Modeling the Exception Flow in PHP Systems

THESIS

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Modeling the Exception Flow in PHP Systems

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Abstract

The goal of this thesis is to learn how exception handling constructs are used by PHP developers. We present an approach for detecting the exception flow of a software system, based on the work of Robillard and Murphy [26]. We show the accuracy of this approach by evaluating the tool on a corpus of three different PHP systems. The approach is thereafter used to perform an empirical study on a corpus of 20 PHP systems. For each of these systems, we compute the exception flow and measure the number of exceptions that are encountered, how often exceptions are propagated before they are caught, by what type they are typically caught, and whether they are documented. The results show that many exceptions are propagated often before they are caught and that many are caught by subsumption. Another finding is that exceptions are often not documented, which in many cases is a violation of the Liskov Substitution Principle.

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Preface

After a journey of almost 9 months, I can now deliver a thesis of which I am proud and for which I worked hard. I would like to thank the people who supported me throughout the research process. To start with, I want to thank Mozhan, who kindly reviewed my work and has been a very helpful supervisor. I would also like to thank Merijn for the constructive meetings we had over the course of the project. The third person who deserves my thanks is Mauricio, who pushed me to pursue a high standard concerning the determination of the accuracy of the algorithm. My thanks also go out to Arie, for his feedback and ideas. I want to thank Hylke for giving me the opportunity to perform this study at Moxio. I am grateful for all my colleagues at Moxio, with whom I had a lot of fun. I also want to thank all the people who were willing to work in my house, painting walls, doors and window frames, while I was working on this thesis. Finally, I would like to thank Lisette for supporting me throughout the whole project.

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Chapter 1

Introduction

Exception handling mechanisms, introduced by Goodenough [19], provide a means to signal an exceptional situation and subsequently handle that situation. Different exception types are used to signal different exceptional situations, and for each exception type a block of code can be created to handle that specific exception. Exception handling mechanisms enable developers to separate the normal flow of the program from the exceptional flow.

These mechanisms are often misused and misunderstood. For example, Cabral and Marques [10] found that exceptions are often not used as an error recovery system. Instead of handling the exceptional situation, the exception handling constructs are used for logging the error, notifiying the user or terminating the application. Another aspect of exception handling mechanisms is that classes that use them are more often defect prone than classes that do not use them [23]. Miller and Tripathi [24] argue that the current mechanisms used for exception handling are flawed. For instance, they claim that exception handling mechanisms place an extra burden on a developer as they have to understand two different execution paths: the exception flow path and the normal execution path. When combining the conclusions of Cabral and Marques [10], Marinescu [23] and Miller and Tripathi [24], we see that exception handling mechanisms are hard to understand.

Because reasoning about the exception flow is hard, several static analysis tools for inspecting the exception flow of a software system have been developed (e.g. [26, 11, 18]). However, these tools are all developed for languages and environments like Java and .NET. To the best of our knowledge, no such tool exists for PHP.

In this thesis, we present a static analysis tool for deducing the exception flow of PHP programs, based on the work of Robillard and Murphy [26]. This approach works by analysing the AST of the program. We consider only the structured programming features of PHP. This means that we account for the exception flow in methods and functions, but that we do not focus on PHP code embedded in HTML code. The focus of our thesis is on the exception flow as created by the programmer; we disregard the exceptions that are generated by the PHP environment.

We evaluated the accuracy of the approach by creating oracles of three PHP software systems, which consists of two open-source projects and one closed-source project. The evaluation shows that 63% of the exceptions that are encountered in a system are actually also detected by the presented algorithm.

1. Introduction

We thereafter conducted an empirical study on 20 software projects, totalling over 1.6 million lines of PHP code. We performed this study using our static analysis tool. The empirical study shows that about 30% of the caught exceptions is not caught close to their source. We also found that many exceptions are not documented. We argue that missing annotations in interfaces are a violation of the Liskov Substitution Principle and show that this principle is violated often in PHP systems.

The remainder of this thesis is organised as follows. In Chapter 2, we introduce the PHP language, as well as the algorithms on which our approach is based. Chapter 3 describes the algorithms that we devised to deduce the exception flow of a PHP program. Chapter 4 contains an analysis of the accuracy of the algorithm. In Chapter 5, we detail the empirical study that was conducted on a corpus of 20 software projects. Chapter 6 shows the results of this empirical study. We discuss and interpret the results of the empirical study in Chapter 7. In Chapter 8, we show how our work relates to other research done on exception flow, exception handling, and PHP. Finally, Chapter 9 concludes the thesis.

Chapter 2

Background

As our research focuses on PHP, we first introduce the PHP language and its exception handling constructs in Section 2.1. Our research is to a large extent based on existing approaches, proposed in literature. In this chapter, we present these approaches. Section 2.2 presents the work by Robillard and Murphy [26], which concerns deducing the exception flow of software programs. Thereafter, we present the work of Braun et al. [9], which introduces an algorithm for creating a representation in Static Single Assignment (SSA) form of a program. Section 2.4 presents the work of Dean et al. [13] concercing Class Hierarchy Analysis.

2.1 The PHP language

PHP is a programming language focused on server-side application development. It currently ranks 7th on the TIOBE programming community index, which indicates its popularity [6]. PHP is dynamically typed, meaning that types of expressions are determined at run-time. The language contains a single-inheritance class model, including interfaces and traits. It also contains a number of dynamic features, e.g. a variable can be used to access methods, functions or other variables.

PHP contains several constructs for dealing with exceptions. To start with, the throw keyword can be used to throw an exception. Only objects that implement the Throwable interface¹ can be thrown. PHP defines several types of exceptions which can be used and extended, e.g. RuntimeException and LogicException. Exceptions can be caught by using the try-catch-finally construct. The exceptions that are encountered within the try block can be caught by one of the catch clauses that is attached to the try block.

It is important to note that in PHP, catch clauses can influence each other if they are attached to the same try block. Consider the code in Listing 2.1. The try block encounters an exception of type RuntimeException. This exception is caught by the first catch clause. However, the code located in this clause throws a new exception of type Exception. Whereas in other popular languages with exception handling constructs, like Java, this last

¹This interface was introduced in PHP 7.0; in earlier versions, the Exception class was the basetype of the exception class hierarchy.

exception would not be caught by this try-catch construct, in PHP it is caught by the second catch clause.

It is also possible to attach a finally block to a try block. The code in the finally block will always be executed after the code within the try block (and potentially any of the catch clauses).

```
1
  <?php
2
  try {
3
    throw new RuntimeException();
  } catch (RuntimeException $e) {
5
    throw new Exception();
  } catch (Exception $e) {
7
   log($e);
  } finally {
     print "Will always be executed";
10
   }
```

Listing 2.1: Catch clauses in PHP

2.2 Static Analysis to Support the Evolution of Exception Structure in Object-Oriented Systems

Robillard and Murphy [26] present an approach for deducing the exception flow which is "in theory applicable to any object-oriented programming language that define exceptions as objects." As this criterion also applies to PHP, their approach forms the basis of our research. In Section 2.2.1 we introduce the terminology used by Robillard and Murphy [26]. Section 2.2.2 presents the mathematical definitions of the concepts that they use for computing the exception flow.

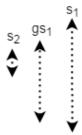
2.2.1 Terminology

To start with, Robillard and Murphy [26] introduce *scopes* and *guarded scopes*. A scope s = (I, G) consists of a set of instructions I and a set of guarded scopes G which are nested in scope s. An exception that is encountered within a scope always flows directly to the boundary of that scope. This means that a scope represents one 'step' that an exception takes in the program as it propagates from one scope to another. A guarded scope g = (n, C) consists of a nested scope n and a sequence C of catch clauses. The catch clauses can prevent exceptions that are encountered in n to flow to the scope that encloses the guarded scope g. Each catch clause can catch a certain type of exception, as well as all of its subtypes. If an exception is not caught by its actual type, but by the type of one of its supertypes, it is caught 'by subsumption'.

An example of scopes and guarded scopes can be found in Listing 2.2. This example shows the mapping from the scope definitions of Robillard and Murphy [26] to scopes in

PHP. The scope s_1 corresponds to the function a. It contains the guarded scope gs_1 , which consists of the entire try-catch construct. The guarded scope itself contains a scope, called s_2 . s_2 consists of all the instructions in the try block of gs_1 .

```
1 <?php
2 function a() {
3  try {
4   throw new SomeException();
5 } catch (SomeException $e) {
6   $this->logger->logException($e);
7 }
8 }
```



Listing 2.2: Example code for scopes and guarded scopes

Figure 2.3: Indication of the (guarded) scopes in code in Listing 2.2.

Each scope s = (I, G) can *encounter* exceptions. Four different origins for an exception can be discerned, as the following [26]:

raises the set of exceptions that are explicitly thrown in scope s using the throw statement;

uncaught the set of exceptions that are encountered in one of the nested guarded scopes $g \in G$ of scope s, but that are not caught by one of g's catch clauses;

propagates the set of exceptions that are encountered in a scope t where t is not enclosed by s for which holds that s contains a call to scope t;

generates the set of exceptions for which holds that they are generated by an instruction $i \in I$ as the result of a system operation in the instruction i, where i is not a throw statement.

When we compute the union of these four different sets, we have the complete set of exceptions that can be encountered in a scope s. This set is called the *encounters* set of scope s.

2.2.2 Algorithm

The terms presented in Section 2.2.1 can be defined in mathematical terms. We use the mathematical definitions of these terms in the description of our algorithms. To start with, we define two helper functions. Thereafter, we present a mathematical function for each of the origins presented in Section 2.2.1.

The algorithm uses two helper functions: encounters(s) and catches(g,c). Both these functions return a set of exceptions. The encounters function represents the complete set of exceptions encountered in a method; this means that it is defined as the union of the raises, uncaught, propagates and generates sets. The input for the encounters function is a scope s = (I, G).

$$encounters(s) = raises(I) \cup generates(I) \cup propagates(I) \cup uncaughtGS(G)$$
 (2.1)

The second helper function, catches(g,c) operates on a guarded scope g = (s,C) where $C = \{c_1, \ldots c_n\}$. $type(c_i)$ denotes the type that catch clause c_i can catch, and <: denotes the subtype relation. Using these notations, the catches function is defined as:

$$catches(g,c_i) = \{e | e \in encounters(s) \land e \notin \bigcup_{j=1..i-1} catches(g,c_j) \land e <: type(c_i)\} \quad (2.2)$$

Using the *catches* function, the *catchesGS* function is defined as the set of exceptions that are caught by a guarded scope:

$$catchesGS(g,C) = \bigcup_{\forall c \in C} catches(g,c)$$
 (2.3)

The *encounters* function uses all the sets that were presented in Section 2.2.1. Each of these sets can be formally defined as well. Note that there is a difference between the *uncaught* set and the other sets: the *uncaught* set is calculated using a set of guarded scopes G, whereas the other sets are calculated over a set of instructions I. We present the definition of the *raises* set here, but the *generates* and *propagates* sets can be similarly defined.

$$raises(I) = \bigcup_{\forall i \in I} raises(i)$$
 (2.4)

The raises(i) function returns the set of exceptions that are explicitly raised by instruction i. In PHP, this would be when instruction i is a throw statement. The generates(i) function returns the set of exceptions that are triggered by internal PHP mechanisms, but not by a throw statement. The propagates(i) function returns the set of exceptions that are propagated by instruction i. Let i be a method call that can be resolved to a set of scopes S_m . The collection of exceptions that can be progated by i is then defined as:

$$propagates(i) = \bigcup_{s \in S_m} encounters(s)$$
 (2.5)

On a set of guarded scopes G, the uncaughtGS defines the set of exceptions that are not caught by these guarded scopes.

$$uncaughtGS(G) = \bigcup_{\forall g \in G} uncaught(g)$$
 (2.6)

On a single guarded scope, the function returns the set of exceptions that is encountered in g = (s, C), but not caught by any of the catch clauses $c \in C$.

$$uncaught(g) = encounters(s) - catchesGS(C)$$
 (2.7)

2.3 Simple and Efficient Construction of Static Single Assignment Form

Braun et al. [9] introduce an algorithm for creating intermediate representations of programs in the Static Single Assignment (SSA) form. In the SSA form, each variable is assigned exactly once. A representation in SSA is useful for performing dependency related analyses,

as it is a compact representation of the *use-def* chains in a program. The algorithm assumes that the program under analysis can be divided into basic blocks, where each block corresponds to a set of linearly executed set of instructions. These blocks can then be connected to form the Control Flow Graph (CFG). For each read of a variable in a basic block, the algorithm tries to relate it to a preceding definition of that variable within the current block. If this is not possible, it tries to resolve it to one of the preceding blocks recursively. If a variable is defined along multiple paths to the current basic block, a ϕ function is introduced in the current block, which contains all possible definitions that might reach the current basic block. The approach by Braun et al. [9] is proven to construct the minimal SSA form of a program, i.e. the number of ϕ functions is minimal.

This algorithm is used in our research for building CFGs of programs. These CFGs are intermediates, and function as input for the type inference algorithm.

2.4 Optimization of Object-Oriented Programs Using Static Class Hierarchy Analysis

The work of Robillard and Murphy [26] uses the work of Dean et al. [13] for resolving calls, which is needed to calculate the *propagates* set. The approach that Dean et al. [13] define is called Class Hierarchy Analysis (CHA), as information from the class hierarchy of the program to be analysed is used to statically limit the amount of methods that a call can be resolved to. This approach does not construct a call graph, but it provides the ingredients for creating a call graph. We first present an example of what the effect of CHA is in practice. Thereafter, we briefly present the building blocks of CHA.

To start with, we examine the class hierarchy in Figure 2.4. Consider the situation where method C.p contains a call this.m(). If p is called on an object of type C, we can see that the call this.m() automatically resolves to B.m, as this is the most direct ancestor that implements method m. If the method p is called on a an object of type D or E, it resolves to method C.p. Within the method C.p, the expression this has the type D respectively E, which both also do not implement the method m. This means that the call this.m also resolves to the method B.m for both subclasses of C. In summary, the call this.m() in method C.p always resolves to method B.m. This line of reasoning is the foundation of the CHA approach.

Dean et al. [13] introduce two sets: the *applies-to* set and the Cone(C) set. The appliesto set for a method is the set of classes for which that method is the appropriate target. If we consider the class hierarchy in Figure 2.4, the applies-to set for method B.m would be the set of classes $\{B,C,D,E\}$, as for each of these classes, a call to method m would resolve to method B.m. Cone(C) is defined as the set of all subclasses of class C, including C itself. For the hierarchy in Figure 2.4, Cone(B) would be the set $\{B,C,D,E\}$.

To compute the applies-to sets, we first compute a partial order of all methods in the system. Within the partial order, a method m_1 is smaller than a method m_2 iff method m_1 overrides m_2 . After constructing the partial order, for each method m defined on a class C an applies-to set is initialised to Cone(C). Thereafter, the partial order is traversed top-down. For each visited method C.m, each of the direct children are visited and their (initial)

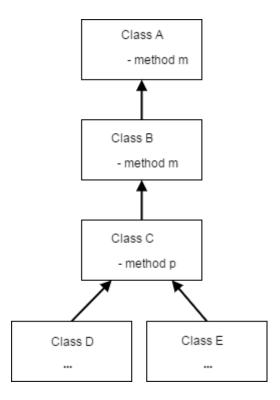


Figure 2.4: Example class hierarchy

applies-to sets are substracted from the applies-to set of C.m. If C.m is defined in type C and D_1, D_2, \ldots, D_n are the classes that directly override C.m, the applies-to set for C.m can be calculated as follows:

$$\operatorname{Cone}(C) - \left\{ \operatorname{Cone}(D_1) \cup \operatorname{Cone}(D_2) \cup \ldots \cup \operatorname{Cone}(D_n) \right\} \tag{2.8}$$

Part of our approach consists of creating the call graph, so that the *propagates* sets can be computed. In our research, we use Class Hierarchy Analysis as a basis for constructing the call graph of a program.

Chapter 3

Approach

In this chapter, we present our approach for deducing the exception flow in PHP programs. To map the the approach of Robillard and Murphy [26] to the PHP language, we have to overcome two main difficulties. The first difficulty is that the Abstract Syntax Tree (AST) of a PHP program does not contain types. The solution is to first infer the types of a program before starting the detection of the exception flow. The second difficulty lies in the fact that PHP supports traits, which were not included in the original analysis of Robillard and Murphy [26]. A trait is a set of related methods and/or properties, which can be imported into classes independent of their class hierarchy¹.

The approach is visualised in Figure 3.1. Each of the blocks represents a certain action that needs to be taken to get to the end result: the exception flow. The approach that Robillard and Murphy [26] propose operates on the AST (see Section 2.2). To obtain the AST of a program, the code of the program needs to be parsed. Unlike languages with static typing, the AST of a PHP program does not contain any type information. To be able to resolve method calls and deduce the types of the exceptions that are thrown in the program, the types of the expressions in the AST have to be inferred. However, type inference cannot be performed directly on the AST: it needs control flow information to know which expressions can influence each other. To get the information about the control flow, the AST is transformed into a Control Flow Graph (CFG), which can be seen in step 2a in Figure 3.1. We use the algorithm of Braun et al. [9] to create the CFG (see Section2.3). The types are consecutively inferred using the CFG, which can be seen in step 2b. After the types are inferred, a call graph can be constructed. This call graph is built using the approach that is introduced by Dean et al. [13] (see Section 2.4). Using the type information and the call graph, the exception flow can be inferred in step 4.

In the following sections, we explain each of the relevant building blocks in more detail. To start with, we introduce the type inference algorithm in Section 3.1. Section 3.2 presents the construction of the call graph. In Section 3.3, we elaborate on the computation of the exception flow. The chapter closes with a definition of the scope of this research.

¹ In other languages, like Scala and Ruby, this construct is known as a 'mixin'.

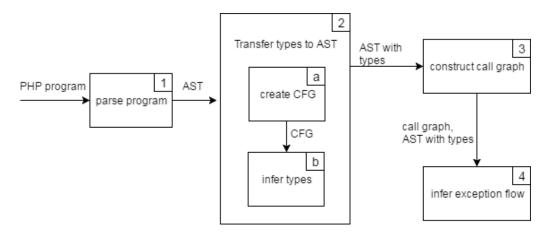


Figure 3.1: Overview of the approach for computing the exception flow

3.1 Type Inference

The analysis of Robillard and Murphy [26] operates on the AST of a program. In their work, they assume that the expressions in the AST are typed. However, as a PHP program is dynamically typed, the types are not available when parsing the program: they are determined at run-time. Because the types are needed for resolving method calls and detecting which exceptions are thrown, the first main task is to infer types. We investigated several options for inferring types:

- We examined the work of Van der Hoek and Hage [28]. However, it was not documented, which rendered it unfit for use.
- We also explored the work of Kneuss et al. [21], but their application was last updated five years ago. A considerable amount of work would have to be done to make it run on code that was written for more recent versions of PHP.
- We also considered the PHP-Types² tool. Although it was not documented very well, it proved to be easy to use and worked on the latest versions of PHP. PHP-Types yielded acceptable results in an earlier study by Wijngaard [29].

Given these considerations, we decided to use the PHP-Types tool for type inference.

It is not possible to infer types on an AST, as information about the flow of a program is needed for type inference; this flow information is not present in an AST. Therefore, the AST has to be converted to a CFG first. This conversion is done by PHP-CFG³, which works well together with PHP-Types. PHP-CFG is a PHP implementation of the algorithm by Braun et al. [9], which has been described in more detail in Section 2.3. The CFGs that PHP-CFG creates are intra-procedural. This implies that the program is represented

 $^{^2}$ Source can be found at https://github.com/ircmaxell/php-types

 $^{^3}Source\ can\ be\ found\ at\ https://github.com/ircmaxell/php-cfg$

as a collection of CFGs, which are not linked together. All code that is not enclosed by a function or method is put into a superficially created *main* function.

When the CFGs have been created, PHP-Types can reconstruct the types of the variables in the CFGs to a certain extent. Not all types can be reconstructed, e.g. due to dynamically accessed variables or the absence of type hints. Algorithm 1 presents a global overview of the algorithm of PHP-Types. The input of this algorithm is an "environment" object, which contains all the declarations and expressions of a PHP program. The environment is created by traversing over all the CFGs of that program and gathering all relevant statements. The notion of *iterations* in the algorithm is introduced because reconstructing the type of one expression might enable the algorithm to reconstruct the type of another expression. In each iteration, the algorithm tries to resolve as many expressions as possible. The algorithm reaches a fix-point if after an iteration no new expressions have been resolved.

Algorithm 1 Type inference algorithm

Input: An *environment* of a program, which comprises all declarations and expressions of the program

Output: A list of resolved and unresolved expressions respectively

```
1: function RESOLVE(environment)
 2:
        RESOLVEPROPERTIES(GETPROPERTIES(environment))
 3:
        unresolved \leftarrow \emptyset
        resolved \leftarrow \emptyset
 4:
        for all exp \in GETEXPRESSIONS(environment) do
 5:
            if ISLITERAL(exp) then
 6:
 7:
                resolved[exp] \leftarrow RESOLVEFROMVALUE(exp)
 8:
            else if exp = \$ this then \triangleright \$ this refers to the current instance of the type it
    resides inalg
                resolved[exp] \leftarrow RESOLVEFROMDECLARATION(exp)
 9:
            else
10:
                unresolved[exp] \leftarrow TYPE(unknown)
11:
12:
            end if
        end for
13:
14:
        repeat
            for all exp \in unresolved do
15:
                type \leftarrow RESOLVEVAR(exp)
16:
17:
                if ISRESOLVED(exp) then
                    resolved[exp] \leftarrow type
18:
                    unresolved \leftarrow unresolved - \{exp\}
19:
                end if
20:
            end for
21:
        until ¬EMPTY(unresolved) and NUMBEROFEXPRRESOLVEDINLASTITERATION
22:
23:
        return resolved, unresolved
24: end function
```

The algorithm uses four resolution functions, which have the following functionality:

- The resolveProperties function loops over all properties and tries to find a corresponding phpdoc⁴ annotation. If an annotation can be found, the type from that annotation is taken to be the type of the property.
- The resolveFromValue function takes a literal and infers the type from the value of the literal. For example, it infers the type 'string' from a literal with the value 'abc'.
- The resolveFromDeclaration function resolves the types from '\$this expressions'. In PHP, the \$this keyword refers to the current instance of the type the \$this expression resides in. The type of \$this can thus be inferred to the type in which the expression resides.
- resolveVar applies a variety of techniques to reconstruct the type of an expression:
 - Type hints are parsed (e.g. typed parameters);
 - Documented type annotations are parsed (i.e. @return, @param and @var declarations in phpdoc);
 - Types are reconstructed for PHP expressions with a 'default' type (e.g. (\$a === \$b) has the type 'boolean');
 - An internal database containing the return types of all PHP functions is used to assign types to expressions containing a call to such a function;
 - Types of method call expressions are reconstructed by resolving method calls to the method defined in the type of the callee object or in any of its subtypes.
 Calls are resolved without considering the context of the call, which means that the resolution algorithm is context-insensitive.

The original version of PHP-Types did not support traits: it did not take them into account when building the type hierarchy of a system. A trait is a set of related methods, which can be imported into several independent classes. A trait can be seen as a normal interface, with the only difference being that methods defined in a trait can carry an implementation. Because our industry partner uses of traits in several of its projects, we decided to extend the type inference system to also contain support for traits⁵.

When running PHP-Types on the created CFGs, the types are inferred onto the CFGs, but not onto the AST. The representation that PHP-CFG uses differs from the representation as generated by the parser, which implies that there is no direct link between the CFGs and the AST. To be able to map the types from the CFGs back to the AST, the PHP-CFG tool was adapted⁶. When the PHP-CFG tool creates a new CFG-node based on an existing AST-node, the CFG-node now 'remembers' from which AST-node it originated. This information is then used to map the types from expressions in the CFG back to the AST.

⁴phpdoc is a documentation standard, see https://www.phpdoc.org/

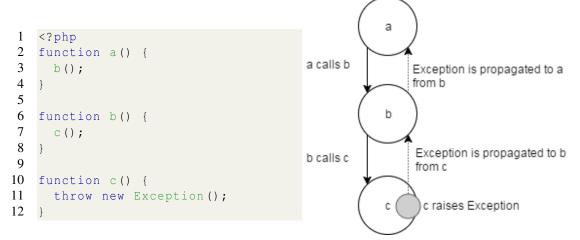
⁵The adapted version can be found at https://github.com/tomdenbraber/php-types

 $^{^6\}mathrm{The}$ adapted version can be found at <code>https://github.com/tomdenbraber/php-cfg</code>

3.2 Call Graph Construction

To be able to detect the exception flow, the call graph of a program has to be computed. The exception flow can be seen as a 'reverse flow': it has the reverse direction of the call graph, in the sense that a call goes from a caller to a callee and an exception is propagated from a callee to a caller. This is shown in the code in Listing 3.2 and the corresponding exception flow graph in Figure 3.3.

Robillard and Murphy [26] use the work of Dean et al. [13] for building the call graph (see Section 2.4), which we also use in our work. In this section, we describe our implementation of their algorithm. We first explain how we fill the partial order with methods. Thereafter, we explain how we use the partial order to create a *call resolution map*. This call resolution map is a different representation of the applies-to set. Lastly, we describe how the call resolution map is used to create the call graph.



Listing 3.2: Exception flow example code

Figure 3.3: Visual representation of the exception flow of the program in Listing 3.2.

First, a partial order is created of all methods in the system. To create this partial order, we need an approach for comparing the methods we want to insert into it. Comparing two methods a and b can have four different outcomes:

- 1. a and b are equal, i.e. a and b are the same method.
- 2. *a* and *b* are not comparable, i.e. *a* and *b* will not have a relation in the partial order. This is the case when *a* and *b* do not have the same name, or are not defined in the same type hierarchy.
- 3. *a* is greater than *b*, i.e. *a* will be placed above *b* in the partial order. Let *x* and *y* be the classes, interfaces or traits in which *a* and *b* respectively are defined. If *a* and *b* have the same name, and *x* and *y* are part of the same type hierarchy, and *x* is a supertype of *y*, then *a* is greater than *b*.

4. *a* is smaller than *b*, i.e. *a* will be placed below *b* in the partial order. This is the exact opposite of the previous situation.

Let m and n be two methods that are to be compared. Let x be the entity in which m is defined, and let y be the entity in which n is defined. Algorithm 2 describes how two methods are compared in order to give them the correct place in the partial order. The algorithm operates on two methods m and n. Two helper functions are presented as well: Algorithm 3 shows how a class can be compared to a trait and Algorithm 4 shows how two classes can be compared.

Algorithm 2 Comparing two methods

```
Input: Two methods m and n Output: One of the following: GREATER, SMALLER, EQUAL or NOT_COMPARABLE
```

```
1: function COMPAREMETHODS(m, n)
      x \leftarrow \text{GETTYPEWHICHDEFINESMETHOD}(m)
2:
3:
      y \leftarrow \text{GETTYPEWHICHDEFINESMETHOD}(n)
      if m.name \neq n.name then
4:
          return NOT_COMPARABLE
5:
6:
      else
7:
          if x.name = y.name then
8:
             return EQUAL
9:
          else if ISCLASSORINTERFACE(x) and ISCLASSORINTERFACE(y) then
10:
             return COMPARECLASSES(x, y)
11:
          else if ISCLASSORINTERFACE(x) and ISTRAIT(y) then
12:
             return COMPARECLASSANDTRAIT(x, y)
          else if ISTRAIT(x) and ISCLASSORINTERFACE(y) then
13:
             return INVERT(COMPARECLASSANDTRAIT(y,x))
14:
          else if ISTRAIT(x) and ISTRAIT(y) then
15:
             return NOT_COMPARABLE
16:
          end if
17:
      end if
18:
19: end function
```

As can be seen from Algorithm 3, comparing two methods that are located in traits will always yield 'not comparable'. When two traits, t_1 and t_2 , both implement a certain method m and are imported into the same class, this will result in an error as the PHP interpreter does not know which method m it should prefer. This conflict can be resolved by using *trait adapters*, which are able to modify the name of an imported method. Implementing support for these adapters would require significant effort beyond our current scope, and therefore remains future work. Our personal experience is that these adapters are not used often.

After constructing the partial order, the call resolution map can be created, which is used when constructing the call graph. The call resolution map is a different representation of the applies-to sets which have been described in Section 2.4. The resolution map contains an entry for each possible call t.m. Here t is a certain type and m a certain method that can

Algorithm 3 Comparing a class to a trait

```
Input: A class c and a trait t
Output: One of the following: GREATER, SMALLER, EQUAL or NOT_COMPARABLE
 1: function COMPARECLASSANDTRAIT(c,t)
 2:
       if c <: t then
 3:
          return SMALLER
       else if t <: c then
 4:
 5:
          return GREATER
 6:
       else
          for all s \in CLASSESUSINGTRAIT(t) do
 7:
              outcome \leftarrow COMPARECLASSES(s, c)
 8:
              if outcome \neq NOT\_COMPARABLE then
 9:
                 return outcome
10:
              end if
11:
12:
          end for
          return NOT_COMPARABLE
13:
       end if
```

Algorithm 4 Comparing two classes

14:

15: end function

```
Input: Two classes (or interfaces) c_1 and c_2
Output: One of the following: GREATER, SMALLER, EQUAL or NOT_COMPARABLE
 1: function COMPARECLASSES(c_1, c_2)
       if c_1 <: c_2 then
 2:
          return SMALLER
 3:
 4:
       else if c_2 <: c_1 then
          return GREATER
 5:
       else
 6:
 7:
          return NOT_COMPARABLE
       end if
 8:
 9: end function
```

be called on objects of that type, as well as methods that can be called on the type itself through static calls. The map contains for each entry a list of methods that the call t.m can be resolved to. This call resolution map is created by doing a top-down breadth-first traversal of the partial order. When entering a node (i.e. a method) in the partial order, the following rules are applied.

A call *t.m* can be resolved to:

- 1. Method *m* in type *t* if it is present and it is not abstract. Applying this rule is trivial.
- 2. Method m in type s if it holds that s <: t. This rule requires knowledge of the class hierarchy, and is implemented by adding the current method m to all entries s.m for which holds that s <: t.
- 3. Method m in type s if it holds that t <: s and t does not implement m and there exists no type u which implements m for which holds that u <: s and t <: u. In order to implement this rule, first all direct children c.m of the current method in the partial order are fetched. Then, for each of these children, the class s for which holds that c <: s and s <: t is fetched, and method t.m is added to s in the call resolution map.

When the call resolution map is created, the final call graph is created by traversing all scopes. For each method call t.m in scope s an edge is added from s to all entries that are registered in the call resolution map for the call t.m. Note that the result is not a call graph in the classical sense of the word: although each edge ends in a method scope, an edge can start in a scope which is nested in a guarded scope, instead of starting in a method scope.

3.3 Flow Detection

Two types of flow can be discerned: the local flow and the inferred flow. The local flow comprises all exceptions that are not entering the current scope via another scope. It is thus the combination of the *raises* and the *generates* set (see Section 2.2.1). The *raises* set is computed by traversing the AST and gathering all throw statements in a scope and adding the types of the expressions that follow those statements to the *raises* set of that scope.

The second type of flow is the inferred flow. This flow contains all exceptions that enter a scope via another scope. It can be constructed by computing the union of the *uncaught* set and the *propagates* set. If a scope does not contain a nested guarded scope, the uncaught set is always empty. If it does contain a guarded scope g, the exceptions that are encountered in g need to be determined first. If those are known, then for each exception e that is encountered in g it has to be determined whether e is caught or not. Let type(e) denote the type of e, let catches(c) denote the type that is caught by catch clause e and let e0 be the set of all catch clauses of guarded scope e0. Algorithm 5 describes how to compute the set of exceptions that are encountered in but not caught by a guarded scope e0.

Algorithm 6 contains a description of the computation of the *propagates* set. Here, the call graph that was described in Section 3.2 is used: the resolveCallToScopes function fetches all scopes that can be called by instruction i.

Algorithm 5 Computing the uncaught set

```
Input: A guarded scope g = (n, C)
Output: The uncaught set of this guarded scope
 1: function DETERMINEUNCAUGHT(g = (n, C))
 2:
        uncaught \leftarrow \emptyset
 3:
        for all e \in encounters(n) do
            for all c \in C do
 4:
 5:
                if type(e) <: catches(c) then
                    continue
 6:
                 end if
 7:
            end for
 8:
            uncaught \leftarrow uncaught \cup \{e\}
 9:
10:
        end for
11:
        return uncaught
12: end function
```

Algorithm 6 Computing the *propagates* set

```
Input: A guarded scope s = (I, G)
Output: The uncaught set of this guarded scope
 1: function DETERMINEPROPAGATES(s = (I, G))
 2:
        propagates \leftarrow \emptyset
        for all i \in I do
 3:
 4:
            if ISCALLINSTRUCTION(i) then
 5:
               for all m \in RESOLVECALLToScopes(i) do
                    propagates \leftarrow propagates \cup encounters(m)
 6:
               end for
 7:
            end if
 8:
 9:
        end for
10:
        return propagates
11: end function
```

The *propagates* and *uncaught* set algorithms assume that in the scopes that are referred to (called scopes and nested guarded scopes respectively) all exceptions that can be encountered are known. At the start of the computation, this is not the case. To work around this problem, we devised a fixed-point algorithm, which can be found in Algorithm 7. First, for all scopes in the system, the local flow is computed. All these scopes are also added to the worklist. As long as the worklist is not empty, a scope is fetched and the inferred flow is computed for that scope. Thereafter, we verify whether the flow for that scope has changed. If so, we add all the scopes that are influenced directly by s to the worklist. A scope u is affected by another scope v when it holds that either v is enclosed by u or u calls v. The algorithm terminates when there are no scopes left on the worklist. For each scope in the analysed system, the exceptions that can be encountered in that scope are contained in the *encounters* set.

Algorithm 7 Fixed-point algorithm for determining the exception flow

```
Input: A set of scopes S
Output: None, encounters set is added as an attribute on each scope
 1: function DETERMINEFLOW(S)
 2:
       worklist \leftarrow \emptyset
 3:
       for all s \in S do
           DETERMINELOCALFLOW(s)
 4:
 5:
           ENQUEUE(worklist,s)
           for all nested\_scope \in GETALLNESTEDSCOPES(s) do
 6:
 7:
               DETERMINELOCALFLOW(nested_scope)
               ENQUEUE(worklist, nested_scope)
 8:
 9:
           end for
10:
       end for
       while worklist \neq \emptyset do
11:
           s \leftarrow \text{DEQUEUE}(worklist)
12:
           DETERMINEINFERREDFLOW(s)
13:
           if SCOPEHASCHANGED(s) then
14:
15:
               for all affected\_scope \in GETAFFECTEDSCOPES(s) do
                   ENQUEUE(worklist, affected_scope)
16:
               end for
17:
           end if
18:
       end while
19:
20: end function
```

A pure PHP implementation of the approach presented in this section can be found at http://github.com/tomdenbraber/php-exception-flow.

3.4 Research Scope

Although the *encounters* set presented in Section 2.2 consists of four subsets, we model only three of them: *raises*, *propagates* and *uncaught*, but not *generates*. As we focus on the exception flow induced by the programmer, we have left the *generates* set out of scope. This decision is supported by the fact that one of the shortcomings of the approach by Robillard and Murphy [26] was that the *generates* contained information that was too imprecise to their liking. They argue that semantic analysis is needed to improve the precision of the *generates* set.

We also limit the *raises* set: exceptions that are thrown by PHP library functions are ignored. The only source of information for the *raises* set of PHP library functions is the documentation, which is excluded from our research scope. As the PHP manual states that most functions use the internal error system of PHP instead of exceptions, this decision has limited impact on the accuracy of our approach [3].

The third restriction of our scope is related to a specific feature of PHP, namely *error* handlers. PHP functions can raise errors or warnings, which differ from normal exceptions.

Programmers can write custom error handlers which are called when such an error occurs. These error handlers are normal functions, and can be used for e.g. handling the error, or transforming the error into an exception. To be able to detect this kind of exceptions, the error handlers should be linked to the functions that can generate errors. This would involve parsing the documentation.

Another aspect which does influence the exception flow but which has not been included in this research is the resolution of 'dynamic' method and function calls. In PHP, functions can be called via variables, or via other functions. Two examples can be found in Listing 3.4. To be able to resolve these kind of calls, it would be necessary to track the actual values of variables. Because that is a completely different topic, we decided to remove dynamic call resolution from the scope of this research.

```
1 <?php
2 //EXAMPLE 1
3 $fn = "a";
4 $$fn(); //leads to a function call to a
5 //EXAMPLE 2
6 call_user_func("a"); //leads to a function call to a</pre>
```

Listing 3.4: Dynamic calls

The last limitation of our approach is related to the PHP-CFG project, as it has only a rudimentary interpretation of exception handling constructs. A throw statement is interpreted as an empty return statement, and all code located in catch and finally blocks is ignored. This choice was made as the developers on the project could not reach an agreement about the specific implementation of intraprocedural exception flow ⁷.

⁷See https://github.com/ircmaxell/php-cfg/pull/23 for the discussion on this matter.

Chapter 4

Algorithm Accuracy

Because of the limitation of our scope (see Section 3.4), we know that our approach will both be unsound and incomplete. In this chapter, we evaluate how well the approach as described in Chapter 3 works in practice, to see what the implications are of the limitations of our scope.

We perform the evaluation by means of two research questions:

RQ1. How sound is the approach?

With the answer to the this question, we can know to what extent the output of the approach can be trusted. If the output is sound, we know that for every exception that the algorithm detects in a method, that this exception is also encountered in the method in the actual situation.

RQ2. How complete is the approach?

Knowing the answer to this question enables us to say whether the exceptions that the algorithm detects in a method are all the exceptions that the method encounters in practice, or whether there might be more exceptions which were missed by the algorithm.

The approach relies on several existing tools. We know that these tools are unsound, and the effects of the unsoundness are made explicit by this evaluation. We evaluate our approach by manually building three oracles. Section 4.1 introduces the process of building and using an oracle in Section, and contains a brief description of the projects for which an oracle was created. Thereafter, we present the results of the evaluation in Section 4.2. Our conclusion concerning the evaluation of the approach can be found in Section 4.3.

4.1 Methodology

4.1.1 Oracle usage

An oracle describes the 'truth': it contains valid information about the real world. The approach as presented in Chapter 3 is a model, and needs validation. The difference between

the oracle and the output of the approach gives us information about the soundness and the completeness of our model.

First, all the mismatches between the output of the algorithm and the oracle are manually analysed. The mismatches are categorized by their origin (i.e. raises, propagates, or uncaught). Each error is marked as either a false negative or a false positive. For each error it is then checked why this error occurred, and if it is a real error or a propagated error. An error is marked as real if the reason why the exception was not detected correctly can be found in the scope in which the error occurred. In all other cases, the error is marked as propagated. As an example, consider the code in Listing 4.1. For this program, the oracle can be found in Table 4.1. Assume that the raises set for the throwSomething method is computed correctly by the algorithm, but that the propagates set for the with_real_error function is empty, in other words: the algorithm failed to detect that the expression $\$a \rightarrow throwSomething$ propagates a RuntimeException into with_real_error. The propagates set for the with_propagated_error function will now always contain an error, even if the call to with_real_error is resolved correctly, as the oracle says that a RuntimeException should be found. When examining the errors of the algorithm, the error concerning the with_real_error function is marked as a real error. The error in with_propagated_error is marked as a propagated error if the call to with_real_error was resolved correctly, otherwise it is categorized as a real error.

```
1
   <?php
2
   class A {
3
     public function throwSomething() {
4
       throw new RuntimeException();
5
6
   }
7
8
   function with real error (A $a) {
9
   $a->throwSomething();
10
11
12
   function with_propagated_error() {
13
     $a = new A();
14
     with_real_error($a);
15
```

Listing 4.1: Real errors and propagated errors

4.1.2 Oracle creation

An oracle consists of a random selection of methods and functions (scopes) from a system. Its structure closely resembles the output format of the algorithm, which is a list of scopes and for each scope a list of exceptions grouped by their origin, namely the different sets mentioned in Section 2.2.1.

Scope Exception		Propagated from	Exception type
A::throwSomething	raises	n/a	RuntimeException
with_real_error	propagates	A::throwSomething	RuntimeException
with_propagated_error	propagates	with_real_error	RuntimeException

Table 4.1: An example oracle corresponding to Listing 4.1

For each scope s in the oracle, the *throws*, *propagates* and *uncaught* sets are manually defined. The *propagates* set for s depends on all the function and method calls that s contains. Let s be the collection of all scopes in a system. For each randomly selected method scope s, all scopes s are also included in the oracle. An oracle thus consists of a set of randomly selected scopes, but to be able to model the *propagates* set, scopes on which a randomly selected scope has a call dependence are also included.

The scopes in the call dependence set of a scope cannot always be determined, even when manually constructing the oracle, as some function calls are dynamic (see Section 3.4). Often, the functions and methods that are called via these dynamic constructs can be deduced from the immediate context (i.e. the scope that contained the call or the class that contained the scope). In these cases, we decided to include the called scopes in the oracle. In all other cases, the dynamic call was not resolved and was left out of the oracle. Even though dynamic calls are left out of the approach, they are included in the oracle, which enables us to see their impact.

If a scope in the call dependence set of a certain scope is defined in the PHP library, its definition was looked up on the PHP website¹. If the documentation states that that function could raise an exception, this exception was added to the *propagates* set of the caller method. Here, the same holds as for dynamic calls: although it is evident that the algorithm will fail in all these cases, it is interesting to see how often PHP library functions might cause an exception to enter the system.

Another important decision that was made also relates to the *propagates* set. Consider a scope s which calls scopes t and u, and both t and u encounter a certain exception of type x. An exception of type x has thus two paths to scope s: the path via the call to t and a path via the call to u. This situation is visualised in Figure 4.2. The output of the algorithm could be that s encounters an exception e of type e, because it is contained in propagates(s). However, if this is all the information, it cannot be decided whether the algorithm propagated e from e, from e, or from both e and e. Even if the outcome of the algorithm would say that e propagates an exception of type e, it might still be incorrect. We therefore include all possible edges in the propagation set of the oracle.

For the situation in Figure 4.2, propagates(s) would contain both $t \to x$ and $s \to x$. Here, \to denotes that the scope on the left-hand side propagates an exception of the type written on the right-hand side of the \to symbol.

¹See http:///www.php.net

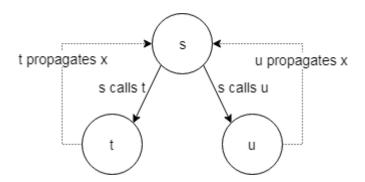


Figure 4.2: Example situation for exception entering scope via different routes

Project	LOC ³	#classes ⁴	#methods ⁵	#throw stmts	#try stmts	#catch stmts
Fabric	62914	1024	3904	938	94	126
Flarum	12956	353	1175	61	14	13
Monolog	5956	100	521	87	4	4

Table 4.2: Characteristics of the projects that are used to create oracles

4.1.3 Corpus

The corpus for evaluating the approach has to consist of programs with different use cases, applications and origins. By testing the approach on such a corpus, we show that the approach can be applied on a wide range of projects while yielding comparable results. Based on this criterion, three projects were selected. To start with, we selected Fabric, a framework produced by our industry partner. Subsequently, we chose Flarum, an application written on the Laravel² framework. We decided that the last project to be used was Monolog, a stand-alone package that is used for sending logs over a whole range of mediums.

A list of characteristics relevant for our research can be found in Table 4.2. These characteristics have been collected by counting the nodes in the AST unless stated otherwise.

Fabric

Fabric is the framework that is produced by our industry partner and is used as a basis for all their web applications. It contains a lot of functionalities: logging, session management, and database interaction among others. It is a closed-source project and has been under development for 7 years. Over those years, 22 different programmers have worked on the

²Laravel is a framework for developing PHP applications. See https://www.laravel.com.

³As counted by cloc, see https://github.com/AlDanial/cloc

⁴Also includes interfaces and traits

⁵Also includes abstract methods

system. As can be seen from Table 4.2, it is the largest of the three projects. In comparison with the other projects, it contains a relatively high number of throw statements.

Flarum

The second project for which we created an oracle is called Flarum⁶. Flarum is an application which can be used to build forums and has been built on Laravel. At the time of writing, Laravel is a popular framework used for developing PHP web applications [1, 5]. The project was chosen because it is of considerable size, has close to 50 contributors and was written on a popular framework. The framework itself was not incorporated in the oracle: all calls to methods or functions that reside in the framework have been left out of the oracle. However, the framework does influence the architecture of the application, as the application needs to be plugged into the framework to make use of its features. It is important to note that the philosophy of Laravel differs a lot from the philosophy of Fabric. For example, applications which are built on Fabric mostly use dependency injection to make use of the framework, whereas Laravel uses "static facades" to make dependencies available. These facades are available from everywhere in the system.

Monolog

The last project for which we built an oracle is called Monolog⁷. Monolog is a package that provides functionalities for logging. It offers handlers for a wide range of mediums to which logged messages can be sent. Monolog is neither a framework nor a web application, but a stand-alone package that can be plugged into existing projects to take care of sending logs. According to Packagist⁸, almost 2500 other projects depend on Monolog [2]. More than 250 developers have contributed to Monolog over the past 6 years. Monolog is dissimilar to both other projects. It differs from Fabric in the sense that it only offers one functionality and it does not enforce or encourage a certain type of architecture. It differs from Flarum because there is no system or dependency which influences its architecture: it does not have to adhere to certain interfaces or subclass certain framework classes.

4.2 Results

After creating an oracle for each of the applications described in Section 4.1.3 and categorising all the errors, we can see how well the approach works in practice. First, the precision and the recall for each of the created oracles are presented. Thereafter, for each of the oracles, the results will be explained briefly.

4.2.1 Precision and recall

Table 4.3 presents the results of the evaluation. The numbers that are noted behind the

⁶Source can be found at https://github.com/flarum/core

⁷Source can be found at https://github.com/Seldaek/monolog

⁸Packagist is a package repository for PHP packages. See https://packagist.org.

	Fabric	Flarum	Monolog	Aggregated
Oracle statistics				
#methods	251	360	243	854
#call edges	980	597 ⁹	669 ⁹	2246
encounters				
algorithm	1370	71	298	1739
oracle	2087	89	316	2492
intersection	1247	71	262	1580
precision	0.91	1.0	0.88	0.91
recall	0.60	0.80	0.83	0.63
raises				
algorithm	53	18	28	99
oracle	53	18	28	99
intersection	53	18	28	99
precision	1.0	1.0	1.0	1.0
recall	1.0	1.0	1.0	1.0
propagates				
algorithm	1310	53	269	1632
oracle	2017	71	287	2375
intersection	1191	53	234	1478
precision	0.91	1.0	0.87	0.91
recall	0.59	0.75	0.82	0.62
uncaught				
algorithm	7	0	1	8
oracle	17	0	1	18
intersection	3	0	0	3
precision	0.43	n/a	0.0	0.38
recall	0.17	n/a	0.0	0.17

Table 4.3: Evaluation results

cells with 'algorithm' and 'oracle' denote the number of exceptions contained in the output of the algorithm and the oracle respectively. The 'intersection' rows denote the number of exceptions that are both in the output of the algorithm and in the oracle, i.e. the intersection contains the true positives. The precision is then calculated as $\frac{intersection}{algorithm}$ and the recall as $\frac{intersection}{oracle}$.

4.2.2 Reasons for errors

To be able to better understand the results, each error (either a false positive or a false negative) was labeled with a reason. This reason describes why this error occurred. We

⁹Calls to methods in the underlying framework or external dependencies have been left out

found the following reasons:

- I. A method could not be resolved because the type inference tool could not detect a precise type for the callee object;
- II. A dynamic call to a method or function could not be resolved;
- III. The exception to be detected is thrown by a PHP library function;
- IV. The throw statement is located in a catch statement;
- V. The exception to be detected has been caught and rethrown afterwards;
- VI. A method is erroneously resolved.

We completely separate reason IV from reason V: reason IV occurs because of the fact that no types are inferred in catch clauses, whereas reason V occurs because PHP-CFG does not properly support try/catch constructs (see Section 3.4). The errors are not evenly distributed over the reasons, as some reasons occur more than others. Table 4.4 presents the number of errors for each reason.

Reason	encounters		propag	gates	uncaught	
	Number	%	Number	%	Number	%
I.	537	50.1	531	50.5	6	30.0
II.	72	6.7	72	6.9	0	0.0
III.	52	4.9	52	4.9	0	0.0
IV.	133	12.4	121	11.5	12	60.0
V.	2	0.2	0	0.0	2	10.0
VI.	19	1.8	19	1.8	0	0.0
I., II.	157	14.7	157	14.9	0	0.0
I., III.	21	2.0	21	2.0	0	0.0
I., II., III.	78	7.3	78	7.4	0	0.0

Table 4.4: The number of errors per reason

4.2.3 Fabric

The Fabric oracle was the largest of the three oracles in terms of number of encountered exceptions. We can see a difference between the *raises* set and the other two sets: the *raises* set is computed correcly, whereas both the *propagates* set and the *uncaught* set are not. For both *uncaught* and *propagates* it holds that the precision is higher than the recall.

Table 4.6 shows how often each of the reasons listed in Section 4.2.2 occurs for each exception set. If a reason does not occur at all, it is left out of the table. A combination of reasons can occur if there are multiple ways in which an exception of a certain type

can reach a function. One of the first things to note is that incomplete or too generic type information is the most common cause for a false negative in the Fabric oracle. A lot of errors were also due to propagation: the error did not occur in the enclosing scope, but was propagated via a call. Because missing type information was such a dominant factor, we separately analysed each individual non-propagated error due to type inference. In Table 4.5, we show which causes lead to incomplete type information.

Cause	Total	%
Missing type annotation	242	91.3
Incorrect type annotation	10	3.7
Unresolved static property	6	2.3
Unresolved return type	7	2.7

Table 4.5: Causes of incomplete type information

We can see that there is one dominant cause: in 91.3% of the errors due to type inference, a type could not been inferred on a property because of a missing type annotation¹⁰. Because the inference algorithm is dependent on these annotations for resolving the types of properties (see Section 3.1), methods called on these non-annotated properties could not be resolved. This leads to an error if such an unresolved method propagates an exception.

The precision and the recall for the *uncaught* set are both quite low. This can be explained by the fact that the PHP-CFG library does not analyse the code within a catch block. This problem is not inherent to our approach, as it can be resolved in the future without adapting the other algorithms of our approach. However, implementing a correct representation of catch blocks in the PHP-CFG library is not trivial. As a starting point, the work of Amighi et al. [7] could be used to implement a correct CFG creation algorithm for programs with exceptions.

If an exception is thrown from a catch block, the type can not be deduced correctly, as the type inference is based on the CFG. This knowledge can be used to explain both reason IV and reason V and all the false positives for the *uncaught* set, as the algorithm then puts an exception of type 'unknown' in the *uncaught* set. Because this 'unknown' exception can consequently be propagated, the *propagates* set also contains a lot of 'unknown' exceptions. In 97.4% of the false positives in the *propagates* set, the original cause can be deduced to an exception which is (re)thrown from a catch clause. The other false positives were due to erroneously resolved method calls (reason VI).

4.2.4 Flarum

The *throws* set was computed correctly. The *uncaught* set was empty in both the oracle and the output of the approach, due to the fact that there were no try/catch constructs in

¹⁰ A type annotation is a comment in a specific format, which provides extra information to the programmer and can also be used for static analysis, such as type inference.

¹¹The percentage of exceptions for the reason stated in the left-most column that has been propaged

Reason		prope	agates		uncaught				
	Tot	al	Error prop	Error propagated		al	Error prop	Error propagated	
	Number	%	Number	$\%^{11}$	Number	%	Number	$\%^{11}$	
I	484	58.6	265	54.8	6	42.9	3	50.0	
II	70	8.5	38	54.3	0	0.0	n/a	n/a	
III	16	1.9	10	62.5	0	0.0	n/a	n/a	
IV	0	0.0	n/a	n/a	6	42.9	0	0.0	
V	0	0.0	n/a	n/a	2	14.2	0	0.0	
I, II	157	19.0	157	100.0	0	0.0	n/a	n/a	
I, III	21	2.5	21	100.0	0	0.0	n/a	n/a	
I, II, III	78	9.4	78	100.0	0	0.0	n/a	n/a	

Table 4.6: Reasons for false negatives in the Fabric oracle

the analysed methods. With a precision of 1.0 and a recall of 0.80, there were only false negatives in the output of the approach. All false negatives originated from the *propagates* set because of two reasons, as can be seen in Table 4.7. A large portion of the errors is again due to incomplete type information.

Reason	propagates						
]	Total (Error propagated				
I	12	66.7	1	8.3			
III	6	33.3	5	83.3			

Table 4.7: Reasons for false negatives in the Flarum oracle

4.2.5 Monolog

The recall of the approach on the Monolog project was better than the recall on the other projects, mostly due to a better performance on the propagates set. This is the case because the project contains a lot of type hints, which enabled the type inference tool to reconstruct a relatively high amount of types. The errors were mostly due to exceptions that were thrown by PHP functions, as can be seen in Table 4.8. We can see that the number of propagated errors is relatively high, as for the other projects.

In comparison with the other oracles, the precision of the Monolog oracle is somewhat lower: 3% lower than Fabric's precision and 12% lower than the precision of Flarum. Upon further investigation, the false positives could be divided over the same set of reasons as presented in Section 4.2.2. This classification can be found in Table 4.9. In the other oracles, reason VI was almost absent, but for Monolog, it is one of the most occurring reasons for

Reason		prop	agates		uncaught			
	Tota	Total Error propagated		Total		Error propagated		
	Number	%	Number % ¹¹		Number	%	Number	% ¹¹
I	19	35.8	5	26.3	0	0.0	n/a	n/a
II	2	3.8	1	50.0	0	0.0	n/a	n/a
III	30	56.6	28	93.3	0	0.0	n/a	n/a
IV	2	3.8	2	100.0	1	100.0	0	0.0

Table 4.8: Reasons for false negatives in the Monolog oracle

false positives. The reason for an erroneously resolved method is that the type inference algorithm does not make a distinction between types inferred from parameter declarations and types that can be inferred from a constructor call. Consider the code in Listing 4.3. The type inference tool would now deduce that variable a has type a and that variable a has type a. While these types are both correct, it does not make the distinction that a could be of type a, but also of all types that are a subtype of a, whereas a can be of type a and a only. In some cases, a method call is thus incorrectly resolved to a method defined in a subtype.

```
1  <?php
2  function(A $a) {
3    $b = new B();
4 }</pre>
```

Listing 4.3: Type inference limitations

Reason		prop	agates		uncaught			
	Total Error propagated			Tot	tal	Error propagated		
	Number	%	Number % ¹¹		Number	%	Number	$\%^{11}$
I	16	45.7	5	31.3	0	0.0	n/a	n/a
IV	3	8.6	3	100.0	1	100.0	0	0.0
VI	16	45.7	0	0.0	0	0.0	n/a	n/a

Table 4.9: Reasons for false positives in the Monolog oracle

4.3 Concluding remarks

The aggregated recall of the *encounters* set of the approach is 0.63, whereas the aggregated precision of the *encounters* set is 0.91. Because the precision and recall are both smaller

than 1.0, it is possible to argue that our approach is both unsound and incomplete. However, the false negatives in the approach all come from external sources, i.e. the exception flow algorithm itself does not add exceptions where they should not be. Due to incorrect type annotations, incomplete type information and an incomplete CFG implementation, exceptions with an "unknown" type are sometimes detected in methods where they might not be encountered in the actual code. This is not due to the exception flow algorithm itself. We conclude that the exception flow algorithm is sound, but that the underlying dependencies are not. The incompleteness of the approach is partly due to libraries which generate incomplete output (i.e. the type inference algorithm), and partly because of the limitation of the research scope (e.g. dynamic calls, library functions).

When looking at the results for the different oracles, a few aspects need to be discerned. The first is that 'type inference' takes quite a large portion of the errors, as reason I, IV, V and (to a lesser extent) reason VI have all to do with type inference. If we accumulate the number of errors for these reasons, we can see that these account for 64.5% of the total number of errors. This gives a strong indication that an improvement in the type inference algorithm directly leads to a better performance of the exception flow detection algorithm. A possible improvement would be to use another source of information for resolving the types of properties. Types of properties are now resolved by their annotations, and a property without annotation always leads to incomplete type information. The type inference algorithm could incorporate write operations to properties to detect the types of not-annotated properties.

A second observation is that reason II and III, which were both decided to be out of scope, account for a smaller yet significant portion of the errors. Adding support so that errors caused by reason II and III will be prevented, will improve the recall of the algorithm. Lastly, it is also clear that the *propagates* set is the largest in all three oracles: most exceptions enter a scope not because they are uncaught by a nested scope or raised directly in a scope, but via a call to another scope. Conversely, the number of exceptions in the *uncaught* set is very small in all three oracles. The approach does not perform well on this set, with the highest precision and recall being only 0.43 and 0.17 respectively. However, these low numbers do not strongly influence the overall performance due to the small size of the *uncaught* sets.

Chapter 5

Empirical Study

Using the presented algorithm, we can analyse a number of software projects to discover the exception handling practises that are used in the PHP community. To start with, Section 5.1 presents a set of research questions. Subsequently, we introduce the corpus of projects which are used for the analysis. Section 5.3 explains how each of the research questions will be answered. The chapter closes with a description of the threats to the validity of this study.

5.1 Research Questions

RQ1. How many exceptions does a method typically encounter?

For PHP systems, we currently do not have any information concerning the presence of exceptions in methods. If a method encounters many exceptions, this might signal a problem: the developer who wrote the method is perhaps not aware of all these exceptions.

RQ2. How close to the source are exceptions caught?

It is considered a good practice to catch exceptions as close to its original scope as possible, so that there is enough information available to handle the exception [30]. Catching an exception that has been propagated through many scopes might indicate that the catch clause catches an exception which it was not intended to catch.

RQ3. What is the typical distance in the class hierarchy between a caught exception and the type which is used in the catch clause type that catches it?

An exception can be caught by its exact type, but also by any of its supertypes. Barbosa et al. [8] found that the use of an overly-generic catch clause lead to bugs in multiple projects. It is therefore a good practice to catch an exception by a type which is as close to the type of the thrown exception as possible. Using a more precise type also gives the opportunity to handle the direct cause of the exception.

RQ4. How often are exceptions documented correctly?

In PHP, all exceptions are unchecked: exceptions are not part of a method's signature.

However, it is possible to use phpdoc to document exceptions that are encountered in a method or function. An example of such an annotation can be found in Listing 5.1. A documented exception is more visible than a non-documented exception, and is therefore likely to be handled correctly more often than a non-documented exception. If a method encounters an exception of type t and has a @throws annotation for exactly type t, we consider the exception as documented correctly. We are also interested in the usage of "contractual annotations". If an abstract super method does not document an exception, we expect that its implementations do not propagate any exceptions, because in that case, the implementation would break the contract.

Listing 5.1: A method with a @throws annotation

5.2 Corpus

Our corpus consists of a selection of Open Source Software (OSS) projects in combination with three projects that were created by our industry partner. We selected the OSS projects using OpenHub¹, which monitors a large number of OSS projects. Our corpus includes a subset of the 50 most popular projects which have PHP as their main language. Because our computational resources were limited, projects which contained more than 500000 lines of PHP code could not be analysed. By inspecting the type, size, use cases and origin of projects we have created a diverse corpus, consisting of both open and closed source projects.

Before we analysed the projects, we collected some general information for each project. This information can be found in Table 5.1. For each project, we installed all required dependencies (except those dependencies that were needed for development). We manually analysed the projects to find a namespace or prefix which separates the project from its dependencies. The LOC2 column represents the number of lines of PHP code of the project, including its dependencies. All the other data that Table 5.1 presents is taken from the project itself, excluding its dependencies. Both LOC metrics only include PHP lines of code as counted by cloc². For some projects, it was not possible to find a namespace that

¹See https://www.openhub.net/tags?names=php

 $^{^2} See \, \text{https://github.com/AlDanial/cloc.}$

Project	Version	LOC1	LOC2	#class	#interface	#method	#function	#throw	#try	#catch	#@throws
CakePHP	3.4.9	56111	61549	399	27	4374	0	402	62	64	388
CodeIgniter	3.1.5	28879	29319	133	0	1510	0	13	8	8	0
Digitaalloket	7.3	16032	78296	297	70	1239	0	61	35	51	17
Doctrine	2.5.6	29587	105930	342	25	2431	0	329	13	13	179
DokuWiki*	2017-02-19b	n/a	171067	320	3	3210	587	202	29	30	93
Drupal	8.3.4	82527	461714	2096	465	13327	74	820	250	266	409
Fabric	1.6	67205	67205	781	247	3922	19	938	94	126	321
Joomla	3.7.2	208197	208197	1340	30	7667	61	824	537	550	867
Objectbrowser	2.2	5437	58849	71	2	311	0	10	10	10	17
Nette	2.4.6	12100	12100	120	21	952	0	212	27	34	93
PEAR	1.10.4	30986	30986	73	1	1119	0	3	0	0	3
Phing*	2.16.0	n/a	49257	497	19	4452	2	1135	199	211	671
phpBB	3.2.0	66475	445198	684	42	4070	127	271	79	91	160
phpDocumentor2	2.9.0	19184	196184	317	31	1451	0	92	15	16	67
PHPUnit	6.2.3	17110	61030	145	22	1035	0	257	49	70	133
Roundcube*	1.3.0	n/a	133628	245	4	2605	198	138	47	48	141
Smarty	3.1.30	17092	18194	172	0	898	57	105	11	11	127
Symfony	3.3.3	256848	287204	1618	276	9093	2	2017	361	360	914
Wordpress	4.8	178583	178583	366	6	4013	3075	141	52	53	39
Zend Framework	2.4.12	158688	164151	1814	341	12258	0	2730	176	183	2249

Table 5.1: Characteristics of the projects which will be used in the empirical study. Projects in *italics* are closed source projects. Projects marked with an * are analysed including the dependencies.

separated the project from its dependencies. In those cases, we added an * as a suffix to the project name, and the presented data includes the dependencies. The projects that are printed in *italics* are the projects which originate from our industry partner.

5.3 Methodology

Before gathering the data needed for answering the questions as presented in Section 5.1, we first build the prerequisite resources as listed below.

exception flow The exception flow is calculated using the algorithm presented in Chapter 3. This resource contains for each scope in the system all the exception that it encounters, as well as the causes for those exceptions (i.e. if they are raised, propagated or uncaught).

@throws annotations For answering the question concerning the documentation of exceptions, we build a set which contains the <code>@throws</code> annotations for each method or function in the system under analysis. This is done by traversing the AST of the system, collecting all the methods and parsing the documentation using phpDocu-

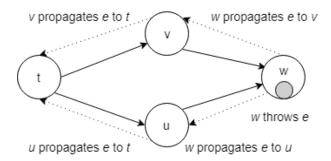


Figure 5.2: An example where the exception can be propagated from two sources

 $mentor^3$.

method order The partial order of all the methods in the system (see Section 3.2). It contains for each method in the system the methods that override it.

paths to catch clauses This set contains for each caught exception e the shortest path from the initial scope of e to the guarded scope in which e is caught. The set is computed by first registering for each catch clause e all the exception that are caught by e. Then, for each of these exceptions, the shortest path between the original scope and the guarded scope which contains the catch clause is calculated using Dijkstra's algorithm [14].

type hierarchy For each type t in the system, this resource contains the types to which t can be resolved, and which types t resolves itself.

We will now detail the methodology for answering each of the questions as posed in Section 5.1.

RQ1. For each method, we record the number of exceptions that it encounters. Thereafter, we group methods with the same number of encountered exceptions. If an exception can enter a method via different paths, it is counted only once. This situation is visualised in Figure 5.2. Exception e can enter scope t via both scope u and scope v. Because the exceptions that are encountered by u and v both refer to the same exception e which is coming from scope w, the number of encountered exceptions is 1 for all scopes in this example.

RQ2. This analysis is based on the path to catch clauses set. As this set contains all the shortest path from the catch clause in which an exception is caught to the scope in which it was raised, we can find the path lengths by counting all the scopes in the path between the initial scope and the catch clause. Figure 5.3 visualises two paths from the same exception to the same guarded scope. Here, the path via scope *b* is the shortest and will be registered with length 3. We then aggregate the number of paths by their lengths.

 $^{^3}$ phpDocumentor is a tool that can be used to generate and parse documentation for PHP. See https://www.phpdoc.org/about.

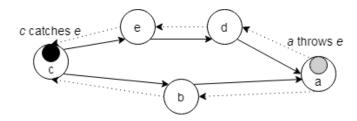


Figure 5.3: Propagation via multiple paths for exception e to the catch clause in scope c

RQ3. For each each caught exception in the system, we calculate the distance in the class hierarchy between the type of the caught exception and the type by which it was caught. We also record the distance between the caught exception and the exception root type. In Listing 5.4, the exception is caught by subsumption. From the class hierarchy, depicted in Figure 5.5, we can see that the distance between the catch clause and the caught type is 1, and that the distance from the caught exception to the root exception type is 3.

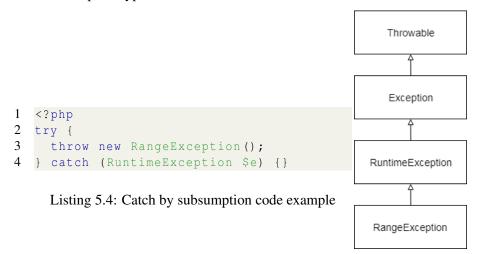


Figure 5.5: Catch by subsumption example class hierarchy

RQ4. For answering this question, we use three of the earlier mentioned resources: the exception flow, the <code>@throws</code> annotations and the method order. To start with, we record whether an exception that is explicitly raised in a method is documented with a <code>@throws</code> annotation. Secondly, we compare the annotations of methods to the exceptions that they encounter but that have their origin in another scope (i.e., they are propagated and/or uncaught). Thereafter, we compare the annotations of abstract methods to the implementations of those methods. The abstract super method defines a "contract" to which the implementations of that method have to adhere to, i.e. they are not allowed to throw exceptions that are not annotated at the abstract super method.

There are three possible outcomes for each exception:

- a) It can be annotated, which means that the encountered exception has a corresponding @throws annotation;
- b) An annotation can be missing, which means that for an encountered exception of type *t*, no corresponding @throws annotation with type *t* could be found;
- c) Because phpDocumentor might be unable to parse the type in a @throws annotation, the last possible outcome for the question whether an exception is annotated or not is "unknown".

In Chapter 4, we showed that some exceptions could have an "unknown" type. These exceptions are left out the analysis for annotations, as we cannot compare it to an annotation.

5.4 Threats to Validity

There are several aspects which threaten the validity of our study. To start with, we limited the scope of our study (see Section 3.4), and the elements which we put out of scope might affect the exception flow. In our evaluation (see Chapter 4), we took some of these features into account. Despite the influence of these features, the aggregated recall and precision concerning the *encounters* set of our approach still were 0.63 and 0.91 respectively (see Section 4.3). The evaluation of our tool gives us confidence that although the outcome of our empirical study might not be completely correct, it will still be close enough to the actual situation to be useful. However, this confidence is based on the assumption that the corpus used for evaluating the approach is representative. The possibility that the corpus is biased poses another threat to the validity. The same holds for the representativeness of the corpus used in the empirical study. We have tried to counter these threats by selecting projects with a wide range of sizes, use cases and backgrounds.

Chapter 6

Results

In this chapter we present the results of the empirical study (see Chapter 5). We answer each of the research questions in a separate section.

6.1 RQ1. Number of Encounters per Method

The distribution of the number of encounters per method follows a power law distribution. All projects in the corpus yielded similar results in this respect. 70.9% of all the methods in the corpus do not encounter an exception. Of the remaining methods, 21% encounter between 1 and 10 exceptions. 8.1% of the methods in the corpus encounter more than 10 exceptions, with a maximum of 775 exceptions in the Task::perform method of Phing.

6.2 RQ2. Paths of Exceptions

Figure 6.1 depicts the shortest path lengths from the catch clause where an exception is caught to the initial scope of the caught exception. There is a peak in the graph at length 3, which means that most caught exceptions are raised, propagated to another scope, then propagated to a guarded scope which then catches the exception. Most of the projects in the corpus have a path length distribution which approximates the curve in the aggregated graph. The projects with a lower number of try/catch and throw statements diverge the most from the aggregated curve. Figures for individual projects can be looked up in Appendix A.1.

An important observation is that there exist paths of length 1. This means that an exception is thrown within a guarded scope, and then immediately caught. A second aspect of this curve is that it does not flatten out directly after the peak at length 3: 33.2% of the paths have a length greater than or equal to 5 and smaller than or equal to 10.

6.3 RQ3. Catch by Subsumption

Figure 6.2a shows the distances between all caught exceptions in all projects and the type by which those exceptions are caught. A distance of zero means that the exception is caught

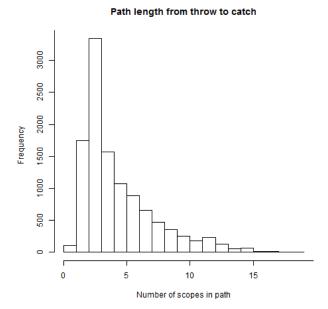


Figure 6.1: Shortest path lengths from throw statement to catch clause

by its direct type. We can see that most exceptions, namely 37.7%, are caught by their direct parent, followed by their parent in the second degree. Figure 6.2b shows the distance from the caught exceptions to the Exception type¹. Most caught exceptions have a distance of two to the Exception type.

Both figures also show the usage of 'generic' exception types versus 'specific' exception types. We consider every occurrence of Exception or RuntimeException as 'generic', as it does not signal what kind of exception did occur. We categorise all other exceptions as 'specific'. If we combine the knowledge of the two graphs, we can infer that most catch clauses use a subtype of Exception to catch an exception that is their direct child. However, the data from individual projects can in some cases diverge strongly from the aggregated data; this aggregate is dominated by the Joomla project, which accounts for 3090 of the 4190 exceptions caught by their direct parent as presented in Figure 6.2a. In Appendix A.2 we present Figures for both the distance between the caught types and the type by which they are caught, as well as for the distance between the caught types and the Exception type for all projects in the corpus.

A project which strongly deviates from the aggregate is PHPUnit. In PHPUnit, exceptions are most often caught by their direct type, as can be seen in Figure 6.3a. In addition, PHPUnit never uses an exception type which we categorised as generic. Figure 6.3b shows that PHPUnit only uses exception types with a minimum distance of two to the Exception

¹In PHP versions before version 7.0, Exception is the root type of the exception class hierarchy. Starting from version 7.0, the Throwable interface was added, which is implemented by Exception. Because most projects in our corpus have a minimum required version of PHP 5.x, we decided to assume that the Exception class is the root for the exception hierarchy in all projects.

type.

projects

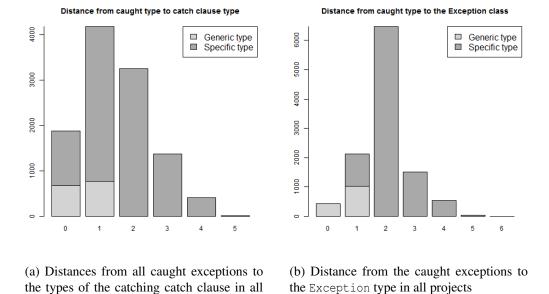


Figure 6.2: Distances between exceptions, catch clauses and root types in all projects

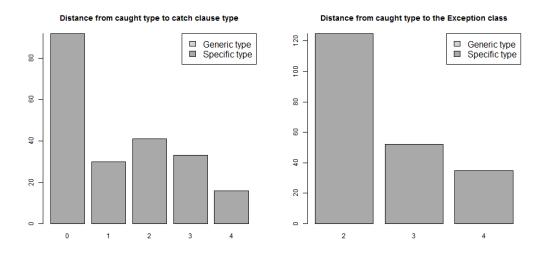
6.4 RQ4. Documentation Validation

Figure 6.4 depicts the presence of annotations for encountered exceptions. Figure 6.4a focuses on exceptions that are explicitly thrown in a method. Figure 6.4b shows the exceptions that are encountered in a method but not explicitly thrown compared to the annotations of that method. Lastly, Figure 6.4c shows the annotations at contract level, compared to the encountered exceptions in its implementations. For each project in the corpus, similar figures are presented in Appendix A.3.

Figure 6.4a shows that most explicitly thrown exceptions are not annotated. Even if all annotations for which we could not infer an exception type would be annotated, there still would be more exceptions which are not annotated. This does not hold for all projects: CakePHP, Joomla, Phing, and Smarty have more than 100 throw statements, and all have more annotated than not annotated exceptions².

For propagated and uncaught exceptions, there are even fewer annotations, as can be seen in Figure 6.4b. Here, Smarty and Roundcube have a larger portion of annotated exceptions as compared to the other projects in the corpus.

²Note that not every throw statement should lead to a throws annotation, e.g. if the exception is directly handled in the method in which it is thrown.

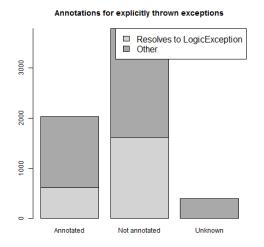


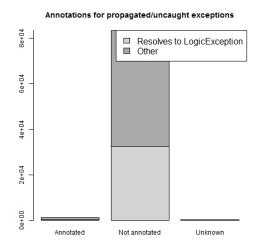
- (a) Distances from all caught exceptions to the types of the catching catch clause in PH-PUnit
- (b) Distance from the caught exceptions to the Exception type in PHPUnit

Figure 6.3: Distances between exceptions, catch clauses and root types in PHPUnit

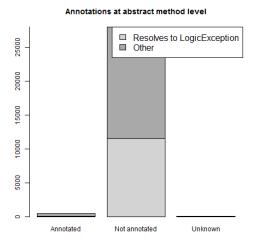
Figure 6.4c shows the encountered exceptions in methods compared to the annotations at abstract super method level. We can see that the vast majority of encountered exceptions is not annotated at abstract method level. It is worth noting that some projects (i.e. CakePHP, phpDocumentor, PHPUnit, Roundcube, Wordpress) do not have any exceptions documented at abstract method level.

In each of these figures, we separated the exceptions that can be resolved to LogicException. The PHP manual states that a LogicException "should lead directly to a fix in your code" [4]. In other words, a LogicException does not have to be handled or documented, as it should not be caught but "fixed". Following this line of reasoning, we decided to separate LogicExceptions from the other exceptions to see whether this is also done in practice. When looking at the the graphs in Figure 6.4, we see that there is not a big difference between "normal" exceptions and LogicExceptions in terms of annotations. 34.9% of the normal exceptions are annotated if they are thrown directly, whereas 27.3% of the LogicExceptions are annotated. For propagated and uncaught exceptions it holds that 1.4% of the normal exceptions are annotated, versus 0.7% of the LogicExceptions. Normal exceptions are annotated at interface level in 1.6% of the cases, compared to 0.6% of the LogicExceptions.





- (a) Annotations compared to explicitly raised exceptions in all projects
- (b) Annotations compared to encountered (but not raised) exceptions in all projects



(c) Annotations at abstract method level compared to encountered exceptions in implementing methods in all projects

Figure 6.4: Comparison of throws annoations to encountered exceptions

Chapter 7

Discussion

In this chapter, we will discuss the results as presented in Chapter 6. Thereby, we answer the research questions we raised in Chapter 5. In Section 7.5, we discuss the implications of the accuracy of the algorithm.

7.1 RQ1. Number of Encounters per Method

Most methods do not encounter any exception at all: in only 30% of all methods, an exception can occur. Most methods in which exceptions can come acoss only encounter a small number of exceptions. We believe that it is unlikely that a programmer who writes a method that encounters many exceptions (e.g. 15 or more) is aware of all of them. It would therefore be interesting to see whether these "unnoticed" exceptions cause bugs, which could be researched in the future. If this is the case, our tool could be used to find methods that do encounter many exceptions, so that these methods can be refactored. Another subject which we left untouched is concerned with the nature of methods that do encounter many exceptions. A qualitative study of those methods might point out similarities between those methods.

7.2 RQ2. Paths of Exceptions

As mentioned in Section 5.1, it is considered a good practice to catch an exception as close to the source as possible. Although this criterion is hard to express in numbers, we deem it acceptable if an exception travels through 2 upto 4 scopes before it is caught. Using this criterion, 69.4% of the exceptions is actually caught 'close to the source'.

29.7% of the caught exceptions has been in more than five scopes before they are caught. We can think of several explanations for this fact:

The caught exception originated from a dependency and not from the project in which
it was caught itself. In this case, the exception has traveled through a number of
framework scopes before it ends up in the project itself. We leave this question open
for future research.

- 2. The caught exception was unknown to the developer. We think that a developer who is writing a catch clause is often not aware of exceptions that come from functions that are 5 or more calls away. This leads to the conclusion that the developer who wrote a catch clause that catches such an exception probably did not intend to catch it. This problem can be prevented by using specific exception types for both throwing and catching, as it is less likely to catch an exception unintentionally if the catch clause can only catch a small subset of exception types.
- 3. The try/catch construct was added as a safeguard. In some instances, a developer might not know whether the method they are using throws or propagates any exceptions. For example, this can be the case when the method resides in another subsystem of the project, or if it is an external method. Because the developer does not want the method containing the call to that untrusted method to propagate an exception, a try/catch block is added with a generic type in the catch clause.

Another result that requires attention is the fact that there are cases in which an exception is caught in the same scope as it was raised. These cases only make up for 0.9% of the paths. The exception can in these cases be reduced to a goto statement, as the throw statement is used to jump over a set of instructions to the instructions in the catch clause. Dijkstra [15] argues that the goto statement should be considered as harmful. Therefore, we argue that cases where an exception is thrown and immediately caught should be refactored.

7.3 RQ3. Catch by Subsumption

In the optimal situation, the graph showing the distance between a caught type and the type by which it is caught is right skewed: this indicates that the distance between the caught type and the type in the catch clause is small most of the times. From Figure 6.2a, we know that this is not the case. This fact can be interpreted in multiple ways. First, it is possible that the programmer who wrote the catch clause did not care about the precision. It could be that they simply wanted to log the exception, but not handle it. In other words, the writer of the catch clause does often not seem to care about the precision that is offered by the specific exception type. Conversely, we can say that the programmer who is writing the function that throws the exception offers information which is lost because the exception is caught with a type that is too generic. In some cases, the caught exception might have been handled correctly, but this is prevented by using the wrong catch clause.

In Figure 6.2b, we can see that most exceptions do have a specific type. Although the exceptions that are caught do have specific types most of the times, the catch clauses do often not use this specific information. A possible explanation is that PHP programmers use generic types in catch clauses because they might not be certain about which exception types might occur in a certain piece of code. They then use a generic type so that no exception escapes.

7.4 RQ4. Documentation Validation

For 56.4% of the explicitly thrown exceptions, no annotation was available. When subtracting all exceptions that resolve to LogicException, following the reasoning that these do not have to be annotated, still 51.4% of the thrown exceptions would not be documented. Exceptions which do not originate from the method scope are even less often documented: 98.3% of the propagated exceptions are not documented when incorporating LogicExceptions, and 98% when not accounting for LogicExceptions. As we can see, this large percentage cannot be explained by the fact that LogicExceptions do not have to be documented. We can think of several explanations for this fact, each of which could be researched in future work.

- Exceptions thrown in private methods might be annotated less often. This might happen because the private method can by definition only be used locally. The developer who wrote the private method is more likely to be the only one who uses that method than if it were a public method. The method is not part of the public interface and is thus not a method of which the documentation has large impact.
- Exceptions thrown in short methods might be annotated less often. The reasoning behind this explanation is that an exception which is thrown in a method of less than 10 lines is often easy to read. The throw statement is more likely to be noticed in such a method, and therefore, the developer does not deem it necessary to annotate the thrown exception.
- Exceptions might not be annotated because of laziness. Although creating such an annotation is not hard, it requires some extra work which does not yield an immediate reward.

Exceptions were in 98.4% of the all the cases not annotated at interface level. If we consider only the exceptions that do not resolve to LogicException, still 97.9% of all exceptions were not annotated at interface level. We did not discern between explicitly thrown and propagated exceptions. We consider each exception that is thrown in an implementing class but not documented in the interface as a violation of the Liskov Substitution Principle. Liskov and Wing [22] define the "exception rule" as follows: "The exceptions signaled by m_{σ} , are contained in the set of exceptions signaled by m_{ρ} ." Here, method m_{σ} overrides m_{ρ} . If we interpret the word "signaled" as "annotated", we can see that each exception that is propagated by a method in a subtype and not annotated in the parent of that method is a violation of this rule.

As we can see, this violation is abundant in PHP programs. We are interested in whether these violations do have a big impact and pose this as a question for future research. The same holds for the consequences of non-annotated exceptions in methods that propagate or throw exceptions themselves.

7.5 Algorithm Accuracy

In Chapter 4, we have determined the accuracy of the algorithm by comparing the output of the algorithm to three manually created oracles. The precision is 91%, and almost all exceptions that were in the output but not in the oracle had a very generic type (e.g. "object"). These generic types can be filtered out the output of the algorithm easily, and thus have a low impact. The impact of the incompleteness of the algorithm is more serious, as 37% of the exceptions is not recalled by the algorithm. As most of the results of our empirical study show a clear trend, we believe that the recall of our algorithm is sufficient to justify our conclusions concerning those results.

The accuracy of the algorithm can be improved in several ways. First, improving the type inference algorithm will result in an improved accuracy. As a starting point, better support for resolving types of class properties could be implemented. Another possible improvement could be made by implementing support for dynamic calls. Lastly, implementing correct support for try/catch constructs in the CFG creation algorithm will also improve the accuracy of the algorithm.

Chapter 8

Related Work

Modeling the exception flow of a system has been done in multiple ways. We discuss the literature on exception flow modeling in Section 8.1. There are also numerous studies available which are concerned with exception and error handling. In Section 8.2, we present a subset of these studies. PHP is a less well explored topic in scientific literature, but some of the existing work on PHP is also related to our work. We introduce the most important works on PHP and web development with relation to our work in Section 8.3.

8.1 Exception Flow Modeling

Amighi et al. [7] created an algorithm which models the control flow graph of software systems with focus on exceptions. Their approach uses an intermediate representation of the code to be analysed, in contrast to the approach by Robillard and Murphy [26] which is based on analysing the AST. Their approach is specifically designed for the Java programming language. Sinha and Harrold [27] analysed the impact of exceptions on static analysis tools. They also constructed an algorithm for exception propagation in Java, which uses CFGs for intraprocedural exception flows. To be able to model the interprocedural exception flow, they link the CFGs together into an (interprocedural) CFG. In contrast to the PHP-CFG library, their CFG construction algorithm correctly accounts for try/catch constructs. Fu and Ryder [17] have created an algorithm which is able to compute semantically related exception chains. Exception paths are linked in a chain if one of the following conditions applies: either an exception is caught and rethrown, or a new exception which is based on a caught exception is thrown. Their work could be used to expand our own analysis.

8.2 Exception and Error Handling

Coelho et al. [12] conducted an extensive study on exception handling bug hazards in Android projects. In their study, they analysed over 6000 stack traces and surveyed 71 developers. They found that most errors in Android projects are due to errors in programming logic. In addition, they found that many unchecked exceptions are also undocumented, which is

in concordance with our own finding concerning exception documentation in PHP projects. Ebert et al. [16] executed a survey of 154 developers and manually analysed 220 exception handling bugs from two Java projects. From the results of their survey, they found that most developers do not test the exception handling constructs in their code. They also found that exception handling bugs did only form a small portion of the complete set of bugs in the analysed projects. Marinescu [23] did an empirical study of three releases of the Eclipse project. In this study, they found that classes that do use exceptions are more likely to be error prone. This finding supports the notion that tooling that helps programmers to understand exception handling is needed. Cabral and Marques [10] studied 32 .NET and Java projects to find how these projects deal with exception handling. They found that exception handling constructs are often not used correctly, and pose the question whether the design of exception handling mechanisms might be flawed.

8.3 PHP and Web Development

Hills [20] has created a collection of variable feature usage patterns and anti-patterns in PHP. They analyse how often these patterns occur in 20 open-source projects, and show how often these patterns can be statically resolved. They conclude that these patterns can be used to improve the accuracy of static analysis tools. We believe the implementation of these patterns in the type inference algorithm will increase the performance of our tool.

Nguyen et al. [25] introduce WebSlice, a tool for slicing web applications. WebSlice is able to create cross-language slices as web projects often consist of both PHP, HTML, JavaScript and SQL code. They show that many program slices do indeed cross languages, indicating the complexity of web application development. Their approach could be used to create a slicer which also accounts for the exception flow. Such a slicer would make it possible to see the impact of an uncaught exception on the web application as a whole.

Chapter 9

Conclusion

In this chapter, we reflect on the contents of our research. To start with, we list our contributions in Section 9.1. Thereafter, we summarise our findings in Section 9.2. Lastly, we provide some pointers for future work in Section 9.3.

9.1 Contributions

Our work contains three main contributions. The first contribution is our implementation of the exception flow detection algorithm of Robillard and Murphy [26] for the PHP language. We have shown that it is possible to detect the exception flow in dynamically typed languages, by first executing a type inference algorithm. Our second contribution is the determination of the accuracy of our implementation of the algorithm of Robillard and Murphy [26]. In the original paper, the authors only presented a few use cases in which their algorithm would be useful, but they did not show how accurate their algorithm is. The third main contribution is the empirical study we performed on 20 PHP projects, focusing on their exception flow.

9.2 Conclusions

We focus our conclusions on two parts of our thesis: the accuracy of the algorithm, and the results of our empirical study. To start with, the evaluation of our approach showed that the recall of the algorithm is 0.63, meaning that of all exceptions that could have been encountered, our approach detects 63%. Of the output of our approach, 91% of the exceptions are exceptions that can be actually encountered. Improvement in the underlying type inference algorithm will also lead to an improvement of the accuracy of our approach.

The results of our empirical study provide insight into the way PHP programmers use exceptions. We have shown that most methods do not encounter any exceptions at all. We have also shown that most exceptions are caught by subsumption, i.e. they are not caught by their exact type. This signals that developers often do not make use of the extra information that is provided by the specific exception type. Exceptions are most of the time caught after they have traveled through three scopes. However, paths with lengths of up to ten are quite

common. These long paths might signal a problem, as the developer that wrote a catch clause might not be aware of all the exceptions that are caught. We have also shown that PHP developers catch most exceptions by subsumption. Lastly, we compared the <code>@throws</code> annotations of methods to the exceptions that are actually encounted. We found that most exceptions are not annotated, even if they are thrown explicitly. For methods which override an abstract method, we also compared the annotations of the abstract method to the encountered exceptions of the overriding method. We found that many methods violate the Liskov Substitution Principle by propagating exceptions which are not documented at the abstract method.

9.3 Future Work

We can think of several opportunities for future work. A first suggestion for future work is improving the type inference algorithm. During the evaluation of our approach, we found that the type inference algorithm is of great importance to the accuracy of our approach. Better support for properties and for the dynamic features of PHP would improve the accuracy of our algorithm. A different opportunity for improving the accuracy of our approach lies in implementing proper support for try/catch constructs in the PHP-CFG library.

Another research subject could be finding whether developers that write catch clauses know or even want to know about all the exceptions that are caught these catch clauses. We found that some catch clauses catch exceptions which have traveled through many scopes before they are caught, and wonder whether this is intentional and whether it could be harmful.

Our last suggestion for future work is researching the impact of exceptions which are encountered but not annotated. A future study could try to find why developers do not annotate exceptions, and if exceptions that are not annotated to fatal errors lead more often.

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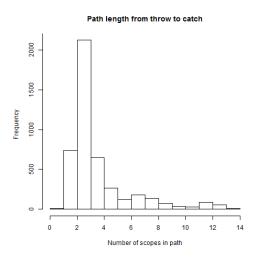
Appendix A

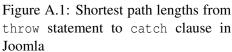
Results

Chapter 6 depicts mainly aggregated data. This appendix contains all relevant figures for each project in the corpus, similar to the figures in Chapter 6.

A.1 Paths of Exceptions

This section contains Figures depicting the lengths of the shortest paths for caught exceptions from throw statement to catch clause for the projects in the corpus. If a project does not catch any exceptions, it has not been included in this overview.





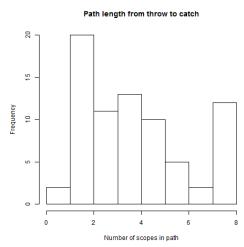


Figure A.2: Shortest path lengths from throw statement to catch clause in CakePHP

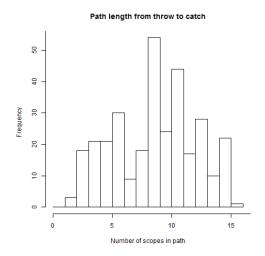


Figure A.3: Shortest path lengths from throw statement to catch clause in Doctrine

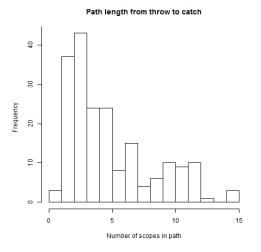


Figure A.5: Shortest path lengths from throw statement to catch clause in Drupal

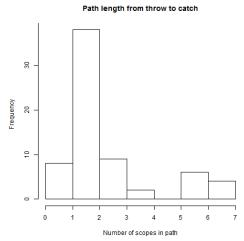


Figure A.4: Shortest path lengths from throw statement to catch clause in DokuWiki

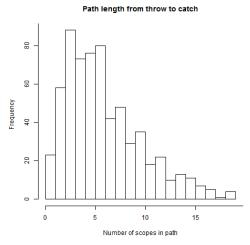


Figure A.6: Shortest path lengths from throw statement to catch clause in Fabric

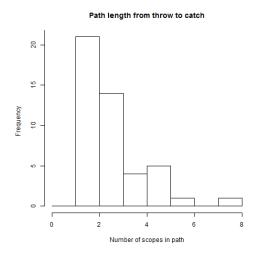


Figure A.7: Shortest path lengths from throw statement to catch clause in Nette ${\bf N}$

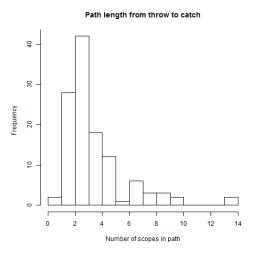


Figure A.9: Shortest path lengths from throw statement to catch clause in phpBB

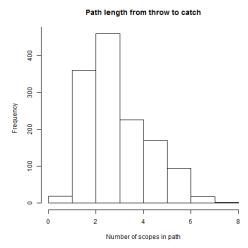


Figure A.8: Shortest path lengths from throw statement to catch clause in Phing

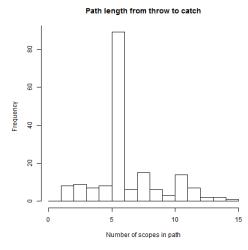


Figure A.10: Shortest path lengths from throw statement to catch clause in phpDocumentor2

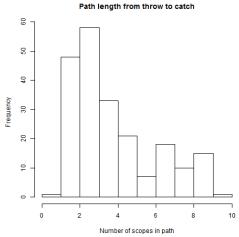


Figure A.11: Shortest path lengths from throw statement to catch clause in PHPUnit Figure A.

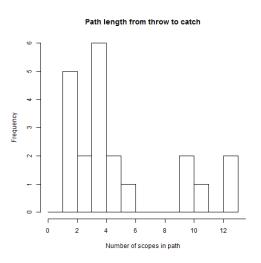


Figure A.13: Shortest path lengths from throw statement to catch clause in Objectbrowser

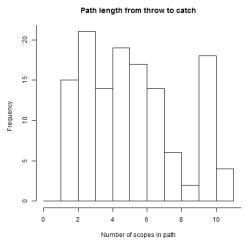


Figure A.12: Shortest path lengths from throw statement to catch clause in Digitaalloket

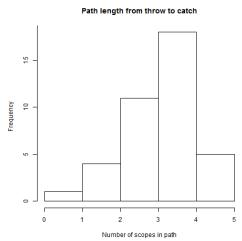
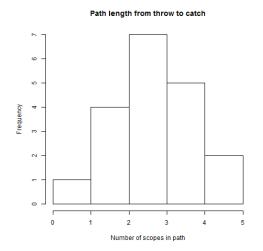


Figure A.14: Shortest path lengths from throw statement to catch clause in Roundcube



Path length from throw to catch

1001

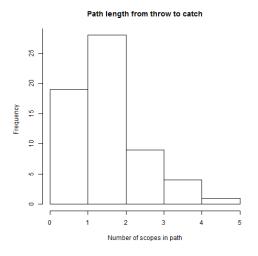
1001

15

Number of scopes in path

Figure A.15: Shortest path lengths from throw statement to catch clause in Smarty

Figure A.16: Shortest path lengths from throw statement to catch clause in Symfony



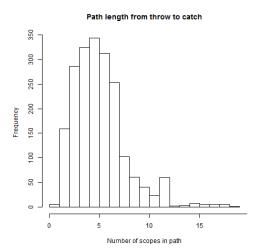


Figure A.17: Shortest path lengths from throw statement to catch clause in Wordpress

Figure A.18: Shortest path lengths from throw statement to catch clause in Zend Framework

A.2 Catch by Subsumption

Section A.2.1 contains Figures depicting the distances between the types of caught exceptions and the type by which they are caught. Section A.2.2 shows Figures which depict the distances between the types of caught exceptions and the Exception type.

A.2.1 Caught Type to Catch Clause Type

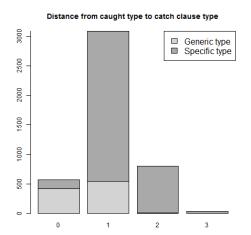
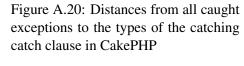
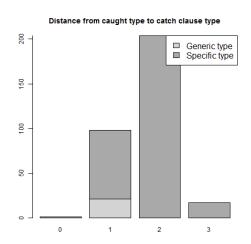


Figure A.19: Distances from all caught exceptions to the types of the catching catch clause in Joomla





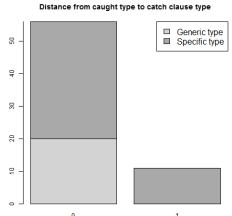
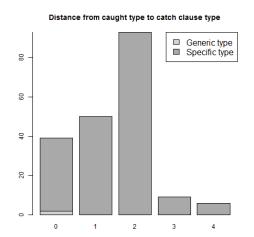


Figure A.21: Distances from all caught exceptions to the types of the catching catch clause in Doctrine

Figure A.22: Distances from all caught exceptions to the types of the catching catch clause in DokuWiki



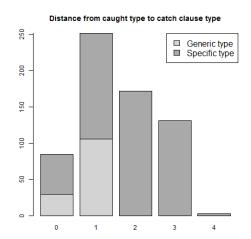
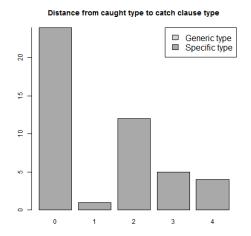


Figure A.23: Distances from all caught exceptions to the types of the catching catch clause in Drupal

Figure A.24: Distances from all caught exceptions to the types of the catching catch clause in Fabric



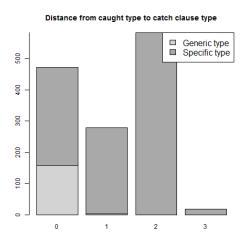
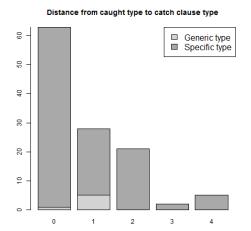


Figure A.25: Distances from all caught exceptions to the types of the catching catch clause in Nette

Figure A.26: Distances from all caught exceptions to the types of the catching catch clause in Phing



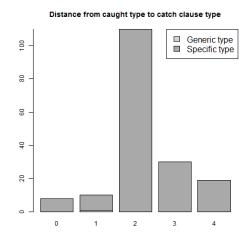
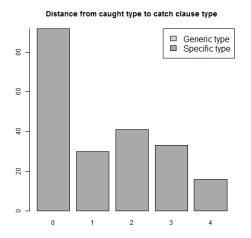


Figure A.27: Distances from all caught exceptions to the types of the catching catch clause in phpBB

Figure A.28: Distances from all caught exceptions to the types of the catching catch clause in phpDocumentor2



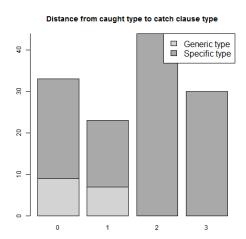
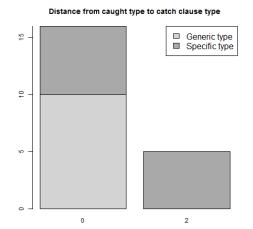


Figure A.29: Distances from all caught exceptions to the types of the catching catch clause in PHPUnit

Figure A.30: Distances from all caught exceptions to the types of the catching catch clause in Digitaalloket



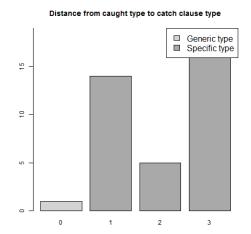
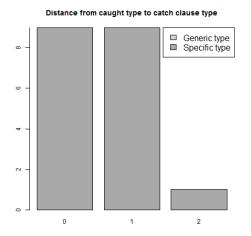


Figure A.31: Distances from all caught exceptions to the types of the catching catch clause in Objectbrowser

Figure A.32: Distances from all caught exceptions to the types of the catching catch clause in Roundcube



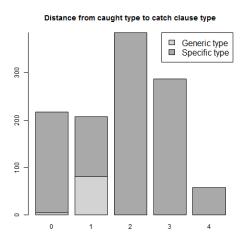
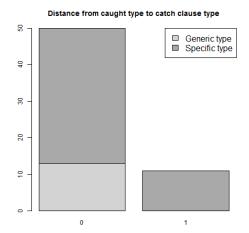


Figure A.33: Distances from all caught exceptions to the types of the catching catch clause in Smarty

Figure A.34: Distances from all caught exceptions to the types of the catching catch clause in Symfony



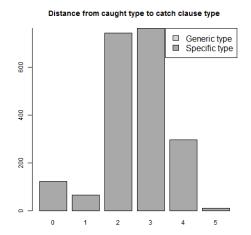
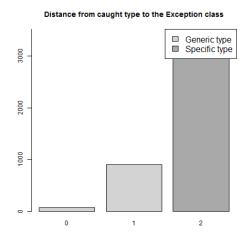


Figure A.35: Distances from all caught exceptions to the types of the catching catch clause in Wordpress

Figure A.36: Distances from all caught exceptions to the types of the catching catch clause in Zend Framework

A.2.2 Caught Type to Exception



Distance from caught type to the Exception class

Generic type
Specific type

Figure A.37: Distance from the caught exceptions to the Exception type in Joomla

Figure A.38: Distance from the caught exceptions to the Exception type in CakePHP

Distance from caught type to the Exception class



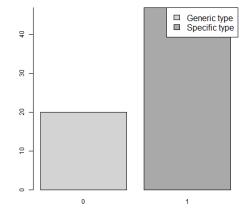
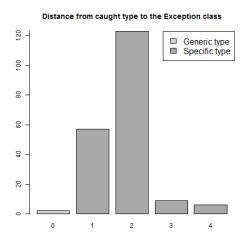


Figure A.39: Distance from the caught exceptions to the Exception type in Doctrine

Figure A.40: Distance from the caught exceptions to the Exception type in DokuWiki



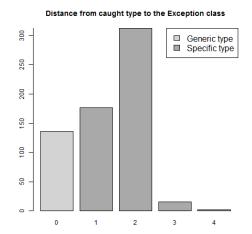
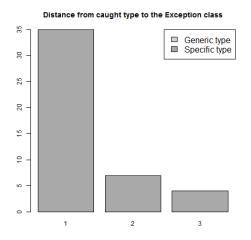


Figure A.41: Distance from the caught exceptions to the Exception type in Drupal

Figure A.42: Distance from the caught exceptions to the Exception type in Fabric



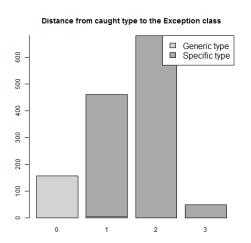
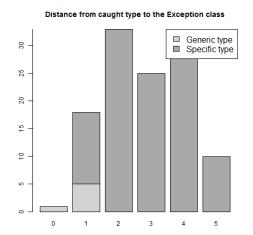


Figure A.43: Distance from the caught exceptions to the Exception type in Nette

Figure A.44: Distance from the caught exceptions to the Exception type in Phing



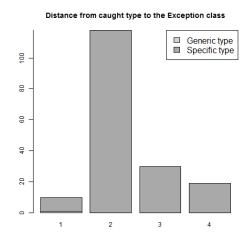
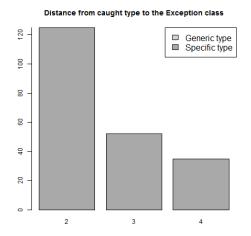


Figure A.45: Distance from the caught exceptions to the ${\tt Exception}$ type in phpBB

Figure A.46: Distance from the caught exceptions to the Exception type in phpDocumentor2



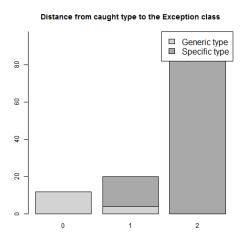


Figure A.47: Distance from the caught exceptions to the Exception type in PHPUnit

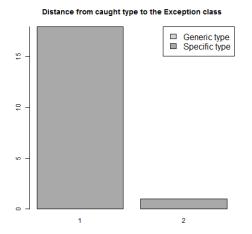
Figure A.48: Distance from the caught exceptions to the Exception type in Digitaalloket

Distance from caught type to the Exception class Generic type Specific type 7



Figure A.49: Distance from the caught exceptions to the Exception type in Objectbrowser

Figure A.50: Distance from the caught exceptions to the Exception type in Roundcube



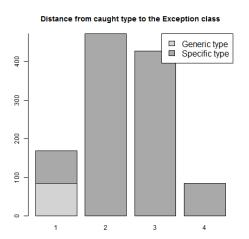


Figure A.51: Distance from the caught exceptions to the Exception type in Smarty

Figure A.52: Distance from the caught exceptions to the Exception type in Symfony



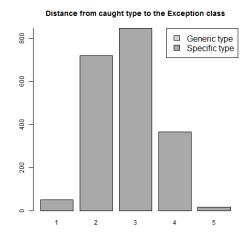


Figure A.53: Distance from the caught exceptions to the ${\tt Exception}$ to the ${\tt Wordpress}$

Figure A.54: Distance from the caught exceptions to the Exception type in Zend Framework

A.3 Documentation Validation

Section A.3.1 shows for each project in the corpus the difference between explicitly raised exceptions and the annotated exceptions for all methods. In Section A.3.2, we show for each project the propagated and/or uncaught (but not raised) exceptions compared to the annotations for all methods. Lastly, Section A.3.3 shows for each project the annotations at contract level compared to the encountered exceptions at implementation level.

A.3.1 Explicitly Raised Exceptions Compared To Annotations



Figure A.55: Annotations compared to explicitly raised exceptions in CodeIgniter

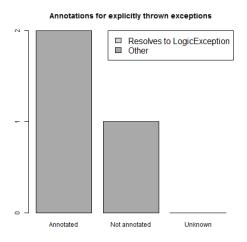


Figure A.57: Annotations compared to explicitly raised exceptions in PEAR

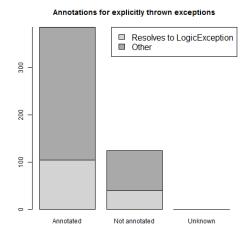


Figure A.56: Annotations compared to explicitly raised exceptions in Joomla

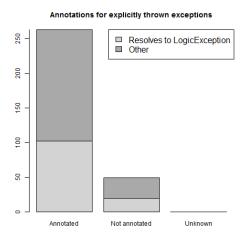
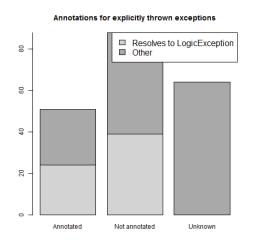


Figure A.58: Annotations compared to explicitly raised exceptions in CakePHP



Annotations for explicitly thrown exceptions

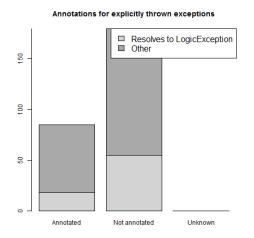
Resolves to LogicException
Other

Other

Annotated Not annotated Unknown

Figure A.59: Annotations compared to explicitly raised exceptions in Doctrine

Figure A.60: Annotations compared to explicitly raised exceptions in DokuWiki



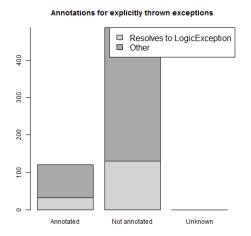


Figure A.61: Annotations compared to explicitly raised exceptions in Drupal

Figure A.62: Annotations compared to explicitly raised exceptions in Fabric

Annotations for explicitly thrown exception Resolves to LogicException Other Annotated Not annotated Unknown

Annotations for explicitly thrown exception

Resolves to LogicException
Other

Other

Annotated Not annotated Unknown

Figure A.63: Annotations compared to explicitly raised exceptions in Nette

Annotated Not annotated Unknown

Figure A.64: Annotations compared to explicitly raised exceptions in Phing

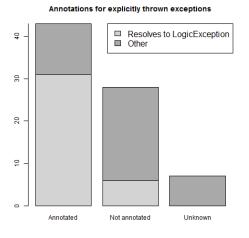
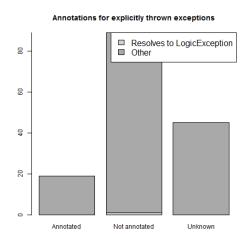


Figure A.65: Annotations compared to explicitly raised exceptions in phpBB

Figure A.66: Annotations compared to explicitly raised exceptions in phpDocumentor2



Annotations for explicitly thrown exceptions

Resolves to LogicException
Other

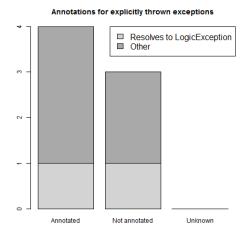
92

91

Annotated
Not annotated
Unknown

Figure A.67: Annotations compared to explicitly raised exceptions in PHPUnit

Figure A.68: Annotations compared to explicitly raised exceptions in Digitaal-loket



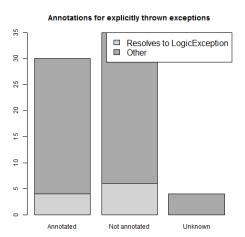


Figure A.69: Annotations compared to explicitly raised exceptions in Object-browser

Figure A.70: Annotations compared to explicitly raised exceptions in Round-cube

Annotated Not annotated Unknown

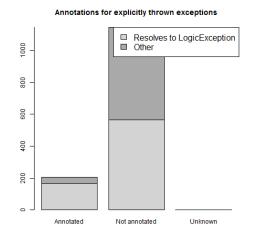


Figure A.71: Annotations compared to explicitly raised exceptions in Smarty

Annotated Not annotated Unknown

Figure A.72: Annotations compared to explicitly raised exceptions in Symfony

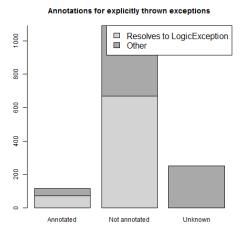
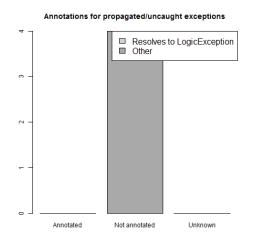


Figure A.73: Annotations compared to explicitly raised exceptions in Wordpress

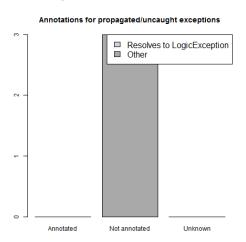
Figure A.74: Annotations compared to explicitly raised exceptions in Zend Framework

A.3.2 Propagated or Uncaught Exceptions compared to Annotations



Annotated Not annotated Unknown

Figure A.75: Annotations compared to encountered (but not raised) exceptions in CodeIgniter



 $in \ Joomla$ ${\tt Annotations} \ {\tt for} \ {\tt propagated/uncaught} \ {\tt exceptions}$

Figure A.76: Annotations compared to

encountered (but not raised) exceptions

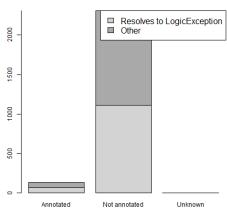


Figure A.77: Annotations compared to encountered (but not raised) exceptions in PEAR

Figure A.78: Annotations compared to encountered (but not raised) exceptions in CakePHP

Annotations for propagated/uncaught exceptions Resolves to LogicException Other Other Annotated Not annotated Unknown

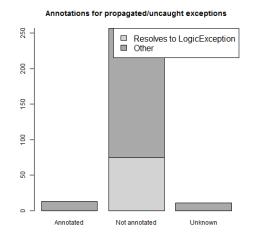
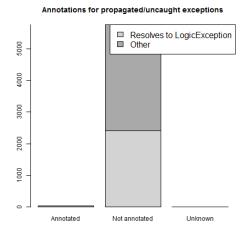


Figure A.79: Annotations compared to encountered (but not raised) exceptions in Doctrine

Figure A.80: Annotations compared to encountered (but not raised) exceptions in DokuWiki



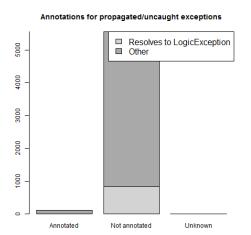


Figure A.81: Annotations compared to encountered (but not raised) exceptions in Drupal

Figure A.82: Annotations compared to encountered (but not raised) exceptions in Fabric

Annotations for propagated/uncaught exceptions Resolves to LogicException Other Other Annotated Not annotated Unknown

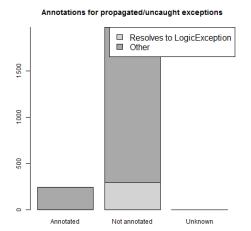
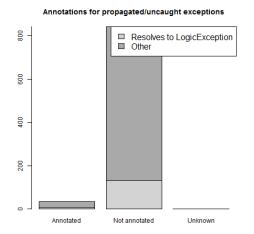


Figure A.83: Annotations compared to encountered (but not raised) exceptions in Nette

Figure A.84: Annotations compared to encountered (but not raised) exceptions in Phing



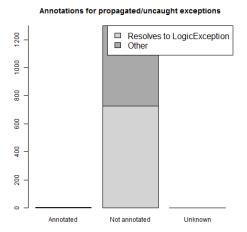


Figure A.85: Annotations compared to encountered (but not raised) exceptions in phpBB

Figure A.86: Annotations compared to encountered (but not raised) exceptions in phpDocumentor2

Annotations for propagated/uncaught exceptions Resolves to LogicException Other Annotated Not annotated Unknown

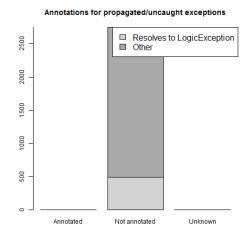
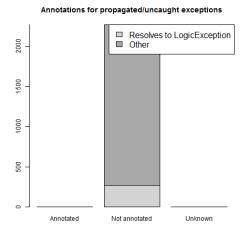


Figure A.87: Annotations compared to encountered (but not raised) exceptions in PHPUnit

Figure A.88: Annotations compared to encountered (but not raised) exceptions in Digitaalloket



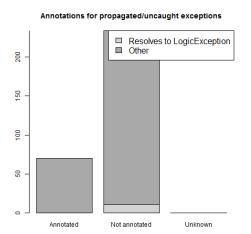
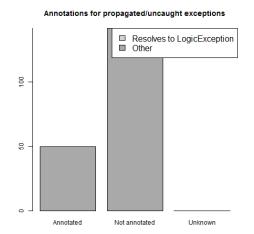


Figure A.89: Annotations compared to encountered (but not raised) exceptions in Objectbrowser

Figure A.90: Annotations compared to encountered (but not raised) exceptions in Roundcube



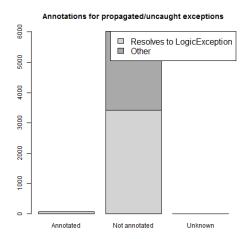
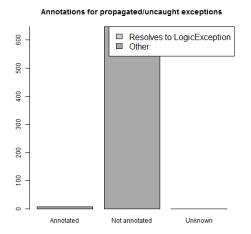


Figure A.91: Annotations compared to encountered (but not raised) exceptions in Smarty

Figure A.92: Annotations compared to encountered (but not raised) exceptions in Symfony



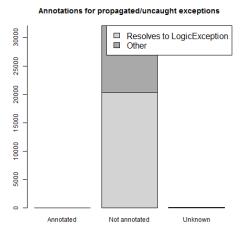
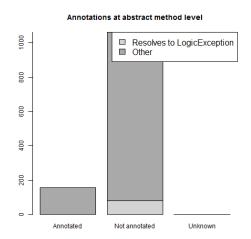


Figure A.93: Annotations compared to encountered (but not raised) exceptions in Wordpress

Figure A.94: Annotations compared to encountered (but not raised) exceptions in Zend Framework

A.3.3 Annotations at Contract Level Compared to Exceptions at Implementation Level



Annotations at abstract method level

Resolves to LogicException
Other

Other

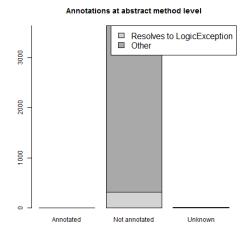
Annotated

Not annotated

Unknown

Figure A.95: Annotations at abstract method level compared to encountered exceptions in implementing methods in Joomla

Figure A.96: Annotations at abstract method level compared to encountered exceptions in implementing methods in CakePHP



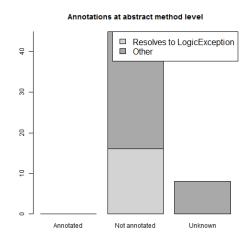
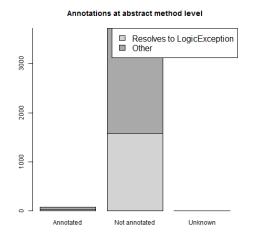


Figure A.97: Annotations at abstract method level compared to encountered exceptions in implementing methods in Doctrine

Figure A.98: Annotations at abstract method level compared to encountered exceptions in implementing methods in DokuWiki



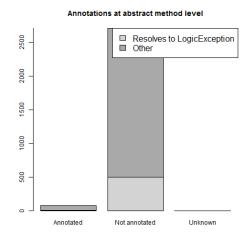
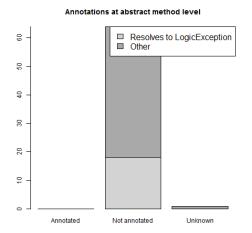


Figure A.99: Annotations at abstract method level compared to encountered exceptions in implementing methods in Drupal

Figure A.100: Annotations at abstract method level compared to encountered exceptions in implementing methods in Fabric



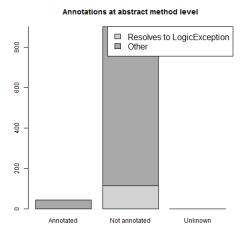
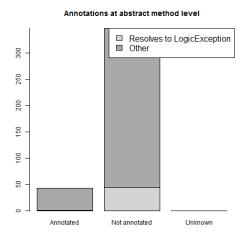


Figure A.101: Annotations at abstract method level compared to encountered exceptions in implementing methods in Nette

Figure A.102: Annotations at abstract method level compared to encountered exceptions in implementing methods in Phing



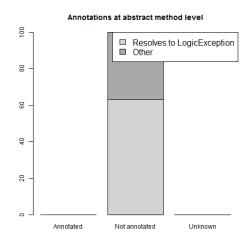
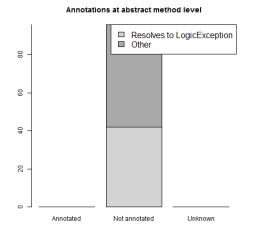


Figure A.103: Annotations at abstract method level compared to encountered exceptions in implementing methods in phpBB

Figure A.104: Annotations at abstract method level compared to encountered exceptions in implementing methods in phpDocumentor2



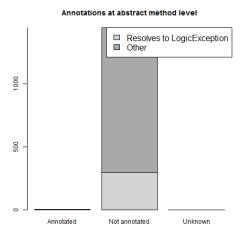
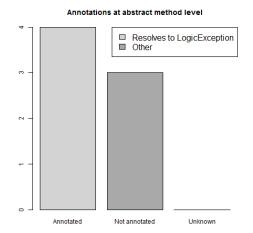


Figure A.105: Annotations at abstract method level compared to encountered exceptions in implementing methods in PHPUnit

Figure A.106: Annotations at abstract method level compared to encountered exceptions in implementing methods in Digitaalloket



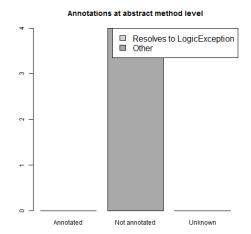
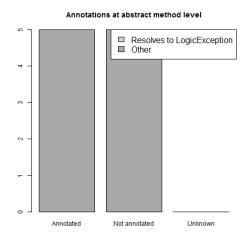


Figure A.107: Annotations at abstract method level compared to encountered exceptions in implementing methods in Objectbrowser

Figure A.108: Annotations at abstract method level compared to encountered exceptions in implementing methods in Roundcube



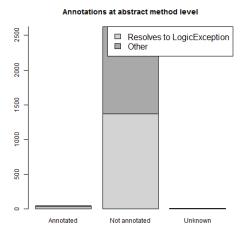


Figure A.109: Annotations at abstract method level compared to encountered exceptions in implementing methods in Smarty

Figure A.110: Annotations at abstract method level compared to encountered exceptions in implementing methods in Symfony

Annotations at abstract method level Resolves to LogicException Other Other Annotated Not annotated Unknown

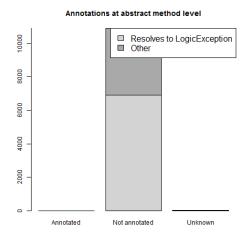


Figure A.111: Annotations at abstract method level compared to encountered exceptions in implementing methods in Wordpress

Figure A.112: Annotations at abstract method level compared to encountered exceptions in implementing methods in Zend Framework