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## Simulations of the flow in the Mahakam river-lake-delta system, Indonesia

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## 1 **Abstract**

2 Large rivers often present a river-lake-delta system, with a wide range of temporal and spatial scales  
3 of the flow due to the combined effects of human activities and various natural factors, e.g. river  
4 discharge, tides, climatic variability, droughts, floods. Numerical models that allow for simulating  
5 the flow in these river-lake-delta systems are essential to study them and predict their evolution  
6 under the impact of various forcings. This is because they provide information that cannot be easily  
7 measured with sufficient temporal and spatial detail. In this study, we combine one-dimensional  
8 sectional-averaged (1D) and two-dimensional depth-averaged (2D) models, in the framework of the  
9 finite element model SLIM, to simulate the flow in the Mahakam river-lake-delta system  
10 (Indonesia). The 1D model representing the Mahakam River and four tributaries is coupled to the  
11 2D unstructured mesh model implemented on the Mahakam Delta, the adjacent Makassar Strait,  
12 and three lakes in the central part of the river catchment. Using observations of water elevation at  
13 five stations, the bottom friction for river and tributaries, lakes, delta, and adjacent coastal zone is  
14 calibrated. Next, the model is validated using another period of observations of water elevation,  
15 flow velocity, and water discharge at various stations. Several criteria are implemented to assess the  
16 quality of the simulations, and a good agreement between simulations and observations is achieved  
17 in both calibration and validation stages. Different aspects of the flow, i.e. the division of water at  
18 two bifurcations in the delta, the effects of the lakes on the flow in the lower part of the system, the  
19 area of tidal propagation, are also quantified and discussed.

## 20 **Keywords**

21 Mahakam River, coupled 1D / 2D model, SLIM, river-lake-delta system

## 1 Introduction

Large rivers such as the Mekong River (Southeast Asia) hosting a river-lake-delta system consist of various interconnected regions such as a river and its tributaries, lakes, floodplains, delta or estuary, and adjacent coastal ocean. In such river-lake-delta systems, continuous interactions and exchange of water between interconnected regions exist, under the combined effects of riverine and marine forcings (e.g. river discharge, tides), mutual influences of natural processes (e.g. climatic variability, droughts, floods), and human activities [1,2]. As a result, a wide range of temporal and spatial scales of motion can be observed [2]. Such systems also feature complex geometries, especially in deltaic or estuarine regions [2,3]. Therefore, a global system approach that is able to handle the flow in the whole river-lake-delta system is required, to understand the complex flow processes occurring at different temporal and spatial scales and to study related issues, e.g. transport processes of sediment, morphology, ecological status of coastal waters.

Detailed and long-term field measurements (e.g. flow velocity, flow depth, water discharge) allow for an accurate study of the flow, but are generally time-consuming and rarely obtained over long time intervals and at different locations due to the highly spatial and temporal variability of the phenomena. **As regards** numerical simulations, an integrated model, which allows for representing the flow from the upstream end of the system to the coastal ocean and the deep margin, is essential to take into account properly the interactions between river flow, hydraulic processes, and tidal effects on the entire river-lake-delta systems. **While** existing studies primarily investigate the flow processes locally in each interconnected region of river-lake-delta systems, taken individually, it is becoming computationally feasible to adopt such an integrated approach, without excessive simplification of the physical processes resolved by the model.

Using a full three-dimensional (3D) model for simulating the flow in river-lake-delta systems is however likely to exceed the available computer resources because the area of such systems is of the order of thousands of square kilometers. The data required to run such models are also not easily available, as well as field measurements to validate the implementation of the model. Among

48 different simpler models developed for simulating the flow in riverine and marine water  
49 environments as well as in continuums such as river-lake-delta systems, a coupled one-dimensional  
50 section-averaged and two-dimensional depth-averaged (1D / 2D) model is a tool of choice, for it is  
51 more efficient in terms of computational cost than a full 2D or 3D model [3-7].

52 Wu and Li [4] applied a coupled 1D / 2D quasi-steady model to study the flow in the fluctuating  
53 backwater region of the Yangtze River while Zhang [5] used a 1D / 2D unsteady model to simulate  
54 the flow in the offshore area near the Yellow River mouth (China). Martini *et al.* [6] applied a  
55 coupled 1D / 2D model for simulating the flood flows in the Brenta River (Veneto, Italy). Later,  
56 Cook and Merwade [7] combined the simulation results from a coupled 1D / 2D model and datasets  
57 obtained from different river bathymetry sources in order to quantify the resulting differences in the  
58 inundation maps for Strouds Creek reach and Brazos River (USA). Recently, de Brye *et al.* [3]  
59 developed a coupled 1D / 2D finite element model for reproducing the flow dynamics in the Scheldt  
60 Estuary and tidal river network. These examples strongly suggest that a coupled 1D / 2D model can  
61 be used to reproduce the flow in river-lake-delta systems.

62 In the framework of a coupled 1D / 2D model, the 2D model is often developed in the part of the  
63 domain of interest, e.g. delta or estuary, where the accurate representation of the topography and  
64 complex coastlines is required. In this 2D calculation area, different numerical methods and grids  
65 were used, for example, finite difference method by Wu and Li [4] and Zhang [5], finite element  
66 method and structured mesh by Cook and Marwade [7], finite element method and an unstructured  
67 mesh by Martini *et al.* [6] and de Brye *et al.* [3]. Finite-element or finite-volume models using  
68 unstructured meshes constitute a promising option to deal with the multi-physics and multi-scale  
69 features of the problem [8,9], especially in deltaic and estuarine regions exhibiting a large number  
70 of narrow channels [3]. This is because unstructured meshes allow for a more accurate  
71 representation of complex topographies and an increase in spatial resolution in areas of interest, as  
72 was done, for example, in the simulations of the flow in the Great Barrier Reef [10].

73 The present study aims at (i) applying an existing unstructured-mesh, finite element model, i.e.

74 SLIM ([www.climate.be/slim](http://www.climate.be/slim)), in which one-dimensional sectional-averaged and two-dimensional  
75 depth-averaged shallow-water equations are coupled, to simulate the flow in the Mahakam  
76 river-lake-delta system, (ii) accurately reproducing the observations of the flow (i.e. water elevation,  
77 flow velocity, and water discharge) at various locations in the system, (iii) investigating the division  
78 of water at two bifurcations in the deltaic region, (iv) providing a preliminary investigation of the  
79 effects of the lakes on the flow in the lower part of the system, and (v) identifying the area of tidal  
80 propagation in the system. Besides these objectives, the study also allowed to represent the  
81 numerous distributaries in the deltaic region with a refined accuracy and to determine appropriate  
82 values of the bottom friction coefficients in different parts of the considered river-lake-delta system.

83 The paper first introduces the Mahakam river-lake-delta system. Then, the finite element model  
84 used in the study and the model established for the studied system are described. The detailed  
85 calibration procedure of the modelling parameters and the validation of the model using available  
86 observations of the flow (e.g. water elevation, flow velocity, and water discharge) are also presented  
87 before discussing related issues, e.g. effects of grid resolution. Finally, conclusions are drawn.

## 88 **2 The Mahakam river-lake-delta system**

89 The Mahakam River is located in the East Kalimantan province of Borneo, Indonesia (Fig. 1). The  
90 river-lake-delta system consists of the Mahakam River and its tributaries, lakes, the Mahakam Delta,  
91 and the adjacent Makassar Strait. The river meanders over 900 km and its catchment area covers  
92 about 75,000 km<sup>2</sup>, with a mean annual river discharge of the order of 3,000 m<sup>3</sup>/s [11]. The river is  
93 characterized by a tropical rain forest climate with a dry season from May to September and a wet  
94 season from October to April. In the river catchment, the mean daily temperature varies from 24 to  
95 29°C while the relative humidity lies between 77 and 99% [12]. The mean annual rainfall varies  
96 between 4,000 and 5,000 mm/year in the central highlands and decreases from 2,000 to 3,000  
97 mm/year near the coast [13]. A bimodal rainfall pattern with two **peaks** of rainfall occurring  
98 generally in December and May is reported in the river catchment [12]. Due to the regional climate  
99 and the global air circulation, the hydrological conditions in the river catchment vary significantly,

100 especially in ENSO (El Nino-Southern Oscillation) years such as in 1997, leading to significant  
101 variations of flow in the river and downstream region, i.e. the delta [12].

102 In the middle part of the Mahakam River catchment, there are four tributaries (i.e. Kedang Pahu,  
103 Belayan, Kedang Kepala, and Kedang Rantau) and over thirty shallow-water lakes covering a total  
104 area of about 400 km<sup>2</sup>. These lakes are connected to the Mahakam River system through small  
105 channels (Fig. 1). The water collected over vast regions of the land around these lakes can be stored  
106 in the lakes. Obviously, the water from the connected channels can flow into or out of the lakes,  
107 depending on the season, e.g. flood or drought periods. For instance, these lakes act as a buffer of  
108 the Mahakam River and regulate the water discharge in the lower part of the river through the  
109 damping of flood surges [14]. During the dry season, tides can also force a flow into the lakes.  
110 Therefore, studies of the flow in the Mahakam river-lake-delta system have to take into account the  
111 interconnections between these lakes and the river.

112 Downstream of the Mahakam River, the Mahakam Delta presents a multi-channel network  
113 including a large number of active distributaries and tidal channels. The delta is symmetrical with a  
114 radius of approximately 50 km, as measured from the delta shore to the delta apex. The width of the  
115 channels in the deltaic region ranges from 10 m to 3 km. The delta discharges into the Makassar  
116 Strait, whose width varies between 200 and 300 km, with a length of about 600 km. Located  
117 between the islands of Borneo and Sulawesi, the Makassar Strait is the main passage for the transfer  
118 of water and heat from the Pacific to the Indian Ocean by the Indonesian Throughflow [15,16].

119 Complex coastlines are present in the delta (Fig. 1). Such complex coastlines might have a  
120 significant impact on the flow [17]. This means that the effects of complex coastlines have to be  
121 taken into account in studies of the flow. In addition, because of the multi-channel network, many  
122 bifurcations are also inherently exhibited in the delta. Division of water discharge at these  
123 bifurcations should be accurately represented since it affects not only the flow dynamics [2] but also  
124 the sediment distribution and morphology in the adjacent channels [18].

125 The Mahakam Delta is a mixed tidal and fluvial delta. The tide in the delta is dominated by

126 semidiurnal and diurnal regimes, with a predominantly semidiurnal one. The **tidal range** decreases  
127 from the delta front to upstream Mahakam River and its value varies between 3 and 1 m, depending  
128 on the location and the tidal phase (e.g. neap or spring tides) under consideration.

129 Partial mixing is reported in the delta, based on the vertical distribution of salinity collected at  
130 different locations [14]. The limit of salt intrusion is located around the delta apex [14,19,20].  
131 Temperature data collection at 29 locations in the whole delta [20] shows that the temperature  
132 varies from 29.2 to 30.5°C at the surface and from 29.2 to 30.8°C at the bottom. This suggests that  
133 there is no large difference of water temperature in the water column and between stations for  
134 different tidal conditions.

135 Large parts of the open waters in the delta are sheltered from wind action by vegetation and thus  
136 the influence of the wind will not be taken into account in the calculations presented hereinafter.  
137 The effect of wind on the flow in the lakes is also disregarded, mainly because there are not  
138 available wind data in this region. In the Makassar Strait, the effect of the wind is limited due to  
139 low-level wind speed. In terms of wind-induced surface waves, the average wave height is about 0.3  
140 m at a distance of 14 km offshore and the maximum wave height is less than 0.6 m with the largest  
141 waves approaching from the southeast [21]. Due to the limited fetch in the narrow strait of the  
142 Makassar and low-level wind speed, the mean value of the significant wave height is also less than  
143 0.6 m and the wave energy that affects the deltaic processes is very small [14]. Therefore, the  
144 effects of wind and waves are assumed to be negligible in this study.

## 145 **3 Model**

### 146 **3.1 Governing equations**

147 The two-dimensional depth-averaged shallow-water equations are applied in the Mahakam Delta,  
148 lakes, and the Makassar Strait. The elevation  $\eta$  of the water surface above the reference level and  
149 the depth-averaged horizontal velocity vector  $\mathbf{u} = (u, v)$  are obtained by solving the following  
150 equations:



$$\frac{\partial \eta}{\partial t} + \nabla \cdot (H\mathbf{u}) = 0 \quad (1)$$

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot (\nabla \mathbf{u}) + f\mathbf{k} \times \mathbf{u} + g\nabla \eta = \frac{1}{H} \nabla \cdot [H\nu(\nabla \mathbf{u})] - \frac{\boldsymbol{\tau}_b}{\rho H} \quad (2)$$

151 where  $t$  is the time and  $\nabla$  is the horizontal del operator;  $H = \eta + h$  is the water depth, with  $h$  being  
 152 the water depth below the reference level (taken as the mean sea level);  $f = 2\omega \sin\phi$  is the Coriolis  
 153 parameter,  $\omega$  is the Earth's angular velocity and  $\phi$  is the latitude,  $\mathbf{k}$  is the unit upward vector;  $g$  is  
 154 the gravitational acceleration;  $\rho$  is the water density (assumed constant);  $\nu$  is the horizontal eddy  
 155 viscosity;  $\boldsymbol{\tau}_b$  is the bottom shear stress, which is parameterized using the Manning-Strickler  
 156 formulation:

$$\boldsymbol{\tau}_b = \rho \frac{gn^2 \|\mathbf{u}\|}{H^{1/3}} \mathbf{u} \quad (3)$$

157 where  $n$  is the Manning coefficient, generally depending on the physical properties of the riverbed  
 158 and the seabed. Basically, the value of  $n$  is calibrated in order to reproduce the flow as well as  
 159 possible.

160 The eddy viscosity  $\nu$  is evaluated using the Smagorinsky formula [22]:

$$\nu = (0.1\Delta)^2 \sqrt{2\left(\frac{\partial u}{\partial x}\right)^2 + \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x}\right)^2 + 2\left(\frac{\partial v}{\partial y}\right)^2} \quad (4)$$

161 where  $\Delta$  is the local characteristic length scale of the element, i.e. the longest edge of a triangle in  
 162 the 2D unstructured mesh. The Smagorinsky formula arises from the unresolved turbulence at the  
 163 subgrid scale and depends on the strain-rate of the velocity field. The energy production and  
 164 dissipation of the small scales are assumed to be in equilibrium in this formula.

165 The continuity and momentum equations are integrated over the river cross-section in the  
 166 Mahakam River and tributaries, yielding the following one-dimensional equations

$$\frac{\partial A}{\partial t} + \frac{\partial (Au)}{\partial x} = 0 \quad (5)$$

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + g \frac{\partial \eta}{\partial x} = \frac{1}{A} \frac{\partial}{\partial x} \left( \nu A \frac{\partial u}{\partial x} \right) - \frac{\tau_b}{\rho H} \quad (6)$$

167 where  $A$  is the cross-sectional area,  $H = A/b$  is the effective water depth, and  $b$  is the river width.

168 The bottom shear stress  $\tau_b$  in the 1D model is computed using Manning's formula as:

$$\tau_b = \rho \frac{gn^2 |u|}{H^{1/3}} u. \quad (7)$$

169 The eddy viscosity is parameterized using the zero-equation turbulent model [23], under the form:

$$\nu = 0.16u_*H \quad (8)$$

170 where  $u_*$  is the shear velocity, which is calculated as  $u_*^2 = c_f u^2$ , with  $c_f$  being a coefficient obtained  
 171 from Manning's formula ( $c_f = gn^2 H^{-1/3}$ ).

### 172 3.2 Wetting and drying algorithm

173 In the river-lake-delta system and particular in the deltaic region, several areas can be wet or dry  
 174 depending on the water elevation and tidal conditions. An accurate representations of these wetting  
 175 / drying areas is crucial and mandatory in any model aimed at reproducing the flow in such systems.

176 In this paper, we use the wetting and drying algorithm designed by Kärnä *et al.* [24]. This means  
 177 that the actual bathymetry (i.e. the water depth  $h$  below the reference level) is modified according to  
 178 a smooth function  $f(H)$  as  $h_m = h + f(H)$ , to ensure a positive water thickness at any time. The  
 179 smooth function has to satisfy the following properties. Firstly, the modified water depth (i.e.  
 180  $H_m = h_m + \eta$ ) is positive at any time and position. Secondly, the difference between the real and  
 181 modified water depths is negligible when the water depth is significantly positive. Thirdly, the  
 182 smooth function is continuously differentiable to ensure convergence of Newton iterations when  
 183 using an implicit time stepping. The following function, which satisfies the properties described  
 184 above, is used:

$$f(H) = \frac{1}{2} \left( \sqrt{H^2 + \xi^2} - H \right) \quad (9)$$

185 where  $\xi$  is a free parameter controlling the smoothness of the transition between dry and wet  
 186 situations, with the smaller value of  $\xi$  corresponding to the smaller the transition zone [24]. The  
 187 modified water depth, i.e.  $H_m = h_m + \eta$  will be equal to  $\xi/2$  when  $H = 0$ , revealing that  $\xi$  also  
 188 directly controls the water depth in the dry area. In our calculations, a value  $\xi = 0.5$  m is adopted for  
 189 modifying the bathymetry, in order to maintain the positive water depth.

190 Using the redefined total water depth, the depth-averaged shallow-water equations (1)-(2) are

191 modified slightly, resulting in the following forms:

$$\frac{\partial \eta}{\partial t} + \frac{\partial h_m}{\partial t} + \nabla \cdot (H_m \mathbf{u}) = 0 \quad (10)$$

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot (\nabla \mathbf{u}) + f \mathbf{k} \times \mathbf{u} + g \nabla \eta = \frac{1}{H_m} \nabla \cdot [H_m \mathbf{v}(\nabla \mathbf{u})] - \frac{\boldsymbol{\tau}_b}{\rho H_m}. \quad (11)$$

192 The appearance of the second term in eq. (10) is due to the redefinition of the bathymetry.

### 193 3.3 Finite element implementation

194 The governing equations (5)-(6) and (10)-(11) are solved by means of an implicit discontinuous  
195 Galerkin finite element method (DG-FEM) in the framework of the unstructured-mesh, finite  
196 element model SLIM ([www.climate.be/slim](http://www.climate.be/slim), [3,24,25]). To avoid a repeated description of the  
197 model and its capabilities, only general information about the finite element (FE) implementation of  
198 these equation is presented below. The computational domain is discretized into triangle elements  
199 and line segments as shown in Fig. 4. The governing equations are multiplied by test functions and  
200 then integrated by parts over each element or segment, resulting in element-wise surface and  
201 contour integral terms for the spatial operators. The surface term is estimated using a linear shape  
202 function. An approximate Riemann solver is used for computing the fluxes at the interfaces between  
203 two adjacent elements or segments in order to represent properly the water-wave dynamics in  
204 contour terms [25]. A second-order diagonally implicit Runge-Kutta method is used for the  
205 temporal derivative operator [24] and a time step of 10 minutes is used in this study. At the  
206 interfaces between the 1D and 2D models, the local conservation is **guaranteed** by compatible one  
207 and two dimensional numerical fluxes [3].

### 208 3.4 Treatment of channel confluences in the 1D model

209 To impose suitable conditions at the interface of a confluence point (where waters in two channels  
210 flow into a single channel) in the Mahakam River, a special treatment is needed **because of the**  
211 **following reasons. Firstly, one computational confluence node is associated with three nodal values**  
212 **and the usual Riemann solver [40] cannot be resorted to compute the numerical fluxes at the**  
213 **interface of a confluence node. Secondly, a confluence node can be handled rather easily in**  
214 **conservative finite difference models, but not in finite element ones [26]. In this study, we**

215 implemented a method inspired by Sherwin *et al.* [26] for arterial systems. This means that the  
 216 characteristic variables are used to compute the fluxes at the interface of the confluence point,  
 217 together with the continuity of mass and momentum. The detailed derivation of these characteristic  
 218 variables from the governing equations is described below. The governing equations (5) and (6) can  
 219 be expressed in a vector form as

$$\frac{\partial \mathbf{U}}{\partial t} + \mathbf{A} \frac{\partial \mathbf{U}}{\partial x} = \mathbf{S} \quad (12)$$

220 where  $\mathbf{U} = \begin{pmatrix} A \\ u \end{pmatrix}$ ,  $\mathbf{A} = \begin{pmatrix} u & A \\ g/b & u \end{pmatrix}$ ,  $\mathbf{S} = \begin{pmatrix} 0 \\ \frac{1}{A} \frac{\partial}{\partial x} \left( vA \frac{\partial u}{\partial x} \right) - \frac{\tau_b}{\rho H} \end{pmatrix}$ .

221 The eigenvalues of the eq. (12) can be easily obtained by solving the equation  $\det(\mathbf{A} - \lambda \mathbf{I}) = 0$ . The  
 222 eigenvalues,  $\lambda_1$  and  $\lambda_2$ , are real:

$$\lambda_1 = u + \sqrt{\frac{gA}{b}} \quad \text{and} \quad \lambda_2 = u - \sqrt{\frac{gA}{b}}. \quad (13)$$

223 The characteristic variables  $\mathbf{W}$  can be determined by using the expression  $\mathbf{W} = \mathbf{K}^{-1} \mathbf{U}$ , with  $\mathbf{K}$  being  
 224 the eigenmatrix whose elements are determined from the eigenvalues:

$$\mathbf{K} = \begin{pmatrix} 1 & 1 \\ \sqrt{\frac{g}{Ab}} & -\sqrt{\frac{g}{Ab}} \end{pmatrix}. \quad (14)$$

225 Finally, the characteristic variables  $\mathbf{W}$  are obtained:

$$\mathbf{W} = \begin{bmatrix} \frac{1}{2} \left( A + u \sqrt{\frac{Ab}{g}} \right) \\ \frac{1}{2} \left( A - u \sqrt{\frac{Ab}{g}} \right) \end{bmatrix}. \quad (15)$$

226 Because the discontinuous Galerkin method is applied at a confluence point, one computational  
 227 confluence node is associated with 3 nodal values (Fig. 2) and thus six unknowns, i.e. sectional area  
 228 and velocity of each node. If these six variables ( $A_l$ ,  $u_l$ ,  $A_{r1}$ ,  $u_{r1}$ ,  $A_{r2}$ , and  $u_{r2}$ ) at the interface are  
 229 known, we can compute the six upwind variables ( $A_{ul}$ ,  $u_{ul}$ ,  $A_{ur1}$ ,  $u_{ur1}$ ,  $A_{ur2}$ , and  $u_{ur2}$ ) by imposing the  
 230 characteristic variables from eq. (15) and by using the continuity of mass and momentum fluxes at  
 231 the confluence. The characteristic variables at the interfaces of the confluence point are assumed to  
 232 remain constant:

$$\frac{1}{2} \left( A_l + u_l \sqrt{\frac{A_l b_l}{g}} \right) = \frac{1}{2} \left( A_{ul} + u_{ul} \sqrt{\frac{A_{ul} b_l}{g}} \right) \quad (16)$$

$$\frac{1}{2} \left( A_{r1} - u_{r1} \sqrt{\frac{A_{r1} b_{r1}}{g}} \right) = \frac{1}{2} \left( A_{ur1} - u_{ur1} \sqrt{\frac{A_{ur1} b_{r1}}{g}} \right) \quad (17)$$

$$\frac{1}{2} \left( A_{r2} - u_{r2} \sqrt{\frac{A_{r2} b_{r2}}{g}} \right) = \frac{1}{2} \left( A_{ur2} - u_{ur2} \sqrt{\frac{A_{ur2} b_{r2}}{g}} \right) \quad (18)$$

$$A_{ul} u_{ul} = A_{ur1} u_{ur1} + A_{ur2} u_{ur2} \quad (19)$$

$$\frac{1}{2} u_{ul}^2 + g \eta_{ul} = \frac{1}{2} u_{ur1}^2 + g \eta_{ur1} \quad (20)$$

$$\frac{1}{2} u_{ul}^2 + g \eta_{ul} = \frac{1}{2} u_{ur2}^2 + g \eta_{ur2} \quad (21)$$

233 where  $\eta_{ui}$  and  $b_i$  are respectively the elevations and widths corresponding to the river cross-section  
 234 areas  $A_i$ , with  $i=l, r1, r2$ . The non-linear system of six algebraic equations (16)-(21) is solved by  
 235 means of the Newton-Raphson method. The fluxes at the interfaces are directly calculated from the  
 236 characteristic variables.

237 It is worth realizing that a confluence point in the Mahakam River can become a bifurcation  
 238 point (where water in a single channel is divided into two channels) due to the variations of the  
 239 water discharge and tides. In that case, the numerical fluxes at the interfaces of the bifurcation point  
 240 are computed using the computational procedure introduced above.

## 241 **4 Model setup**

### 242 **4.1 Computational domain**

243 The domain of interest in this study is limited to the region of tidal influence of the Mahakam  
 244 river-lake-delta system (Fig. 1). This domain comprises 300 km of the Mahakam River and four  
 245 tributaries, the three largest lakes (i.e. Lake Jempang, Lake Melintang, and Lake Semayang)  
 246 located about 150 km upstream of the delta, the Mahakam Delta, and the Makassar Strait. The four  
 247 tributaries (i.e. Kedang Pahu, Belayan, Kedang Kepala, and Kedang Rantau) located in the middle  
 248 part of the Mahakam River are included because they greatly contribute to the river flow. Also,  
 249 among over thirty shallow-water lakes in the middle river catchment, the three largest lakes

250 mentioned above are taken into account in the computational domain since, again, these lakes act as  
251 a buffer of the river and regulate the water discharge in the lower part of the river. Finally, the  
252 multi-channel network in the delta is included in detail in the computational domain for taking into  
253 account several physical processes in the calculations.

## 254 **4.2 Bathymetry**

255 Data sets from various sources are available to represent the bathymetry of the studied system. The  
256 bathymetric data obtained from fieldwork campaigns with a single-beam echosounder during a  
257 period between 2008 and 2009 [27] are employed for the delta, the three lakes, and the river. The  
258 depth of the deltaic channels ranges from 5 to 15 m (see Fig. 3) while the water depth is of the order  
259 of 5 m in the three lakes. The water depth in the river varies greatly, and can reach up to 45 m in  
260 some meanders. In the Mahakam River and the four tributaries, the bathymetric data are used to  
261 interpolate river cross-sections. The global bathymetric GEBCO database ([www.gebco.net](http://www.gebco.net)) is used  
262 in the Makassar Strait and for the adjacent continental shelf.

## 263 **4.3 Grid of the computational domain**

264 The grid of the computational domain consists of a 2D sub-domain covering the three lakes, the  
265 whole delta, and the Makassar Strait and a 1D sub-domain representing the Mahakam River and  
266 four tributaries. The 2D sub-domain is discretized by means of an unstructured triangular grid  
267 whose resolution varies greatly in space while the river network within the 1D sub-domain has a  
268 resolution of about 100 m between cross-sections (Fig. 4). The 2D sub-domain allows for a very  
269 detailed representation of the delta. The resolution in the deltaic channels is such that there are at  
270 least two triangles (or elements) over the width of each tidal channel in the delta. The element (or  
271 mesh) size varies from 5 m in the narrowest branches of the delta to around 10 km in the deepest  
272 part of the Makassar Strait. The grid shown in Fig. 4 comprises 60,819 triangular elements and  
273 3,700 line segments. This grid is generated using the open-source mesh generation software GMSH  
274 ([www.geuz.org/gmsh](http://www.geuz.org/gmsh), [28,29]).

275 The current unstructured grid allows for an accurate representation of the very complex

276 shorelines. The refinement criteria of the grid takes into account (i) the speed of the external gravity  
277 wave ( $\sqrt{gh}$ ) [3,30,31] and (ii) the distance to the delta apex and coastlines in order to cluster grid  
278 nodes in regions where small scale processes are likely to take place.

279 It must be emphasized that in comparison with the computational grids used in previous studies  
280 [2,27,32] of the Mahakam Delta, the present computational grid is the first attempt to include most  
281 of the meandering and tidal branches as well as the creeks in the delta together with the main deltaic  
282 channels. The use of a model with such refinement of the computational grid is an important  
283 achievement because a wide range of temporal and spatial scales of several physical processes (e.g.  
284 tides, river flow) interacting with each other in the narrow and meandering tidal branches can be  
285 included in the calculations. For instance, Mandang and Yanagi [32] studied the dynamics of tide  
286 and tidal currents in the delta using a three-dimensional finite difference model, ECOMSED, with a  
287 structured grid that had a resolution of 200 meters. Such a horizontal grid resolution is unlikely to  
288 be suitable to represent the complex shorelines as well as the many small tidal channels existing in  
289 the delta. This is the reason why only the main deltaic channels are included in their study. An  
290 unstructured mesh comprising only the main deltaic channels is also used in the study of de Brye *et*  
291 *al.* [2], who quantified the division of water discharge through the main channels of the delta. Then,  
292 Sassi *et al.* [27] used exactly the same mesh to study the tidal impact on the division of water  
293 discharge at the delta apex (DAN and DAS) and first (FBN and FBS, in Fig. 4) bifurcations.

#### 294 **4.4 Boundary and initial conditions**

295 As shown in Fig. 4, the downstream boundaries of the system are located at the entrance and the  
296 outlet of the Makassar Strait. The upstream boundaries are imposed at the city of Melak in the  
297 Mahakam River, where the tidal influence on the flow is negligible, and at the upstream end of the  
298 four tributaries (see Fig. 4b). The measured daily water discharge is imposed at the upstream  
299 boundary of the Mahakam River and the calculated daily water discharge from a rainfall-runoff  
300 model is prescribed at the upstream boundaries of the four tributaries. The tidal components  
301 (elevation and velocity harmonics) from the global ocean tidal model TPXO7.1 [33] are imposed at

302 the downstream boundaries. This global ocean tidal model allows for combining rationally both  
303 dynamic information from hydrodynamic equations and direct observation data from tide gauges  
304 and satellite altimetry [33]. In addition, this model also provides the best fits, in the least-squares  
305 sense, of the Laplace tidal equations and along-track averaged data from Topex/Poseidon and Jason  
306 satellites data [3,33].

307 Along the impermeable boundaries of coastlines, lakes, and the multi-channel network in the  
308 delta, the tangential stress is estimated using the following formulation:

$$v \frac{\partial u_t}{\partial n} = \alpha u_t \quad (22)$$

309 where  $\alpha$  is the slip coefficient,  $\partial u_t / \partial n$  is the normal derivative of the tangential velocity  $u_t$ . The  
310 constant coefficient  $\alpha$  lies between zero and infinity, corresponding to free slip and no-slip  
311 conditions, respectively [34]. A finite value of  $\alpha$  corresponds to a partial slip condition. In the  
312 current calculations, the adopted value  $\alpha = 10^{-3}$  m/s [2] is applied, to allow for taking into account  
313 the effect of the transversal and tangential momentum flux along the impermeable boundaries.

314 The initial velocity in the computational domain is set equal to zero and an arbitrary value of 0.5  
315 m is used for the initial water elevation, except in the lakes where a measured value of water  
316 elevation is imposed in the calibration step and a calculated value is used in the validation step. A  
317 spin up period of one neap-spring tidal cycle (about 15 days) is applied before the beginning of the  
318 period of interest. Regime conditions can be reached quickly after a few days and thus the effects of  
319 the initial conditions can be eliminated completely.

320 Calculations were performed using the high-performance computing facilities of the Université  
321 catholique de Louvain ([www.uclouvain.be/cism](http://www.uclouvain.be/cism)). We used 24 processors in parallel for calculations  
322 and it takes about 1.5 days to simulate a period of 1 month using the refined computational grid  
323 shown in Fig. 4.



## 324 5 Calibration and validation results

### 325 5.1 Observations and simulation periods

326 In situ measurements including water elevation, flow velocity, and water discharge at various  
327 stations are available for estimating approximate values of the Manning coefficient in the system.  
328 Observations of water elevation at five stations (i.e. JWL, Pela Mahakam, Delta Apex, Delta North,  
329 Delta South, see Fig. 1) from May to August 2008 are used for calibration purposes (Section 5.3)  
330 while the long-term observations of water elevation (at Pela Mahakam, Muara Karman, Delta Apex,  
331 Delta North, and Delta South), flow velocity and water discharge (at Samarinda, DAN, DAS, FBN,  
332 and FBS) between October 2008 and June 2009 are employed for the validation of the model  
333 (Section 5.4). The water discharge at the upstream boundary in the Mahakam River varies between  
334 1,200 and 2,300 m<sup>3</sup>/s during the calibration period while it ranges from 870 to 2,800 m<sup>3</sup>/s in the  
335 validation period.

### 336 5.2 Error estimates

337 Three different types of error, i.e. the root mean square (RMS) error, mean absolute error (MAE),  
338 and the Nash-Sutcliffe efficiency (NSE) are used to assess the quality of the simulations. The RMS  
339 error, MAE, and NSE are computed as follows:

$$\text{RMS error} = \sqrt{\frac{1}{N} \sum_{j=1}^N (X_{data,j} - X_{model,j})^2} \quad (23)$$

$$\text{MAE} = \frac{1}{N} \sum_{j=1}^N |X_{data,j} - X_{model,j}| \quad (24)$$

$$\text{NSE} = 1 - \frac{\sum_{j=1}^N (X_{model,j} - X_{data,j})^2}{\sum_{j=1}^N (X_{data,j} - X_{data,m})^2} \quad (25)$$

340 where  $X_{data,j}$  and  $X_{model,j}$  are respectively the observations and model results of the quantity of  
341 interest, at the point number  $j$  in a time-series,  $X_{data,m}$  is the mean value of observed quantity of  
342 interest, and  $N$  is the total number points in the considered time-series.

343 The RMS error is the most commonly used in practical applications. However, as shown in eq.

344 (23) for the RMS error, the differences between observed and computed values are calculated as  
 345 square values (inside the square). Thus, the importance of larger values in time-series may be  
 346 overestimated whereas lower values are neglected [35]. This is the reason why the MAE is  
 347 additionally used. The RMS error and MAE are valuable indicators because they provide the error  
 348 in the units of the quantity of interest, which is helpful in the analysis of the results. The NSE  
 349 coefficient, that determines the relative magnitude of the residual variance (or noise) compared to  
 350 the observations variance, is used to provide extensive information of comparisons.

351 The Pearson's correlation coefficient ( $r$ ) is also applied for assessing the trend between  
 352 computed results and observed data. The coefficient  $r$  is calculated as:

$$r = \frac{\sum_{j=1}^N (X_{data,j} - X_{data,m})(X_{model,j} - X_{model,m})}{\sqrt{\sum_{j=1}^N (X_{data,j} - X_{data,m})^2} \sqrt{\sum_{j=1}^N (X_{model,j} - X_{model,m})^2}}, \quad (26)$$

353 where  $X_{model,m}$  is the mean value of computed results.

### 354 5.3 Calibration results

355 To calibrate the Manning coefficient, the computational domain of the Mahakam river-lake-delta  
 356 system is provisionally divided into three regions, i.e. Mahakam River and tributaries, lakes, and  
 357 delta and Makassar Strait. Different simulations are performed by using a constant Manning  
 358 coefficient in each flow region. The Manning coefficient in the lakes changes from  $0.023 \text{ s/m}^{1/3}$  to  
 359  $0.045 \text{ s/m}^{1/3}$  while its value lies between  $0.0175 \text{ s/m}^{1/3}$  and  $0.0325 \text{ s/m}^{1/3}$  in the river and tributaries.  
 360 In the remaining flow region, the Manning coefficient ranges from  $0.019 \text{ s/m}^{1/3}$  to  $0.035 \text{ s/m}^{1/3}$ .  
 361 Three values in each of the abovementioned ranges are selected for calibration purposes, resulting  
 362 in twenty seven simulations (Table 1). According to the RMS errors of water elevation at five  
 363 stations, the optimal value of the roughness coefficient is obtained in simulation a.14 (Table 1), with  
 364 a value of  $0.0275 \text{ s/m}^{1/3}$ ,  $0.0305 \text{ s/m}^{1/3}$ , and  $0.023 \text{ s/m}^{1/3}$  for the river and tributaries, lakes, and delta  
 365 and Makassar Strait, respectively. A slight improvement is obtained with an additional simulation  
 366 where the Manning coefficient is taken as in simulation a.14 in the river and the lakes (i.e.  $0.0275$

367 and 0.0305), and then in the delta its value decreases linearly with the distance from the 1D / 2D  
368 connecting location (Fig. 4b) to the delta front, from  $0.0275 \text{ s/m}^{1/3}$  to  $0.023 \text{ s/m}^{1/3}$ . Finally, the  
369 Manning coefficients corresponding to this additional simulation are considered as the optimal  
370 values. The computed water elevation obtained from this optimal distribution of the Manning  
371 coefficient is shown in Fig. 5 and Fig. 6 while the RMS error, MAE, NSE, and  $r$  coefficient at five  
372 stations are listed in Table 2.

373 Fig. 5 shows comparisons between observed and computed water elevations at JWL and Pela  
374 Mahakam stations. The model reproduces very well the observed water elevation at these stations.  
375 The RMS error of water elevation is only 6 cm at Pela Mahakam and 13 cm at JWL station during  
376 the comparable period. The MAE is less than 10 cm and the NSE coefficient is greater than 0.93,  
377 indicating that the model reproduces very well the observations. The correlation coefficient  $r$  is  
378 close to unity, revealing that both computed and observed water elevations show similar behaviors  
379 or variation trends during the calibration period.

380 In Lake Jempang, both simulations and observations show clearly that the tidal signal is of a  
381 marginal importance (Fig. 5a). These results suggest that the tide propagates up to a location located  
382 downstream of the lakes or around the Pela Mahakam. A discrepancy in the water elevation of  
383 about 20 cm occurs on 2008-06-16 at JWL station in the lake. This difference between observations  
384 and simulated water elevation can be explained by the lateral flow into the lake that is not taken into  
385 account in our simulations. At station Pela Mahakam, which is located closer to the delta, the tidal  
386 signal is felt more clearly than in the Lake Jempang (Fig. 5b). However, the fluctuation of the water  
387 elevation due to the tide at this station is still relatively small.

388 Fig. 6 shows the computed water elevation and the observations at Delta Apex, Delta South, and  
389 Delta North. A very good agreement between computed and observed water elevations is obtained  
390 at all three stations in the delta. **The largest value of RMS errors at these stations is less than 13 cm**  
391 **in the two months period that is available for calibration.** This error is only about 6.5% of the  
392 observed tidal range (i.e. about 2.0 m) at these stations. The MAE is more or less 5 cm while both

393 NSE and  $r$  coefficients are very close to unity.

394 An overestimation of low water elevation is observed at Delta Apex station. The use of  
395 approximate river discharges at the upstream tributaries, which are estimated from a rainfall-runoff  
396 model, could be the main reason for the error, as these estimates are less accurate for low flows.  
397 Another reason may be the use of a constant value of the bottom friction in the Mahakam River  
398 upstream of the station.

## 399 **5.4 Validation results**

400 Using the optimal values of the Manning coefficient obtained in the calibration step, a simulation  
401 for a 9 months period (from October 2008 to June 2009) is performed to validate the model and the  
402 parameters. The calculation errors and the detailed comparisons between computed results and  
403 observed data are presented for water elevation, flow velocity, and water discharge at various  
404 stations along the system under study.

### 405 **5.4.1 Water elevation**

406 As shown in Fig. 7a, the model reproduces very well the observed water elevation at Pela Mahakam  
407 station during the period from 2008-11-11 to 2008-11-19. The RMS error is only about 4 cm while  
408 the MAE is 3 cm (Table 3). The NSE and  $r$  coefficients are respectively 0.97 and 0.98 (Table 3),  
409 revealing that the model reproduces very well the observed values. These results suggest that  
410 appropriate values of the Manning coefficient were obtained for the upstream Mahakam River and  
411 tributaries and lakes.

412 In addition, there is only a minor tidal signal at Pela Mahakam station as shown in the calibration  
413 step. This result shows again that the tide propagates up to the Pela Mahakam location in the  
414 Mahakam River.

415 At Muara Karman station, which is located in the region downstream of the three tributaries  
416 (River Belayan, Kelang Kepala, and Kedang Rantau) and the lakes, the model reproduces rather  
417 well the observed water elevation (Fig. 7b). The RMS error, MAE, NSE, and  $r$  coefficient are equal  
418 to 10 cm, 7 cm, 0.89, and 0.95, respectively, for a two weeks period from 2008-11-04 to

419 2008-11-19. However, an overestimation and underestimation of the computed water elevation is  
420 observed at this station. Again, this difference can be explained by the inaccuracy of the water  
421 discharge imposed at the upstream boundaries in the tributaries.

422 **As is the case** for the calibration results, the model predicts very well the observed water  
423 elevation at three stations, namely Delta Apex, Delta South, and Delta North as shown in Fig. 8.  
424 The RMS error of water elevation is less than 12 cm at these stations. The MAE is about 9 cm while  
425 the NSE and  $r$  coefficients are about 0.95 (Table 3), indicating that the model correctly simulates  
426 the observed water elevation. However, an overestimation of the computed water elevation is  
427 observed in the low tidal situations.

#### 428 **5.4.2 Flow velocity**

429 Fig. 9 illustrates the comparisons of the simulation results for the flow velocity and the  
430 measurement data in a long-term simulation period from 2009-02-20 to 2009-06-10 at Samarinda  
431 station. The model reproduces reasonably well the observed flow velocity in different neap-spring  
432 tidal cycles during the long-term simulation. The RMS error of flow velocity is 0.087 m/s, i.e. about  
433 13% of the average value of the measured velocity while MAE of velocity is 0.07 m/s (Table 4).  
434 The  $r$  coefficient is 0.95 and the NSE coefficient is 0.89 (Table 4). These results show that the  
435 model successfully reproduces the flow velocity in the Mahakam River.

436 Fig. 10 shows the comparisons between computed and observed flow velocity at DAN, DAS,  
437 FBN, and FBS stations. The observed flow velocity in different spring and neap tides in the period  
438 from 2008-12-26 to 2009-01-05 are represented reasonably well by the model in general. As shown  
439 in Table 4, the RMS errors of flow velocity at DAN and DAS are 0.053 and 0.081 m/s, respectively.  
440 At FBN and FBS stations, these errors are 0.104 and 0.09 m/s (<20% of the average value of the  
441 measured velocity). A value of 0.042 and 0.063 m/s is obtained for the MAE at DAN and DAS,  
442 respectively, while the MAE respectively equals to 0.095 and 0.065 m/s at FBN and FBS. The NSE  
443 coefficient at all four stations is greater than 0.76 while the  $r$  coefficient is higher than 0.85.

444 At the low flow velocity situations (see Fig. 10), an overestimation of the calculated flow

445 velocity in the spring tides is obtained while an underestimation of the calculated velocity in the  
446 neap tides is achieved at DAS, FBN, and FBS stations. The difficulty in obtaining good  
447 reproduction of flow velocity at these stations is due to the complex flow around the bifurcations,  
448 which is highly variable, and probably also to the constant Manning coefficient in our simulations  
449 that does not represent well all the head-loss processes occurring around bifurcations.

### 450 *5.4.3 Water discharge*

451 The predicted and observed water discharges in a long-term simulation period from 2009-02-20 to  
452 2009-06-10 at Samarinda station are shown in Fig. 11. The model reproduces reasonably well the  
453 observed water discharge in different neap-spring tidal cycles during the long-term simulation. The  
454 RMS error for the water discharge is  $530 \text{ m}^3/\text{s}$  (about 11% of the average value of the measured  
455 water discharge) while the value of MAE of water discharge is  $420 \text{ m}^3/\text{s}$  (Table 5). In addition, as  
456 for the flow velocity, the  $r$  coefficient is 0.95 and the NSE coefficient is 0.86 for water discharge.  
457 These results confirm again that the model successfully reproduces the flow in the Mahakam River.

458 The comparisons between computed and observed water discharges at four stations, namely  
459 DAN, DAS, FBN, and FBS are shown in Fig. 12. The results show that the simulations generally  
460 agree well with the observed water discharges measured in different spring and neap tides in the  
461 period from 2008-12-26 to 2009-01-05. The RMS errors of water discharge at DAN and DAS  
462 (Table 5) are  $340$  and  $760 \text{ m}^3/\text{s}$ , respectively and are equal to about 8% and 12% of the observed  
463 magnitude of water discharges at these stations. At FBN and FBS stations (Table 5), these errors are  
464 17% ( $410 \text{ m}^3/\text{s}$ ) and 13% ( $720 \text{ m}^3/\text{s}$ ) of the measured water discharge. A value of  $270$  and  $610 \text{ m}^3/\text{s}$   
465 is obtained for the MAE at DAN and DAS, respectively, while the MAE respectively equals to  $370$   
466 and  $540 \text{ m}^3/\text{s}$  at FBN and FBS. The NSE coefficient at these stations is more or less 0.80 while the  $r$   
467 coefficient is about 0.85 (Table 5).

468 Water discharges vary significantly in the northern and southern channel sections, depending on  
469 the tidal conditions. Due to wider channel sections in the southern channels, a larger amount of  
470 water discharges into the southern channels (DAS and FBS) in comparison with the northern

471 channels (DAN and FBN). As shown in Fig. 12b and Fig. 12d for the channel sections in the  
472 southern channels, the model predicts very well the observations at large discharges. At low  
473 discharges (corresponding to high water situations), the model overestimates the water discharge  
474 observations at the high water of spring tide on 2008-12-26 at DAS and on 2008-12-27 at FBS. The  
475 computed water discharge underestimates the observations at the high water of neap tide on  
476 2009-01-04 at DAS and on 2009-01-03 at FBS. These discrepancies may be due to the use of a  
477 constant value of the Manning coefficient and the inability of the model to take into account **lateral**  
478 **secondary circulation flows caused by local channel curvature**. A vertical wall is assumed at  
479 impermeable coastlines. This assumption may result in inaccuracy of the wetted channel section  
480 area corresponding to high waters in calculations and, hence, can be another reason for the  
481 discrepancies in the water discharge.

## 482 **6 Discussion**

### 483 **6.1 Water division at bifurcations in the delta**

484 The delta presents many bifurcations (Fig. 1) that can affect the division of water discharge in the  
485 downstream channels. Fig. 13 shows the variation in water discharge division over the downstream  
486 channels of the delta apex (DAN and DAS) and first (FBN and FBS) bifurcations at different tidal  
487 conditions, e.g. neap or spring tide. The model represents very well the observed division of water  
488 discharge at both bifurcations, with an improvement compared to the numerical simulations  
489 reported by Sassi *et al.* [27], in which (i) the water discharge division over the downstream channels  
490 is only biased towards the northern channels, (ii) **the simulated water discharge division at delta**  
491 **apex bifurcation during spring tide is too asymmetrical, and (iii) the simulations of the water**  
492 **discharge division lead to values smaller than those measured *in situ*. This improvement may be due**  
493 **to the use, in the present study, of different values of the Manning coefficient in the upstream region**  
494 **of the delta and in the delta itself.**

495 Fig. 14 shows the specific water discharge ( $q = Q / b$ ) at different cross-sections in the northern  
496 and southern channels downstream of the delta apex and first bifurcations in the delta (Fig. 4b).

497 Both computed results and observations show that the specific water discharge is directed towards  
498 the northern channel at the delta apex bifurcation (Fig. 14a). This trend in specific water discharge  
499 division may result from the differences in local flow, e.g. tidal motion in northern and southern  
500 branch channels.

501 Results for the first bifurcation (FBN and FBS) are shown in Fig. 14b. For low discharges, a  
502 similar trend as in Fig. 14a is observed, i.e. the specific water discharge is directed towards the  
503 northern channel. However, for high discharges (corresponding to low tides), the specific water  
504 discharge is generally directed towards the southern channel (FBS), presenting an opposite trend in  
505 comparison with the delta apex bifurcation. There is a local depositional area (sand bar) in the  
506 middle channel downstream of DAS (Fig. 4b) that extends over few kilometers before the first  
507 bifurcation. Due to this sand bar, the water flow is divided into two parts, with the dominant water  
508 directed towards the northern channel (FBN). This is the reason why the specific water discharge is  
509 directed towards the northern branch at low discharges. At high flow discharges, an opposite trend  
510 of specific water discharge is obtained. Indeed, the effects of the sand bar become negligible, as for  
511 higher water levels the channel in the southern branch is much deeper and wider than the northern  
512 branch.

## 513 **6.2 Effects of the lakes**

514 In order to investigate the influence of the three largest lakes, one simulation including these lakes  
515 and one simulation excluding these lakes are performed for a low flow period from June to  
516 November 2009. The optimal values of the Manning coefficient in Section 5 are used in both  
517 simulations. The computational grid shown in Fig. 4 is also used, with the particular grid of the  
518 three lakes being removed for the later simulation. Fig. 15 shows the computed water elevation  
519 from these simulations at three stations, namely Pela Mahakam, Muara Karman, and Samarinda  
520 (see Fig. 1). The discrepancy in the water elevation with and without including the lakes is about 35  
521 cm (i.e. 28% of the water elevation magnitude that is obtained in the case without the lakes) at Pela  
522 Mahakam, 25 cm (i.e. 18% of the water elevation magnitude) at Muara Karman, and 10 cm (i.e. 6%



523 of the water elevation magnitude) at Samarinda station, revealing that the influence of the lakes on  
524 the water elevation in the Mahakam River decreases in the downstream direction as expected. At  
525 Delta Apex, Delta North, and Delta South stations, this difference (not shown) is less than 5 cm.  
526 **These results suggest that the effect of the lakes is not negligible and, hence, is worth investigating**  
527 **in detail. This will be done in the next stage of the research.**

528 The computed water discharges at Pela Mahakam, Muara Karman, and Samarinda when  
529 including and excluding the lakes into the computational domain are shown in Fig. 16. If the three  
530 lakes are added in the computational domain, the **magnitude of** water discharge will be increased by  
531  $340 \text{ m}^3/\text{s}$  (i.e. 11% of the mean annual river discharge of the Mahakam River),  $400 \text{ m}^3/\text{s}$  (i.e. 13% of  
532 the mean annual river discharge of the Mahakam River), and  $500 \text{ m}^3/\text{s}$  (i.e. 17% of the mean annual  
533 river discharge of the Mahakam River) at the Pela Mahakam, Muara Karman, and Samarinda  
534 station, respectively, for situations of water flowing in seaward direction. Conversely, when water  
535 flows in the direction from the sea to the river corresponding to the negative water discharge in Fig.  
536 16, a water discharge of about  $800 \text{ m}^3/\text{s}$  (i.e. 27% of the mean annual river discharge of the  
537 Mahakam River) will flow in these three lakes, as shown in Fig. 16a. These results suggest that the  
538 model is able to reproduce the interconnection between the lakes and the river.

### 539 **6.3 Effects of the computational grid**

540 To investigate the effects of grid resolution on the computed results, a simulation on a coarser grid  
541 (denoted by mesh A) and a simulation on a finer grid (denoted by mesh C) are also performed. The  
542 total numbers of triangular elements in the 2D sub-domain is 49,175 for mesh A and 80,222 for  
543 mesh C and both meshes have 3,700 line segments in the 1D sub-domain. The procedure for  
544 generating mesh A and mesh C is exactly the **same as** those using for creating the computational  
545 grid shown in Fig. 4 (denoted by mesh B). The boundary conditions and the optimal values of the  
546 Manning coefficient ( $n = 0.0275 \text{ s/m}^{1/3}$  in the river and tributaries,  $n = 0.0305 \text{ s/m}^{1/3}$  in the three  
547 lakes,  $n = 0.023 \text{ s/m}^{1/3}$  in the Makassar Strait, and  $n = 0.023\text{-}0.0275 \text{ s/m}^{1/3}$  in the delta) presented in  
548 the previous section are used in both additional simulations. The statistical evaluation of the

549 different type of errors when using mesh A and mesh C is summarized in Table 6 while, again,  
550 these errors when using mesh B are listed in Table 2. It can be observed that slight differences are  
551 observed when using different meshes, but the overall statistical evaluation of the different type of  
552 errors at all five water elevation stations appears to be similar when using different meshes. This is  
553 because the resolution of each computational grid is still defined by physical processes, i.e. the local  
554 mesh size is defined to be proportional to the square root of the bathymetry and the refinement of  
555 each grid also still depends on the distance to the delta apex and coastlines.

#### 556 **6.4 Reasons for the discrepancies and future work**

557 A constant value of the bottom friction was assumed for the tributaries and along the Mahakam  
558 River, in order to render the calibration as simple as possible. The use of such constant values may  
559 not be suitable when considering the roughness coefficient of the tributaries and the river in reality.  
560 In addition, the effects of secondary flows can be significant in the meandering channels of the delta  
561 as well as in the Mahakam River itself [36]. These secondary flows are not taken into account in the  
562 calculations, which could explain some of the differences between simulations and observations at  
563 **some** stations. Moreover, the uncertainty in the determination of the water discharge at the upstream  
564 boundaries of the tributaries in the model, caused by using a rainfall-runoff model, can be another  
565 reason for the observed discrepancy. **Furthermore, the absence of baroclinic effects, which cannot**  
566 **be taken into account in the present depth- and section-averaged model, may be an additional reason**  
567 **for the discrepancy between observations and simulations.** Finally, regarding the comparisons  
568 between computed and observed flow velocity as well as water discharges at four channel sections  
569 located downstream of the delta apex and first bifurcations, the difference between them can be  
570 explained by several factors, e.g. a bend upstream of bifurcations, the width-depth ratio of the  
571 upstream channel, local bank irregularities, differences of roughness [37].

572 In each flow region such as Mahakam River and tributaries or lakes, variation of the Manning  
573 coefficient corresponding to the change of the local water depth was not considered in this study.  
574 Previous studies [38,39] suggested that the Manning coefficient can be changed with the variation

575 of the water depth. Regarding the Mahakam River, the water depth can vary considerably,  
576 depending on the location. Further investigation of the Manning coefficient as a function of the  
577 local water depth will be considered in the future modelling effort for exploring the spatial variation  
578 of the Manning coefficient in each region of the studied system.

579 Previous study [12] on flooding in the middle Mahakam River catchment shows that bank  
580 overtopping can occur during a flood situation in floodplain regions located around the Melintang  
581 Lake. During flood periods, these regions are flooded and water flows through these regions to the  
582 lake. In the connecting channels between the lakes and the Mahakam River, flow overtopping can  
583 also happen in flood situations. Due to the effects of flow overtopping, the channel banks can be  
584 eroded, resulting in an increase of the channel width. However, in the framework of the present  
585 numerical model, the increase of channel width caused by flow overtopping has not been  
586 considered yet and a vertical wall is assumed to be used in such situations, preventing the  
587 inundation of the floodplain. Treatments of overtopping flow and simulations in a long-term period  
588 of several years are foreseen in the future to further quantify the balance of water inputs to and  
589 outputs from the lakes.

## 590 **7 Summary and conclusion**

591 The Mahakam river-lake-delta system presents a continuous riverine and marine environment  
592 including various interconnected regions, i.e. a river and its tributaries, lakes, a delta, and the  
593 adjacent coastal ocean, with complicated processes of the flow. In this study, the unstructured-mesh,  
594 finite element model SLIM was applied to this river-lake-delta system, using a coupled 1D / 2D  
595 version of the model, (i) to allow for reproducing the flow from the upstream to the open sea and (ii)  
596 to have better understanding of the flow processes occurring at different temporal and spatial scales  
597 in the system. The complex geometry, especially in the deltaic region, was represented in detail in  
598 the computational domain in order to take into account several physical processes in the  
599 calculations.

600 The appropriate values of the Manning coefficient in each part of the system, i.e. Mahakam

601 River and tributaries, lakes, delta, and Makassar Strait were calibrated. The model was then  
602 validated to confirm the appropriate values of the Manning coefficient. A good agreement was  
603 achieved between the computed results and observations for the water elevation at six stations, and  
604 for the velocity and water discharge at the other five stations. The RMS error and MAE were only  
605 about 10 cm at all water elevation stations while the maximum value of these errors for water  
606 discharge was of the order of 12% of the observed values. The RMS error and MAE of velocity  
607 were smaller than 20% of the observed velocity. The NSE coefficient was 0.95 at six water  
608 elevation stations and its value was about 0.80 at the stations of velocity and water discharge. The  
609 Pearson's correlation coefficient between computed results and field data was very close to unity at  
610 all stations. The coupled 1D / 2D model of the unstructured-mesh, finite element model SLIM  
611 successfully reproduced the observations of the flow in the Mahakam river-lake-delta system.

612 Using the computations, firstly, in terms of division of water at the bifurcations, the model  
613 reproduced reasonably well the observations at the delta apex and at the first bifurcations in the  
614 delta. Secondly, the effects of three lakes on the flow in the lower part of the Mahakam River were  
615 also quantified, showing that these lakes contribute about 20% of the mean annual river discharge  
616 of the Mahakam River in the considered low flow period. Thirdly, the region of the lakes, which is  
617 located about 150 km upstream of the Mahakam Delta, was found as the limit of the tidal  
618 propagation in the Mahakam river-lake-delta system. Finally, the grid resolution was preliminarily  
619 explored, revealing that the overall evaluation of the errors at five water elevation stations appears  
620 to be similar when using three different meshes, because the resolution of each mesh is still defined  
621 by the same physical processes.

622 The results obtained in the present study are believed to be useful for studying transport  
623 processes of various constituents (e.g. sediment, salinity) in the system as well as water renewal  
624 timescales in the deltaic regions in the future. In addition, the coupled 1D / 2D model of the  
625 unstructured-mesh, finite element model SLIM uses a computational grid that allows for an accurate  
626 representation of complex topographies and an increase in spatial resolution in areas of interest,

627 which makes the model to be very suitable and computationally efficient for simulating the flow in  
628 other river-lake-delta systems like the one associated with the Mahakam River. Fine mesh can be  
629 used in the domain of interest instead of in the whole computational domain, and thus, this can  
630 reduce the computational time due to a decrease of the number of elements. Moreover, different  
631 spatial scales of the flow processes from the river to the coastal ocean and deep margin can be also  
632 simulated.

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## Tables

Table 1 RMS error of water elevation at five measurement stations for the calibration phase

Simulation	Manning coefficient			RMS error of water elevation (cm)				
	lakes	river and tributaries	delta and Makassar Strait	JWL	Pela Mahakam	Delta North	Delta South	Delta Apex
a.01			0.019	28.1	20.5	8.4	7.6	14.6
a.02		0.0175	0.023	27.3	19.3	8.3	7.4	15.1
a.03			0.035	24.8	16.2	8.8	8.1	23.6
a.04			0.019	13.5	6.0	8.4	7.6	15.2
a.05	0.023	0.0275	0.023	13.2	5.7	8.3	7.4	13.9
a.06			0.035	12.6	5.3	8.8	8.1	21.5
a.07			0.019	14.1	8.5	8.4	7.6	16.2
a.08		0.0325	0.023	14.2	8.9	8.3	7.4	13.9
a.09			0.035	14.8	10.2	8.8	8.1	20.3
a.10			0.019	28.1	20.6	8.4	7.6	14.6
a.11		0.0175	0.023	27.2	19.4	8.3	7.4	15.1
a.12			0.035	24.7	16.3	8.8	8.1	23.6
a.13			0.019	13.5	6.0	8.4	7.6	15.2
a.14	0.0305	0.0275	0.023	<b>13.2</b>	<b>5.7</b>	<b>8.3</b>	<b>7.4</b>	<b>12.8</b>
a.15			0.035	13.7	5.9	9.2	8.3	21.4
a.16			0.019	14.1	8.5	8.4	7.6	16.2
a.17		0.0325	0.023	14.3	8.9	8.3	7.4	13.9
a.18			0.035	14.8	10.1	8.8	8.1	20.3
a.19			0.019	27.9	20.9	8.5	7.7	14.7
a.20		0.0175	0.023	27.1	19.7	8.3	7.4	15.2
a.21			0.035	24.6	16.4	8.8	8.1	23.6
a.22			0.019	13.5	6.1	8.4	7.6	15.2
a.23	0.045	0.0275	0.023	13.3	5.8	8.3	7.4	12.9
a.24			0.035	12.7	5.4	8.8	8.1	21.5
a.25			0.019	14.2	8.5	8.4	7.6	16.2
a.26		0.0325	0.023	14.4	8.8	8.3	7.4	13.9
a.27			0.035	15.0	10.1	8.8	8.1	20.3

Table 2 RMS error, MAE, NSE, and  $r$  at water elevation stations for the calibration phase

Station	Water elevation			
	RMS error (cm)	MAE (cm)	NSE	$r$
JWL	13.1	10.4	0.93	0.96
Pela Mahakam	5.6	4.6	0.96	0.98
Delta North	8.3	6.7	0.98	0.99
Delta South	7.4	6.0	0.98	0.99
Delta Apex	10.2	8.0	0.93	0.97

Table 3 RMS error, MAE, NSE, and  $r$  at water elevation stations for the validation phase

Station	Water elevation			
	RMS error (cm)	MAE (cm)	NSE	$r$
Pela Mahakam	3.9	3.3	0.97	0.98
Muara Karman	10	7.1	0.89	0.95
Delta North	10.9	8.8	0.96	0.98
Delta South	10.4	8.4	0.96	0.98
Delta Apex	11.7	9.3	0.92	0.96

Table 4 RMS error, MAE, NSE, and  $r$  at flow velocity stations for the validation phase

Station	Flow velocity			
	RMS error (m/s)	MAE (m/s)	NSE	$r$
Samarinda	0.087	0.069	0.89	0.95
DAN	0.053	0.042	0.88	0.94
DAS	0.081	0.063	0.79	0.85
FBN	0.104	0.095	0.76	0.90
FBS	0.090	0.065	0.77	0.88

Table 5 RMS error, MAE, NSE, and  $r$  at water discharge stations for the validation phase

Station	Water discharge			
	RMS error (m <sup>3</sup> /s)	MAE (m <sup>3</sup> /s)	NSE	$r$
Samarinda	530	420	0.86	0.95
DAN	340	270	0.85	0.92
DAS	760	610	0.71	0.83
FBN	410	370	0.75	0.87
FBS	720	540	0.79	0.89

Table 6 Statistical evaluation of the different type of errors at water elevation stations when using different meshes

Station \ Mesh	Mesh A				Mesh C			
	RMS error	MAE	NSE	$r$	RMS error	MAE	NSE	$r$
JWL	13.2	10.7	0.93	0.97	12.7	10.5	0.93	0.97
Pela Mahakam	5.6	4.7	0.96	0.98	5.6	4.7	0.96	0.98
Delta North	8.2	6.7	0.98	0.99	8.0	6.6	0.98	0.99
Delta South	7.4	6.0	0.98	0.99	7.3	5.8	0.98	0.99
Delta Apex	10.3	8.3	0.93	0.97	9.8	8.0	0.93	0.97

## Figures

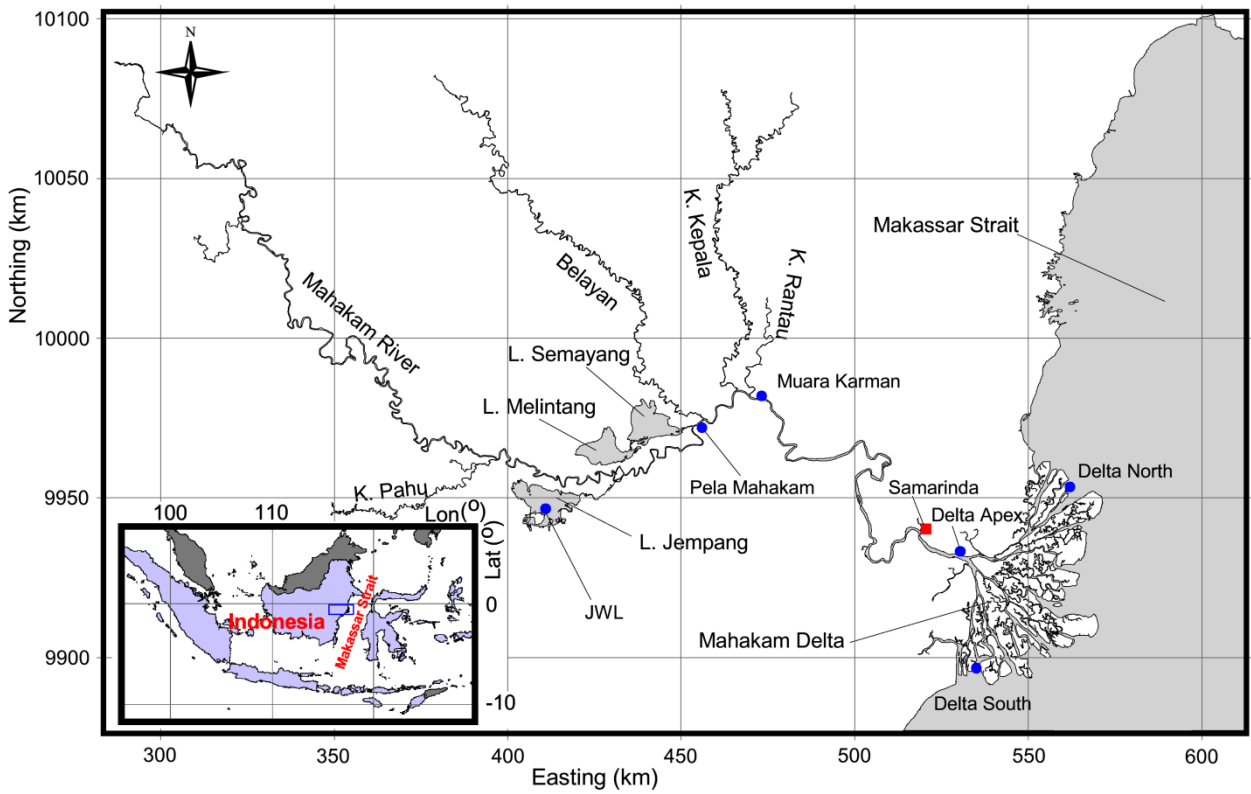


Fig. 1 Map of the tropical Mahakam river-lake-delta system, Indonesia: *blue dots* indicate the water elevation stations while *red square* denotes the flow velocity and water discharge station.

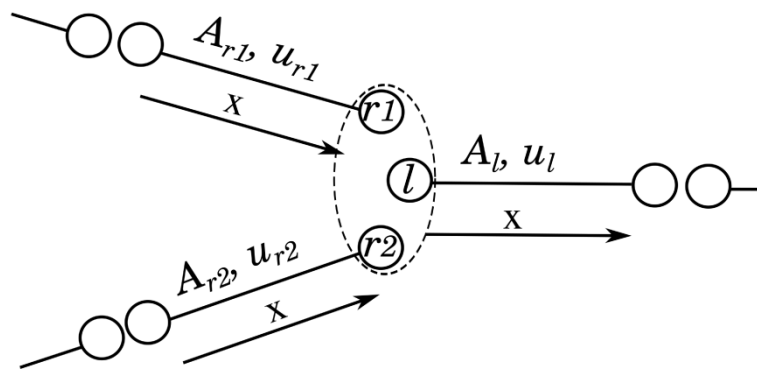


Fig. 2: Schematic diagram of line segments and nodes at a confluence point, where the space coordinate  $x$  increases in the flow direction.

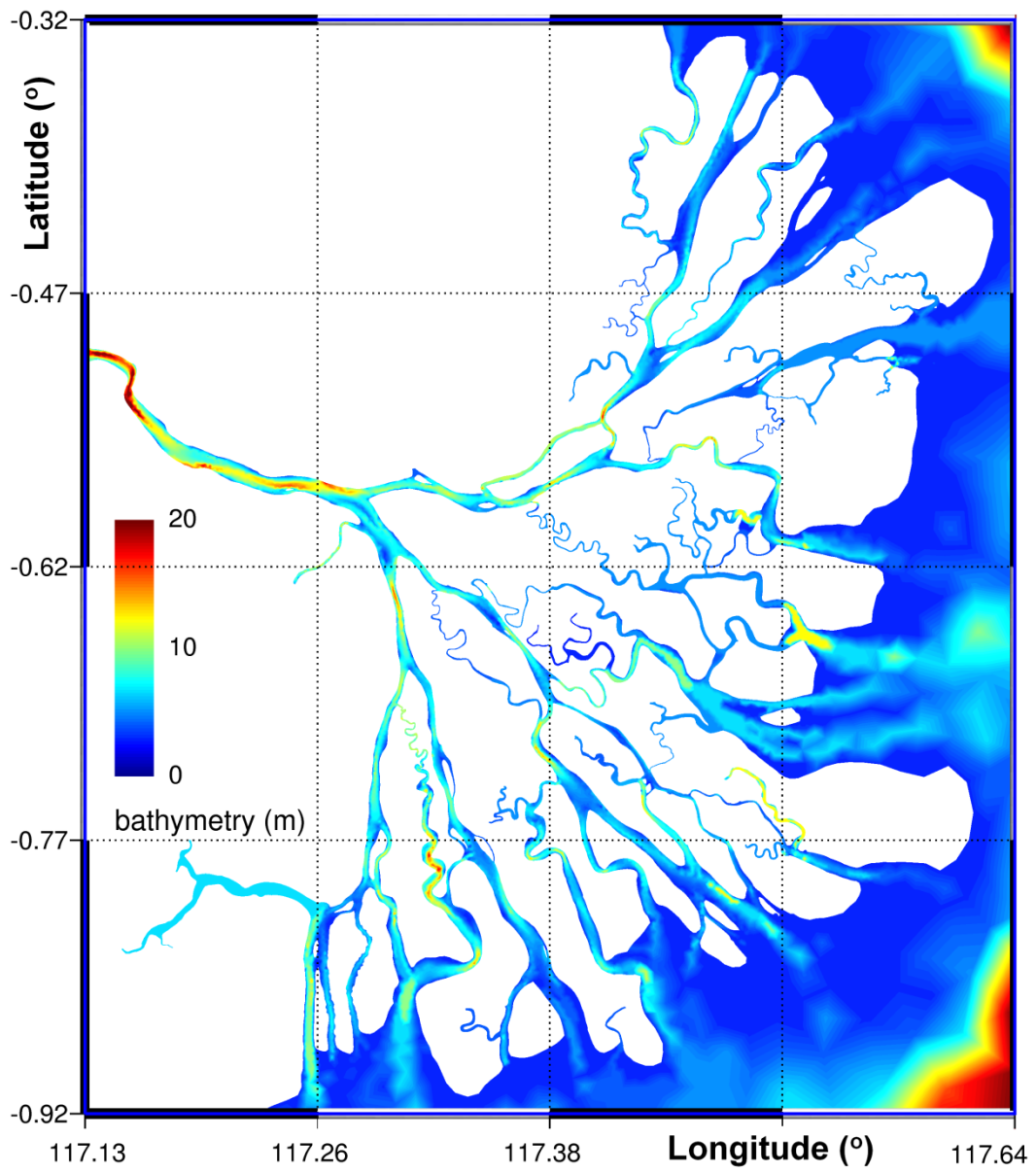


Fig. 3: Bathymetry in the Mahakam Delta.

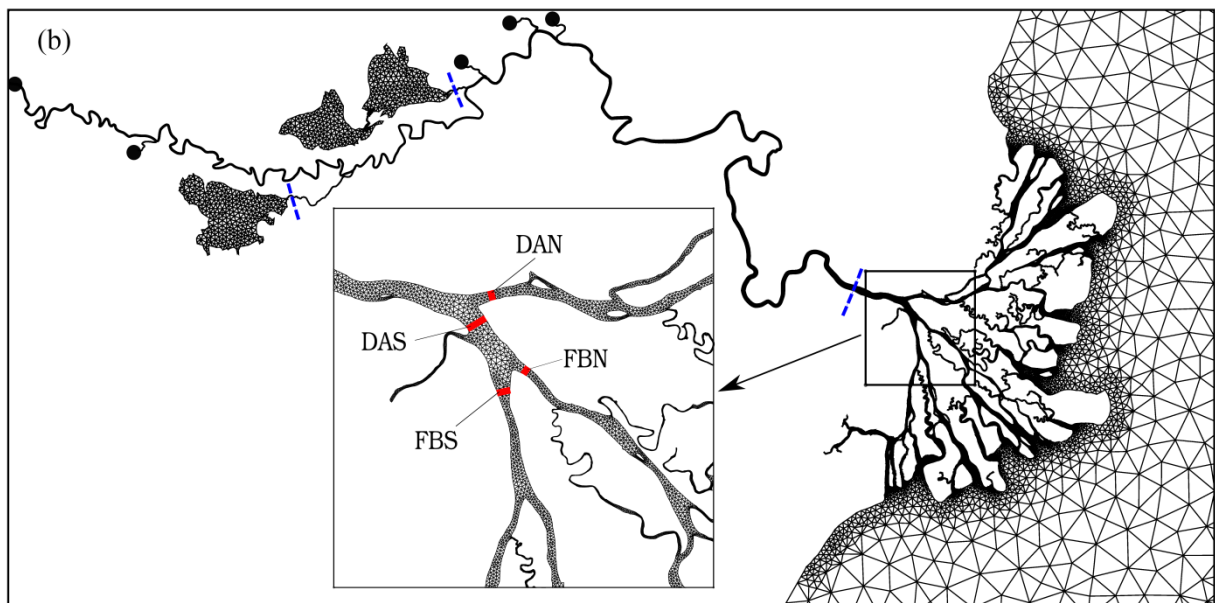
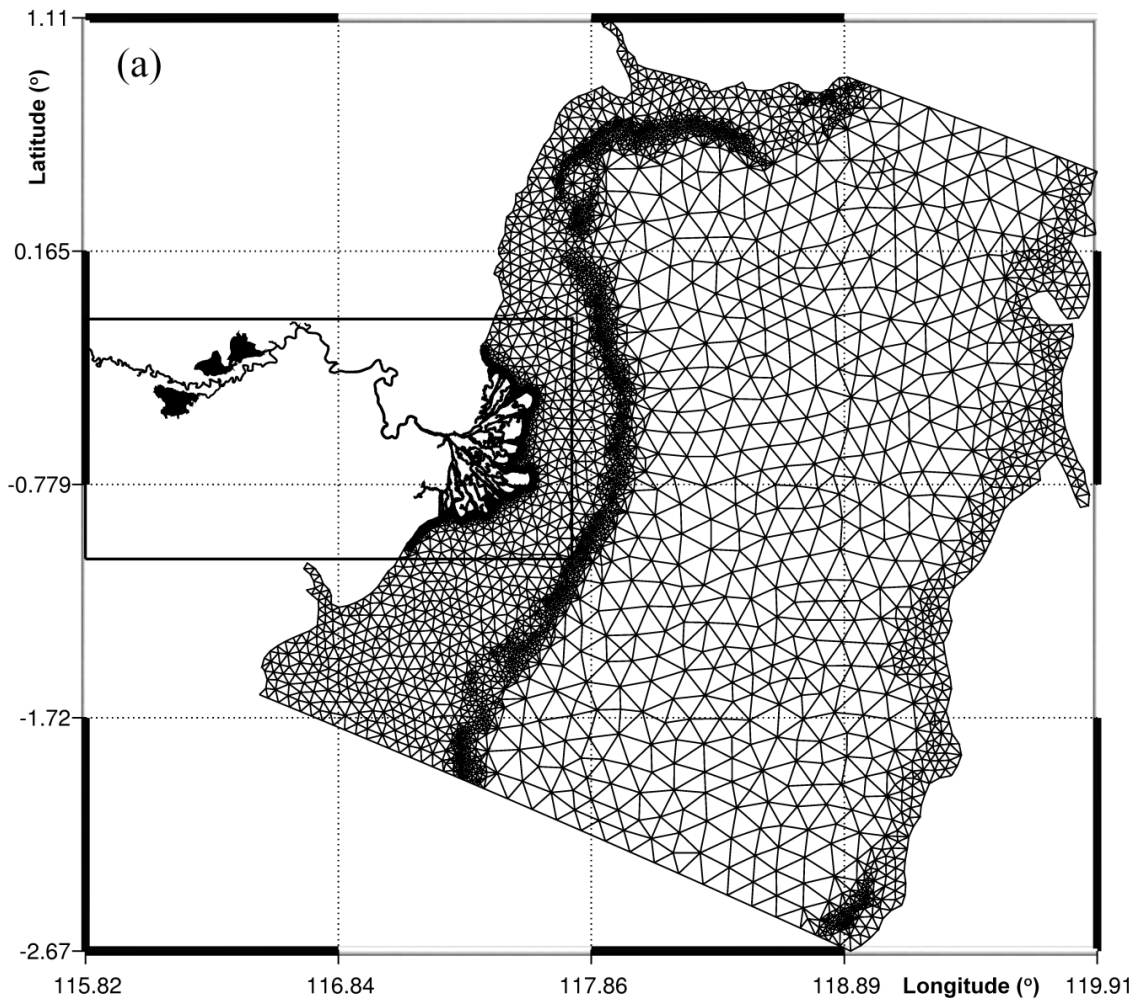


Fig. 4 Computational grid of the Mahakam river-lake-delta system: (a) mesh of the whole computational domain, with 60,819 triangles and 3,700 line segments and (b) zoom on the delta and upstream part of the computational domain: *blue dash-lines* indicate the interfaces between the 1D and 2D grids, *black dots* denote upstream boundaries locations, and *red squares* represent the flow velocity and water discharge stations.

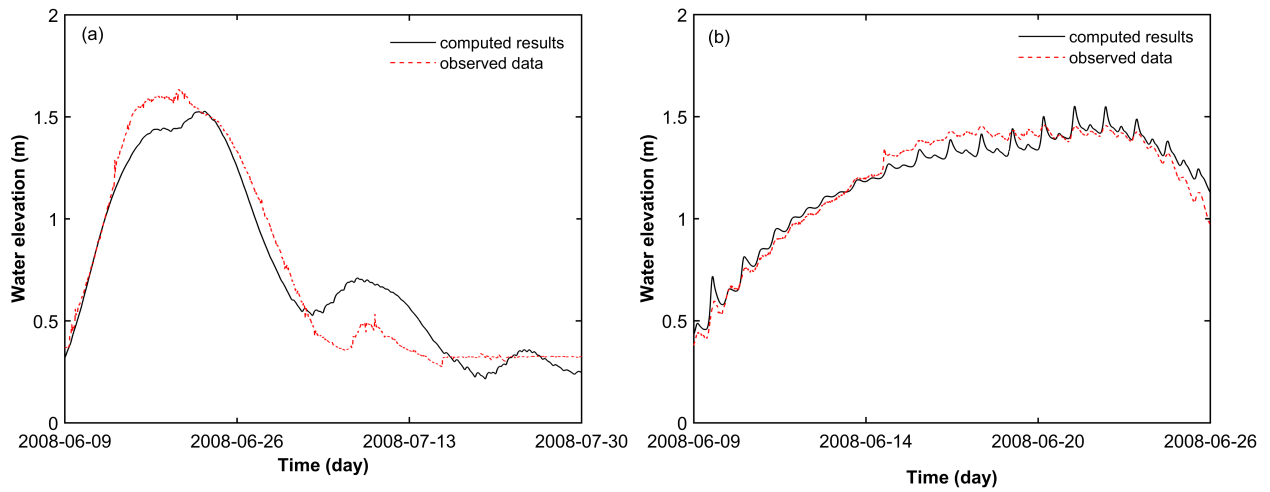


Fig. 5 Observed and computed water elevation at: (a) JWL and (b) Pela Mahakam stations (Fig. 1) during the calibration period.

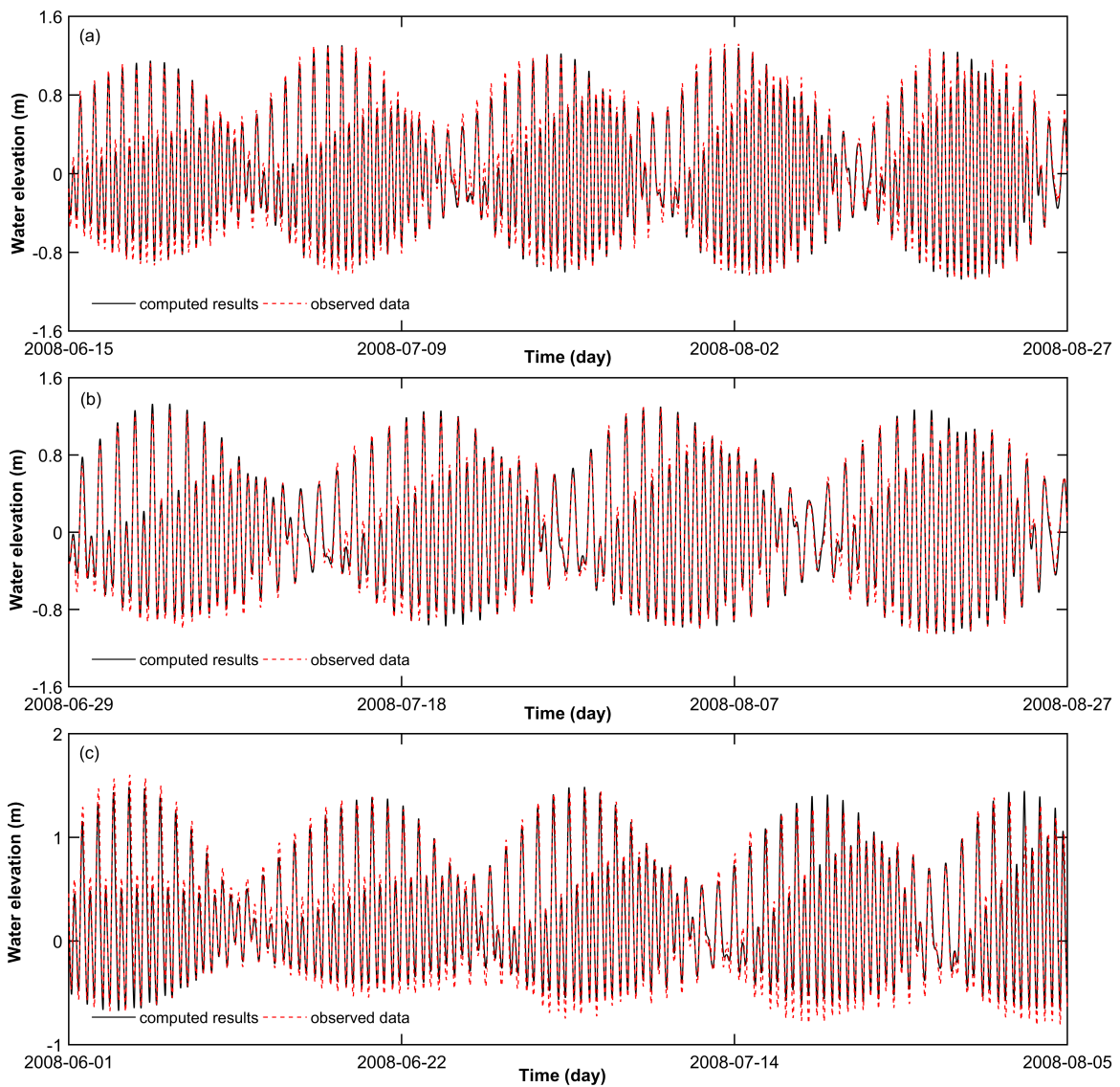


Fig. 6 Observed and computed water elevation at: (a) Delta North, (b) Delta South, and (c) Delta Apex stations (Fig. 1) during the calibration period.



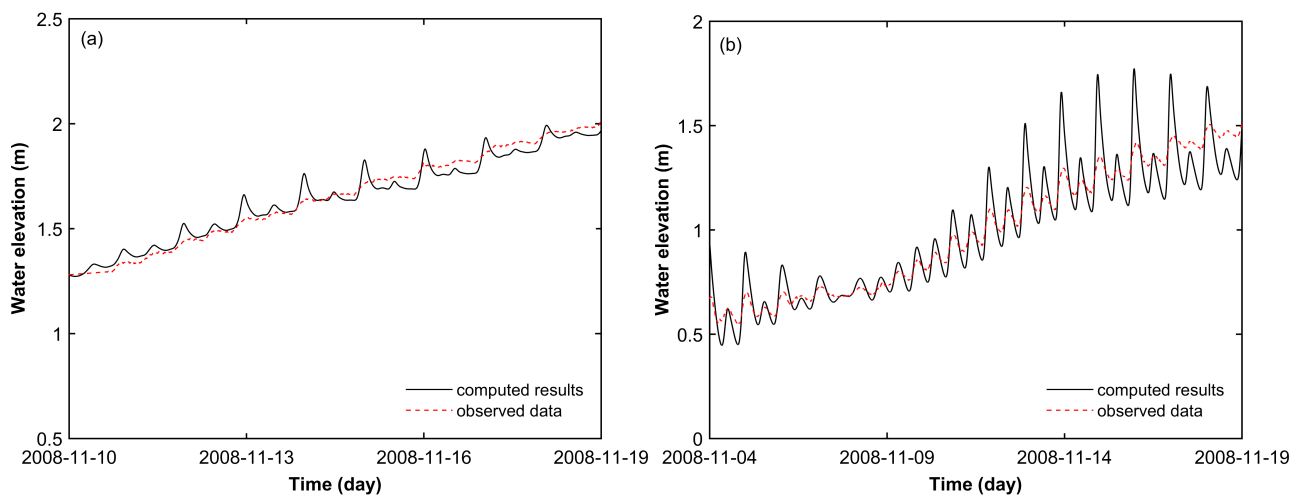


Fig. 7 Observed and computed water elevation at: (a) Pela Mahakam and (b) Muara Karman stations (Fig. 1) during the validation period.

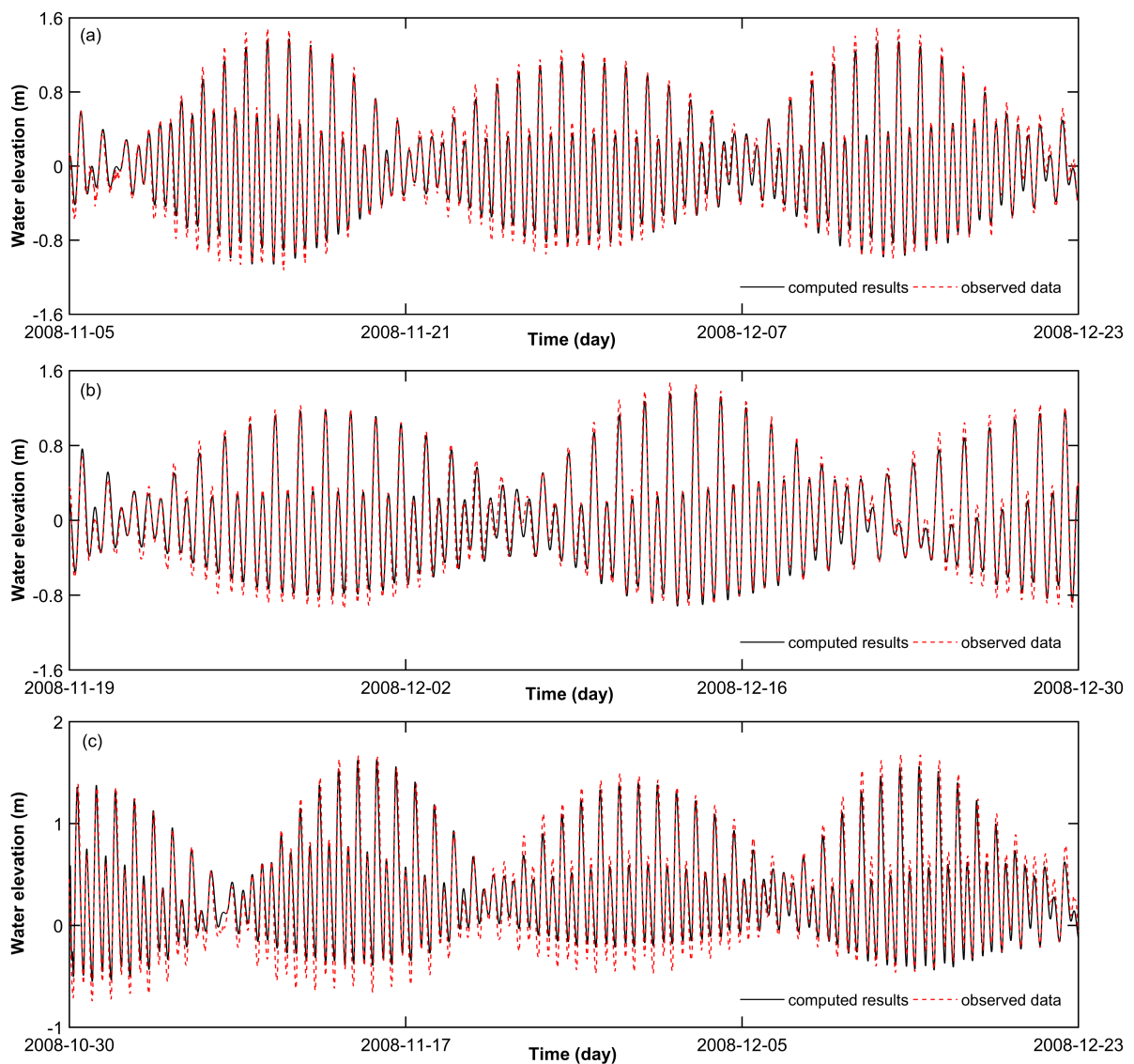


Fig. 8 Observed and computed water elevation at: (a) Delta North, (b) Delta South, and (c) Delta Apex stations (Fig. 1) during the validation period.

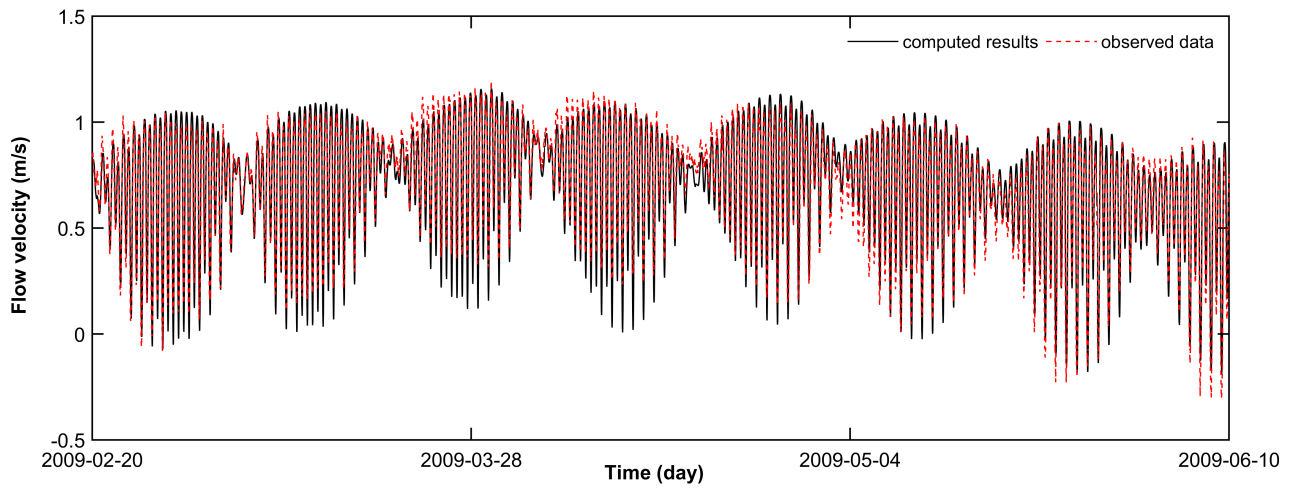


Fig. 9 Observed data and computed results of flow velocity at Samarinda station, where positive velocity coincides with seaward direction.

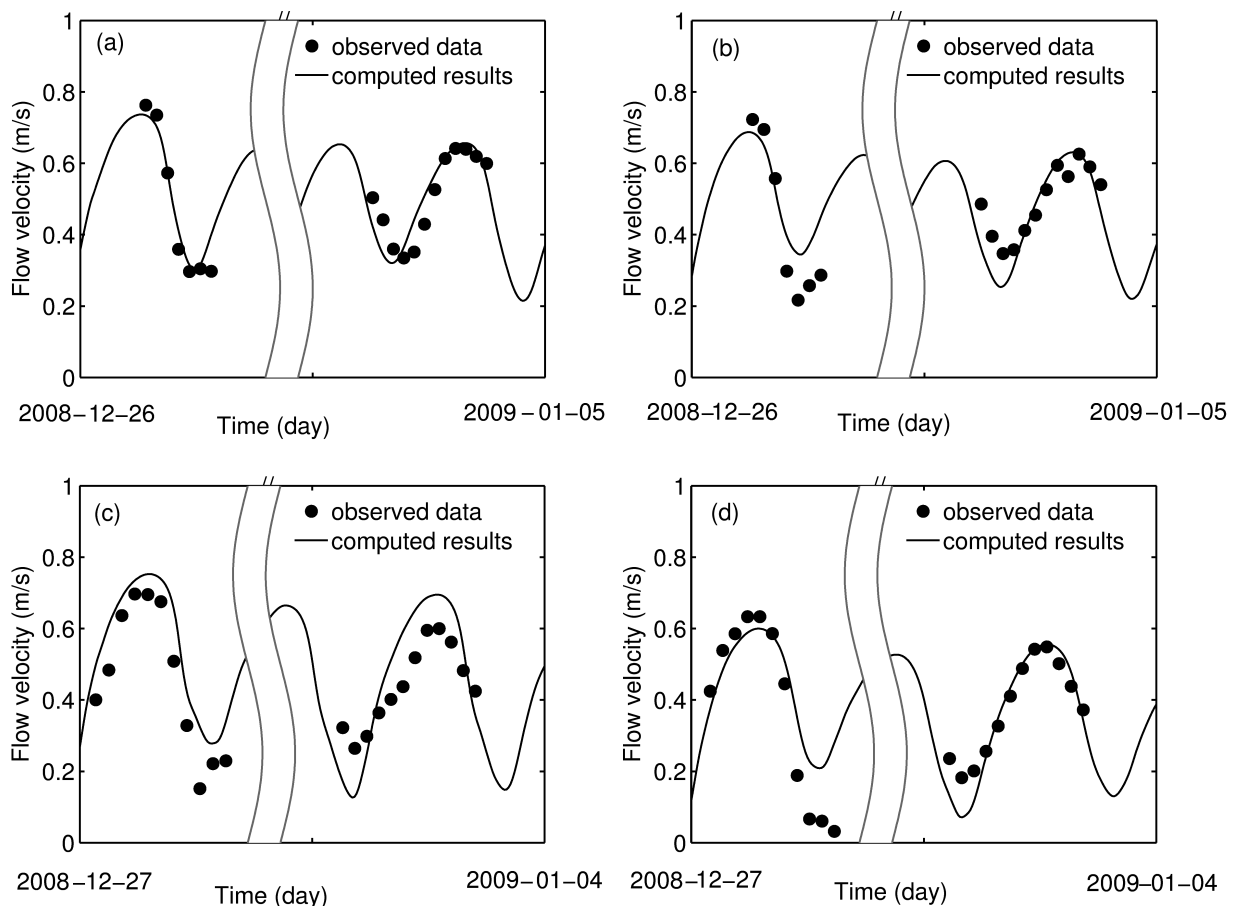


Fig. 10 Computed and measured flow velocity at: (a) DAN, (b) DAS, (c) FBN, and (d) FBS stations (Fig. 4) during the validation period. In each panel, observations in the left site were performed in spring tides while observations in the right site were performed in neap tides.

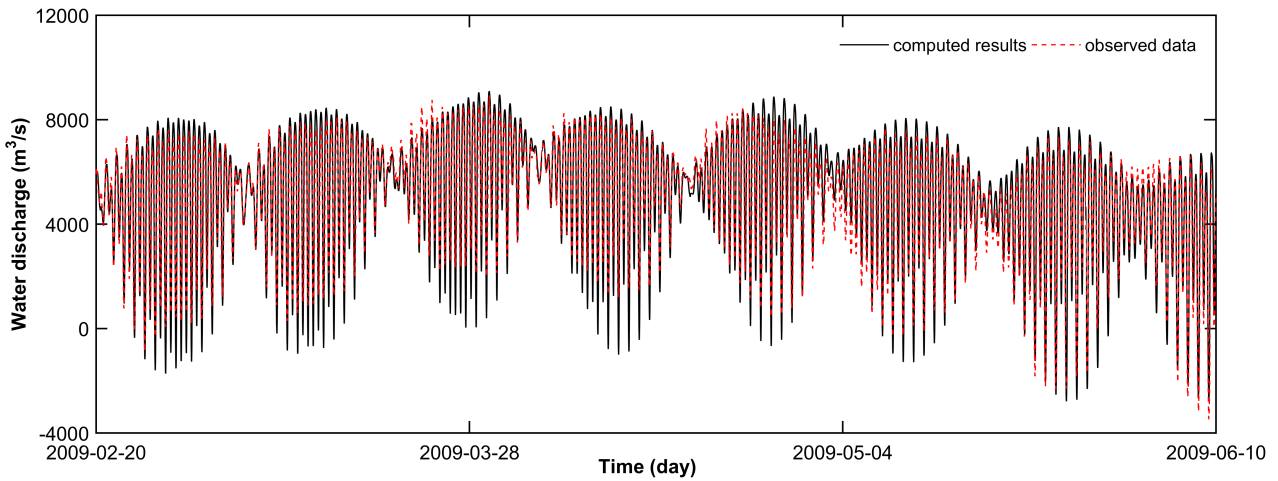


Fig. 11 Observed data and computed results of water discharge at Samarinda station, where positive water discharge coincides with seaward direction.

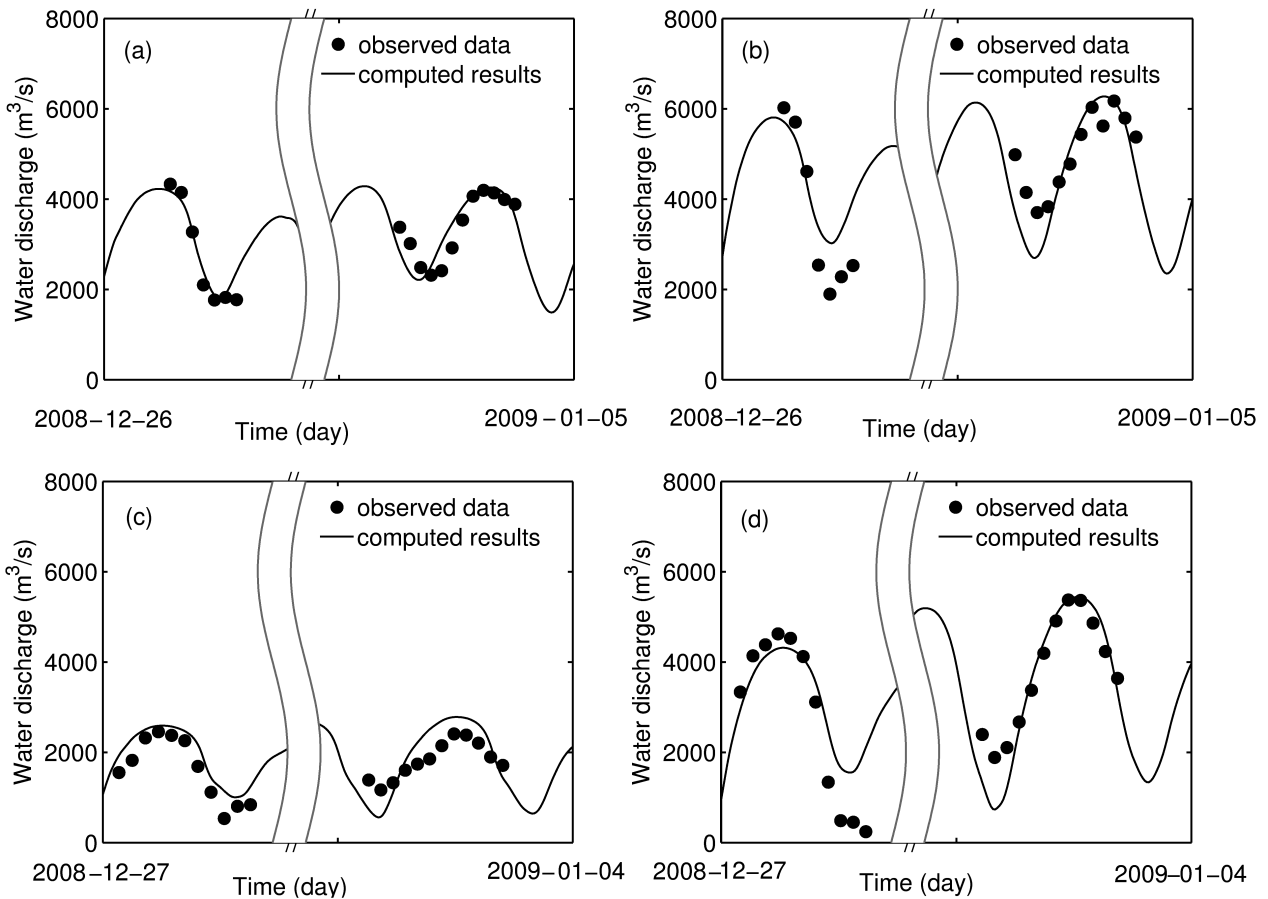


Fig. 12 Computed and measured water discharges at: (a) DAN, (b) DAS, (c) FBN, and (d) FBS stations (Fig. 4) during the validation period. In each panel, observations in the left hand site were performed in spring tides while observations in the right hand site were performed in neap tides.

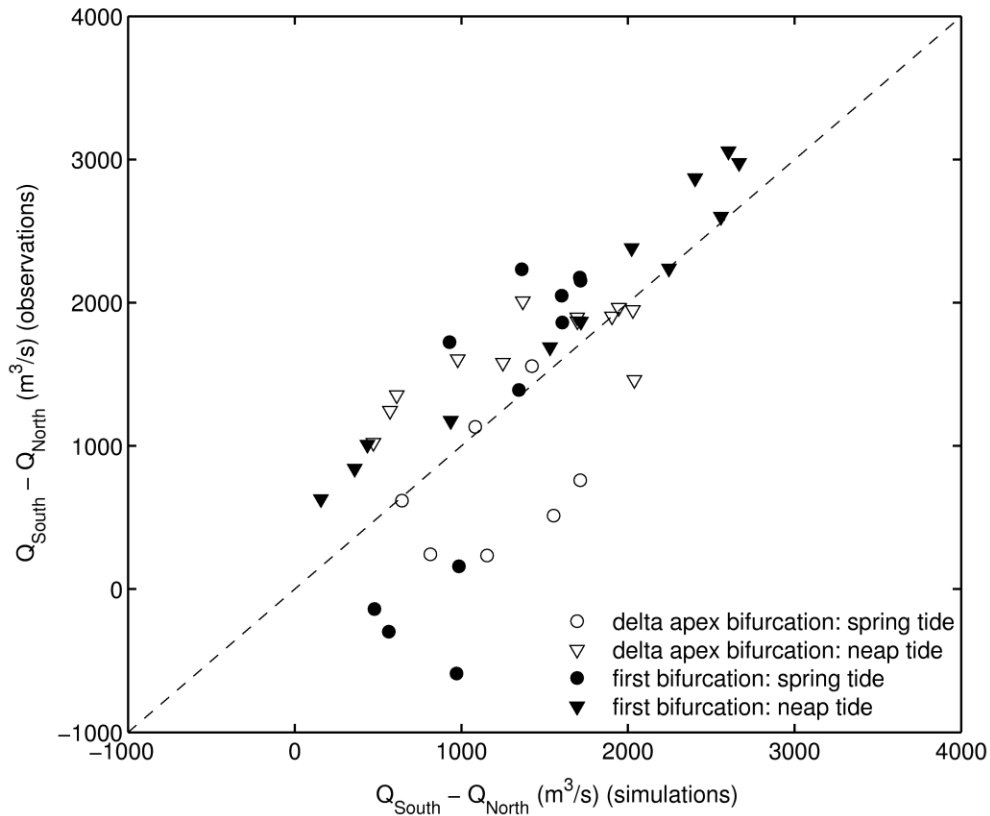


Fig. 13 Discharge difference between southern and northern channels obtained with the simulations and with observations. Each dot is calculated from the water discharge in the northern channel section (denoted by  $Q_{\text{North}}$ ) and the water discharge in the southern channel section (denoted by  $Q_{\text{South}}$ ). The quantity ( $Q_{\text{South}} - Q_{\text{north}}$ ) in the vertical axis of the figure is calculated from the observation data while the one in the horizontal axis is computed from the numerical simulations.

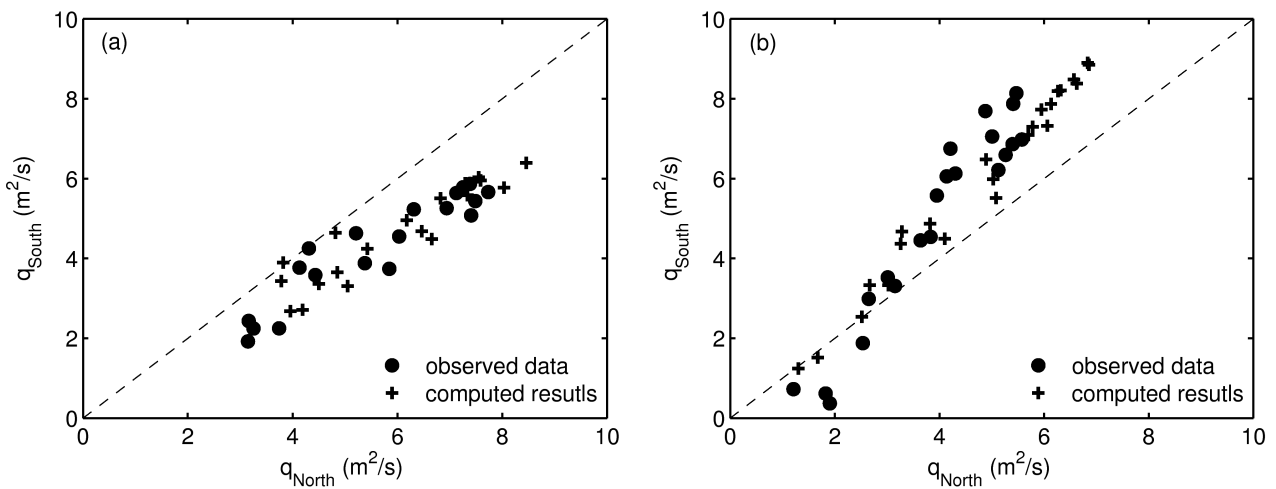


Fig. 14 Specific water discharge in the northern and southern channels at: (a) delta apex and (b) first bifurcations in the delta.

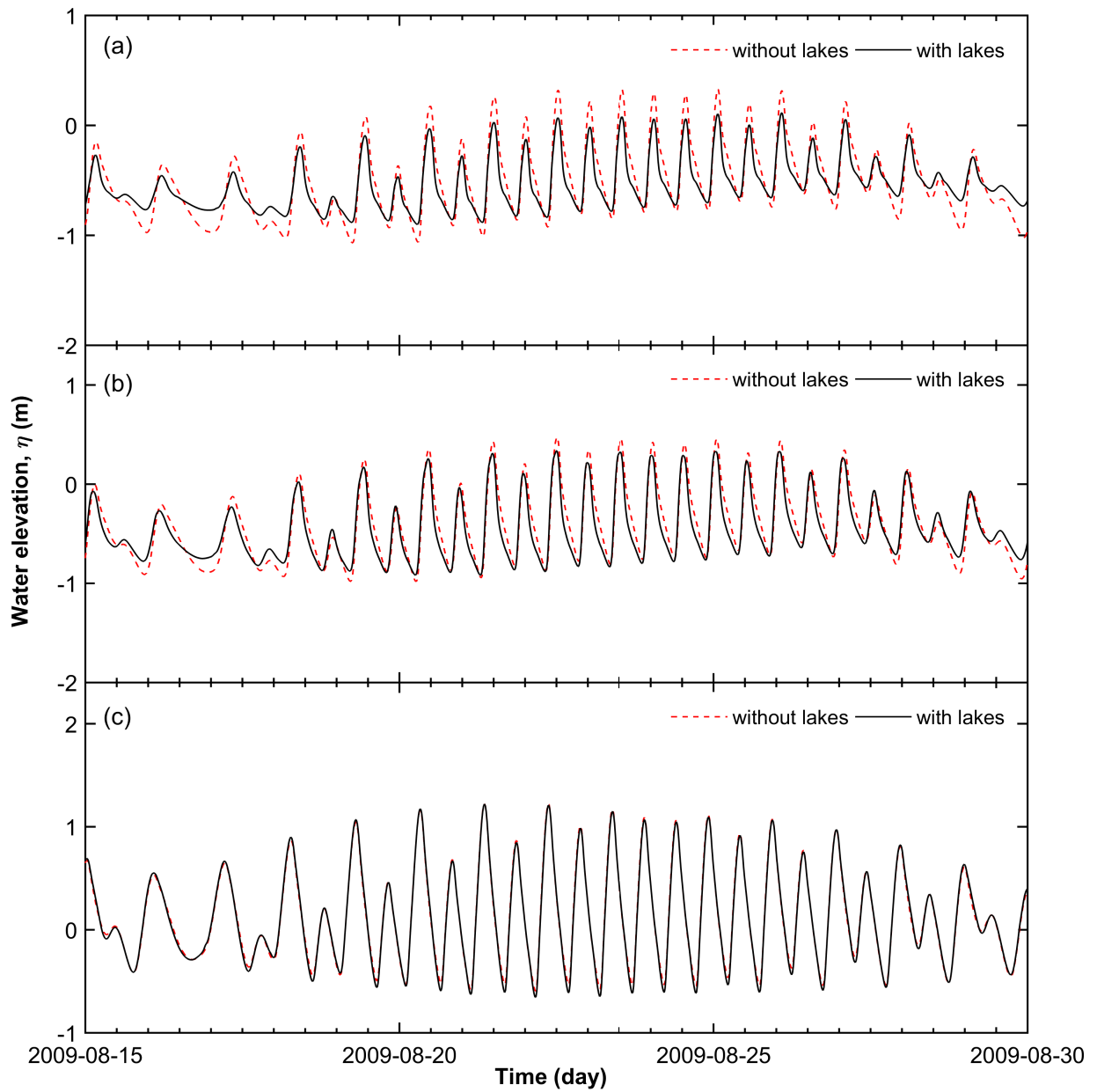


Fig. 15 Water elevation at: (a) Pela Mahakam, (b) Muara Karman, and (c) Samarinda, in the cases with and without the lakes.

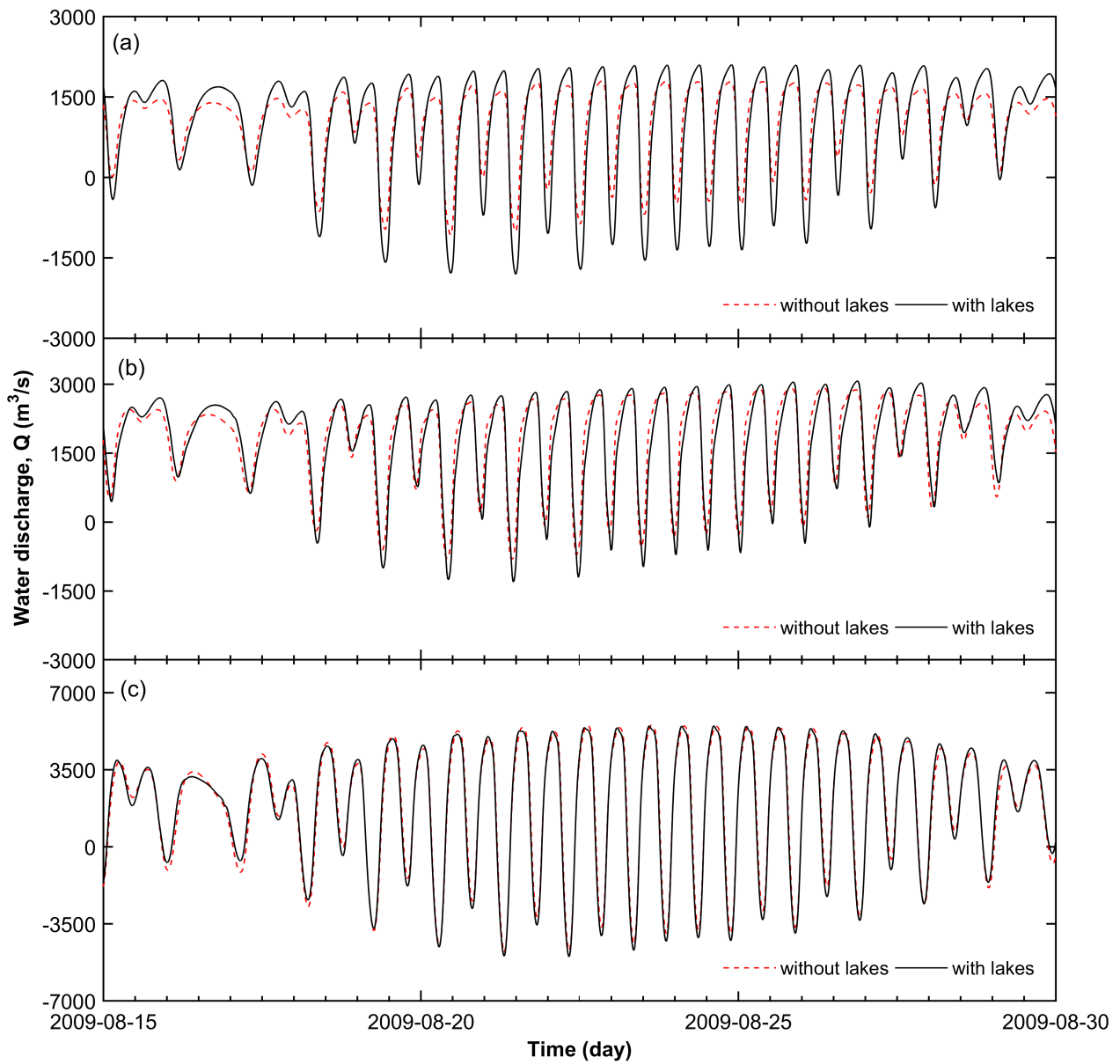


Fig. 16 Water discharge at: (a) Pela Mahakam, (b) Muara Karman, and (c) Samarinda, in the cases with and without the lakes. The positive water discharge coincides with the seaward direction.