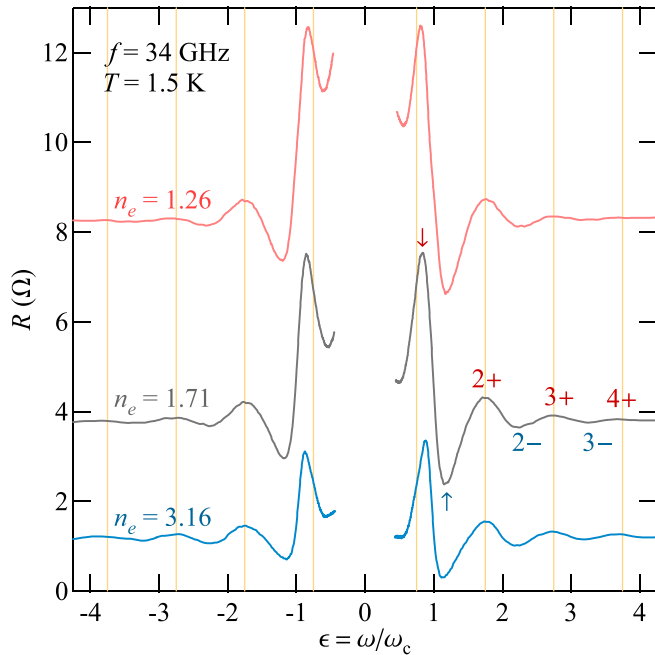


FIG. 3. R for $n_e \approx 1.26$ (top trace), 1.71 (middle trace), and $3.16 \times 10^{11} \text{ cm}^{-2}$ (bottom trace) measured at $T = 1.5 \text{ K}$ and $f = 34 \text{ GHz}$ as a function of $\epsilon = \omega/\omega_c$ computed using $\epsilon = B_\omega/B$ with $m^* = 0.0662m_0$, $0.0644m_0$, and $0.0631m_0$, respectively. MIRO maxima (minima) are marked by $N+$ ($N-$) for $N = 2, 3, 4$ and by \downarrow (\uparrow) for $N = 1$ next to the middle trace. Vertical lines are drawn at $\epsilon = \pm 0.75, \pm 1.75, \pm 2.75, \pm 3.75$.

points (\square) are in excellent agreement with the rest of the data, supporting the viability of the above approach.

Having obtained B_ω , it is straightforward to compute ϵ which allows further validation of the data reduction procedure to obtain the effective mass. In Fig. 3 we present R as a function of $\epsilon = B_\omega/B$ computed using $m^* = 0.0662m_0$, $0.0644m_0$, and $0.0631m_0$ for $n_e \approx 1.26$ (top trace), 1.71 (middle trace), and $3.16 \times 10^{11} \text{ cm}^{-2}$ (bottom trace), respectively, measured at $T = 1.5 \text{ K}$ and $f = 34 \text{ GHz}$. Vertical lines are drawn at $\epsilon = \pm 0.75, \pm 1.75, \pm 2.75, \pm 3.75$. These lines pass through all MIRO maxima with $|N| \geq 2$, confirming that $|\delta_N^\pm| \approx 0.25$. The same conclusion can be drawn for the MIRO minima.

After repeating the effective mass extraction for other densities, we summarize our findings in Fig. 4, showing m^* , in units of a free electron mass m_0 , as a function of n_e . We find that the effective mass increases [25] from $m^* \approx 0.0631m_0$ to $0.0662m_0$, as the density is lowered from $n_e \approx 3.16$ to $1.26 \times 10^{11} \text{ cm}^{-2}$. It is also evident that at lower n_e the effective mass is changing at a faster rate.

It is interesting to compare our findings with an earlier study which investigated the density dependence of m^* obtained from SdHO in a heterojunction-insulated gate field-effect transistor (HIGFET) [4]. The findings of Ref. [4] can be briefly summarized as follows. At low densities, between $n_e \approx 1 \times 10^{10}$ and $1 \times 10^{11} \text{ cm}^{-2}$, m^* showed a decrease from $m^* \approx 0.085$ – 0.1 to $m^* \approx 0.06$ – 0.065 . However, a further increase of density up to $n_e = 4 \times 10^{11} \text{ cm}^{-2}$ showed either little variation of the effective mass within the experimental uncertainty [4] or a slight increase [3] which could have originated from nonparabolicity [25]. This is in contrast to

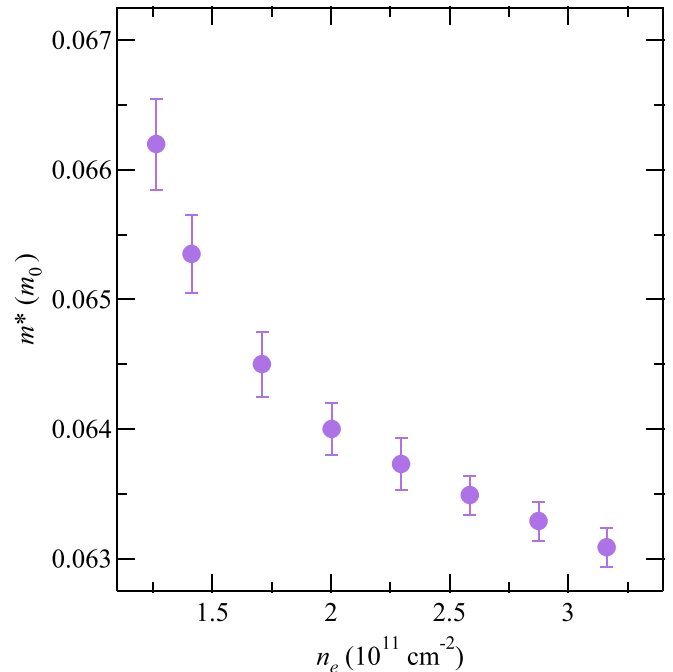


FIG. 4. Effective mass m^* , in units of a free electron mass m_0 , as a function of the carrier density n_e .

our data which clearly show a noticeable decrease of m^* with increasing n_e within this density range.

One possible reason for the above discrepancy is a much higher accuracy of our approach as compared to the SdHO analysis. Indeed, the uncertainty of the mass obtained in Ref. [4] is comparable to the mass change detected in our experiment. However, it is also known that quantum confinement of a 2DEG under study sensitively affects mass renormalization due to electron-electron interactions [5–7]. More specifically, the finite thickness of the 2DEG softens the Coulomb interaction potential, resulting in a reduced mass value compared to the ideal 2D case [5–7]. Furthermore, the dependence of the quantum confinement on the gate voltage is not universal but depends on the heterostructure design. In contrast to HIGFET, the electron distribution in our quantum well becomes wider and more symmetric when a positive bias is applied to the back gate. As a result, one should exercise caution when attempting a quantitative comparison of our findings with that of Ref. [4] or with existing calculations [5–7], both of which investigated a HIGFET realization of a 2DEG [26].

In summary, we investigated the effect of carrier density n_e on the effective mass obtained from the MIRO frequency in a high-mobility modulation-doped GaAs/AlGaAs quantum well equipped with an *in situ* back gate over the density range from $n_e \approx 1.2 \times 10^{11} \text{ cm}^{-2}$ to $n_e \approx 3.2 \times 10^{11} \text{ cm}^{-2}$. At the highest n_e , the analysis of the MIRO frequency revealed $m^* \approx 0.063m_0$, considerably lower than the band mass value $m_b = 0.067m_0$, in qualitative agreement with Refs. [9,27]. With decreasing density, the effective mass was found to increase, exceeding $m^* = 0.066m_0$ at the lowest density. While the low-density increase of m^* has been previously established by SdHO measurements [4], it was detected only at much lower densities. Taken together, our findings lend strong support

that MIRO, as SdHO [3,4], are sensitive to electron-electron interactions but offer a much more convenient and accurate means to obtain m^* . In addition, the MIRO approach can be directly applied to the effective mass renormalization studies in other systems, such as recently emerged high-quality Ge/SiGe and MgZnO/ZnO heterostructures. Finally, our results are in general agreement with recent measurements of the MIRO

mass in a series of individual samples covering a wider density range [28].

We thank I. Dmitriev for discussions. The work at Minnesota (Purdue) was supported by the U.S. Department of Energy, Office of Science, Basic Energy Sciences, under Award No. ER 46640-SC0002567 (DE-SC0006671).

-
- [1] A. P. Smith, A. H. MacDonald, and G. Gumbs, *Phys. Rev. B* **45**, 8829 (1992).
- [2] Y. Kwon, D. M. Ceperley, and R. M. Martin, *Phys. Rev. B* **50**, 1684 (1994).
- [3] P. Coleridge, M. Hayne, P. Zawadzki, and A. Sachrajda, *Surf. Sci.* **361–362**, 560 (1996).
- [4] Y.-W. Tan, J. Zhu, H. L. Stormer, L. N. Pfeiffer, K. W. Baldwin, and K. W. West, *Phys. Rev. Lett.* **94**, 016405 (2005).
- [5] Y. Zhang and S. Das Sarma, *Phys. Rev. B* **72**, 075308 (2005).
- [6] R. Asgari, B. Davoudi, M. Polini, G. F. Giuliani, M. P. Tosi, and G. Vignale, *Phys. Rev. B* **71**, 045323 (2005).
- [7] R. Asgari and B. Tanatar, *Phys. Rev. B* **74**, 075301 (2006).
- [8] N. D. Drummond and R. J. Needs, *Phys. Rev. B* **80**, 245104 (2009).
- [9] A. T. Hatke, M. A. Zudov, J. D. Watson, M. J. Manfra, L. N. Pfeiffer, and K. W. West, *Phys. Rev. B* **87**, 161307(R) (2013).
- [10] W. Kohn, *Phys. Rev.* **123**, 1242 (1961).
- [11] P. T. Coleridge, *Phys. Rev. B* **44**, 3793 (1991).
- [12] M. Hayne, A. Usher, J. J. Harris, and C. T. Foxon, *Phys. Rev. B* **46**, 9515 (1992).
- [13] M. Hayne, A. Usher, J. J. Harris, and C. T. Foxon, *Phys. Rev. B* **56**, 10446 (1997).
- [14] M. A. Zudov, R. R. Du, J. A. Simmons, and J. L. Reno, *Phys. Rev. B* **64**, 201311(R) (2001).
- [15] P. D. Ye, L. W. Engel, D. C. Tsui, J. A. Simmons, J. R. Wendt, G. A. Vawter, and J. L. Reno, *Appl. Phys. Lett.* **79**, 2193 (2001).
- [16] J. D. Watson, G. A. Csáthy, and M. J. Manfra, *Phys. Rev. Appl.* **3**, 064004 (2015).
- [17] I. A. Dmitriev, A. D. Mirlin, D. G. Polyakov, and M. A. Zudov, *Rev. Mod. Phys.* **84**, 1709 (2012).
- [18] For brevity, we limit theoretical expressions to the case of positive B , N , and ϵ . For negative values, one should change the sign of δ_N^\pm .
- [19] A. T. Hatke, M. Khodas, M. A. Zudov, L. N. Pfeiffer, and K. W. West, *Phys. Rev. B* **84**, 241302(R) (2011).
- [20] Q. Shi, M. A. Zudov, I. A. Dmitriev, K. W. Baldwin, L. N. Pfeiffer, and K. W. West, *Phys. Rev. B* **95**, 041403(R) (2017).
- [21] M. A. Zudov, O. A. Mironov, Q. A. Ebner, P. D. Martin, Q. Shi, and D. R. Leadley, *Phys. Rev. B* **89**, 125401 (2014).
- [22] Q. Shi, Q. A. Ebner, and M. A. Zudov, *Phys. Rev. B* **90**, 161301(R) (2014).
- [23] D. F. Kärcher, A. V. Shchepetilnikov, Y. A. Nefyodov, J. Falson, I. A. Dmitriev, Y. Kozuka, D. Maryenko, A. Tsukazaki, S. I. Dorozhkin, I. V. Kukushkin *et al.*, *Phys. Rev. B* **93**, 041410 (2016).
- [24] Q. Shi, M. A. Zudov, J. Falson, Y. Kozuka, A. Tsukazaki, M. Kawasaki, K. von Klitzing, and J. Smet, *Phys. Rev. B* **95**, 041411(R) (2017).
- [25] In contrast to electron-electron interactions, nonparabolicity increases m^* as the density is raised. In a HIGFET used in Ref. [4], this increase was estimated to be about 3% within our density range.
- [26] Another recent study [29] has reported $m^* = 0.0575m_e$ and $m^* \approx 0.067m_e$ in a HIGFET and a modulation-doped single-interface heterostructure, respectively. Apart from the delta doping, both heterostructures were identical and both samples were gated to the same density $n_e = 6.1 \times 10^{10} \text{ cm}^{-2}$. While the samples were characterized by very different values of transport and quantum lifetimes, which might have affected the accuracy of the SdHO method, Ref. [29] did not comment on the origin of this discrepancy.
- [27] Quantitatively, our study revealed the effective mass which is noticeably (about 7%) higher than that obtained in Ref. [9]. While the exact reason for such a discrepancy is unknown, it might be due to the differences in heterostructure designs, as suggested in a recent study [29].
- [28] A. V. Shchepetilnikov, D. D. Frolov, Y. A. Nefyodov, I. V. Kukushkin, and S. Schmult, *Phys. Rev. B* **95**, 161305 (2017).
- [29] S. Peters, L. Tiemann, C. Reichl, and W. Wegscheider, *Phys. Rev. B* **94**, 045304 (2016).