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Optimistic Entanglement Purification in Quantum Networks

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Abstract—Noise and photon loss encountered on quantum channels pose a major challenge for reliable entanglement generation in quantum networks. In near-term networks, heralding is required to inform endpoints of successfully generated entanglement. If after heralding, entanglement fidelity is too low, entanglement purification may be utilized to probabilistically increase fidelity. Traditionally, purification protocols proceed as follows: generate heralded EPR pairs, execute a series of quantum operations on two or more pairs between two nodes, and classically communicate results to check for success. Purification may require several rounds while qubits are stored in memories, vulnerable to decoherence. In this work, we explore notions of optimistic purification, wherein classical communication required for heralding and purification is delayed, possibly to the end of the process. Optimism reduces the overall time EPR pairs are stored in memory, increasing fidelity while possibly decreasing EPR pair rate due to decreased heralding and purification failure. We apply optimism to the entanglement pumping scheme, ground- and satellite-based EPR generation sources, and current state-of-the-art purification circuits that include several measurement and purification checkpoints. We evaluate performance in view of a number of parameters, including link length, EPR source rate and fidelity; and memory coherence time. We show that while our optimistic protocol increases fidelity, the traditional approach may even decrease fidelity for longer distances. We study the trade-off between rate and fidelity under entanglement-based QKD, and find that optimistic schemes can yield higher rates compared to non-optimistic counterparts, with most advantages seen in scenarios with low initial fidelity and short coherence times.

I. INTRODUCTION

Certain features of quantum mechanics, such as superposition, entanglement, and interference, have the potential to equip us with applications that are not achievable in the classical world. Examples of quantum-enabled advantages include exponential and polynomial algorithmic speedups and provably secure communication [1]. Besides being able to provide the latter, quantum networks [2] can also support distributed quantum computation [3], clock synchronization [4], and quantum sensing [5]. An essential requirement for distributed quantum applications is entanglement of sufficiently high quality shared between nodes. Consequently, one of the main goals of a quantum network is to reliably distribute this resource across a potentially large distance.

A maximally entangled bipartite state (also known as an Einstein-Podolsky-Rosen (EPR) [6] or Bell pair) is a pair of qubits that are entangled such that if we measure the quantum



Fig. 1. Purification example. Two nodes, with three quantum memories each, begin with three imperfect entangled states (red curves, dashed). After purification is carried out (successfully in the example), the nodes are left with a higher-quality single entangled state (red curve, solid).

state of one, then we know the exact state of the other. One can use photons to generate and distribute EPR pairs but due to exponential photon loss in optical fiber, the generation of an EPR pair over a long distance poses a significant challenge. Further, due to the No-Cloning Theorem [7], one cannot copy or amplify quantum information at intermediate stations. A solution is to use quantum repeaters [8,9] which assist with long-distance entanglement generation via entanglement swapping [10].

Imperfect memories, decoherence, and gate noise preclude the distribution of perfect entanglement within a quantum network. In reality, what nodes receive is low quality EPR pairs. Entanglement quality is crucial for distributed quantum applications, *e.g.*, quantum key distribution (QKD) [1,11], Blind Quantum Computation (BQC) [12], as it can determine not only performance measures specific to such an application, but also the feasibility of carrying it out at all. It is therefore necessary to take heed of and increase this quality when possible. One measure of entanglement quality is *fidelity*, which quantifies the closeness of a given quantum state to some desired state. In quantum networking, a commonly sought-after goal is the distribution of high-fidelity entanglement, where fidelity is computed in reference to one of the four Bell pairs. One way to increase fidelity is through purification [13] which involves application of local gates and measurements on both ends of a shared entangled state, followed by a classical information exchange to communicate success or failure of this probabilistic process. Figure 1 illustrates the method at a high level.

Heralded entanglement purification (HEP) is a necessary mechanism for first-generation quantum networks [14], and yet, practical execution workflows for such protocols still require more study. Our work investigates the advantages

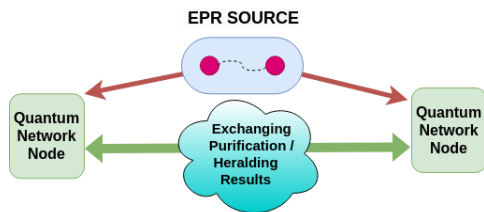


Fig. 2. The setup is comprised of an entanglement source situated between two quantum network nodes. The nodes, capable of performing purification, are equipped with quantum memories that can store entangled states.

and limitations of purification on a single quantum link – a building block for quantum networks – as a means of improving our understanding of how such workflows could be designed and realized on a fully fledged network. Figure 2 illustrates the setup we consider: two nodes are connected via a classical channel used for heralding entanglement and exchanging purification results. Equidistant from both nodes is an entanglement generation source that distributes sub-unit fidelity entanglement. Nodes are equipped with imperfect quantum memories and noisy gates, and are capable of performing purification.

Purification can be performed by two nodes that share at least two heralded entangled pairs: one, which we denote as the *main* pair, is kept, while others, often called *sacrificial* pairs, are eventually measured¹ [9, 13, 15, 16]. The traditional way of carrying out purification involves each node performing local operations on its qubits and measuring all sacrificial entanglement. Then, based on measurement results, which are exchanged over a classical channel, purification is deemed either successful or unsuccessful. Upon success, the parties may perform further purification on the remaining entangled state(s), or allow an application to consume the entanglement. In case of failure, the nodes are forced to discard the main pair and begin the entire process anew. In this paper, we refer to the traditional method as the *baseline* version of a protocol.

Classical communication – a required part of purification, is a potentially significant cause of fidelity degradation – the main entangled pairs must remain in noisy storage while awaiting confirmation. If a purification scheme has several rounds (*e.g.*, the pumping scheme [9]), or each purification circuit includes several measurements, then all results must be checked [17], and this further increases the storage time of a pair. Checking purification results costs time at least equal to the data propagation delay on a link, making traditional purification impractical for longer distances.

A characteristic property of the purification schemes that we study is the reduced wait time of stored entanglement via curtailment of overall classical communication. This reduced storage time in turn impacts the fidelity of entangled pairs by the time they are ready to be consumed by an application. An example of such a scheme is one that foregoes a number of classical communication rounds, continuing on

¹More generally, $n \rightarrow k$ purification, with $n > k$ initial and k resulting states, is also possible.

to further purification steps without checking for purification success/failure. This idea was introduced by Hartmann *et al.* in [18]. The authors applied their idea to heralded EPR pairs in the pumping scheme [19], and showed that nodes can be optimistic with respect to purification results, checking purification outcomes only at the end. In this work, we refer to their protocol as a *heralded-optimistic* scheme.

In this work, we further increase optimism, by applying it not only to purification results but also to heralding signals. Intuitively, this can yield even higher fidelities since entanglement spends even less time in quantum memory. Similar to the work in [19], we apply our optimistic approach to the pumping scheme and show that for large distances and short memory coherence times, our approach increases fidelity while the optimistic heralded approach eventually harms fidelity. Nevertheless, a heightened degree of optimism will decrease the overall rate, since more entanglement will be spent on failed purification procedures. Thus, a trade-off exists between fidelity and rate.

We study this rate-fidelity trade-off with the secret key rate (SKR) of the BB84 protocol [1, 11], a function of both parameters. We evaluate the SKR on the pumping scheme [9], for a range of hardware parameters including the link’s entanglement generation rate, the initial fidelity of generated entanglement, and quantum memory coherence time. We also study the effect of distance between nodes on the secret key rate. Moreover, we evaluate our work on a satellite-based entanglement generation setup [20]. We also evaluate a current state-of-the-art purification circuit [17] that includes multiple purification checkpoints. We observe that in harsh environments – lower initial (pre-purification) fidelity and short coherence time – optimistic schemes are advantageous for the SKR. In scenarios with higher coherence times, one may switch to the baseline or the heralded-optimistic protocol, and in the case of high initial fidelity, purification may not be necessary at all.

The remainder of this paper is structured as follows: in Section II we discuss related work in purification schemes. In Section III we provide the necessary background in quantum networks. In Section IV, we explain our optimistic approach and methodology. In Section V, we evaluate our optimistic approach on a number of different purification schemes. Finally, in Section VI, we conclude our work and discuss challenges and future directions.

II. RELATED WORK

Entanglement purification was introduced by Bennett *et al.* in [13]. They developed a circuit to improve the fidelity of one Werner state [21] (see Section III for a definition of this state) by sacrificing another state of the same form. In this work, the authors did not evaluate purification performance in terms of rate and fidelity in quantum networks. Further, the effects of memory coherence and entanglement storage time on state fidelity and secret key rate were not considered. Deutsch *et al.* [15] improved previous work by proposing a protocol – often referred to as DEJMPS – with faster fidelity

improvement properties. The scheme does not restrict the initial states to be Werner – in this relaxation, a state can be any linear combination of Bell basis states. In [15], there is no evaluation of the effect of memory noise on final fidelity. Dür *et al.* [9] proposed the pumping scheme (see Section IV) and the application of purification in quantum repeaters [9, 22]; however, they did not consider quantum memory storage noise in their analysis.

Hartmann *et al.* studied the effect of memory noise on quantum repeaters with purification in [18]. Their noise model accounts for noisy two-qubit gates and dephasing in quantum memories. They proposed that in a quantum network, nodes can perform DEJMPS purification and entanglement swapping without checking results or applying corrections until the very end – a manner of operation they dubbed *blind mode*. In this work, we show that this methodology can exacerbate state fidelity for large distances and low memory coherence times. In [19], the authors analyzed the scalability of blind repeaters, while still *heralding* EPR pair generation. In our work, we show that we can also be optimistic about *heralding* signals, thereby improving performance in terms of fidelity and SKR.

All the aforementioned papers apply sub-optimal purification circuits. Nickerson *et al.* introduced the STRINGENT protocol, which performs better than previous work in terms of fidelity improvement and quantum state consumption [23]. The effects of waiting time (arising from delays due to classical communication of heralding and purification results) on quantum states were not evaluated, however. Krastanov *et al.* [17] applied a genetic algorithm to develop purification circuits that are optimized with respect to resource consumption and output fidelity. As input parameters, the algorithm takes the initial state fidelity and the maximum allowed number of operations. In their work, circuit performance evaluation did not consider the effect of classical communication-induced waiting time and storage noise on output fidelity and rate. As the results in [17] are the current state-of-the-art in purification, we apply our optimistic scheme to these circuits and evaluate output fidelity and overall rate, while also incorporating storage noise and classical communication time overhead.

III. QUANTUM NETWORKING BACKGROUND

In this section, we provide useful quantum networking background. We begin by introducing EPR pairs, fidelity, quantum channels, the secret key fraction of BB84, quantum repeaters, and purification in more detail. We also explain the entanglement generation setup that we use throughout this work.

A. EPR Pairs

EPR pairs (also known as Bell states [24]) are two-qubit quantum states which are $|\phi^\pm\rangle = (|00\rangle \pm |11\rangle)/\sqrt{2}$ and $|\psi^\pm\rangle = (|01\rangle \pm |10\rangle)/\sqrt{2}$. A common objective for nodes in a quantum network is to be in possession of one qubit of a Bell state, *e.g.*, $|\phi^+\rangle = (|00\rangle + |11\rangle)/\sqrt{2}$, with the other qubit belonging to another node with whom an application is jointly being carried out.

B. Fidelity and Noise Model

Fidelity is a quantity that measures the closeness of two quantum states. Given a density matrix ρ of a non-maximally entangled bipartite state, the fidelity $F \in [0, 1]$ with reference to $|\phi^+\rangle$ is given by²

$$F(\rho) = \langle \phi^+ | \rho | \phi^+ \rangle. \quad (1)$$

Clearly, higher values are desirable. Note that if fidelity is less than or equal to 0.5, purification is not applicable.

Quantum gates and quantum memories are not perfect in the real world and may apply noise to qubits, decreasing the fidelity of a state. In this work, we consider the effect of noisy two-qubit gates on qubits, where noise is modeled by a depolarization channel for quantum gates. Namely, upon application of a two-qubit quantum gate U on the density matrix ρ of an n -qubit system, the transformation is successful with probability p_g , and the two qubits undergoing the transformation are depolarized with probability $1 - p_g$:

$$\rho' = p_g U \rho U^\dagger + (1 - p_g) \text{Tr}_{i,j}(\rho) \otimes \frac{I}{4}, \quad (2)$$

where ρ' is the resulting density matrix, $\text{Tr}_{i,j}$ is partial trace over qubits that are affected by U , and I is identity matrix. In this work, we assume that controlled gates (*e.g.*, CNOT and CZ) depolarize both control and target qubits, while single-qubit gates are assumed to be ideal.

Similar to quantum gates, measuring a qubit introduces errors on the output state. Measurement can project an arbitrary state to the correct state with probability p_m or to the wrong state with probability $1 - p_m$. An example is an imperfect projection on the $|0\rangle$ state:

$$\rho' = p_m |0\rangle\langle 0| \rho |0\rangle\langle 0| + (1 - p_m) |1\rangle\langle 1| \rho |1\rangle\langle 1|, \quad (3)$$

where ρ and ρ' are the density matrices of the pre- and post-measurement states, respectively.

We also account for the time-dependent noise affecting qubits stored in quantum memories. We assume that this noise is described by two types of errors: (*i*) amplitude damping and (*ii*) dephasing. Amplitude damping is associated with the parameter T_1 which characterizes how rapidly a state loses its excitation, and dephasing is associated with the parameter T_2 which describes how rapidly a state loses its phase information [25, 26]. The amplitude damping channel acts as follows on the density matrix ρ

$$\begin{aligned} \rho &\mapsto E_0 \rho E_0^\dagger + E_1 \rho E_1^\dagger, \\ E_0 &= |0\rangle\langle 0| + \sqrt{1 - \lambda} |1\rangle\langle 1|, \\ E_1 &= \sqrt{\lambda} |0\rangle\langle 1|, \end{aligned} \quad (4)$$

where $\lambda = 1 - e^{-t/T_1}$ and t is the time that the qubit is stored in the memory. The stored qubit then goes through a dephasing

²We note that another widely accepted definition of fidelity employs a square root.

channel that acts as follows:

$$\begin{aligned} \rho &\mapsto (1 - p_z)\rho + p_z Z\rho Z \\ p_z &= \frac{1}{2} \left(1 - e^{-t/T_2} e^{t/(2T_1)} \right), \end{aligned} \quad (5)$$

where Z is the Pauli Z gate and t is the time that the qubit spends in the memory. The composition of amplitude and phase damping as described above is thought to be a generally effective way to model state evolution in quantum memories (see discussion in [27] and references therein).

Another error that can occur is photon loss, one of the main obstacles in quantum networks. The probability of successfully transmitting a photon over optical fiber depends on the fiber transmissivity η_f . The latter decreases exponentially with distance (or link length) l . The probability of transmitting a photon over distance l is

$$\eta_f = 10^{(-\alpha_f \times l)/10}, \quad (6)$$

where α_f is the fiber attenuation coefficient [26].

C. Secret Key Fraction

A direct application of EPR pairs is entanglement-based QKD such as entanglement-based BB84 and the E91 protocol [11]. The secret key rate of BB84 is an increasing function of entanglement rate and fidelity. Recall that purification sacrifices EPR pairs to increase a target state's fidelity. This has the effect of reducing the entanglement generation rate, thus manifesting a rate-fidelity trade-off problem that makes it difficult to decide whether purification is beneficial. Fidelity influences the secret key rate via the secret key fraction, SR_{BB84} , given by

$$\begin{aligned} SR_{BB84} &= \max(1 - h(\theta_x) - h(\theta_z), 0) \\ \text{where } \theta_x &= \text{tr}(\rho X \otimes X), \quad \theta_z = \text{tr}(\rho Z \otimes Z), \end{aligned} \quad (7)$$

X , Z are the Pauli X and Z operators, respectively; tr is the matrix trace, and $h(p) = -p \log(p) - (1-p) \log(1-p)$ is the binary entropy [28]. We later study the rate/fidelity trade-off of different purification schemes via (7).

D. EPR Pair Generation Model and Purification

Figure 3 illustrates the entanglement generation setup considered in this work: a source located between two network nodes distributes entanglement, with polarization encoding used on the photons of each state [8]. An implementation of this abstracted EPR pair generation scheme is introduced in [29].

In this scheme, each node has an atom in a cavity. We label photons p_1 and p_2 and atoms a_1 and a_2 , where each subscript represents the node to which these resources belong. The source distributes states of the form

$$|\phi^+\rangle_{p_1 p_2} = (|00\rangle_{p_1 p_2} + |11\rangle_{p_1 p_2})/\sqrt{2},$$

where horizontal polarization for p_i is represented by $|0\rangle_{p_i}$ and vertical polarization by $|1\rangle_{p_i}$. Here, we assume each attempt to generate a $|\phi^+\rangle_{p_1 p_2}$ state is successful at the source. Each

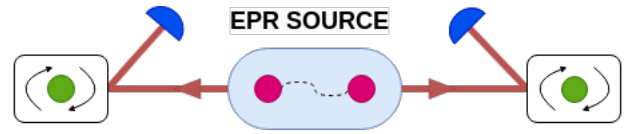


Fig. 3. EPR generation setup. The source in the middle sends half of an EPR pair to each quantum network node. Each node entangles its photon with an atom in a cavity and measures the photon, or, in case of failure, heralds photon loss to the other node.

photon p_i is then transmitted to atom a_i located at node i . Each atom is in superposition of a ground and excited state:

$$|+\rangle_{a_i} = (|0\rangle_{a_i} + |1\rangle_{a_i})/\sqrt{2}, \quad (8)$$

where $|0\rangle_{a_i}$ represents the ground state and $|1\rangle_{a_i}$ the excited state. After receiving a photon, each node applies a CZ operation on the photon and the atom, bringing the overall state to

$$\begin{aligned} |\psi\rangle &= 1/2 |\phi^+\rangle_{a_1 a_2} \otimes [|00\rangle_{p_1 p_2} + |11\rangle_{p_1 p_2}] + \\ &1/2 |\psi^+\rangle_{a_1 a_2} \otimes [|00\rangle_{p_1 p_2} - |11\rangle_{p_1 p_2}]. \end{aligned} \quad (9)$$

Both nodes then measure their photons in the diagonal basis (*i.e.*, $\{|+\rangle, |-\rangle\}$ basis), and apply corrections on the resulting EPR pair based on measurement results. In this last stage, upon photon measurement, a node applies the Pauli X gate on its atomic qubit if and only if it observed $|+\rangle$ as the outcome. Once an EPR pair is established, it may be consumed directly by an application, *i.e.*, without any purification; we say in this case that the nodes have performed *direct sharing* of entanglement.

In the introduction, we explained purification at a high level; here, we elaborate more. As previously mentioned, the purpose of purification is to increase the fidelity of a shared entangled pair between two nodes in a quantum network. Bennett *et al.* introduced the first purification scheme in [13], sometimes called the BBPSSW protocol. In this proposal, one Werner state, *i.e.*, a state that can be expressed as

$$\rho = \frac{4F - 1}{3} |\phi^+\rangle\langle\phi^+| + \frac{1 - F}{3} I_4, \quad (10)$$

with F its fidelity [21], is sacrificed to increase the fidelity of another.

Since noisy gates and noisy quantum state storage may result in a mixed state that is not Werner, it is often more accurate to relax the Werner assumption and allow input states to be a linear combination of Bell states: For such states, the DEJMPS protocol introduced in [15] outperforms BBPSSW.

DEJMPS can be applied successively to the same EPR pair to further increase its fidelity. Such a procedure can be carried out by the pumping scheme introduced by Dür *et al.* in [9]. The method increases a single EPR pair's fidelity by consecutively purifying it with another sacrificial EPR pair, see Figure 4.

Note that with the pumping scheme, the fidelity of the main EPR pair will cease to improve after a number of steps that depend on the sacrificial pair fidelity. Finally, as before, nodes send the purification results via a classical channel to see if

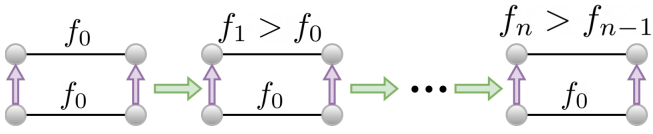


Fig. 4. An illustration of the entanglement pumping purification scheme. The nodes begin with two EPR pairs with equal fidelity f_0 , and pump the main EPR pair (top) with sacrificial EPR pairs (bottom) until purification stops yielding significant benefits.

the purification is successful or not. If any purification round fails, the entire process must be restarted.

Although the aforementioned purification techniques increase entanglement fidelity, they are not optimized to use as few EPR pairs as possible or to yield the highest fidelity improvement. Optimization techniques can be applied to purification schemes to address these shortcomings. Krastanov *et al.* applied a genetic algorithm to generate optimized purification circuits in [17]. For the noise model in their generated circuits, they considered imperfect measurement projection (see (3)) and depolarization in controlled gates (see (2)). Some of these circuits include several projective measurements and require the nodes to classically communicate results as part of the protocol. In this work, we evaluate our optimistic protocol on the traditional pumping scheme, as well as on an optimized circuit from [17].

E. Satellite Setup

While optical fiber transmissivity decreases exponentially with link length, in free space, this decrease follows a polynomial trend. Consequently, the use of satellites [20, 30] and photon transmission through free space have gained significant attention as emerging technologies that appear to make EPR pair distribution over long distances more feasible. Nevertheless, due to longer propagation delays for classical messages, EPR pair distribution with satellite technology potentially introduces longer waiting times for states in storage. Stored entangled pairs thus suffer more decoherence, suggesting that such entanglement generation setups would benefit from a reduction of overall classical communication.

Figure 5 illustrates our satellite setup. We assume there are two ground stations on Earth that are separated by distance d on the order of hundreds of kilometers. The satellite orbits at height h and is equidistant from each ground station, at distance l_o . The satellite generates EPR pairs and sends half of each state toward each ground station. Photons travel a distance l_o through free space of polynomially-decreasing transmissivity, and, once they reach the atmosphere, are subjected to a further decrease in transmissivity – this time exponential with atmosphere attenuation coefficient α_a – for the remaining distance to the ground station, l_a . In this setup, we consider optical links with circular apertures of diameters d_s and d_g for the satellite and ground station, respectively, that operate at wavelength λ . The upper bound for link transmissivity is

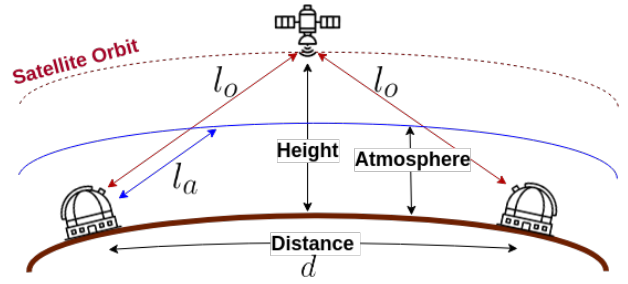


Fig. 5. Satellite setup for generating EPR pairs over long distances.

approximated by

$$\begin{aligned}\eta_o &= \min((\pi d_s^2/4)(\pi d_g^2/4)/(\lambda l_o)^2, 1), \\ \eta_a &= \exp(-\alpha_a l_a), \\ \eta_s &= \eta_o \eta_a,\end{aligned}\quad (11)$$

where η_o and η_a are channel transmissivities corresponding to free space and the atmosphere, respectively, and η_s is the overall transmissivity [31, 32].

IV. PURIFICATION PROTOCOLS

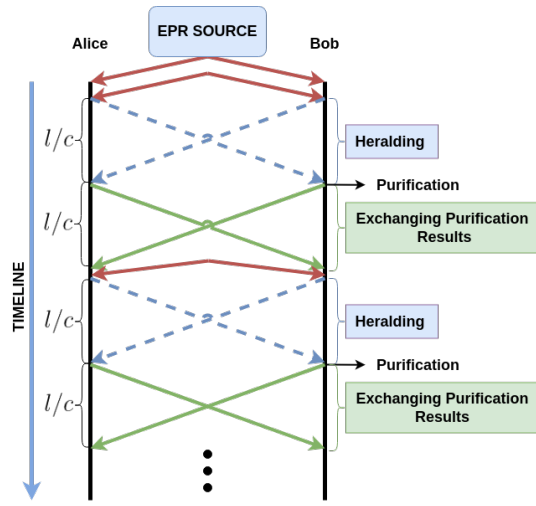
In this section, we first discuss the traditional way of carrying out purification via the pumping scheme. Recall that we refer to this method as the *baseline* protocol. We then introduce our *optimistic* protocol and finally introduce the *heralded-optimistic* scheme briefly discussed in Sections I and II.

A. Baseline Protocol

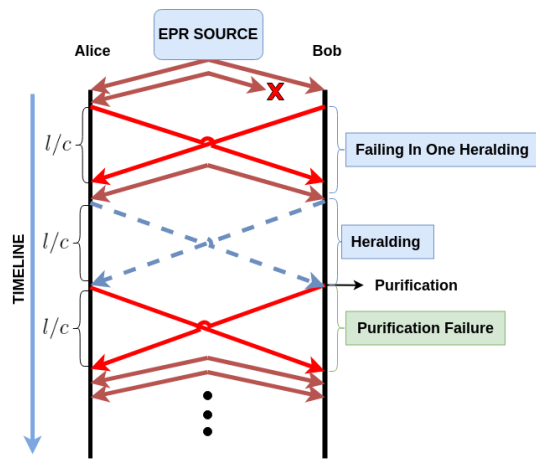
In quantum networks, heralding signals inform nodes of entanglement generation success or failure, and in case of the former, may also be used to provide information about necessary correction operations. Purification, being a probabilistic procedure, also requires classical information exchanges between participating nodes.

Figure 6 exemplifies the sequence and timing of events for *baseline* entanglement pumping. The nodes (*i*) receive their portion of the main entangled pair from the midpoint source, (*ii*) receive their portion of an auxiliary (sacrificial) entangled pair, (*iii*) herald entanglement generation success/failure. These steps are repeated until both pairs are successfully received. Next, the nodes (*iv*) execute a set of quantum gates and measurements on both sides, and (*v*) exchange measurement results via classical messages. The nodes then compare results, and if purification succeeds, they repeat the process from step (*ii*) until a desired number of purification steps is achieved. If the results indicate that purification failed, the nodes discard the main pair and restart from step (*i*).

In Figure 6, nodes Alice and Bob, each equipped with two quantum memories, are separated by a distance l . An EPR pair source in the middle of the link sends half of the pair to Alice and the other half to Bob. Alice and Bob both know the rate of the EPR source rate and have synchronized clocks that tell them when they should expect to receive photons. If the clock ticks and they receive two EPR pairs, they send



(a) Example execution without failures.



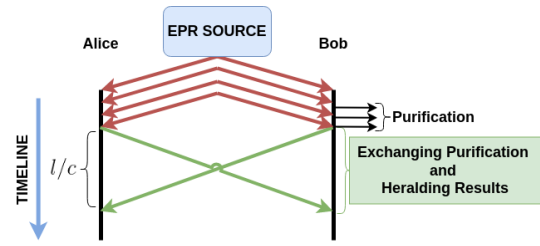
(b) Example with EPR pair generation and purification failures.

Fig. 6. Baseline purification protocol event sequence and timing.

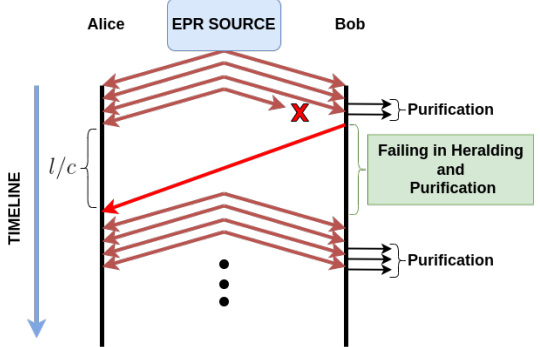
heralding signals, which take at least l/c seconds to transmit, where c is the speed of light in optical fiber that is 200,000 km/s. If on the other hand, either party receives no photon, then a failure signal is sent. As soon as two EPR pairs are established, the nodes initiate purification as outlined above. Panel 6(a) depicts the baseline protocol where both heralding and purification succeed, while panel 6(b) provides an example with heralding and purification failures.

B. Optimistic Protocol

The main idea behind the optimistic protocol is to proceed with all purification steps without waiting for any heralding or consistency checks until the very end. However, if entanglement generation failure occurs, nodes *do* inform each other of the event, and restart the process from the beginning. More specifically, if nodes are carrying out the optimistic protocol, then they: (i) receive their portion of the main and sacrificial EPR pairs from a midpoint source. A node that does not receive one or both photons will inform the other party of failure, causing all pairs to be discarded, and the



(a) Example execution without failures.



(b) Example with entanglement generation failure. Bob informs Alice, and the process restarts.

Fig. 7. Optimistic purification scheme event sequence and timing.

process to restart. Upon success, the nodes go to step (ii), where they execute local quantum gates and measurements to carry out purification, then they exchange purification results but they do not wait to receive them from the other end. The nodes then (iii) receive the next sacrificial EPR pair and perform another round of purification without waiting for any heralding signals or purification results. As previously, if a node detects entanglement generation failure or purification failure, it informs its partner, taking the process back to step (i). The nodes repeat step (iii) until a desired number of purification steps are completed. Finally, the nodes (iv) check the final purification measurement outcomes to verify whether the purification steps were successful, going back to step (i) in case a failure occurred. Figure 7 illustrates the optimistic protocol timeline, where the time interval between the EPR pair generation attempts is negligible compared to the propagation delay. Panel 7(a) depicts a scenario where all entanglement generation and purification are successful, while panel 7(b), presents a scenario in which Bob does not receive his portion of an EPR pair, and the procedure is restarted.

C. Heralded Optimistic Protocol

We now introduce the *heralded-optimistic* protocol which lies between the optimistic and baseline approaches. In this protocol, Alice and Bob wait only for each others' heralding signals – they are optimistic about purification results and exchange them only at the very end of the process. This protocol was first introduced by Hartmann *et al.* [18], and subsequently its scalability was studied in [19].

In this work, we modify the original heralded-optimistic protocol such that end-nodes do not wait until the end of

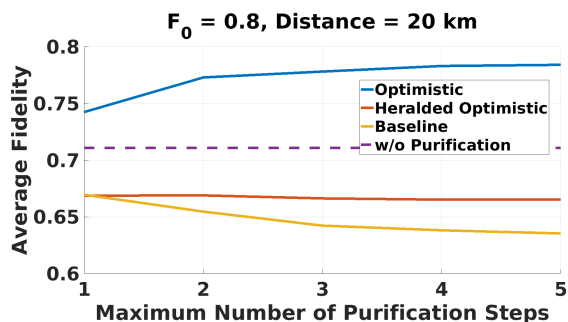


Fig. 8. Fidelity comparison for different protocols implementing entanglement pumping, vs direct sharing without purification. EPR source rate is 1 GHz.

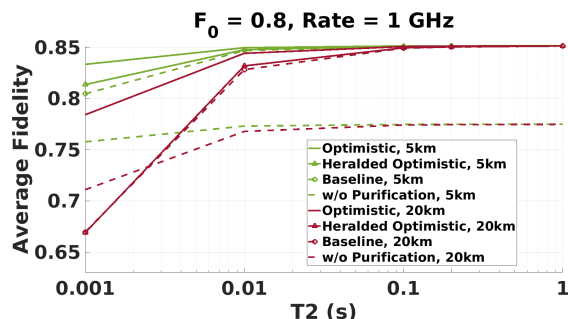


Fig. 9. The effect of T_2 on average fidelity for entanglement pumping. Here, all schemes' fidelities converge for $T_2 \geq 0.1$ s.

the whole purification procedure to exchange the purification results, instead they can exchange the purification results as soon as they measure their qubits. This modification allows Alice and Bob to be informed of any purification failure earlier than the original protocol [18] that checks at the very end and therefore do not waste EPR pairs on a failed purification. This modification improves the purified EPR rate compared to the original protocol. We compare our proposed optimistic protocol with the improved heralded-optimistic protocol.

V. EVALUATION

In this section, we compare our proposed optimistic protocol to the baseline and heralded-optimistic protocols. We begin with the pumping scheme of [16], then continue with current state-of-the-art purification circuits of [17] for ground-based EPR generation. For entanglement pumping, we study rate and fidelity, while also varying the total number of purification steps, and find that the optimistic protocol outperforms the others. We observe that for longer distances, other protocols yield lower fidelities than direct EPR pair sharing without purification. We also study the effect of different T_2 values and EPR source rates on fidelity. We evaluate the circuit of [17] similarly to that of entanglement pumping. Lastly, we evaluate the QKD performance of different protocols for ground- and satellite-based EPR generation schemes. To do so, we calculate the SKR for ground- and satellite-based setups for all protocols and show that for low memory coherence time and low initial fidelity, the optimistic protocol yields the highest SKR.

A. Simulation Setup

We evaluate each protocol for different combinations of memory coherence time, distance between two nodes; and initial fidelity and EPR rate of midpoint source. For all cases, we utilize the Monte Carlo method. In our simulations, one simulation iteration starts with no shared entanglement and ends when the protocol successfully purifies a state. For each combination of values, we perform 10,000 iterations, except for the QKD evaluation on [17] where we perform 50,000 iterations. Using these simulations, we calculate the average fidelity and average rate of resulting entanglement, as well as the average SKR. For each of these values, we ascertain that the confidence interval is less than three percent of the average value. For all simulations, the noise parameters for gates, measurements, and memories are the same. For controlled gates we assume depolarization with parameter $p_g = 0.99$, as per [17] (see (2)). We assume imperfect measurement projection with parameter $p_m = 0.99$, as per [17] (see (3)). For memory noise, we assume amplitude damping (T_1) and dephasing (T_2) (see (4) and (5)). Since in our evaluation, we do not store qubits in memory for a long time (at most, in the regime of milliseconds) and T_1 for amplitude damping is typically on the order of minutes – e.g., [25] reports a T_1 of at least six minutes for Nitrogen-Vacancy (NV) center in diamond carbon atoms – it is not a significant source of noise for a stored qubit. However, we include it in our simulation, setting T_1 to six minutes. On the other hand, T_2 is on the order of milliseconds, and up to seconds as observed in experiments [25, 33]. For T_2 , we evaluate our scheme from 0.001s up to 1s, increasing with a logarithmic scale. For fiber attenuation coefficient, α_f , we pick 0.2 as in [26]. For the initial fidelity, F_0 , of an EPR source in the middle of a link, we evaluate our scheme for different values ranging from 0.75 to 0.90. For EPR source rate, we consider the range 1 KHz to 1 GHz [30, 34]. The distance for the ground-based setup varies from 1 km up to 20 km.

For the satellite setup, the distance between ground stations, d is at most 500 km and the satellite height is set to 400 km, matching the average altitude of the international space station [35]. The atmosphere extinction attenuation, α_a is set to 0.028125 [31]. For the sender and receiver hardware parameters, we set the wavelength to $\lambda = 737$ nm, the satellite optical link apertures $d_s = 0.2$ m, and the ground station optical link aperture $d_g = 2$ m [31].

B. Pumping Scheme

In this section, we evaluate the effect of initial fidelity, T_2 , midpoint source generation rate, and distance on the optimistic, baseline, and heralded-optimistic protocols. We do at most five steps of purification in the pumping scheme as going further does not improve significantly fidelity. We limit the number of memories to two for each node, as exceeding this limit would only result in an increase in overall rate in the evaluation. For evaluating fidelity and rate we set the midpoint source rate equal to 1 GHz and T_2 equal to 0.001. In all cases, the optimistic approach performs better

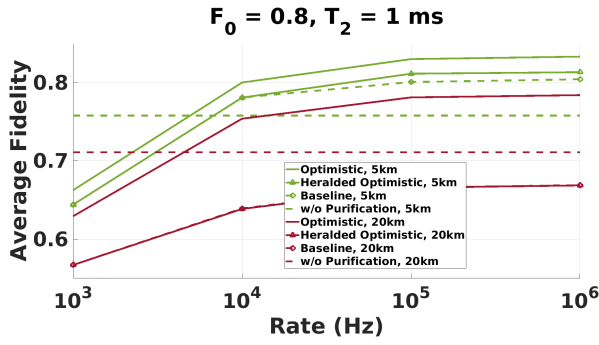
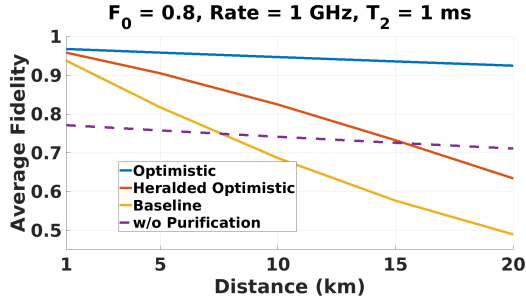
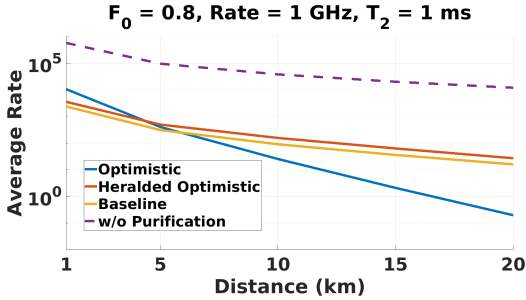


Fig. 10. The effect of EPR source rate on output fidelity. Average fidelity increases with rate; the improvement becomes negligible beyond 10^6 Hz.



(a) Fidelity for optimized purification circuit.



(b) EPR rate for optimized purification.

Fig. 11. Final fidelity and rate for optimized purification circuit

in terms of final fidelity. We find that for large distances, the heralded-optimistic and baseline protocols reduce fidelity, while the optimistic protocol improves it. Figure 8 compares the output fidelity of the protocols as a function of number of purification steps for a 20 km link; results for direct sharing (no purification) are also included.

We next analyze the effect of T_2 on the final fidelity of all protocols for different initial fidelities and distances for a 1 GHz EPR source rate. To investigate the impact of T_2 , we compare average output fidelity across all protocols for different values of T_2 . The highest fidelity is achieved by the optimistic protocol. We plot the highest output fidelity over purification steps as a function of T_2 for all protocols for 5 and 20 km links in Figure 9. We observe that by increasing T_2 , all purification protocols converge to the same output fidelity. The distance between nodes plays a role in the convergence

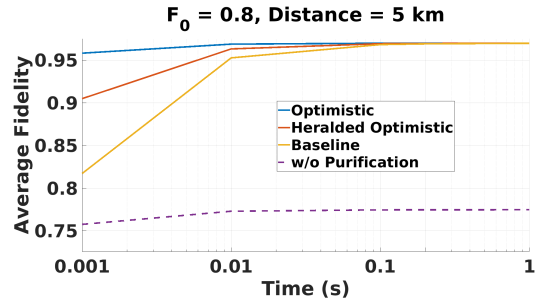


Fig. 12. The effect of T_2 on the average fidelity in the optimized circuit. Increasing T_2 causes protocols to converge to the same fidelity.

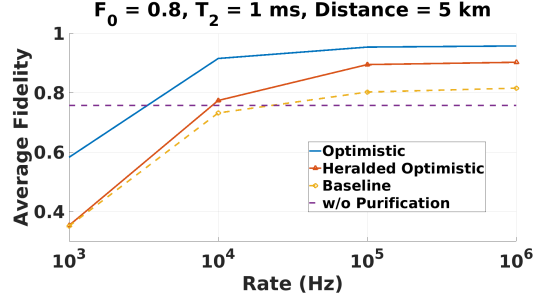


Fig. 13. The effect of source rate on output fidelity for the optimized circuit.

behavior: for 5 km, the difference between different protocol fidelities becomes negligible for T_2 larger than 0.01 s, and for 20 km, when T_2 is larger than 0.1 s.

EPR source rate also affects output fidelity, and consequently the selection of a purification protocol. With lower rates, each qubit spends more time in memory and is therefore subjected to decoherence for a longer period. By increasing EPR source rate, output fidelity increases; however, when the rate surpasses 1 MHz, output fidelity improvement is negligible for all protocols. We plot the highest output fidelity over purification steps as a function of EPR source rate for all protocols in Figure 10.

C. Optimized Purification Circuit

We now evaluate the benefit of optimism in the context of a circuit generated by a genetic algorithm introduced in [17]. To do so, we remove amplitude-damping noise in quantum memories since the genetic algorithm of [17] does not support this noise model. However, as discussed previously, we do not expect this to have a significant impact on the results since in qubits are stored in memories for relatively short periods of time, on the order of milliseconds. For evaluation, we use the genetic algorithm to produce an optimized circuit similar to the original L17 circuit of [17] that has the same performance in terms of fidelity improvement and average number of consumed EPR pairs. The circuit has 17 operations and requires nine EPR pairs and three quantum memories. We selected L17 as the basis of our design since it outperforms the STRINGENT protocol [23]. To evaluate fidelity and rate, we set the EPR source rate equal to 1 GHz and T_2 equal to 0.001,

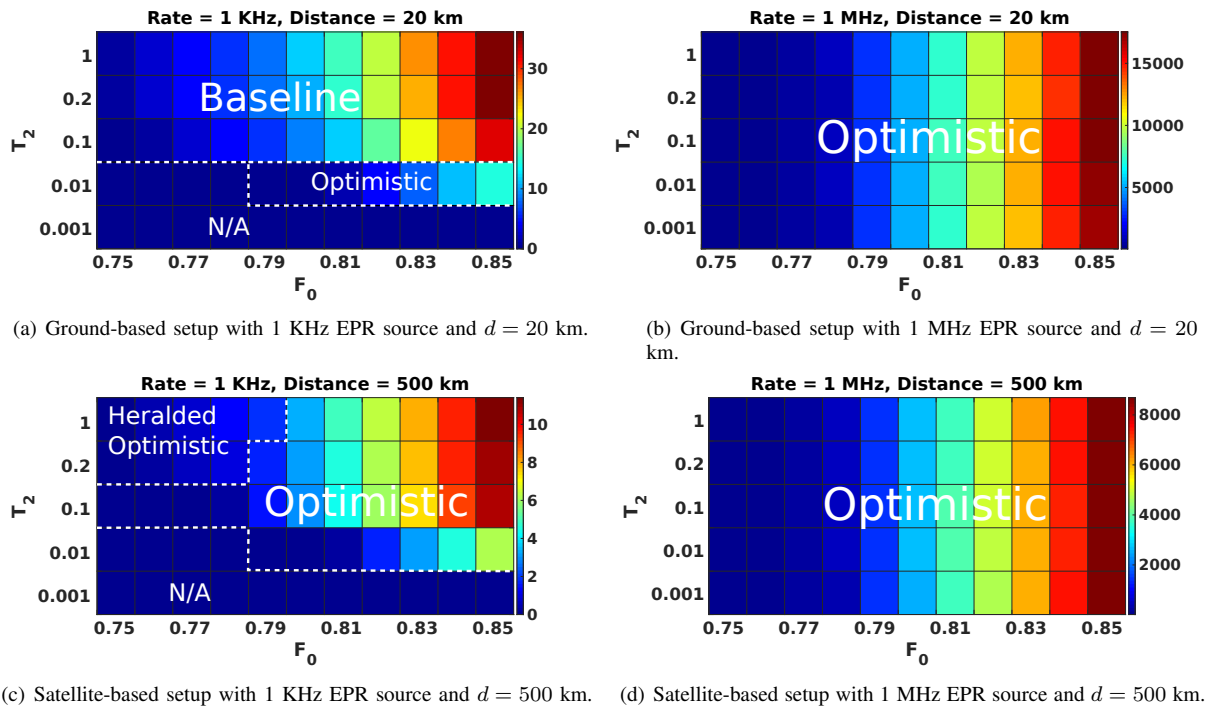


Fig. 14. Heatmaps for BB84 SKR using the pumping scheme, as a function of T_2 and F_0 for various rates and EPR pair generation setups. We demarcate different regions with dashed lines and label each region to show the best protocol for QKD in that region. Note that the ‘N/A’ label indicates values of (F_0, T_2) where no positive SKR can be achieved.

similar to the pumping scheme evaluation. We plot average fidelity in Figure 11(a) and average rate in Figure 11(b) as functions of the distance between two nodes. We find that the optimistic protocol outperforms other protocols in terms of fidelity. Further, the baseline and heralded-optimistic protocols yield lower fidelities than direct sharing (no purification) for distances larger than 8.5 km and 16.5 km, respectively. The optimistic protocol achieves higher rates than other protocols for distances lower than 4.3 km. We also evaluate the effect of T_2 and EPR source rate on output fidelity for a 5 km link. As T_2 increases, the fidelity difference between the optimistic and other approaches decreases, becoming negligible for T_2 beyond 0.1 s. We plot average fidelity as a function of T_2 and initial fidelity F_0 in Figure 12. Increasing EPR source rate improves output fidelity for all protocols. When the rate surpasses 1 MHz output fidelity improvement is negligible. We plot average fidelity as a function of rate in Figure 13.

D. Secret Key Rate Evaluation

In this section, we study the rate-fidelity trade-off for all protocols by evaluating their performance in the context of BB84’s SKR [28]. In previous descriptions (see Figures 6 and 7), all protocols wait for the final confirmation and purification results, and users do not receive new EPR pairs while waiting. For the QKD evaluation, we make the modification that end nodes measure the main EPR pair of a purification procedure prior to the final confirmation so that the measurement output can be sent along with purification and heralding results; this way, their memories are free and able to receive new EPR pairs, allowing the generation of the next secret key bit to

proceed. This modification for QKD yields the most benefit for settings with large distances between end nodes, such as a satellite setting. Similar to previous sections, we study the effect of memory coherence time T_2 , the distance between nodes; and the rate and the initial fidelity of the EPR source located between them. We also investigate a satellite-based EPR generation setting. We evaluate QKD performance for the pumping scheme and the optimized purification circuit of [17]. In our simulations, we set node distances to 20 km and 500 km for ground- and satellite-based settings respectively. Midpoint source rates of 1 KHz and 1 MHz are considered for both scenarios. In all cases, we consider a range of values for T_2 and initial fidelity F_0 . We display the largest SKR across all protocols for each combination of F_0 (x-axis) and T_2 (y-axis) values. We discriminate different regions of the heatmap with dashed lines to show which protocol achieved the largest SKR for each (F_0, T_2) pair.

For entanglement pumping, presented in Figure 14, our study indicates that at lower memory coherence times (T_2) and/or lower initial fidelities and EPR source rate of 1 KHz, the optimistic protocol outperforms other variants. Increasing T_2 and initial fidelity improves the performance of the baseline and heralded-optimistic protocols (see Figure 14(a) for ground-based setup and Figure 14(c) for satellite-based setup). By increasing the EPR source rate to 1 MHz the optimistic approach outperforms other approaches for all (F_0, T_2) (see Figure 14(b) for ground-based setup and Figure 14(d) for satellite-based setup).

For the state-of-the-art purification circuit [17], we modified

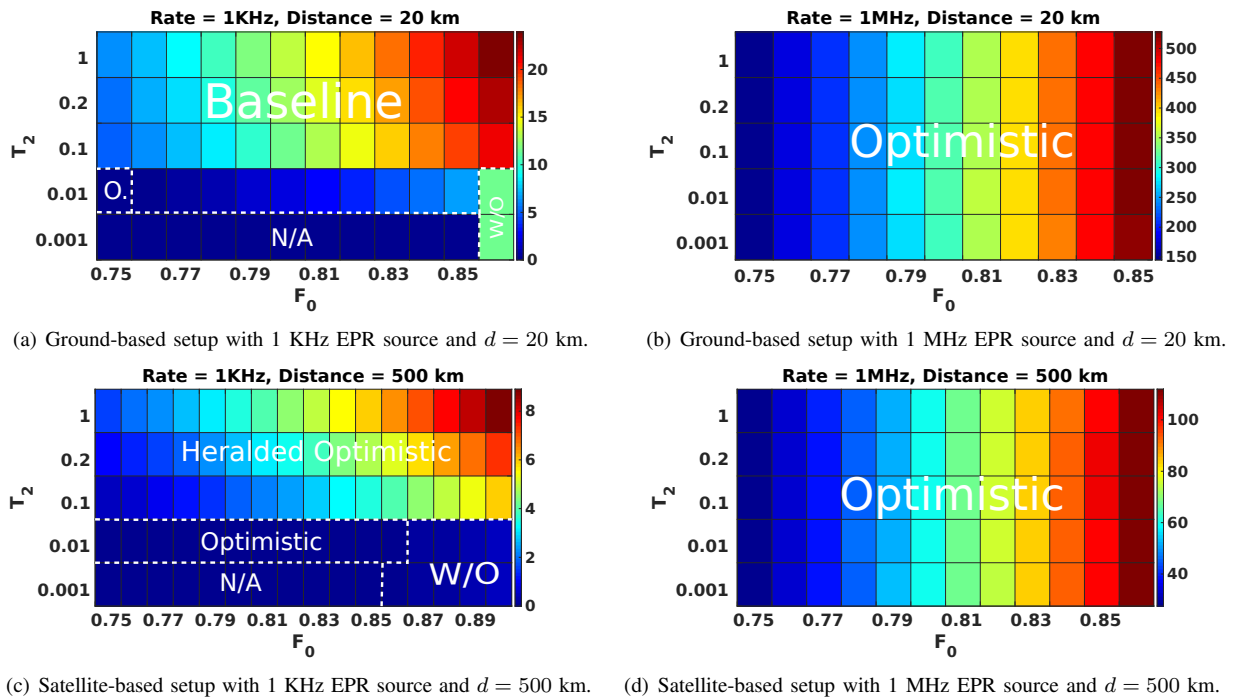


Fig. 15. Heatmaps for BB84 for an optimized purification circuit as a function of T_2 and F_0 for various rates and EPR pair generation setups. We demarcate different regions with dashed lines and label them to indicate the protocol with the highest QKD SKR for each region (note that ‘W/O’ indicates generating secret keys without any purification and ‘O.’ stands for optimistic). We indicate the regions where SKR is zero with ‘N/A’ label.

the fitness function of the genetic algorithm to generate a new circuit optimized to the SKR of BB84 (the original algorithm’s fitness function aims at maximizing the output fidelity). Moreover, we consider memory decoherence while generating the circuit. We generate a circuit that uses three memories with T_2 of 0.01, requires five EPR pairs of initial fidelity 0.75, and has at its disposal an EPR source with a rate of 1 KHz. Figure 15 presents the performance of the circuit for all three purification protocols. Similar to the pumping scheme, we observe that for lower F_0 and T_2 the optimistic protocol performs better than the others (see Figures 15(a) and 15(c) for ground-based and satellite-based setups respectively). It is worth mentioning that our newly-generated circuit performs better compared to the pumping protocol, in that it is capable of achieving a positive SKR using the optimistic protocol, in cases where F_0 and T_2 are so low that the pumping protocol yields no key at all. For example, Figure 15(a), for $T_2 = 0.01$ and $F_0 = 0.75$, shows that the optimized circuit utilizing our optimistic protocol can generate a secret key, while pumping cannot generate any secret key (see SKR for $T_2 = 0.01$ and $F_0 \leq 0.78$ in Figure 14(a)). Similar to the pumping scheme, by increasing the EPR source rate to 1 MHz, the optimistic protocol outperforms other protocols for all (F_0, T_2) (see Figures 15(b) and 15(d) for ground- and satellite-based setups respectively).

VI. CONCLUSION AND FUTURE DIRECTIONS

In this work, we proposed optimism in purification circuits. Our study showed that being optimistic about heralding signals and purification results can be advantageous to fidelity and

overall purified EPR rate in classic purification schemes (*e.g.*, entanglement pumping) and optimized purification circuits of [17], compared to baseline (*i.e.*, herald all EPR pairs, check every purification result) and heralded-optimistic (*i.e.*, herald EPR pairs, exchange purification results only while heralding) approaches. We study the effects of memory and gate noise; EPR source rate, and node distance on the performance of our proposed optimistic protocol and compare it the aforementioned protocols. As part of a future direction, we aim to evaluate our proposed scheme on real hardware such as NV centers [25, 26, 36]. Moreover, we aim to test our approach on a quantum repeater chain and analyze the effect of different parameters on the output fidelity and overall end-to-end EPR rate. The optimistic approach can also be applied to GHZ state [37] distribution schemes. In [38] authors proposed a procedure to distribute a quadripartite GHZ state between four end nodes. This involves generating four Bell pairs and applying purification, then applying a procedure called fusion (for an optimized version of GHZ distribution and fusion see [39]) to generate the desired quadripartite GHZ. We expect that for such a task, the optimistic approach would benefit both fidelity and rate.

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