



# Semantic Segmentation of Roof Superstructures

MSc thesis presentation | June 28th, 2022 | Irène Apra



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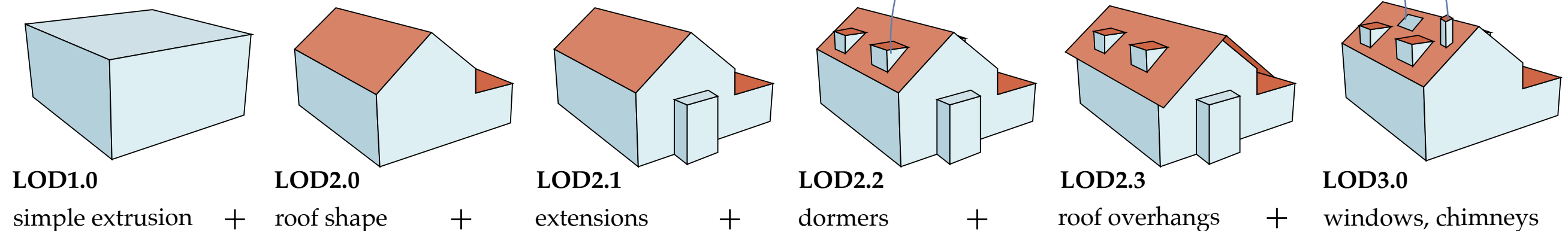
## Conclusion

# Introduction

## Motivation

### Semantic city models:

- Different levels of details (LODs)
- Different applications
- Detailed models are challenging to generate



source: Biljecki et al. 2014

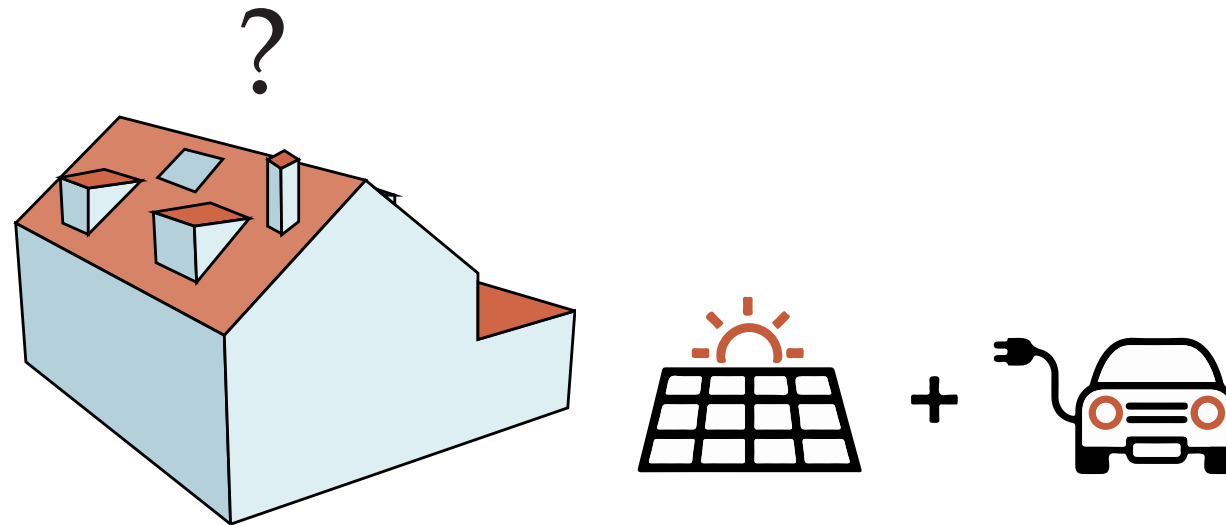


# Introduction

## Motivation

### PV potential of buildings:

- Project at the TU Munich
- Need to assess the building's geometrical potential
- ⇒ Estimation of roof surface available for panels



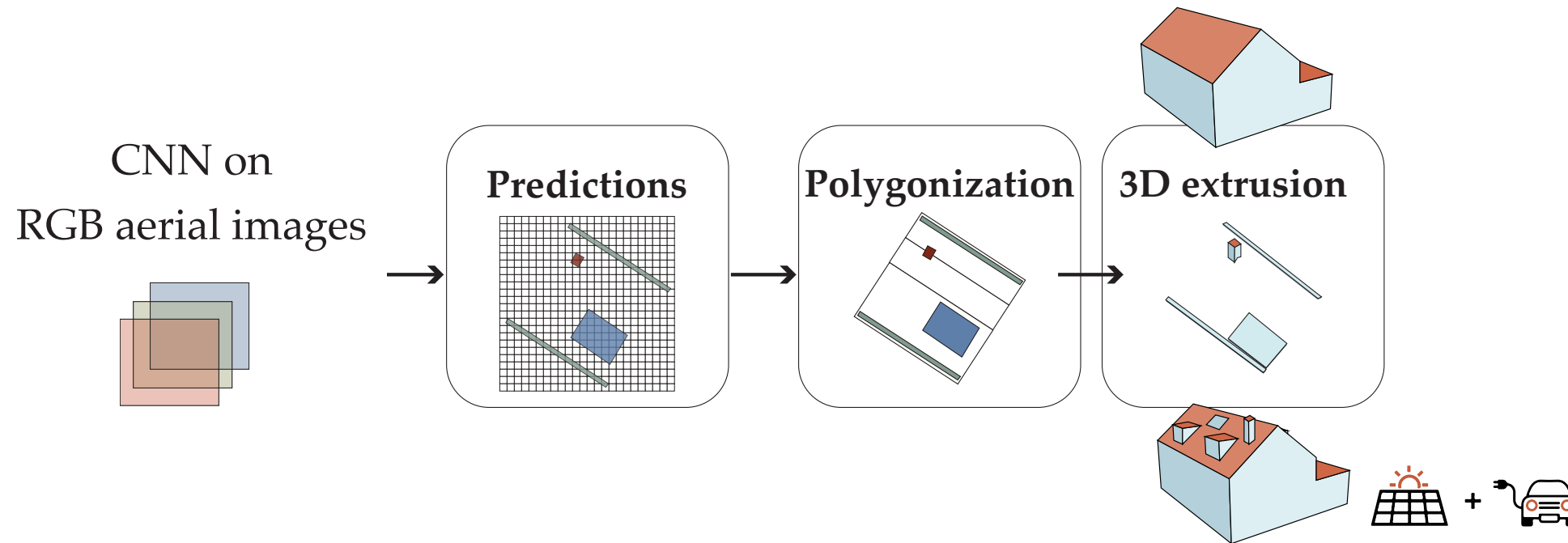


# Introduction

## Motivation

### Approach of the existing project:

1. Detect superstructures through Convolutional Neural Network (CNN)
2. Vectorize and model them in 3D
3. Add them to a simple 3D model available

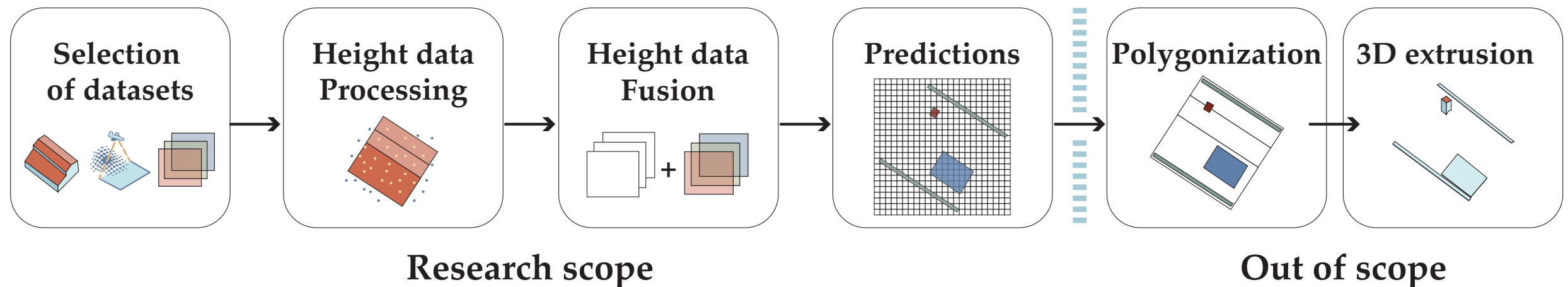


# Introduction

## Research scope

### Research goal and steps:

- Improve superstructure detection by incorporating 3D data sources
- Selection of appropriate 3D data (LiDAR)
- LiDAR preprocessing to obtain height maps (absolute and normalized)
- Implementation of fusion network



# Introduction

## Problem & Hypotheses

### Main question:

How can building height data fused to a Convolutional Neural Network (CNN) on RGB aerial images improve the semantic segmentation of roof superstructures?

### Hypotheses:

1. Improvement for some classes only: the ones with relief
2. Relative height yield better results than the absolute one since it would highlight only reliefs
3. Interpolated height data yield better results than no interpolation



# 1. Related work

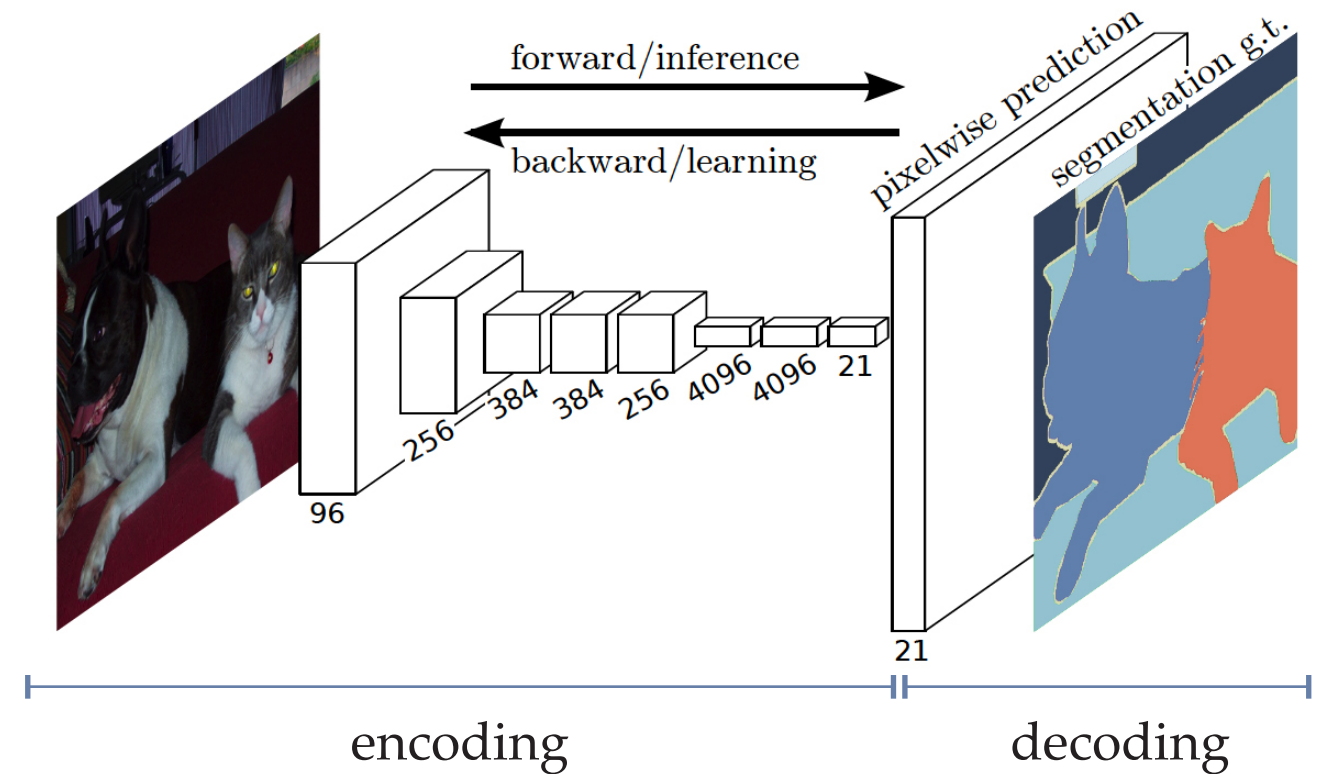
## Semantic segmentation | Definition

### Semantic segmentation:

- Assigns a class per pixel  
(classes = superstructure categories)

### Network:

- CNN on image input
- Encoding part to obtain feature maps
- Decoding back to obtain back the image size



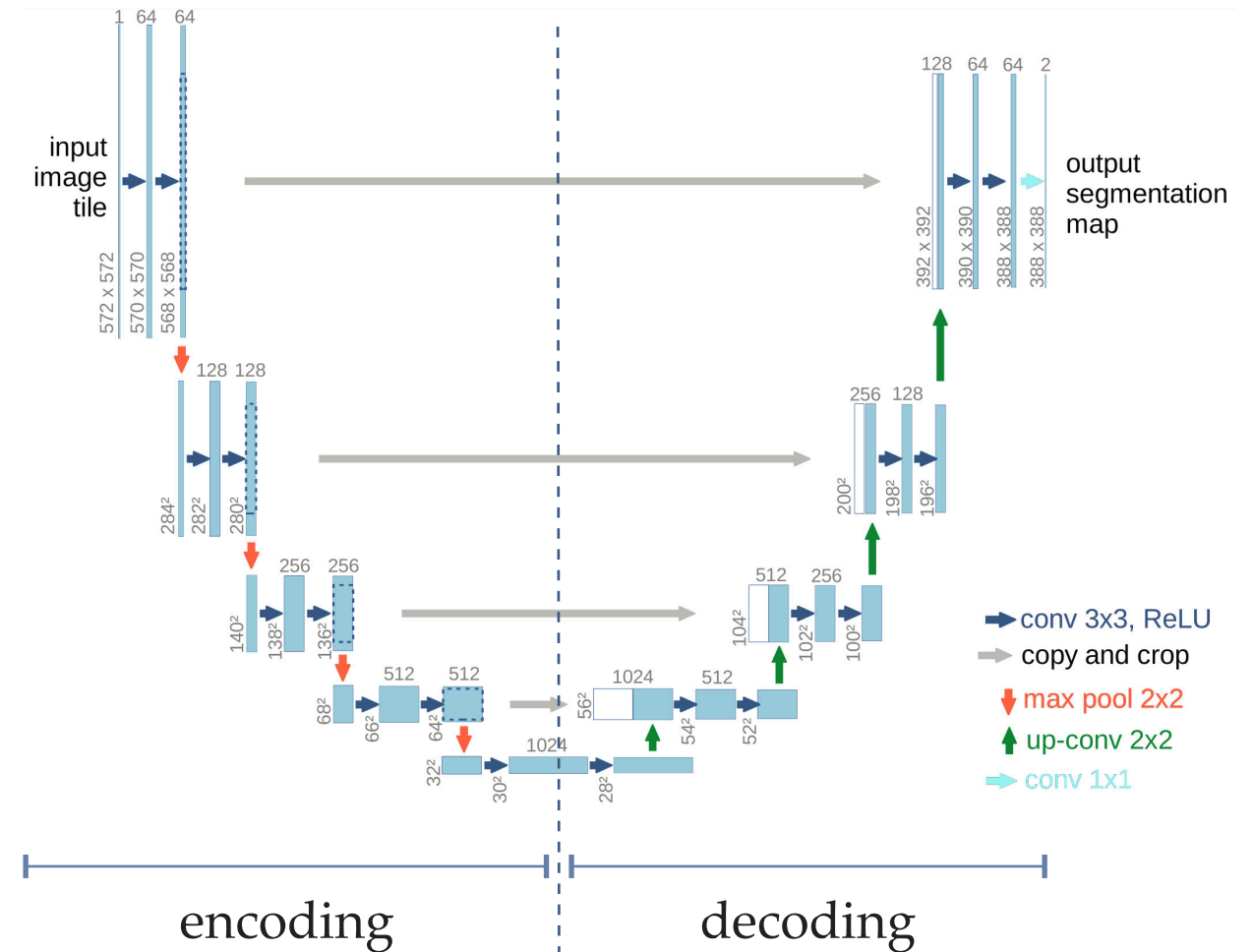
FCN; source: Long et al. 2015

# 1. Related work

## Semantic segmentation | U-Net

### U-Net:

- One of the most used CNN architectures
- Symmetric architecture
- Used in the PV-assessment pipeline



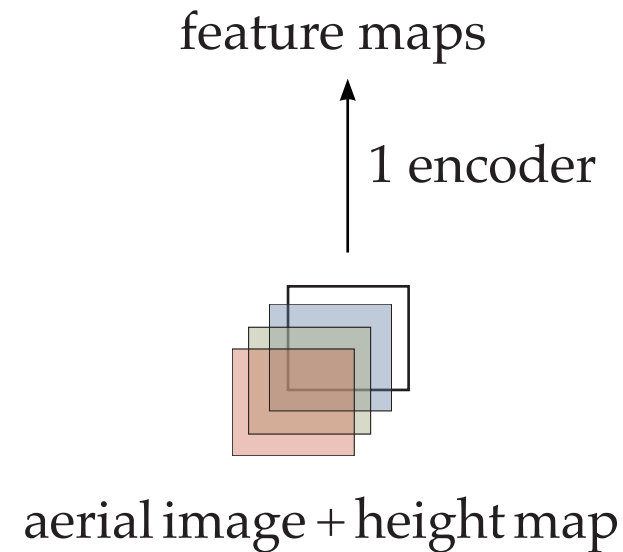
source: Ronneberger et al. 2015

# 1. Related work

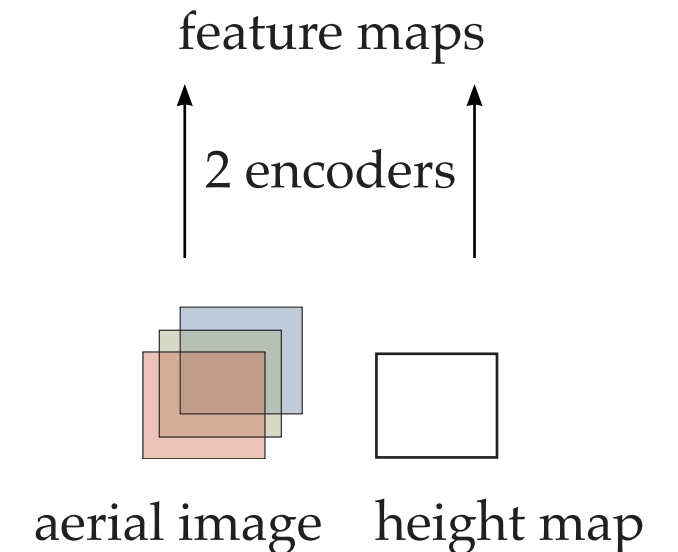
## Multimodal networks | Concepts

### Height data fusion:

1. Stacking on an additional channel
  2. Fusing by encoding on another network branch
- According to the literature, fusion yields better results (e.g. land-use segmentation)



**STACKING**



**FUSION**



# 1. Related work

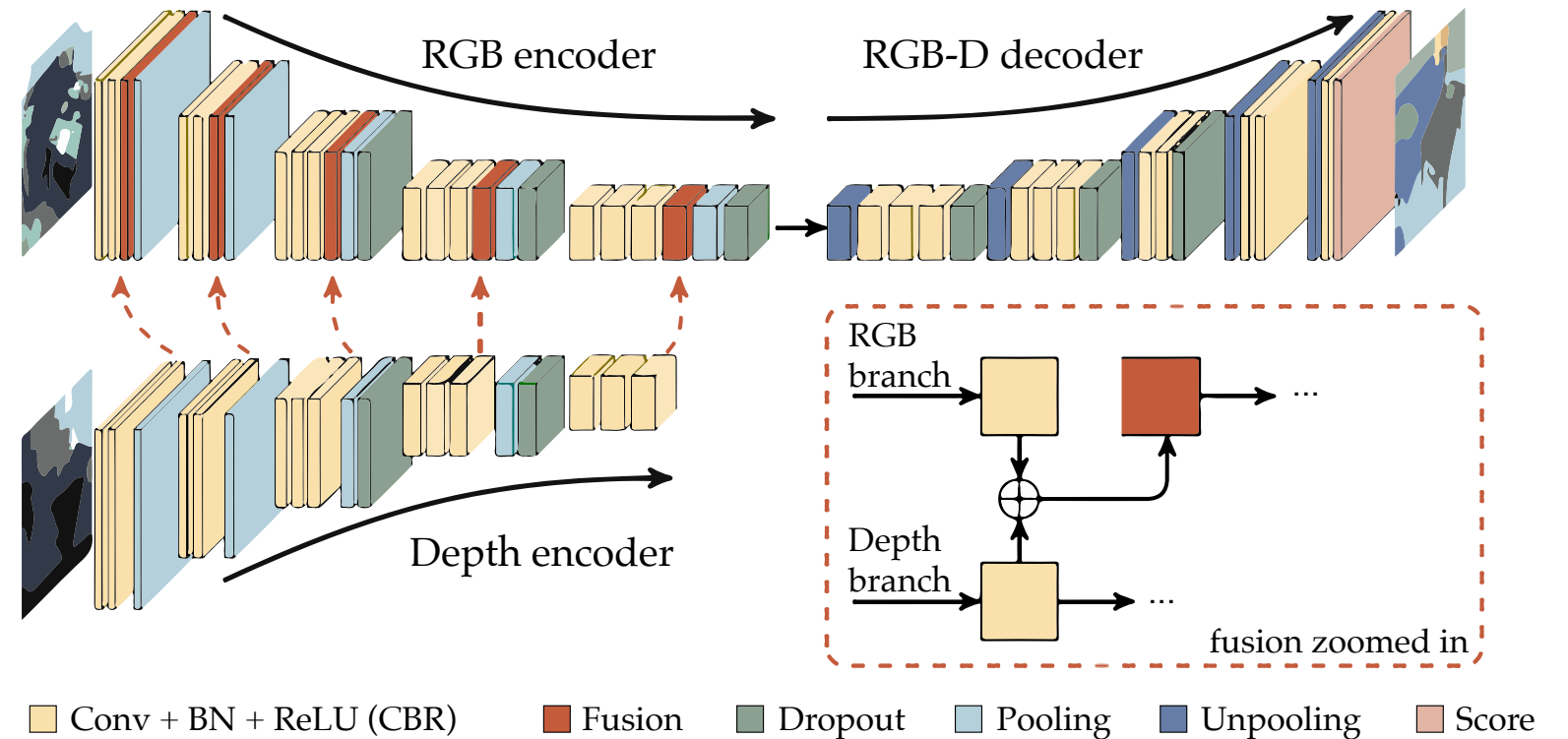
## Multimodal networks | FuseNet

### FuseNet functioning:

- Fusion network
- Fusion module: activations of the auxiliary branch are fused to the main branch during encoding

### Experiments:

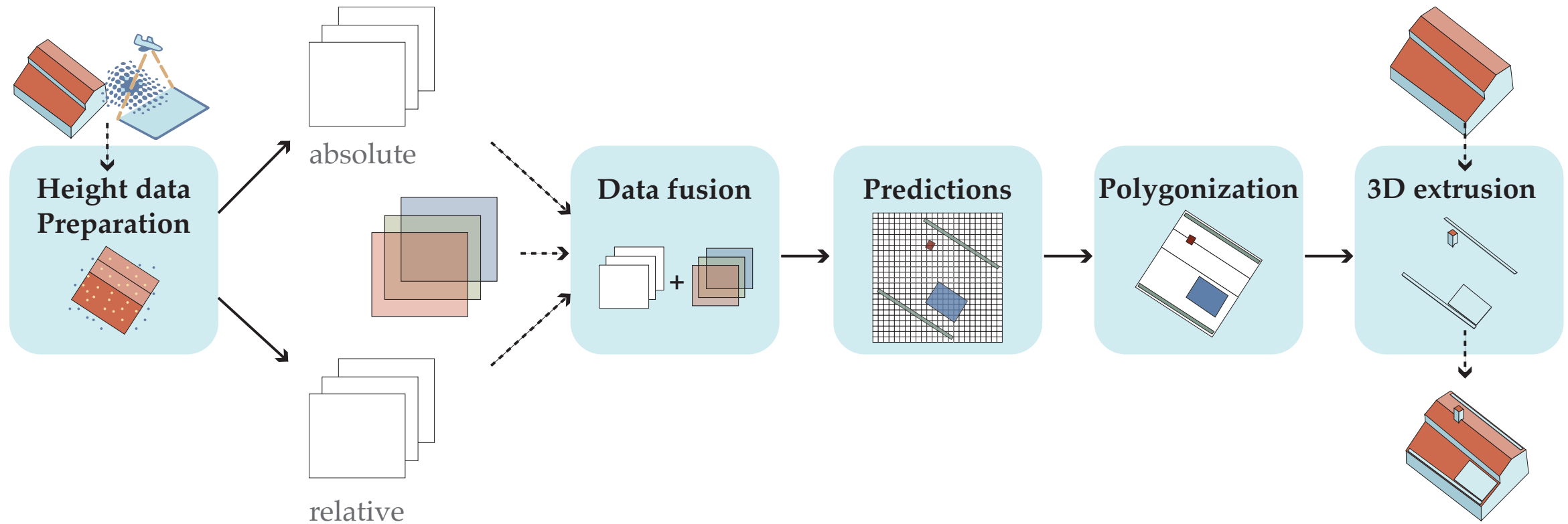
- Comparison of U-Net and FuseNet results



source: adapted from Hazirbas and Aygun 2018

# 2. Methodology

## Overview



### Legend:

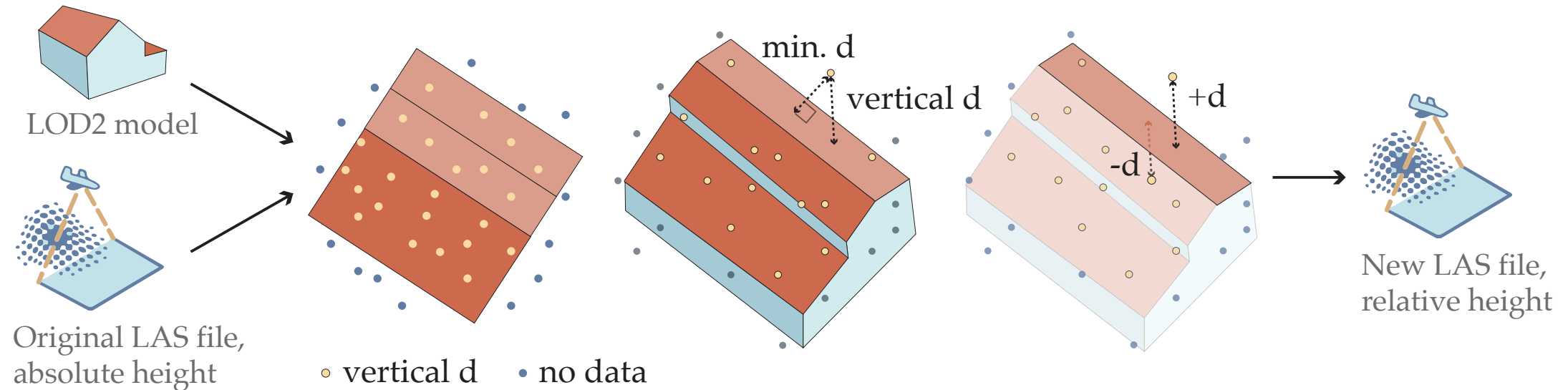
- processing flow
- > input flow
- bold** process
- LiDAR
- LOD2 model
- RGB APs
- height maps

# 2. Height data preparation

## Relative height calculation | Concept

### Relative height calculation:

- Extraction of roof polygons
- Retrieval of underlying polygon per point
- Vertical distance calculation

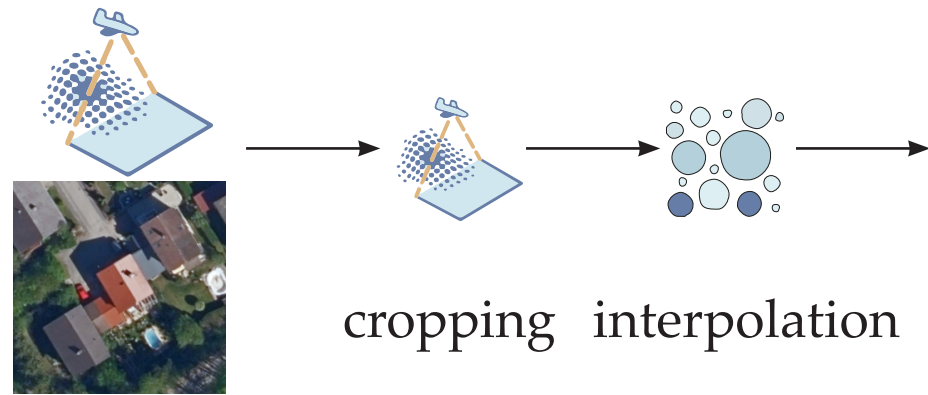




# 2. Height data preparation

## Interpolation | Implementation & Results

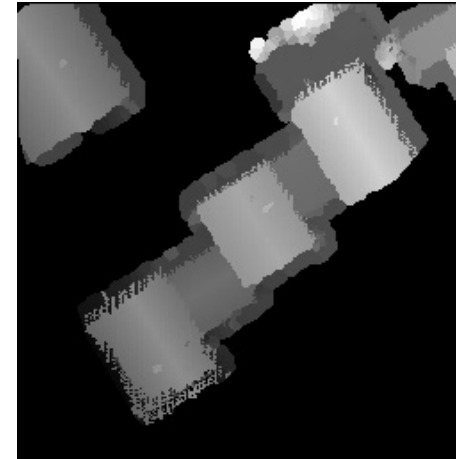
### Absolute height



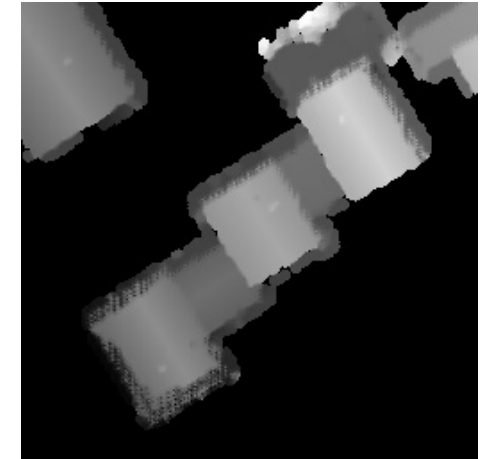
No interpolation



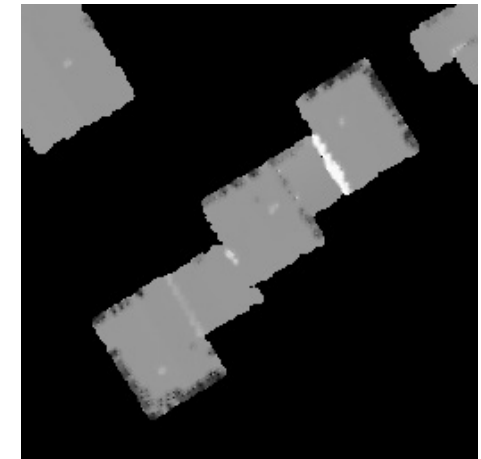
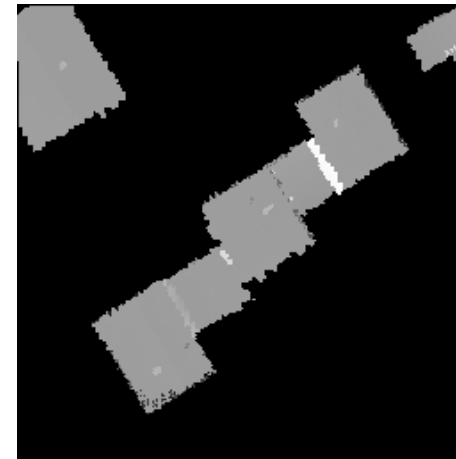
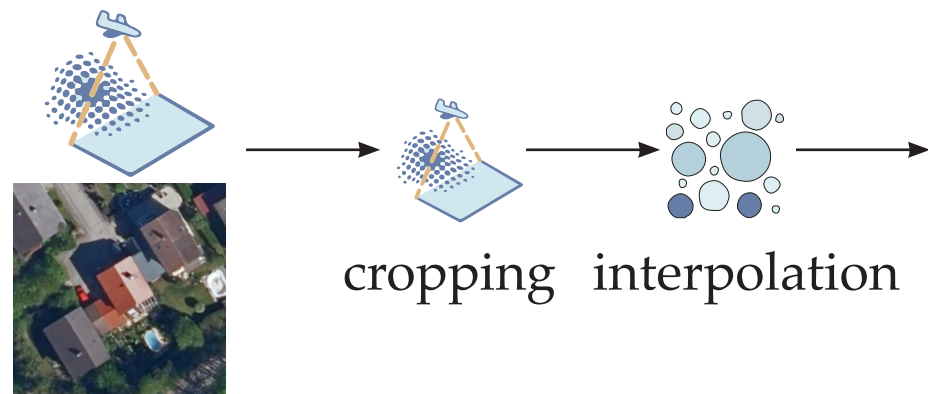
$Nn$  interpolation



$Idw$  interpolation



### Relative height



# 3. Experiments

## Image dataset | Location, Germany

### Image dataset:

- Bavarian village, Wartenberg
- Existing implementation uses non-ortho-rectified images
- Roof centered images





# 3. Experiments

## New training data | Ortho-labels

1 880 roofs (true ortho-photos)



1km

256 × 256 pixels



6 classes

-  PV module
-  dormer
-  window
-  ladder
-  chimney
-  unknown

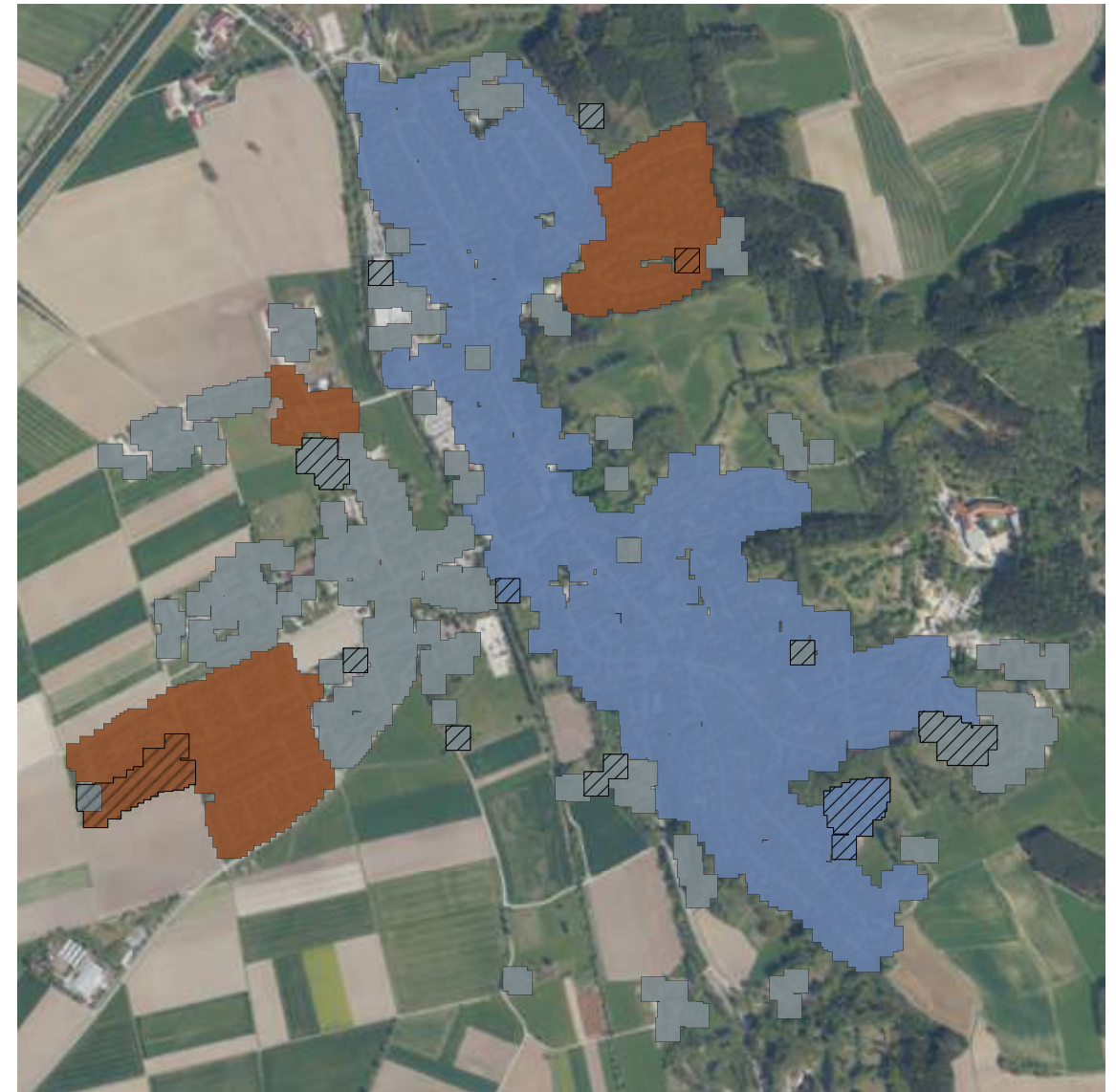
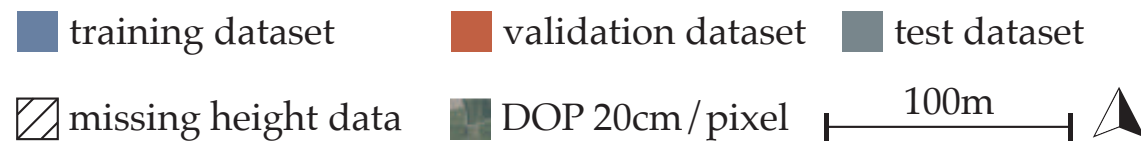


# 3. Experiments

## New training data | Data split

### Split:

- Train, validation and test datasets
- Independent datasets
- Test dataset is labeled to assess the network performance quantitatively

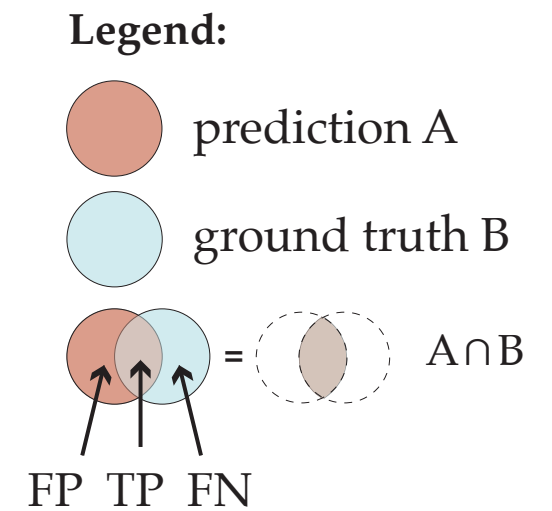
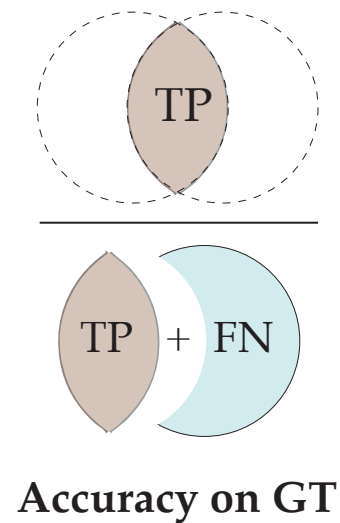
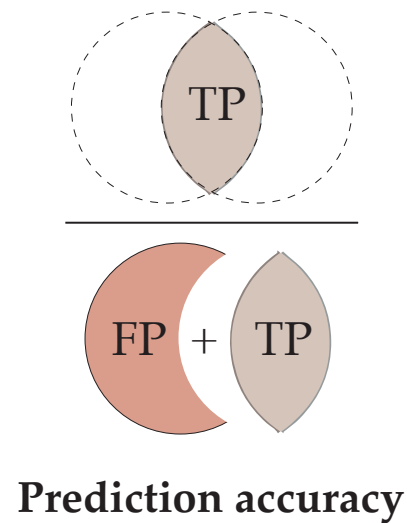
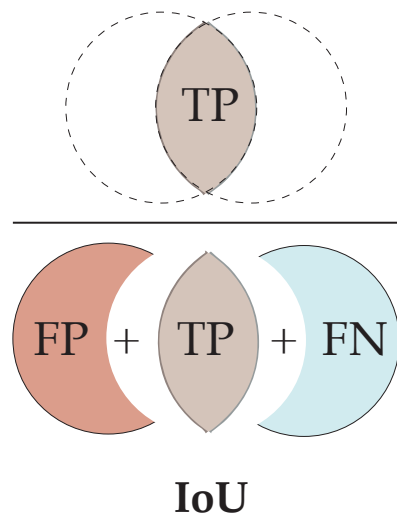


# 3. Experiments

## Semantic segmentation results | Assessment concept

### Metrics on test dataset:

1. Intersection over Union (IoU),  
a global score including background
2. Accuracies per class
  - Calculated through confusion matrices



**Ground truth (classes)**

3E+05	471	8286	7806	75	178	70311	<b>0.79</b>
1863	42791	1175	85	215	134	51566	<b>0.44</b>
4127	557	36633	373	494	604	37315	<b>0.46</b>
9	61	978	4240	221	1064	7908	<b>0.29</b>
32	63	614	267	15531	707	4900	<b>0.7</b>
37	308	2427	1660	1160	5601	22926	<b>0.16</b>
15017	17677	21047	13712	6394	16990	2E+07	<b>1</b>
<b>0.94</b>	<b>0.69</b>	<b>0.51</b>	<b>0.15</b>	<b>0.64</b>	<b>0.22</b>	<b>0.99</b>	<b>0.99</b>

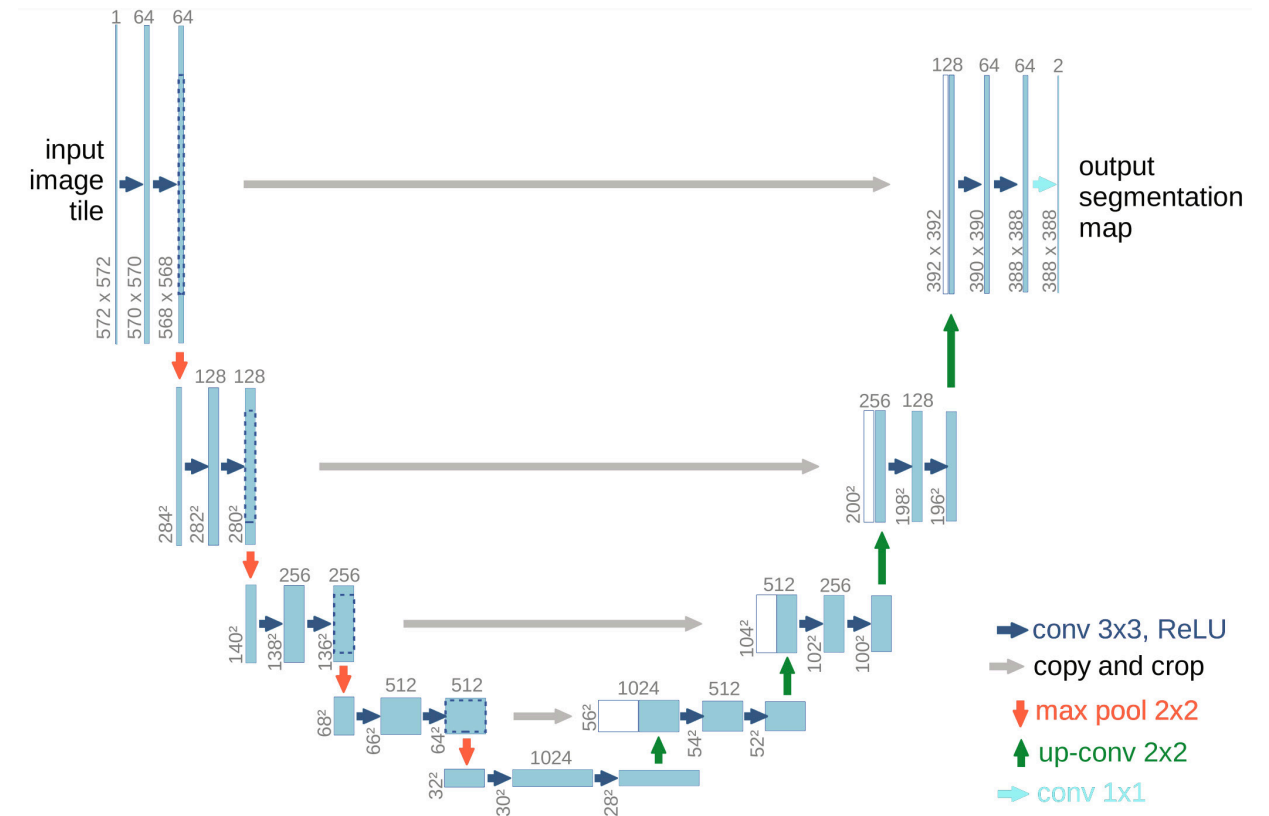
**Prediction (classes)**

# 3. Experiments

## U-Net | Scope

### Scope of U-Net experiments:

- U-Net is only based on aerial images
- Provide a comparison mean for Fusion results
- Have to be run on new labels



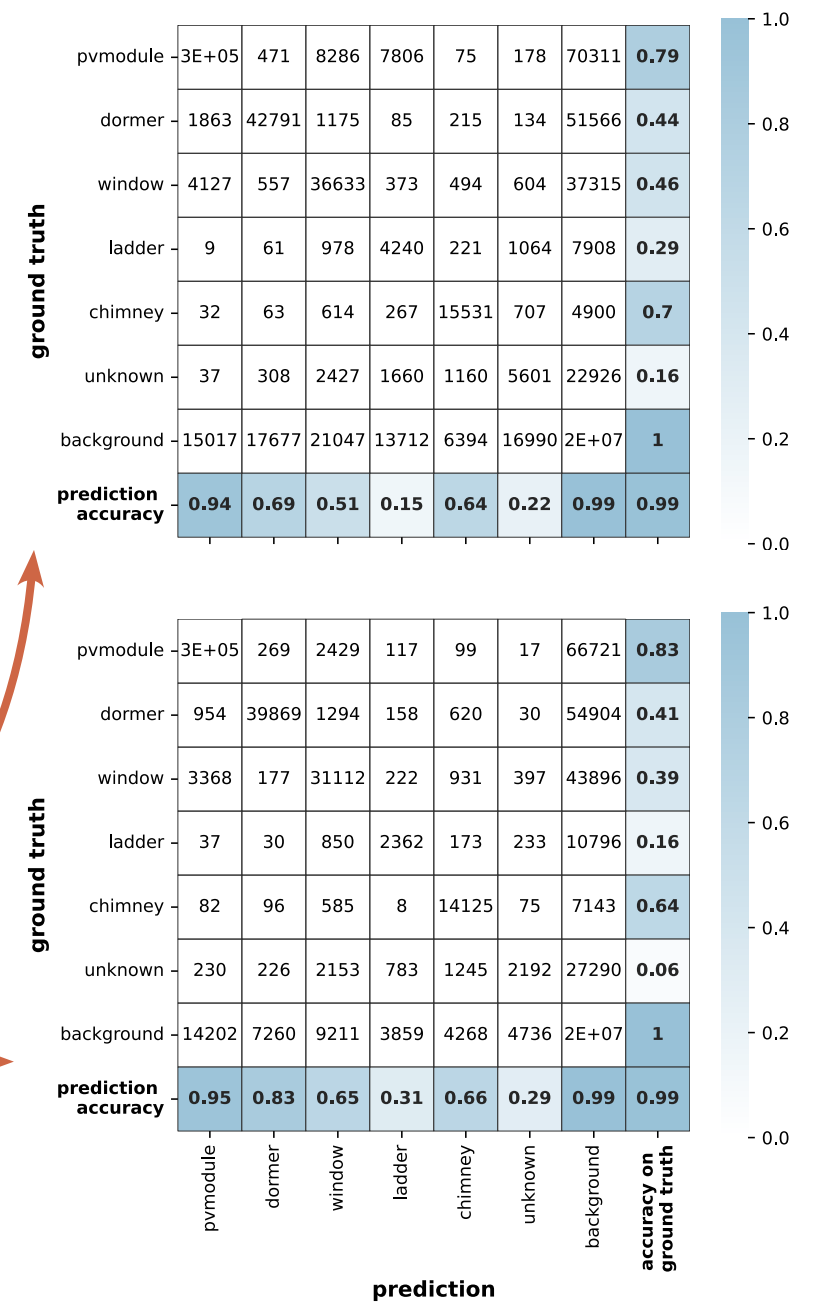
# 3. Experiments

## U-Net | Results

### Results of U-Net experiments:

- Several sets of parameters are tested
- Two best confusion matrices are kept as reference

id	split	epoch	loss	base	augmentation	mean Acc. GT	mean Pr. Acc.	IoU
1	01	40	CFL+Dice	vgg19	None	0.47	0.53	0.45
2	01	40	CFL+Jaccard	vgg19	None	0.43	0.58	0.45
3	01	40	0.5(CFL+Dice) +0.5(CFL+Jacc.)	vgg19	None	0.43	0.61	0.44
4	01	40	CFL+Jaccard	resnet152	None	0.40	0.53	0.43
5	02	40	CFL+Jaccard	vgg19	None	0.44	0.63	0.46
6	02	40	CFL+Jaccard	resnet152	None	0.42	0.62	0.45
7	01	80	CFL+Jaccard	vgg19	None	0.42	0.62	0.45
8	02	80	CFL+Jaccard	vgg19	None	0.46	0.64	0.47
9	01	40	0.5(CFL+Dice) +0.5(CFL+Jacc.)	resnet152	Train Val.	0.48	0.58	0.47
10	01	40	0.5(CFL+Dice) +0.5(CFL+Jacc.)	resnet152	Train Val. Test	0.46	0.58	0.46

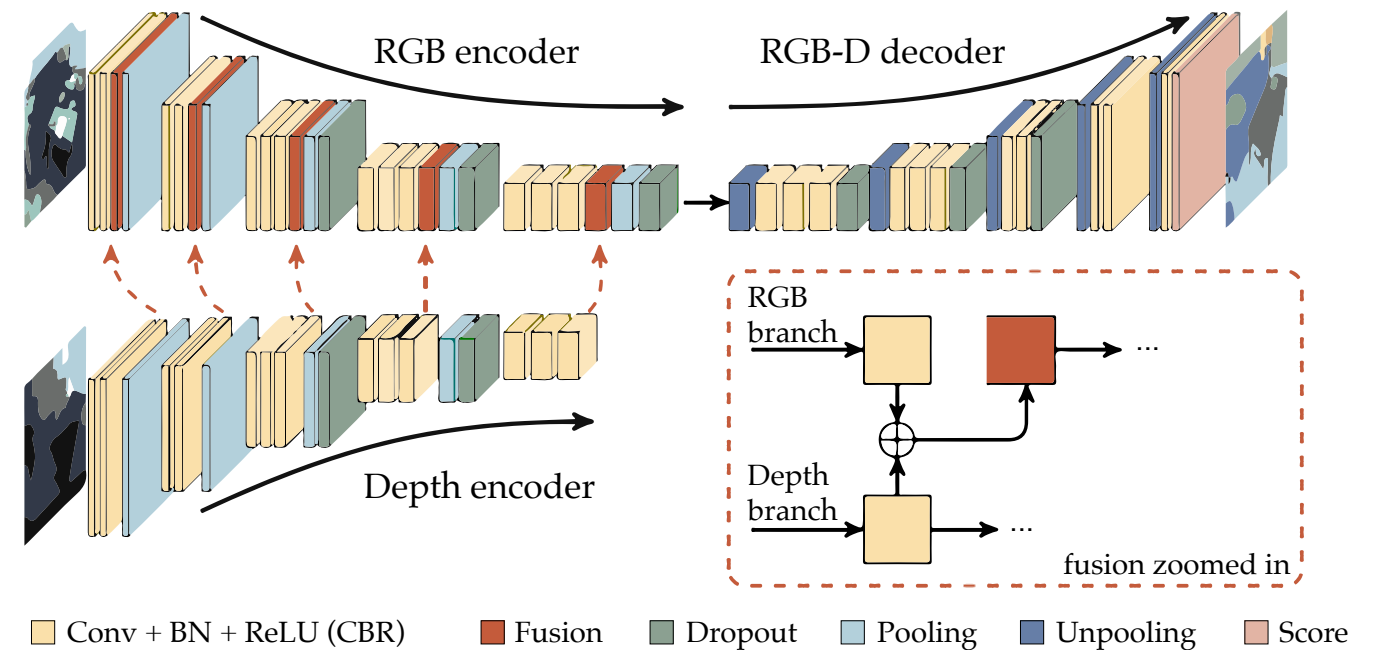


# 3. Experiments

## FuseNet | Scope

### Scope of FuseNet experiments:

- Determine best parameters
- Fuse all different height datasets (x6)
- Assess which dataset yields best results





# 4. Results

## Results on all datasets

U-Net best results

id	mean GT	Acc.	mean Pr.Acc.	IoU
1	0.47		0.53	0.45
7	0.42		0.62	0.45

FuseNet results,  
absolute height

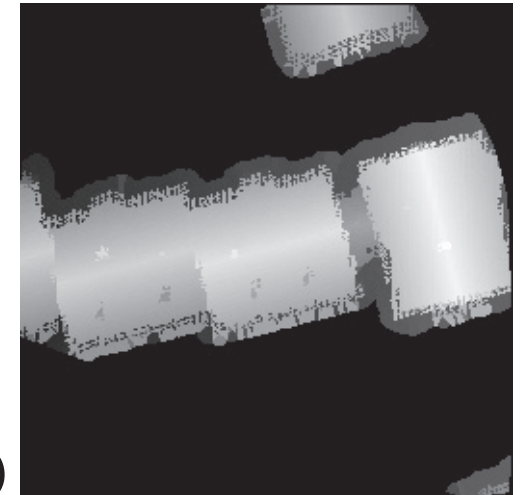
id	height data	mean GT	Acc.	mean Pr.Acc.	IoU
i	<i>no</i>	0.40		0.51	0.40
ii	<i>nn</i>	0.51		0.56	0.46
iii	<i>idw</i>	0.49		0.56	0.46

FuseNet results,  
relative height

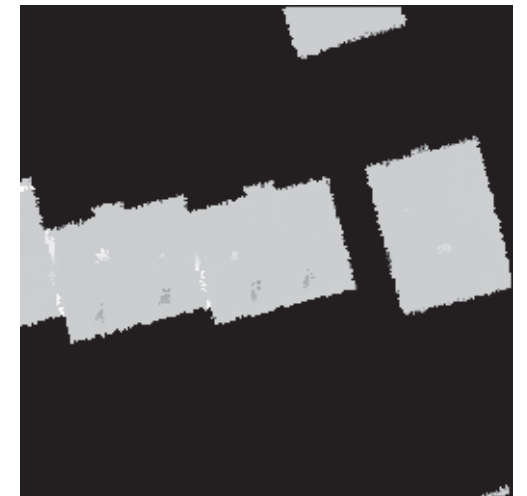
id	height data	mean GT	Acc.	mean Pr.Acc.	IoU
iv	<i>no</i>	0.27		0.54	0.34
v	<i>nn</i>	0.51		0.51	0.45
vi	<i>idw</i>	0.46		0.57	0.45

Enhanced structural information:

Absolute (NN)



Relative (NN)

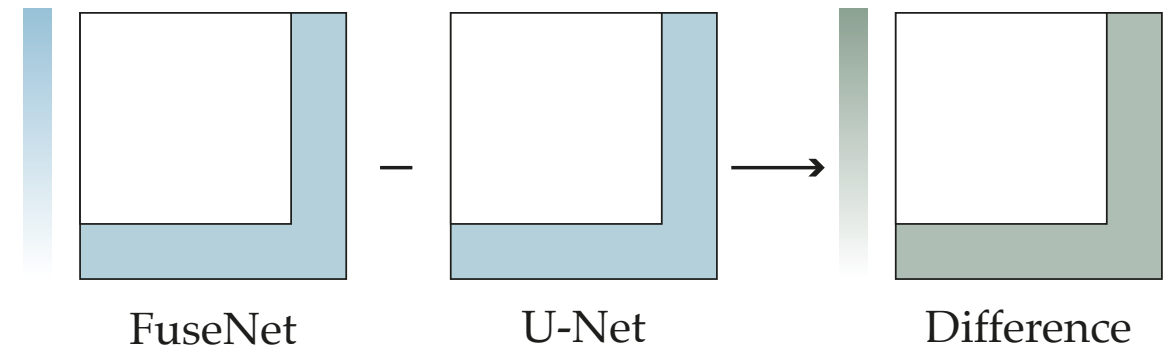


# 5. Analysis

## Quantitative analysis | Comparison U-Net and FuseNet

### Concept:

- Subtraction of U-Net to FuseNet results
- Comparison per class



### Calculation:

- Example result with green scale highlighting improved classes
- 6 FuseNet best results are compared to 2 U-Net best results
- A general trend can be inferred through a scatter plot

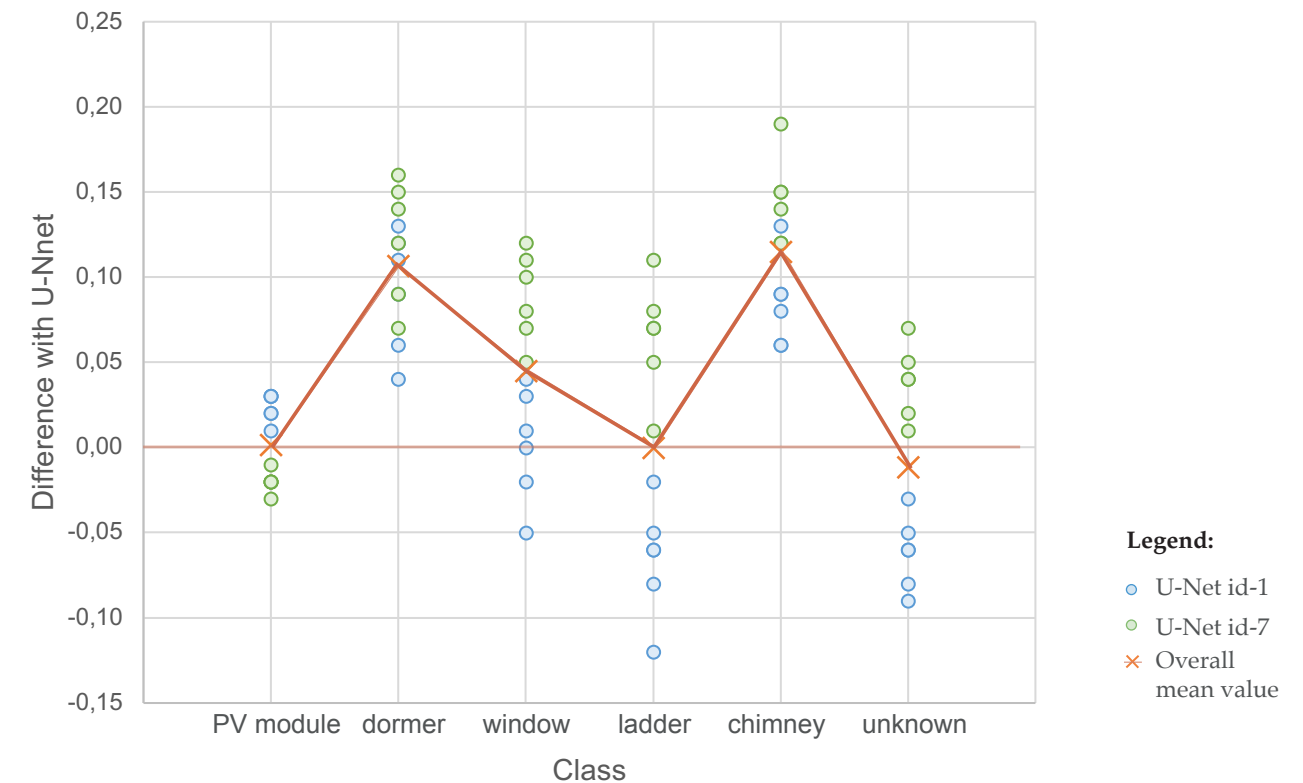
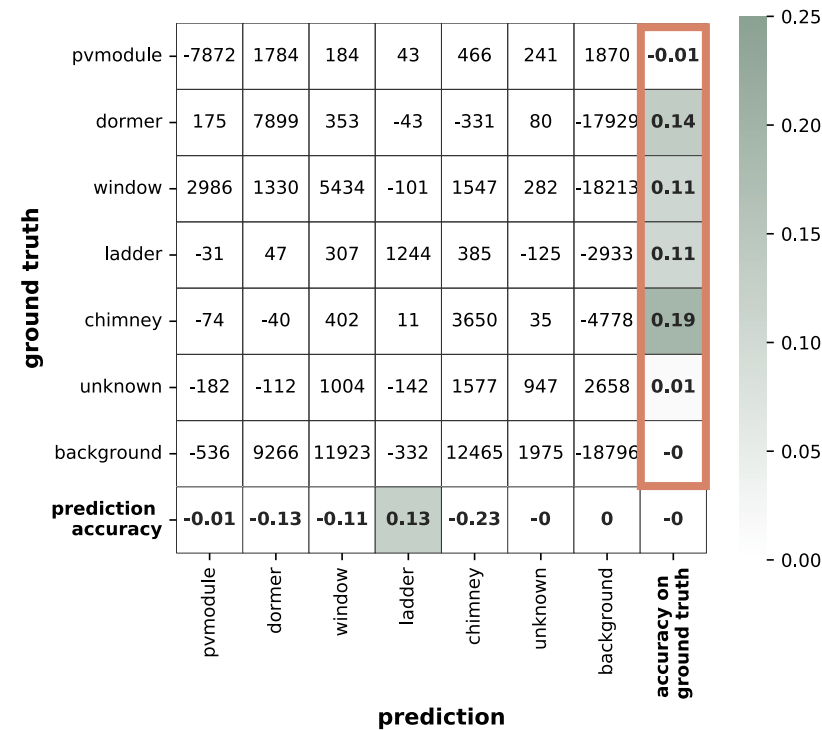
	pvmodule	dormer	window	ladder	chimney	unknown	background	accuracy on ground truth
pvmodule	-7872	1784	184	43	466	241	1870	<b>-0.01</b>
dormer	175	7899	353	-43	-331	80	-17929	<b>0.14</b>
window	2986	1330	5434	-101	1547	282	-18213	<b>0.11</b>
ladder	-31	47	307	1244	385	-125	-2933	<b>0.11</b>
chimney	-74	-40	402	11	3650	35	-4778	<b>0.19</b>
unknown	-182	-112	1004	-142	1577	947	2658	<b>0.01</b>
background	-536	9266	11923	-332	12465	1975	-18796	<b>-0</b>
prediction accuracy	<b>-0.01</b>	<b>-0.13</b>	<b>-0.11</b>	<b>0.13</b>	<b>-0.23</b>	<b>-0</b>	<b>0</b>	<b>-0</b>

# 5. Analysis

## Quantitative analysis | Comparison U-Net and FuseNet

Accuracy on ground truth:

✓ Improved for dormers, chimneys and windows



# 5. Analysis

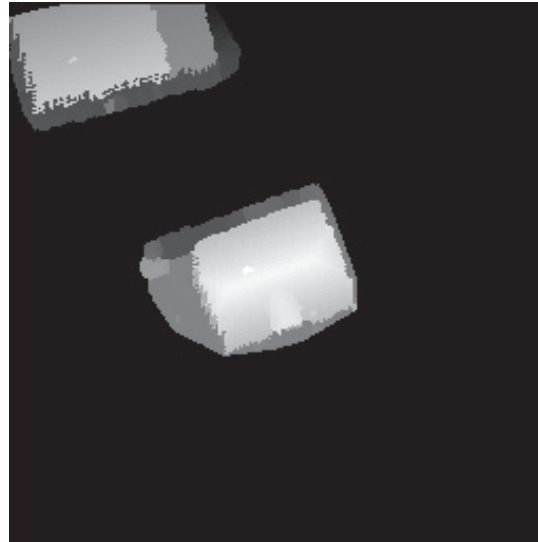
## Qualitative analysis | Comparison U-Net and FuseNet

✓ Volumetric classes are better recognized: example, dormers

AP



Height map, abs  $nn$



U-Net prediction



FuseNet prediction, abs  $nn$



Superstructure classes:

■ PV module   ■ dormer   ■ window   ■ ladder   ■ chimney   ■ unknown

# 5. Analysis

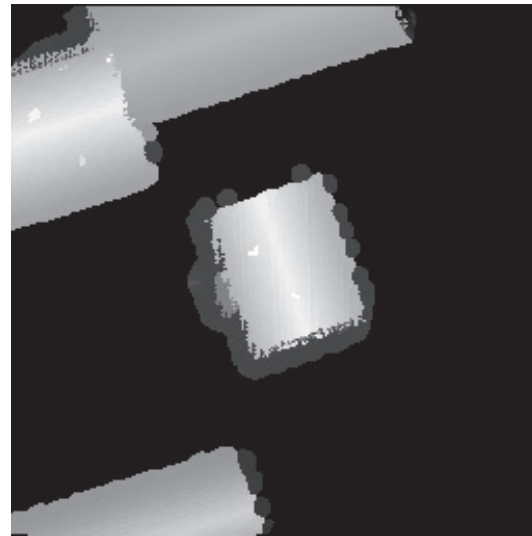
## Qualitative analysis | Comparison U-Net and FuseNet

- ✓ Superstructures always located in buildings' footprints
- ✗ Point cloud outliers or temporal mismatches  $\Rightarrow$  wrong detections

AP



Height map, abs  $nn$



U-Net prediction



FuseNet prediction, abs  $nn$



Superstructure classes:

- PV module
- dormer
- window
- ladder
- chimney
- unknown



# 5. Analysis

## Dutch test dataset | Data preparation

### New test set, aims:

- Evaluate performance on a different geographical area
- Confirm scalability of the method

### New test set, implementation:

- Holten, the Netherlands
- Usage of datasets openly available (PDOK, 3D-BAG and AHN3)
- Qualitative analysis only



# 5. Analysis

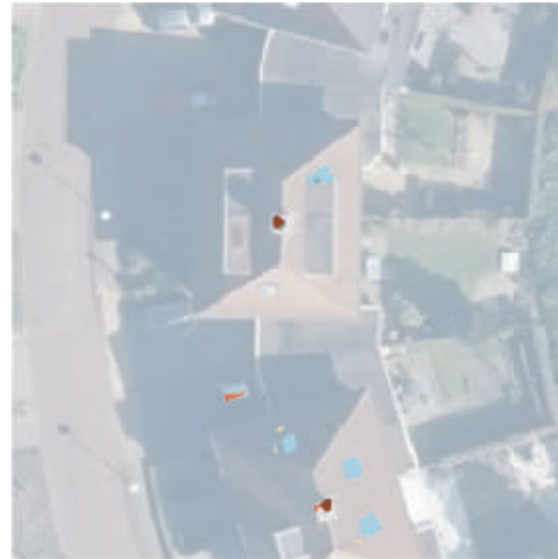
## Qualitative analysis, Holten | Comparison U-Net and FuseNet

✓ FuseNet detects better chimneys (and dormers)

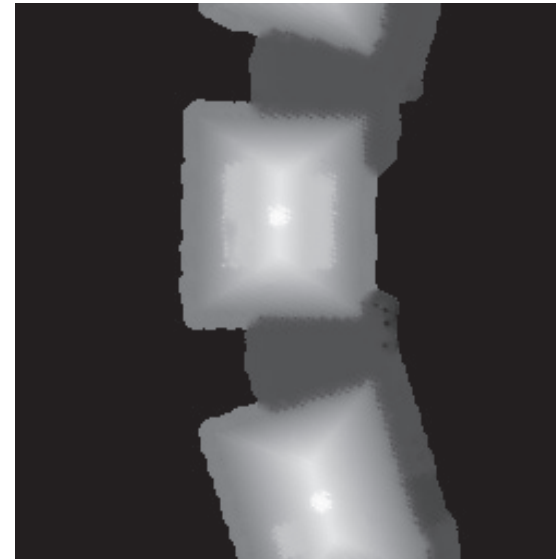
AP



U-Net, with augm.



Height map



FuseNet, with augm.



Superstructure classes:



PV module



dormer



window



ladder



chimney



unknown



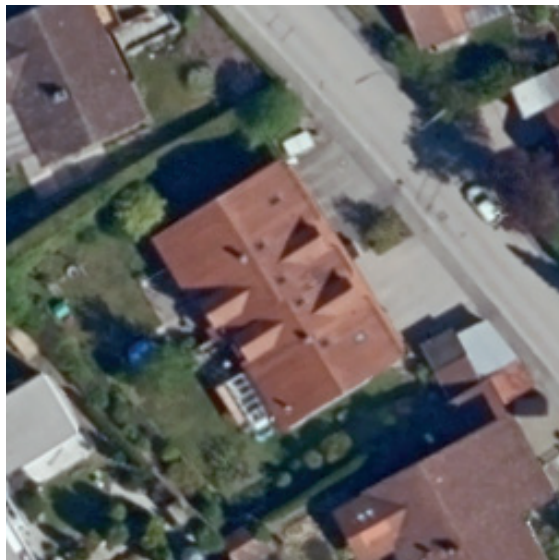
# 5. Analysis

## Qualitative analysis, Holten | Comparison train and test datasets

### Impact of architectural typologies:

- Dormers are different between training and test set (gabled versus flat boxes, and different materials)
- ⇒ Narrow dormers are detected. Flat dormers are partly detected or confused with PV modules

Dormers Wartenberg



Dormers Holten



Height map



FuseNet, with augm.



Superstructure classes:



PV module



dormer



window



ladder



chimney



unknown

# 5. Analysis

## Qualitative analysis, Holten | 3D model

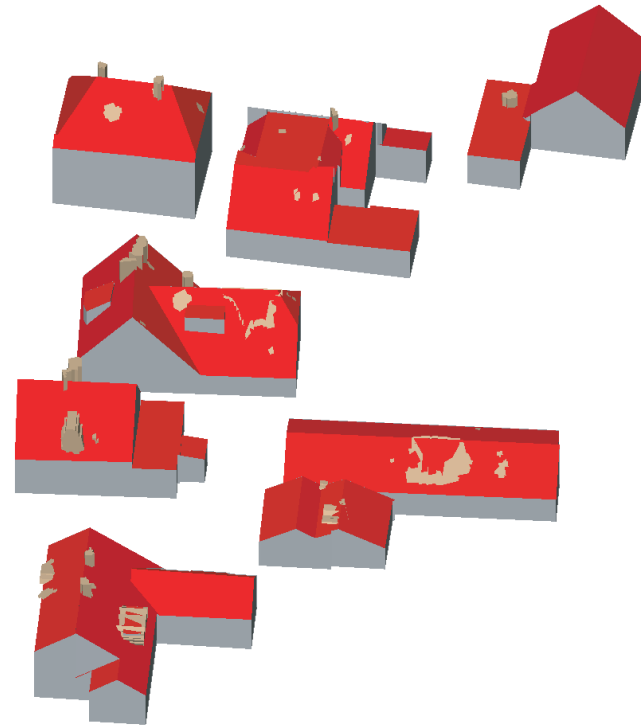
### 3D modelization of predictions (Bruhse 2022):

- Volumetric and planar LOD3 geometries, including usage description
- Comparison to ground truth and LOD2.2 model available for the Netherlands (3D BAG)

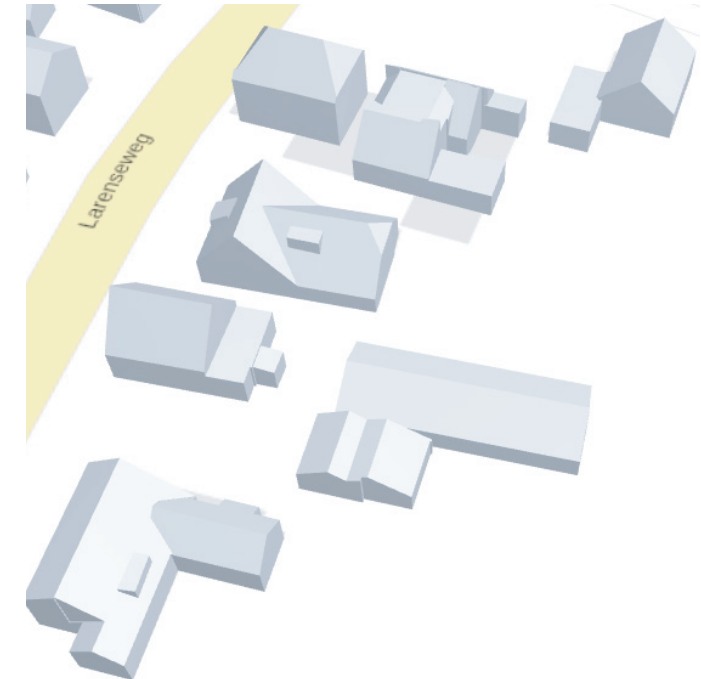
GT, Holten



Modelization of predictions, Holten



3D BAG, LOD2.2 model





# 5. Analysis

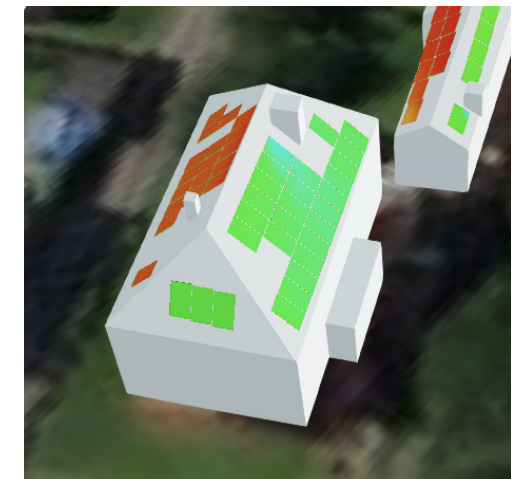
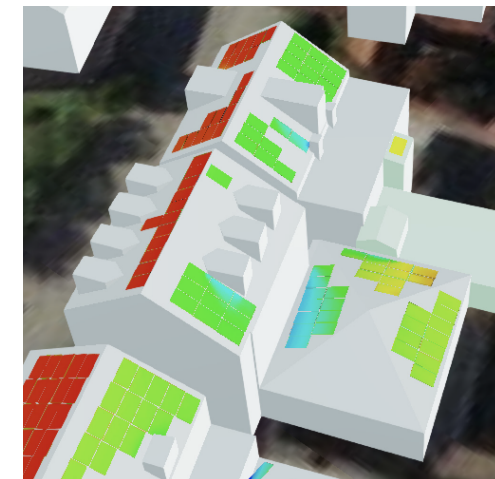
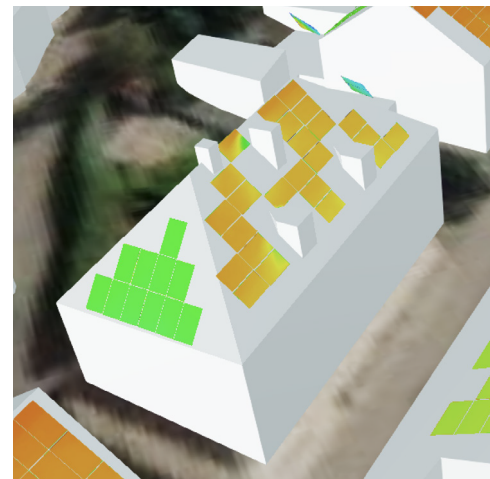
## Wartenberg | 3D model application

### Modelization of ortho-labels:

- Unprecise geometries
- But one can deduce the available surface

### PV-application:

- Available surface  $\Rightarrow$  PV disposition
- Roof azimuth  $\Rightarrow$  Power generation



source: Willenborg 2022

# Conclusion

## Answer to problem & hypotheses

### Main question:

How can building height data fused to a Convolutional Neural Network (CNN) on RGB aerial images improve the semantic segmentation of roof superstructures?

### Hypotheses:

- ✓ Improvement for specific classes → Chimneys & dormers
- ✗ Relative height provides better results than the absolute one → Absolute one is preferred
- ✓ Interpolation methods provide better results than « no » interpolation → Provides more context

# Conclusion

## Contributions & future work

### Contributions:

1. Semantic segmentation at the building scale is improved through the fusion of height data (LiDAR)
2. Knowledge about the labeling process:
  - Usage of ortho-rectified datasets,
  - Impact of geographical location on label classes
3. Outcomes on height data type to use:
  - Absolute height data extracted from LiDAR data → provides more structural information
  - IDW or NN interpolation → provides information about the superstructure scale

# Conclusion

## Contributions & future work

### Future work:

- Improve the evaluation metrics by implementing an uncertainty factor per pixel;  
IoU is a limited criterion, not adapted to highly imbalanced classes
- Try other fusion network architectures  
(Symmetric, more recent networks)
- Improve pipeline (speed, process) to generate height data grids and 3D city model refinement



An aerial photograph of a residential neighborhood. The image is overlaid with semi-transparent colored shapes in shades of orange, blue, and grey, which appear to be digital annotations or filters applied to the buildings. The shapes vary in size and orientation, following the layout of the houses and streets. A central horizontal band is semi-transparent white, containing the text 'Thank you for your attention!'.

**Thank you for your attention !**