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# A Separation-Based Methodology to Consensus Tracking of Switched High-Order Nonlinear Multiagent Systems

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**Abstract**—This work investigates a reduced-complexity adaptive methodology to consensus tracking for a team of uncertain high-order nonlinear systems with switched (possibly asynchronous) dynamics. It is well known that high-order nonlinear systems are intrinsically challenging as feedback linearization and backstepping methods successfully developed for low-order systems fail to work. Even the adding-one-power-integrator methodology, well explored for the single-agent high-order case, presents some complexity issues and is unsuited for distributed control. At the core of the proposed distributed methodology is a newly proposed definition for separable functions: this definition allows the formulation of a separation-based lemma to handle the high-order terms with reduced complexity in the control design. Complexity is reduced in a twofold sense: the control gain of each virtual control law does not have to be incorporated in the next virtual control law iteratively, thus leading to a simpler expression of the control laws; the power of the virtual and actual control laws increases only proportionally (rather than exponentially) with the order of the systems, dramatically reducing high-gain issues.

**Index Terms**—Consensus tracking, high-order nonlinear systems, multiagent systems, switching dynamics.

## I. INTRODUCTION

**D**ISTRIBUTED leaderless or leader-following consensus control of nonlinear multiagent systems is more challenging but also potentially more applicable than its linear counterpart. Similar to the linear case, the goal is to steer

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a team of agents to a not globally known trajectory using only locally available information collected from neighboring agents [1]–[4]. In recent years, leaderless or leader-following consensus results have been obtained for two large families of nonlinear multiagent systems: strict-feedback [5]–[21] and pure-feedback multiagent systems [22]–[25]. For these families, the commonly adopted approach is an extension of the well-known backstepping technique [26] in a distributed sense. When the nonlinear functions are unknown, approximators such as neural networks and fuzzy logic systems have been incorporated in such a design. Switching dynamics can also be handled via the common Lyapunov function method [9], [23], [25]. Although strict-feedback and pure-feedback systems are popular dynamics in the nonlinear control field, there exist extensions to these dynamics: most notably, high-order nonlinear systems are a generalization of strict-feedback or pure-feedback systems since integrators with positive odd powers can appear in the dynamics (chain of positive odd power integrators). Literature has shown that high-order dynamics, appearing in aerospace and robotic applications [27]–[35], are extremely challenging to deal with, as their linearized dynamics might possess uncontrollable modes whose eigenvalues are on the right half-plane [36], making all standard feedback linearization or standard backstepping methodologies [6]–[10], [13]–[25], [37]–[39] fail for high-order systems [36]. Let us remark that the term “high-order” used in [27]–[33] (and in this work) is different than the term “high-order” used in [13], [14], [16], and [17]: the former term is often used in the nonlinear control community to indicate that the integrators in the chain may not only have power equal to one (low-order dynamics), but higher or equal to one (high-order dynamics); the latter term is often used in the consensus community to indicate that the chain is not composed by one linear integrator with power equal to one (first-order agents), nor by two linear integrators (second-order agents), but by more than two linear integrators (high-order agents). Therefore, from the point of view of [27]–[33], the dynamics of [13], [14], [16], [17] are strict-feedback and in fact standard backstepping techniques have been successfully adopted there. On the other hand, this work deals with “high-order” dynamics in the sense of [27]–[33], for which no standard backstepping technique can be adopted.

In place of the standard backstepping, the adding-one-power-integrator technique was successfully proposed in [28]

to handle high-order dynamics. Progress made for the single high-order system case include relaxing the growth condition on the nonlinear functions [29], [30], [36] and employing neural network or fuzzy logic approximators to handle completely unknown nonlinearities [31]–[33], [40]. However, it has to be emphasized that a direct extension of the standard adding-one-power-integrator technique in a distributed sense is not meaningful due to some complex aspects of the procedure. At least the following two complex aspects are worth mentioning: 1) the high-power terms are separated from the control gain functions via *separation lemmas* that make the power of the virtual control gains grow exponentially with the power of the system; 2) the control gain of each virtual control is incorporated into the next virtual control law iteratively, thus increasing the control complexity at each step. Such issues result in high-complexity and high-gain designs which might be prohibitive for multiagent systems with low computational power and limited actuation. Therefore, the crucial open question motivating this research is: *how can reduced-complexity distributed methodologies be designed for high-order nonlinear multiagent systems?*

The main contribution of this work is to answer this question for a large class of uncertain high-order nonlinear multiagent systems, which can exhibit heterogeneous nonlinearities and switched dynamics with possibly asynchronous switches among the agents. At the core of the proposed methodology is a newly proposed definition for separable functions and a new separation-based lemma to deal with the high-power terms. The lemma decreases the aforementioned complex aspects of the state of the art in a twofold direction: 1) it avoids incorporating the control gain of each virtual control in the next virtual control law, thus sensibly reducing the complexity of the control action; 2) it allows the power of the virtual and actual control laws to increase only proportionally (rather than exponentially) with the order of the systems, thus dramatically reducing any high-gain issue (cf. the discussions in Remark 4 and 5 of this manuscript).

*Notations:* The notations adopted in this article are standard:  $\mathbf{R}$  and  $\mathbf{R}^n$  denote the set of real numbers and the  $n$ -dimensional Euclidean space, respectively.  $\mathcal{Q}_{\text{odd}}$  represents the set of positive odd integers.  $\|\cdot\|_2$  refers to either the Euclidean vector norm or the induced matrix 2-norm. For compactness and whenever unambiguous, throughout this article, some variable dependencies might be dropped, e.g.,  $\xi$ ,  $h_{i,k}^j$ ,  $\ell_{i,k}$ , and  $v_{i,k}$  can be used to denote  $\xi(x_1, x_2)$ ,  $h_{i,k}^j(\bar{\mathbf{x}}_{i,k})$ ,  $\ell_{i,k}(s_{i,k+1}, v_{i,k})$ , and  $v_{i,k}(s_{i,k+1}, v_{i,k})$ , respectively.

## II. PROBLEM FORMULATION AND PRELIMINARIES

Let us first give some preliminaries on graph theory. The communication topology is described by a directed graph  $\mathcal{G} \triangleq (\mathcal{V}, \mathcal{E})$ , with  $\mathcal{V} \triangleq \{0, 1, \dots, N\}$  being the set of nodes (agents) and with  $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$  being the set of directed edges between two distinct agents (self-edges are not allowed). A directed edge  $(j, i) \in \mathcal{E}$  represents that agent  $i$  can obtain information from agent  $j$ . The neighbor set of agent  $i$  is denoted by  $\mathcal{N}_i = \{j | (j, i) \in \mathcal{E}\}$ : this is the set of agents from which agent  $i$  can obtain information. We reserve index 0 to

the so-called leader agent: because agent 0 plays a special role, let us consider the subgraph defined by  $\bar{\mathcal{G}} \triangleq (\bar{\mathcal{V}}, \bar{\mathcal{E}})$  with  $\bar{\mathcal{V}} \triangleq \{1, 2, \dots, N\}$  and  $\bar{\mathcal{E}}$  defined accordingly. For this subgraph, let us define the connectivity matrix  $\bar{\mathcal{A}} = [a_{ij}] \in \mathbf{R}^{N \times N}$ : if  $(j, i)_{i \neq j} \in \bar{\mathcal{E}}$ , then  $a_{ij} = 1$ , otherwise  $a_{ij} = 0$  (note that  $a_{ii} = 0$ ). The Laplacian matrix  $\bar{\mathcal{L}}$  associated with  $\bar{\mathcal{G}}$  is defined as

$$\bar{\mathcal{L}} = \begin{bmatrix} 0 & \mathbf{0}_{1 \times N} \\ -\boldsymbol{\mu} & \bar{\mathcal{L}} + \mathcal{B} \end{bmatrix}$$

with  $\boldsymbol{\mu} = [\mu_1, \dots, \mu_N]^T$ , being  $\mu_i = 1$  if the leader  $0 \in \mathcal{N}_i$ , and  $\mu_i = 0$  otherwise. Also,  $\mathcal{B} = \text{diag}[\mu_1, \dots, \mu_N]^T$  and  $\bar{\mathcal{L}} = \bar{\mathcal{D}} - \bar{\mathcal{A}}$  is the Laplacian matrix related to  $\bar{\mathcal{G}}$  with  $\bar{\mathcal{D}} = \text{diag}[d_1, \dots, d_N]$ , where  $d_i = \sum_{j \in \mathcal{N}_i} a_{ij}$ .

Consider a team of  $N$  ( $N \geq 2$ ) switched high-order nonlinear multiagent systems whose dynamics are given by

$$\begin{cases} \dot{x}_{i,k} = \varphi_{i,k}^{\sigma_i(t)}(\bar{\mathbf{x}}_{i,k}) + h_{i,k}^{\sigma_i(t)}(\bar{\mathbf{x}}_{i,k})x_{i,k+1}^{r_{i,k}}, \\ \dot{x}_{i,n_i} = \varphi_{i,n_i}^{\sigma_i(t)}(\bar{\mathbf{x}}_{i,n_i}) + h_{i,n_i}^{\sigma_i(t)}(\bar{\mathbf{x}}_{i,n_i})u_i^{r_{i,n_i}}, \\ y_i = x_{i,1} \end{cases} \quad (1)$$

with  $1 \leq i \leq N$ ,  $1 \leq k \leq n_i - 1$ ,  $\bar{\mathbf{x}}_{i,k} = [x_{i,1}, \dots, x_{i,k}]^T \in \mathbf{R}^k$ . The subscript  $i$  stands for “follower”, to distinguish them from the leader agent, as clarified later. In (1),  $\sigma_i(\cdot): [0, +\infty) \rightarrow \mathcal{M}_i = \{1, 2, \dots, m_i\}$  is the switching signal for the  $i$ th follower, with  $\mathcal{M}_i$  denoting the switching mode set and  $m_i$  denoting the number of modes for the  $i$ th follower;  $r_{i,k} \in \mathcal{Q}_{\text{odd}}$  are the high powers (positive odd integers), and  $u_i^j \in \mathbf{R}$  is the control input for the  $j$ th mode of the  $i$ th follower. For each mode  $\sigma_i(t)$ , the functions  $\varphi_{i,k}^{\sigma_i(t)}(\cdot)$  and  $h_{i,k}^{\sigma_i(t)}(\cdot)$  are unknown continuous functions (for simplicity, we do not consider explicit time dependence in the nonlinear functions). The following remarks highlight the difference between (1) and other multiagent system models considered in literature.

*Remark 1:* (novelty and challenges of the class) The multiagent models in [6]–[10], [13]–[21] are strict-feedback low-order, i.e., special cases of (1) when all the powers  $r_{i,k}$  are equal to one. Apart from this, (1) also possesses several levels of heterogeneity because: each follower agent exhibits its own switching  $\sigma_i(\cdot)$ , leading to possible asynchronous switching among the  $N$  followers; the unknown switched nonlinearities  $\varphi_{i,k}^{\sigma_i(t)}(\cdot)$  and  $h_{i,k}^{\sigma_i(t)}(\cdot)$  are possibly different for each follower. While similar levels of heterogeneity are considered in the pure-feedback multiagent models in [22]–[25], those multiagent systems models are also homologous to the strict-feedback low-order case, i.e. they can be equivalently transformed into the strict-feedback low-order form using the mean-value theorem.

*Remark 2:* (relevance of high-order nonlinear dynamics) High-order nonlinear dynamics have both mathematical and engineering relevance: from a mathematical point of view, standard feedback linearization and backstepping methods do not work for (1) due to the fact that the linearized dynamics may have uncontrollable modes (cf. discussions in [28] and [36]). From an engineering point of view, dynamics (1) can describe a large class of underactuated, weakly coupled, mechanical systems as shown in [36] and [41]. A practical example in this sense is also given in Section V-B. It is worth

noting that, in line with [29], [36], [41],  $r_{i,k}$  are odd integers since stabilization is not possible in general in the presence of even powers. This is because no matter if the virtual or actual control signals are positive or negative, they would become positive as per effect of the even power.

To facilitate distributed control design for (1), the following standard assumptions are made.

**Assumption 1:** [23] The leader agent 0 is represented by a leader output signal  $y_r$ , which is continuously differentiable, bounded, and available only to a subset of the follower agents. Furthermore,  $\dot{y}_r$  is bounded and not available to any follower agent. The bounds for  $y_r$  and  $\dot{y}_r$  are unknown.

**Assumption 2:** [19] The directed graph  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$  representing the multiagent communication contains at least one directed spanning tree with the leader agent as the root.

**Assumption 3:** [33] For each follower agent  $i$ , we assume the sign of  $h_{i,k}^j$  is positive and there exist known real positive constants  $\bar{h}_{i,k}^j$  and  $\underline{h}_{i,k}^j$ , ( $1 \leq k \leq n_i$ ,  $j \in \mathcal{M}_i$ ) such that  $\underline{h}_{i,k}^j \leq h_{i,k}^j(\cdot) \leq \bar{h}_{i,k}^j$ .

**Remark 3:** (meaning of assumptions) Assumption 1 implies that the leader information is only available to a small fraction of followers. Assumption 2 implies that  $\mathcal{L} + \mathcal{B}$  is a nonsingular  $\mathcal{M}$ -matrix<sup>1</sup> and guarantees the feasibility of consensus [42]. Assumption 3 is a general controllability condition for many classes of nonlinear dynamics, including strict-feedback, pure-feedback, and high-order nonlinear systems [31]–[33].

### A. Technical Lemmas

The following lemmas are useful for deriving the main results.

**Lemma 1:** [29] For any  $x_1 \in \mathbf{R}$  and  $x_2 \in \mathbf{R}$ , and given positive integers  $b_1, b_2$ , and any real-valued function  $\zeta(x_1, x_2) > 0$ , it holds that

$$|x_1|^{b_1} |x_2|^{b_2} \leq \frac{b_1 \zeta |x_1|^{b_1+b_2}}{b_1 + b_2} + \frac{b_2 \zeta^{-\frac{b_1}{b_2}} |x_2|^{b_1+b_2}}{b_1 + b_2}. \quad (2)$$

**Lemma 2:** [33] Let  $x_1$  and  $x_2$  be real-valued functions. There exist a positive odd integer  $\bar{h}$  and a constant  $\bar{\lambda} \geq 1$  such that

$$\left| x_1^{\bar{h}} - x_2^{\bar{h}} \right| \leq \bar{h} |x_1 - x_2| \left| x_1^{\bar{h}-1} + x_2^{\bar{h}-1} \right| \quad (3a)$$

$$|x_1 + x_2|^{\bar{\lambda}} \leq 2^{\bar{\lambda}-1} (|x_1|^{\bar{\lambda}} + |x_2|^{\bar{\lambda}}). \quad (3b)$$

The following definition, lemma, and proposition are introduced to the purpose of reduced-complexity control, as it will be remarked later (cf. Remarks 4 and 5).

**Definition 1:** For any  $x_1 \in \mathbf{R}$ ,  $x_2 \in \mathbf{R}$ , the continuous function  $F(\cdot): \mathbf{R} \rightarrow \mathbf{R}$  is said to be a *separable function* provided that the following is satisfied:

$$F(x_1 + x_2) = \ell(x_1, x_2)F(x_1) + v(x_1, x_2)F(x_2) \quad (4)$$

where  $\ell(x_1, x_2) \in [\underline{\ell}_1, \bar{\ell}_1]$  with  $\underline{\ell}_1 = 1-d$  and  $\bar{\ell}_1 = 1+d$ , with  $d$  an arbitrary constant taking value in  $(0, 1)$ ,  $|v(x_1, x_2)| \leq \bar{v}(d)$  with  $\bar{v}(d)$  denoting a positive continuous function that is independent of  $x_1$  and  $x_2$ . Moreover, for a given  $d$ , value of  $\bar{v}(d)$  is independent of  $x_1$  and  $x_2$ .

<sup>1</sup>An  $\mathcal{M}$ -matrix is a square matrix with nonpositive off-diagonal entries and nonnegative principal minors.

**Proposition 1:** For any  $x_1 \in \mathbf{R}$ ,  $x_2 \in \mathbf{R}$ , the continuous function  $F(\cdot)$  is a separable function if the following hold:

- 1)  $F(x_1 x_2) = F(x_1)F(x_2)$
- 2) For  $p \in \mathbf{R}$  and any constant  $d$  taking value in  $(0, 1)$ , a positive continuous function  $\bar{v}(d)$  exists satisfying  $|F(\bar{p}) - 1| \leq \bar{v}(d)|F(p)| + d$ , where  $\bar{p} = p + 1$ .

*Proof:* See Appendix.

Again, the linear function  $F(z) = z$  is a separable function since (1), (2) hold with  $\bar{v}(d) = 1$  and any  $0 < d < 1$ . The following lemma states that any positive odd power function is a separable function.

**Lemma 3:** A function  $F(z) = z^r$  with  $r$  being a positive odd integer is a separable function. In particular, if we let  $z = x_1 + x_2$ , then, it holds that  $(x_1 + x_2)^r = \ell(x_1, x_2)x_1^r + v(x_1, x_2)x_2^r$ , where  $\ell(x_1, x_2) \in [\underline{\ell}_1, \bar{\ell}_1]$  with  $\underline{\ell}_1 = 1-d$  and  $\bar{\ell}_1 = 1+d$ , where  $d = \sum_{k=1}^r (r!/k!(r-k)!) (r-k/r) l^{(r/r-k)}$  is an arbitrary constant taking value in  $(0, 1)$  for some appropriately small constant  $l$ ,  $|v(x_1, x_2)| \leq \bar{v}(d) = \sum_{k=1}^r (r!/k!(r-k)!) (k/r) l^{-(r/k)}$  with  $\bar{v}(d)$  being a positive constant.

*Proof:* See Appendix.

### B. Consensus Problem

Define the tracking error for the  $i$ th follower as:

$$s_{i,1} = \sum_{l \in \mathcal{N}_i} a_{il}(y_i - y_l) + \mu_i(y_i - y_r) \quad (5)$$

where  $i = 1, \dots, N$ . After defining  $s_1 = [s_{1,1}, \dots, s_{N,1}]^T \in \mathbf{R}^N$ , one has  $s_1 = (\mathcal{L} + \mathcal{B})\delta$  where  $\delta = \bar{y} - \bar{y}_r$  with  $\bar{y} = [y_1, \dots, y_N]^T$  and  $\bar{y}_r = [y_r, \dots, y_r]^T$ . Due to the nonsingularity of  $\mathcal{L} + \mathcal{B}$ , it holds that  $\|\delta\|_2 \leq (\|s_1\| / \underline{\lambda}_{\min}(\mathcal{L} + \mathcal{B}))$  [42], being  $\underline{\lambda}_{\min}$  the minimum singular value of  $\mathcal{L} + \mathcal{B}$ .

## III. PROPOSED DISTRIBUTED CONSENSUS DESIGN

Let us define the following variables for the  $i$ th follower:

$$s_{i,k} = x_{i,k} - v_{i,k-1}, \quad k = 2, \dots, n_i \quad (6)$$

and let us propose the following design, whose rationale will be given in Section III-A:

$$v_{i,1} = -s_{i,1} \underbrace{R_{i,1}^{\frac{1}{r_{i,1}}} \left( c_{i,1} + \zeta_{i,1}^{\bar{r}_{i,1}} \hat{\Xi}_{i,1} \Theta_{i,1}^{\bar{r}_{i,1}} + b_{i,1}^{\bar{r}_{i,1}} \right)^{\frac{1}{r_{i,1}}}}_{s_{i,1}} \quad (7)$$

$$R_{i,1} = \left[ \underline{h}_{i,1} (d_i + \mu_i) (1-d) \right]^{-1} \quad (8)$$

$$v_{i,k} = -s_{i,k} \underbrace{R_{i,k}^{\frac{1}{r_{i,k}}} \left( c_{i,k} + \zeta_{i,k}^{\bar{r}_{i,k}} \hat{\Xi}_{i,k} \Theta_{i,k}^{\bar{r}_{i,k}} + b_{i,k}^{\bar{r}_{i,k}} \right)^{\frac{1}{r_{i,k}}}}_{s_{i,k}} \quad (9)$$

$$R_{i,k} = \left[ \underline{h}_{i,k} (1-d) \right]^{-1}, \quad (k = 2, \dots, n_i) \quad (10)$$

$$u_i \triangleq u_i^j = v_{i,n_i}, \quad j \in \mathcal{M}_i \quad (11)$$

where  $r_i = \max_{1 \leq k \leq n_i} \{r_{i,k}\}$ ,  $\bar{r}_{i,k} = (r_i + 1/r_i - r_{i,k} + 1)$ ,  $\underline{r}_{i,k} = (r_i + 1/r_{i,k})$ ,  $\underline{h}_{i,k} = \min \{\underline{h}_{i,k}^j, j \in \mathcal{M}_i\}$ ,  $\zeta_{i,k} > 0$ ,  $b_{i,k} > 0$  and  $c_{i,k} > 0$ , ( $k = 1, \dots, n_i$ ) are design constants.

Further, the parameters  $\hat{\Xi}_{i,k}$ ,  $k = 1, \dots, n_i$ , are adapted via the laws

$$\dot{\hat{\Xi}}_{i,k} = \beta_{i,k} \zeta_{i,k}^{\bar{r}_{i,k}} s_{i,k}^{r_i+1} \Theta_{i,k}^{\bar{r}_{i,k}} - \beta_{i,k} \sigma_{i,k} \hat{\Xi}_{i,k} \quad (12)$$



where  $\beta_{i,k} > 0$  denotes a tuning rate,  $\sigma_{i,k} > 0$  stems from the leakage or  $\sigma$ -modification, well studied in robust adaptive control [43], and  $\Theta_{i,k} > 0$  is a constant satisfying  $\Theta_{i,k} \geq \|\phi_{i,k}\|$  according to [32, Lemma 3] with  $\phi_{i,k}$  being the activation functions coming from the use of radial basis function neural network (RBF NN) approximators [31]–[33]. The leakage or  $\sigma$ -modification is required to counteract the effect of disturbances or RBF NN approximation errors.

In the following, we describe the design steps leading to (7)–(12).

### A. Design Steps

*Step i, 1* ( $i = 1, \dots, N$ ): The time derivative of  $s_{i,1}$  along (1) and (5) is

$$\dot{s}_{i,1} = (d_i + \mu_i)h_{i,1}^j(x_{i,1})x_{i,2}^{r_{i,1}} + H_{i,1}^j \quad (13)$$

where  $H_{i,1}^j$  is a function defined as

$$H_{i,1}^j = (d_i + \mu_i)\phi_{i,1}^j(x_{i,1}) - \sum_{l \in \mathcal{N}_i} a_{il} \left( \phi_{l,1}^j(x_{l,1}) + h_{l,1}^j(x_{l,1})x_{l,2}^{r_{l,1}} \right) - \mu_i \dot{y}_r(t). \quad (14)$$

From Assumptions 1 and 3, and along similar ideas to [23], [33], [44], one can conclude that there exist a continuous function  $F_{i,1}(\mathbf{Z}_{i,1})$  and a RBF NN approximator  $\widehat{F}_{i,1}(\mathbf{Z}_{i,1}|\mathbf{W}_{i,1}^*)$  such that, for any  $j \in \mathcal{M}_i$

$$\begin{aligned} s_{i,1}^{r_i - r_{i,1} + 1} H_{i,1}^j &\leq \left| s_{i,1}^{r_i - r_{i,1} + 1} \right| F_{i,1}(\mathbf{Z}_{i,1}) + \epsilon_{i,1} \\ &= \left| s_{i,1}^{r_i - r_{i,1} + 1} \right| \left[ \widehat{F}_{i,1}(\mathbf{Z}_{i,1}|\mathbf{W}_{i,1}^*) + \varepsilon_{i,1}(\mathbf{Z}_{i,1}) \right] + \epsilon_{i,1} \\ &= \left| s_{i,1}^{r_i - r_{i,1} + 1} \right| \left[ \mathbf{W}_{i,1}^* \phi_{i,1}(\mathbf{Z}_{i,1}) + \varepsilon_{i,1}(\mathbf{Z}_{i,1}) \right] + \epsilon_{i,1} \end{aligned} \quad (15)$$

where  $\mathbf{Z}_{i,1} = [x_{i,1}, x_{l,1}, l \in \mathcal{N}_i, x_{l,2}, l \in \mathcal{N}_i]^T$ ,  $F_{i,1} = \max\{|H_{i,1}^j|, j \in \mathcal{M}_i\}$ ,  $\epsilon_{i,1} > 0$  is a constant and  $\varepsilon_{i,1}(\mathbf{Z}_{i,1})$  is the approximation error satisfying  $|\varepsilon_{i,1}(\mathbf{Z}_{i,1})| \leq \bar{\varepsilon}_{i,1}$  on a compact set  $\Omega_{i,1}$ , with  $\mathbf{Z}_{i,1} \in \Omega_{i,1}$  and  $\bar{\varepsilon}_{i,1} > 0$  being a constant. The weight  $\mathbf{W}_{i,1}^*$  is the optimal weight vector such that  $\mathbf{W}_{i,1}^* = \arg \min_{\widehat{\mathbf{W}}_{i,1}^*} \{ \sup_{\Omega_{i,1}} |\widehat{F}_{i,1}(\mathbf{Z}_{i,1}|\widehat{\mathbf{W}}_{i,1}^*) - F_{i,1}(\mathbf{Z}_{i,1})| \}$ , with  $\widehat{\mathbf{W}}_{i,1}^*$  being an estimate of  $\mathbf{W}_{i,1}^*$ . For subsequent analysis, let us define  $\Xi_{i,1} = \|\mathbf{W}_{i,1}^*\|^{r_{i,1}}$ .

Consider the common Lyapunov function candidate

$$V_{i,1} = \frac{s_{i,1}^{r_i - r_{i,1} + 2}}{r_i - r_{i,1} + 2} + \frac{1}{2\beta_{i,1}} \widetilde{\Xi}_{i,1}^2 \quad (16)$$

where  $\widetilde{\Xi}_{i,1} = \Xi_{i,1} - \widehat{\Xi}_{i,1}$ . Using Lemma 1 yields

$$\begin{aligned} \left| s_{i,1}^{r_i - r_{i,1} + 1} \right| F_{i,1} &\leq \left| s_{i,1}^{r_i - r_{i,1} + 1} \right| \left( \|\mathbf{W}_{i,1}^*\| \|\phi_{i,1}\| + \bar{\varepsilon}_{i,1} \right) \\ &\leq \frac{1}{L_{i,1}} \zeta_{i,1}^{-L_{i,1}} + \frac{1}{\bar{r}_{i,1}} \zeta_{i,1}^{\bar{r}_{i,1}} s_{i,1}^{r_i + 1} \left( \|\mathbf{W}_{i,1}^*\| \|\phi_{i,1}\| \right)^{\bar{r}_{i,1}} \\ &\quad + \frac{1}{\bar{r}_{i,1}} b_{i,1}^{\bar{r}_{i,1}} s_{i,1}^{r_i + 1} + \frac{1}{L_{i,1}} b_{i,1}^{-L_{i,1}} \bar{\varepsilon}_{i,1}^{L_{i,1}} \\ &\leq s_{i,1}^{r_i + 1} \left( b_{i,1}^{\bar{r}_{i,1}} + \zeta_{i,1}^{\bar{r}_{i,1}} \Xi_{i,1} \Theta_{i,1}^{\bar{r}_{i,1}} \right) + \kappa_{i,1} \end{aligned} \quad (17)$$

where the last inequality used the fact that  $(1/L_{i,1}) \leq 1$  and  $\|\phi_{i,1}\| \leq \Theta_{i,1}$ ,  $\kappa_{i,1} = \zeta_{i,1}^{-L_{i,1}} + b_{i,1}^{-L_{i,1}} \bar{\varepsilon}_{i,1}^{L_{i,1}}$  with  $\zeta_{i,1} > 0$  and  $b_{i,1} > 0$  being design constants.

In light of (13), (14), and (16), the derivative of  $V_{i,1}$  satisfies

$$\begin{aligned} \dot{V}_{i,1} &\leq (d_i + \mu_i) s_{i,1}^{r_i - r_{i,1} + 1} h_{i,1} x_{i,2}^{r_{i,1}} - \frac{\widetilde{\Xi}_{i,1} \dot{\widehat{\Xi}}_{i,1}}{\beta_{i,1}} \\ &\quad + s_{i,1}^{r_i + 1} \left( b_{i,1}^{\bar{r}_{i,1}} + \zeta_{i,1}^{\bar{r}_{i,1}} \Xi_{i,1} \Theta_{i,1}^{\bar{r}_{i,1}} \right) + \hbar_{i,1} \end{aligned} \quad (18)$$

where  $\hbar_{i,1} = \kappa_{i,1} + \epsilon_{i,1}$ . We are now in the position to handle the term  $x_{i,2}^{r_{i,1}}$  in (18) through the proposed Lemma 3 as

$$\begin{aligned} s_{i,1}^{r_i - r_{i,1} + 1} x_{i,2}^{r_{i,1}} &= s_{i,1}^{r_i - r_{i,1} + 1} (s_{i,2} + v_{i,1})^{r_{i,1}} \\ &\leq \bar{v}_{i,1} \left| s_{i,1}^{r_i - r_{i,1} + 1} s_{i,2}^{r_{i,1}} \right| + s_{i,1}^{r_i - r_{i,1} + 1} \ell_{i,1} v_{i,1}^{r_{i,1}}. \end{aligned} \quad (19)$$

Then, (18) can be rewritten as

$$\begin{aligned} \dot{V}_{i,1} &\leq (d_i + \mu_i) \bar{h}_{i,1} \bar{v}_{i,1} \left| s_{i,1}^{r_i - r_{i,1} + 1} s_{i,2}^{r_{i,1}} \right| + (d_i + \mu_i) \\ &\quad \times \left( h_{i,1}^j \ell_{i,1} s_{i,1}^{r_i - r_{i,1} + 1} v_{i,1}^{r_{i,1}} \right) - \frac{1}{\beta_{i,1}} \widetilde{\Xi}_{i,1} \dot{\widehat{\Xi}}_{i,1} \\ &\quad + s_{i,1}^{r_i + 1} \left( b_{i,1}^{\bar{r}_{i,1}} + \zeta_{i,1}^{\bar{r}_{i,1}} \Xi_{i,1} \Theta_{i,1}^{\bar{r}_{i,1}} \right) + \hbar_{i,1}. \end{aligned} \quad (20)$$

Substituting the virtual controller  $v_{i,1}$  (7) and the adaptation law  $\dot{\widehat{\Xi}}_{i,1}$  (12) into (20), and using the fact that

$$\begin{aligned} \bar{h}_{i,1} \bar{v}_{i,1} \left| s_{i,1}^{r_i - r_{i,1} + 1} s_{i,2}^{r_{i,1}} \right| &\leq \bar{\tau}_{i,1} \left( \frac{1}{\bar{r}_{i,1}} \rho_{i,1}^{\bar{r}_{i,1}} s_{i,1}^{r_i + 1} + \frac{1}{L_{i,1}} \varrho_{i,1}^{-L_{i,1}} s_{i,2}^{r_{i,1} + 1} \right) \\ &< \bar{\tau}_{i,1} \left( \rho_{i,1}^{\bar{r}_{i,1}} s_{i,1}^{r_i + 1} + \varrho_{i,1}^{-L_{i,1}} s_{i,2}^{r_{i,1} + 1} \right) \end{aligned} \quad (21)$$

we can rewrite (20) as

$$\begin{aligned} \dot{V}_{i,1} &\leq -c_{i,1} s_{i,1}^{r_i + 1} + (d_i + \mu_i) \bar{\tau}_{i,1} \rho_{i,1}^{\bar{r}_{i,1}} s_{i,1}^{r_i + 1} + \hbar_{i,1} \\ &\quad + (d_i + \mu_i) \bar{\tau}_{i,1} \varrho_{i,1}^{-L_{i,1}} s_{i,2}^{r_{i,1} + 1} + \frac{1}{2} \sigma_{i,1} \widetilde{\Xi}_{i,1} \dot{\widehat{\Xi}}_{i,1} \\ &\leq -(c_{i,1} - \theta_{i,1}) s_{i,1}^{r_i + 1} + \vartheta_{i,1} s_{i,2}^{r_{i,1} + 1} + \hbar_{i,1} \\ &\quad + \frac{1}{2} \sigma_{i,1} \Xi_{i,1}^2 - \frac{1}{2} \sigma_{i,1} \widetilde{\Xi}_{i,1}^2 \end{aligned} \quad (22)$$

where  $\bar{\tau}_{i,1} = \bar{h}_{i,1}^j \bar{v}_{i,1}$ ,  $\theta_{i,1} = (d_i + \mu_i) \bar{\tau}_{i,1} \rho_{i,1}^{\bar{r}_{i,1}}$  and  $\vartheta_{i,1} = (d_i + \mu_i) \bar{\tau}_{i,1} \varrho_{i,1}^{-L_{i,1}}$  with  $\rho_{i,1} > 0$  and  $\varrho_{i,1} > 0$  being design constants.

*Step i, 2* ( $i = 1, \dots, N$ ): Taking the derivative of  $s_{i,2}$  yields

$$\dot{s}_{i,2} = h_{i,2}^j(\bar{\mathbf{x}}_{i,2}) x_{i,3}^{r_{i,2}} + H_{i,2}^j \quad (23)$$

where  $H_{i,2}^j$  is a function defined as

$$\begin{aligned} H_{i,2}^j &= \phi_{i,2}^j(\bar{\mathbf{x}}_{i,2}) - \frac{\partial v_{i,1}}{\partial x_{i,1}} \left( \phi_{i,1}^j(x_{i,1}) + h_{i,1}^j x_{i,2}^{r_{i,1}} \right) \\ &\quad - \sum_{l \in \mathcal{N}_i} a_{il} \frac{\partial v_{i,1}}{\partial x_{l,1}} \left( \phi_{l,1}^j(x_{l,1}) + h_{l,1}^j x_{l,2}^{r_{l,1}} \right) \\ &\quad - \frac{\partial v_{i,1}}{\partial y_r} \dot{y}_r - \frac{\partial v_{i,1}}{\partial \widehat{\Xi}_{i,1}} \dot{\widehat{\Xi}}_{i,1}. \end{aligned} \quad (24)$$

Proceeding similar to Step  $i, 1$ , there exist a continuous function  $F_{i,2}(\mathbf{Z}_{i,2})$  and a RBF NN approximator  $\widehat{F}_{i,2}(\mathbf{Z}_{i,2}|\mathbf{W}_{i,2}^*)$

such that, for any  $j \in \mathcal{M}_i$

$$\begin{aligned} s_{i,2}^{r_i-r_{i,2}+1} H_{i,2}^j &\leq \left| s_{i,2}^{r_i-r_{i,2}+1} \right| F_{i,2}(\mathbf{Z}_{i,2}) + \epsilon_{i,2} \\ &= \left| s_{i,2}^{r_i-r_{i,2}+1} \right| \left[ \widehat{F}_{i,2}(\mathbf{Z}_{i,2} | \mathbf{W}_{i,2}^*) + \varepsilon_{i,2}(\mathbf{Z}_{i,2}) \right] + \epsilon_{i,2} \\ &= \left| s_{i,2}^{r_i-r_{i,2}+1} \right| \left[ \mathbf{W}_{i,2}^* \boldsymbol{\phi}_{i,2}(\mathbf{Z}_{i,2}) + \varepsilon_{i,2}(\mathbf{Z}_{i,2}) \right] + \epsilon_{i,2} \end{aligned} \quad (25)$$

where  $\mathbf{Z}_{i,2} = [\bar{\mathbf{x}}_{i,2}, \bar{\mathbf{x}}_{i,2,l \in \mathcal{N}_i}, (\partial v_{i,1}/\partial x_{i,1}), (\partial v_{i,1}/\partial y_r), (\partial v_{i,1}/\partial \bar{\mathbf{x}}_{i,1}), \bar{\mathbf{x}}_{i,1}, y_r]^T$ ,  $F_{i,2} = \max\{|H_{i,2}^j|, j \in \mathcal{M}_i\}$ ,  $\epsilon_{i,2} > 0$  is a constant and  $|\varepsilon_{i,2}(\mathbf{Z}_{i,2})| \leq \bar{\varepsilon}_{i,2}$  with  $\bar{\varepsilon}_{i,2} > 0$  being a constant. The optimal weight  $\mathbf{W}_{i,2}^*$  and its estimate  $\widehat{\mathbf{W}}_{i,2}^*$  are defined in a similar way as the previous step. Then, let us define  $\bar{\Xi}_{i,2} = \|\mathbf{W}_{i,2}^*\|^{r_{i,2}}$ .

Consider the common Lyapunov function candidate

$$V_{i,2} = V_{i,1} + \frac{s_{i,2}^{r_i-r_{i,2}+2}}{r_i-r_{i,2}+2} + \frac{1}{2\beta_{i,2}} \bar{\Xi}_{i,2}^2 \quad (26)$$

where  $\bar{\Xi}_{i,2} = \bar{\Xi}_{i,2} - \widehat{\Xi}_{i,2}$ . Along similar lines as (17), we obtain the following inequality:

$$\left| s_{i,2}^{r_i-r_{i,2}+1} \right| F_{i,2} \leq s_{i,2}^{r_i+1} \left( b_{i,2}^{\bar{r}_{i,2}} + \zeta_{i,2}^{\bar{r}_{i,2}} \bar{\Xi}_{i,2} \Theta_{i,2}^{\bar{r}_{i,2}} \right) + \kappa_{i,2} \quad (27)$$

where  $\Theta_{i,2} \geq \|\boldsymbol{\phi}_{i,2}\| > 0$  is a constant,  $\kappa_{i,2} = \zeta_{i,2}^{-L_{i,2}} + b_{i,2}^{-L_{i,2}} \bar{\varepsilon}_{i,2}^{L_{i,2}}$  with  $\zeta_{i,2} > 0$  and  $b_{i,2} > 0$  being design constants. Hence, the derivative of  $V_{i,2}$  along (22) and (23) is

$$\begin{aligned} \dot{V}_{i,2} &\leq -(c_{i,1} - \theta_{i,1}) s_{i,1}^{r_i+1} + h_{i,2}^j (\bar{x}_{i,2}) s_{i,2}^{r_i-r_{i,2}+1} x_{i,3}^{r_{i,2}} \\ &\quad - \frac{1}{\beta_{i,2}} \bar{\Xi}_{i,2} \dot{\bar{\Xi}}_{i,2} + s_{i,2}^{r_i+1} \left( b_{i,2}^{\bar{r}_{i,2}} + \zeta_{i,2}^{\bar{r}_{i,2}} \bar{\Xi}_{i,2} \Theta_{i,2}^{\bar{r}_{i,2}} \right) \\ &\quad + \frac{\sigma_{i,1}}{2} \left( \bar{\Xi}_{i,1}^2 - \widehat{\Xi}_{i,1}^2 \right) + \vartheta_{i,1} s_{i,2}^{r_i+1} + \bar{h}_{i,1} + \bar{h}_{i,2} \end{aligned}$$

where  $\bar{h}_{i,2} = \kappa_{i,2} + \epsilon_{i,2}$ . Similar to (19), the use of the proposed Lemma 3 gives

$$\begin{aligned} s_{i,2}^{r_i-r_{i,2}+1} x_{i,3}^{r_{i,2}} &= s_{i,2}^{r_i-r_{i,2}+1} (s_{i,3} + v_{i,2})^{r_{i,2}} \\ &\leq \bar{v}_{f,2} \left| s_{i,2}^{r_i-r_{i,2}+1} s_{i,3}^{r_{i,2}} \right| + s_{i,2}^{r_i-r_{i,2}+1} \ell_{i,2} v_{i,2}^{r_{i,2}}. \end{aligned} \quad (28)$$

*Remark 4:* (departure from state-of-the-art designs) To highlight the distinguishing feature of the proposed design, let us recall the standard designs in [29], [31]–[33], [36], [40]. There, instead of (28),  $x_{i,3}^{r_{i,2}}$  is tackled by subtracting and adding  $v_{i,2}^{r_{i,2}}$ , namely

$$s_{i,2}^{r_i-r_{i,2}+1} x_{i,3}^{r_{i,2}} = s_{i,2}^{r_i-r_{i,2}+1} \left[ \left( x_{i,3}^{r_{i,2}} - v_{i,2}^{r_{i,2}} \right) + v_{i,2}^{r_{i,2}} \right].$$

Then, the use of Lemmas 1 and 2 yields

$$\begin{aligned} s_{i,2}^{r_i-r_{i,2}+1} \left( x_{i,3}^{r_{i,2}} - v_{i,2}^{r_{i,2}} \right) &\leq r_{i,2} \left| s_{i,2}^{r_i-r_{i,2}+1} \right| |s_{i,3}| \\ &\quad \left[ 2^{r_{i,2}-2} \left( s_{i,3}^{r_{i,2}-1} + v_{i,2}^{r_{i,2}-1} \right) \right. \\ &\quad \left. + (s_{i,2} \varsigma_{i,2})^{r_{i,2}-1} \right] \leq s_{i,2}^{r_i+1} + \bar{\varsigma}_{i,2} s_{i,3}^{r_i+1} \end{aligned} \quad (29)$$

where  $\bar{\varsigma}_{i,2} = \left( 2^{r_{i,2}-2} r_{i,2} \right)^{L_{i,2}} + \left( 2^{r_{i,2}-2} r_{i,2} \varsigma_{i,2}^{r_{i,2}-1} \right)^{r_i+1}$ . However, for the methods in [29], [31]–[33], [36], [40] to work,  $\bar{\varsigma}_{i,2}$

is incorporated into the virtual control law  $v_{i,3}$  to eliminate the extra term  $\bar{\varsigma}_{i,2} s_{i,3}^{r_i+1}$  (e.g., [31, eq.(5)], [32, eq.(12)], [33, eq.(4)], [36, the equation after (3.11)], [40, eq. (23)]: this inevitably increases the complexity of the controller structure. It is also worth remarking that the power of the control gain  $\bar{\varsigma}_{i,k}$  in (29) grows dramatically (exponentially) as the order of the subsystems grows, leading to possibly high control gains. This is in contrast with the power of the control gain in (28) which is proportional to the power of the subsystems.

*Remark 5:* (effects of the separation lemma) The benefits brought by the proposed Lemma 3 can be summarized as: (i) in the first line of (28), the virtual control  $v_{i,2}$  can be extracted from  $(s_{i,3} + v_{i,2})^{r_{i,2}-1}$  directly without involving any inequalities scaling as in ([31, eq.(17)], [32, eq.(29)], [33, eq.(20)], [36, eq.(3.8)]), [40, eq. (20)] implying that the term  $\bar{\varsigma}_{i,2}$  will not appear; (ii) the term  $v_{i,2}$  in (28) is eventually upper bounded by a constant  $\bar{v}_{i,2}$ , which is independent of  $s_{i,3}$  and  $v_{i,2}$ , and can be easily handled as shown hereafter.

At this point, similar to (21), we can bound one of the terms in (28) as

$$\begin{aligned} \bar{h}_{i,2}^j \bar{v}_{i,2} \left| s_{i,2}^{r_i-r_{i,2}+1} \right| \left| s_{i,3}^{r_{i,2}} \right| &= \bar{\tau}_{i,2} \left| s_{i,2}^{r_i-r_{i,2}+1} \right| \left| s_{i,3}^{r_{i,2}} \right| \\ &\leq \bar{\tau}_{i,2} \left( \rho_{i,2} s_{i,2}^{r_i+1} + \varrho_{i,2} s_{i,3}^{r_i+1} \right) \end{aligned} \quad (30)$$

where  $\bar{\tau}_{i,2} = \bar{h}_{i,2}^j \bar{v}_{i,2}$ ,  $\rho_{i,2} > 0$  and  $\varrho_{i,2} > 0$  are design constants.

Substituting the virtual controller  $v_{i,2}$  in (9) and the adaptation law  $\dot{\widehat{\Xi}}_{i,2}$  in (12) into the Lyapunov derivative after (27) results in

$$\begin{aligned} \dot{V}_{i,2} &\leq -(c_{i,1} - \theta_{i,1}) s_{i,1}^{r_i+1} - (c_{i,2} - \vartheta_{i,1} - \theta_{i,2}) s_{i,2}^{r_i+1} \\ &\quad + \vartheta_{i,2} s_{i,3}^{r_i+1} + \sum_{k=1}^2 \left( \frac{\sigma_{i,k}}{2} \bar{\Xi}_{i,k}^2 - \frac{\sigma_{i,k}}{2} \widehat{\Xi}_{i,k}^2 + \bar{h}_{i,k} \right) \end{aligned}$$

where  $\theta_{i,2} = \bar{\tau}_{i,2} \rho_{i,2}$  and  $\vartheta_{i,2} = \bar{\tau}_{i,2} \varrho_{i,2}$ .

*Step i, k* ( $i = 1, \dots, N$ ,  $k = 3, \dots, n_i - 1$ ): It follows from (1) and (6) that the derivative of  $s_{i,k}$  is:

$$\dot{s}_{i,k} = h_{i,k}^j (\bar{\mathbf{x}}_{i,k}) x_{i,k+1}^{r_{i,k}} + H_{i,k}^j \quad (31)$$

where  $H_{i,k}^j$  is a function defined as

$$\begin{aligned} H_{i,k}^j &= \varphi_{i,k}^j (\bar{\mathbf{x}}_{i,k}) - \sum_{l \in \mathcal{N}_i} \frac{\partial v_{i,k-1}}{\partial x_{l,1}} \left( \varphi_{l,1}^j (x_{l,1}) + h_{l,1}^j x_{l,2}^{r_{l,1}} \right) \\ &\quad - \sum_{q=1}^{k-1} \frac{\partial v_{i,k-1}}{\partial x_{i,q}} \left( \varphi_{i,q}^j (\bar{\mathbf{x}}_{i,q}) + h_{i,q}^j x_{i,q+1}^{r_{i,q}} \right) \\ &\quad - \sum_{q=1}^{k-1} \frac{\partial v_{i,k-1}}{\partial \widehat{\Xi}_{i,q}} \widehat{\Xi}_{i,q} - \frac{\partial v_{i,k-1}}{\partial y_r} \dot{y}_r. \end{aligned} \quad (32)$$

Likewise, there exist a continuous function  $F_{i,k}(\mathbf{Z}_{i,k})$  and a RBF NN approximator  $\widehat{F}_{i,k}(\mathbf{Z}_{i,k} | \mathbf{W}_{i,k}^*)$  such that, for any  $j \in$

$\mathcal{M}_i$

$$\begin{aligned} s_{i,k}^{r_i-r_{i,k}+1} H_{i,k}^j &\leq \left| s_{i,k}^{r_i-r_{i,k}+1} \right| \left| F_{i,k}(\mathbf{Z}_{i,k}) + \epsilon_{i,k} \right| \\ &= \left| s_{i,k}^{r_i-r_{i,k}+1} \right| \left[ \widehat{F}_{i,k}(\mathbf{Z}_{i,k} | \mathbf{W}_{i,k}^*) + \varepsilon_{i,k}(\mathbf{Z}_{i,k}) \right] + \epsilon_{i,k} \\ &= \left| s_{i,k}^{r_i-r_{i,k}+1} \right| \left[ \mathbf{W}_{i,k}^* \boldsymbol{\phi}_{i,k}(\mathbf{Z}_{i,k}) + \varepsilon_{i,k}(\mathbf{Z}_{i,k}) \right] + \epsilon_{i,k} \end{aligned} \quad (33)$$

where  $\mathbf{Z}_{i,k} = [\bar{\mathbf{x}}_{i,k}, \bar{\mathbf{x}}_{i,2,l \in \mathcal{N}_i}, (\partial v_{i,k-1}/\partial x_{l,1}), (\partial v_{i,k-1}/\partial x_{i,1}), \dots, (\partial v_{i,k-1}/\partial x_{i,k-1}), (\partial v_{i,k-1}/\partial \widehat{\Xi}_{i,1}), \dots, (\partial v_{i,k-1}/\partial \widehat{\Xi}_{i,k-1}), \widehat{\Xi}_{i,1}, \dots, \widehat{\Xi}_{i,k-1}, (\partial v_{i,k-1}/\partial y_r), y_r]^T$ ,  $F_{i,k} = \max\{|H_{i,k}^j|, j \in \mathcal{M}_i\}$ ,  $\epsilon_{i,k} > 0$  is a constant and  $|\varepsilon_{i,k}(\mathbf{Z}_{i,k})| \leq \bar{\varepsilon}_{i,k}$  with  $\bar{\varepsilon}_{i,k} > 0$  being a constant. The optimal weight  $\mathbf{W}_{i,k}^*$  and its estimate  $\widehat{\mathbf{W}}_{i,k}^*$  are defined in a similar way as the previous steps. Let us further define  $\Xi_{i,k} = \|\mathbf{W}_{i,k}^*\|^{r_{i,k}}$ .

Consider the common Lyapunov function candidate

$$V_{i,k} = V_{i,k-1} + \frac{s_{i,k}^{r_i-r_{i,k}+2}}{r_i - r_{i,k} + 2} + \frac{1}{2\beta_{i,k}} \Xi_{i,k}^2 \quad (34)$$

where  $\Xi_{i,k} = \Xi_{i,k} - \widehat{\Xi}_{i,k}$ . Following similar lines as Step  $i, 1$  and Step  $i, 2$ , it is possible to obtain the derivative of  $V_{i,k}$  as:

$$\begin{aligned} \dot{V}_{i,k} &\leq - \sum_{m=1}^k (c_{i,m} - \theta_{i,m} - \vartheta_{i,m-1}) s_{i,m}^{r_i+1} + \vartheta_{i,k} s_{i,k+1}^{r_i+1} \\ &\quad + \sum_{m=1}^k \left( \frac{\sigma_{i,m}}{2} \Xi_{i,m}^2 - \frac{\sigma_{i,m}}{2} \widehat{\Xi}_{i,m}^2 + \hbar_{i,m} \right) \end{aligned} \quad (35)$$

where  $\vartheta_{i,0} = 0$ ,  $\theta_{i,1} = (d_i + \mu_i) \bar{\tau}_{i,1} \rho_{i,1}^{r_{i,1}}$ ,  $\vartheta_{i,1} = (d_i + \mu_i) \bar{\tau}_{i,1} \rho_{i,1}^{r_{i,1}}$ ,  $\theta_{i,m} = \bar{\tau}_{i,m} \rho_{i,m}^{r_{i,m}}$  and  $\vartheta_{i,m} = \bar{\tau}_{i,m} \rho_{i,m}^{r_{i,m}}$  ( $m = 2, \dots, k$ ),  $\bar{\tau}_{i,m} = \bar{h}_{i,m} \bar{v}_{i,m}$  with  $\bar{v}_{i,m}$  being the upper bound of  $v_{i,m}(s_{i,m+1}, v_{i,m})$ ,  $\hbar_{i,m} = \kappa_{i,m} + \epsilon_{i,m}$ ,  $\kappa_{i,m} = \zeta_{i,m}^{-L_{i,m}} + b_{i,m}^{-L_{i,m}} \bar{\varepsilon}_{i,m}^{L_{i,m}}$ ,  $\zeta_{i,m} > 0$ ,  $b_{i,m} > 0$ ,  $c_{i,m} > 0$ ,  $\rho_{i,m} > 0$  and  $\varrho_{i,m} > 0$  are design parameters.

Step  $i, n_i$  ( $i = 1, \dots, N$ ): For the final step, the derivative of  $s_{i,n_i}$  along (1) and (6) is

$$\dot{s}_{i,n_i} = h_{i,n_i}^j(\bar{\mathbf{x}}_{i,n_i}) u_i^{r_{i,n_i}} + H_{i,n_i}^j \quad (36)$$

where  $H_{i,n_i}^j$  is a function defined as

$$\begin{aligned} H_{i,n_i}^j &= \varphi_{i,n_i}^j(\bar{\mathbf{x}}_{i,n_i}) - \sum_{l \in \mathcal{N}_f} \frac{\partial v_{i,n_i-1}}{\partial x_{l,1}} \left( \varphi_{l,1}^j(x_{l,1}) + h_{l,1}^j x_{l,2}^{r_{l,1}} \right) \\ &\quad - \sum_{q=1}^{n_i-1} \frac{\partial v_{i,n_i-1}}{\partial x_{i,q}} \left( \varphi_{i,q}^j(\bar{\mathbf{x}}_{i,q}) + h_{i,q}^j x_{i,q+1}^{r_{i,q}} \right) \\ &\quad - \sum_{q=1}^{n_i-1} \frac{\partial v_{i,n_i-1}}{\partial \widehat{\Xi}_{i,q}} \widehat{\Xi}_{i,q} - \frac{\partial v_{i,n_i-1}}{\partial y_r} \dot{y}_r. \end{aligned} \quad (37)$$

Similar to steps (15), (25), and (33), there exist a continuous function  $F_{i,n_i}(\mathbf{Z}_{i,n_i})$  and a RBF NN approximator  $\widehat{F}_{i,n_i}(\mathbf{Z}_{i,n_i} | \mathbf{W}_{i,n_i}^*)$  such that, for any  $j \in \mathcal{M}_i$

$$\begin{aligned} s_{i,n_i}^{r_i-r_{i,n_i}+1} H_{i,n_i}^j &\leq \left| s_{i,n_i}^{r_i-r_{i,n_i}+1} \right| \left| F_{i,n_i}(\mathbf{Z}_{i,n_i}) + \epsilon_{i,n_i} \right| \\ &\quad \times \left[ \widehat{F}_{i,n_i}(\mathbf{Z}_{i,n_i} | \mathbf{W}_{i,n_i}^*) + \varepsilon_{i,n_i}(\mathbf{Z}_{i,n_i}) \right] + \epsilon_{i,n_i} \\ &= \left| s_{i,n_i}^{r_i-r_{i,n_i}+1} \right| \left[ \mathbf{W}_{i,n_i}^* \boldsymbol{\phi}_{i,n_i}(\mathbf{Z}_{i,n_i}) + \varepsilon_{i,n_i}(\mathbf{Z}_{i,n_i}) \right] \\ &\quad + \epsilon_{i,n_i} \end{aligned} \quad (38)$$

where  $\mathbf{Z}_{i,n_i} = [\bar{\mathbf{x}}_{i,n_i}, \bar{\mathbf{x}}_{i,2,l \in \mathcal{N}_i}, (\partial v_{i,n_i-1}/\partial x_{l,1}), (\partial v_{i,n_i-1}/\partial x_{i,1}), \dots, (\partial v_{i,n_i-1}/\partial x_{i,n_i-1}), (\partial v_{i,n_i-1}/\partial \widehat{\Xi}_{i,1}), \dots, (\partial v_{i,n_i-1}/\partial \widehat{\Xi}_{i,n_i-1}), \widehat{\Xi}_{i,1}, \dots, \widehat{\Xi}_{i,n_i-1}, (\partial v_{i,n_i-1}/\partial y_r), y_r]^T$ ,  $F_{i,n_i} = \max\{|H_{i,n_i}^j|, j \in \mathcal{M}_i\}$ ,  $\epsilon_{i,n_i} > 0$  is a constant and  $|\varepsilon_{i,n_i}(\mathbf{Z}_{i,n_i})| \leq \bar{\varepsilon}_{i,n_i}$  with  $\bar{\varepsilon}_{i,n_i} > 0$  being a constant. The optimal weight  $\mathbf{W}_{i,n_i}^*$  and its estimate  $\widehat{\mathbf{W}}_{i,n_i}^*$  are defined in a similar way as the previous steps. Let us further define  $\Xi_{i,n_i} = \|\mathbf{W}_{i,n_i}^*\|^{r_{i,n_i}}$ .

Consider the common Lyapunov function candidate

$$V_{i,n_i} = V_{i,n_i-1} + \frac{s_{i,n_i}^{r_i-r_{i,n_i}+2}}{r_i - r_{i,n_i} + 2} + \frac{1}{2\beta_{i,n_i}} \Xi_{i,n_i}^2 \quad (39)$$

where  $\Xi_{i,n_i} = \Xi_{i,n_i} - \widehat{\Xi}_{i,n_i}$ .

Choosing the common actual controller  $u_i \triangleq u_i^j$  for the  $i$ th follower as (11), one immediately gets from (35) that:

$$\begin{aligned} \dot{V}_{i,n_i} &\leq - \sum_{k=1}^{n_i} (c_{i,k} - \theta_{i,k} - \vartheta_{i,k-1}) \gamma_i^{\frac{r_{i,k}-1}{r_i+1}} s_{i,k}^{r_i-r_{i,k}+2} \\ &\quad + \sum_{k=1}^{n_i} \left( \frac{1}{2} \sigma_{i,k} \Xi_{i,k}^2 - \frac{1}{2} \sigma_{i,k} \widehat{\Xi}_{i,k}^2 + \hbar_{i,k} \right) \\ &\quad + \sum_{k=1}^{n_i} \left( \gamma_i (c_{i,k} - \theta_{i,k} - \vartheta_{i,k-1}) \right) \end{aligned} \quad (40)$$

where above inequality holds due to  $\vartheta_{i,0} = 0$ ,  $s_{i,n_i+1} = 0$  and the fact that

$$\gamma_i^{(r_{i,n_i}-1)/(r_i+1)} s_{i,n_i}^{r_i-r_{i,n_i}+2} \leq \gamma_i + s_{i,n_i}^{r_i+1} \quad (41)$$

with  $\gamma_i > 0$  a constant, according to Lemma 1.

#### IV. STABILITY ANALYSIS

To analyze the stability of the entire closed-loop system, consider the combined common Lyapunov function

$$V = \sum_{i=1}^N V_{i,n_i}. \quad (42)$$

The use of the common Lyapunov function (42) is possible because in (15), (25), (33), and (38), the maximum value of the switching weights is estimated by the RBF NN approximators. A multiple Lyapunov function approach is in principle possible, but in this case the stability analysis requires to impose conditions on the switching signal [43]. With the common Lyapunov function (42), the following stability result holds for arbitrary switching  $\sigma_i(\cdot)$ .

*Theorem 1: Under Assumptions 1–3, consider the closed-loop system composed by the high-order switched nonlinear multiagent system (1), the distributed adaptive consensus controllers (7)–(11) and the parameter adaptation laws (12). For any  $\varpi > 0$ , and the initial conditions  $\bar{\mathbf{x}}_{i,k}(0)$  and  $\widehat{\Xi}_{i,k}(0)$  for ( $i = 1, \dots, N$ ,  $k = 1, \dots, n_i$ ) satisfying  $V(\bar{\mathbf{x}}_{i,k}(0), \widehat{\Xi}_{i,k}(0)) < \varpi$ , there exist positive design parameters  $c_{i,k}$ ,  $\beta_{i,k}$ ,  $\sigma_{i,k}$ ,  $\zeta_{i,k}$ ,  $b_{i,k}$ ,  $\gamma_i$ ,  $\rho_{i,k}$ ,  $\varrho_{i,k}$ , and  $\Theta_{i,k}$ ,  $i = 1, \dots, N$ ,  $k = 1, \dots, n_i$ , such that*

- 1) *The compact set  $\Omega_0 = \{(\bar{\mathbf{x}}_{i,k}, \widehat{\Xi}_{i,k}) | V(\bar{\mathbf{x}}_{i,k}, \widehat{\Xi}_{i,k}) \leq \varpi, i = 1, \dots, N, k = 1, \dots, n_i\}$  is an invariant set, namely,  $V(\bar{\mathbf{x}}_{i,k}, \widehat{\Xi}_{i,k}) \leq \varpi$  holds for  $\forall t \geq 0$ , and hence all the closed-loop signals are bounded all the time;*



2) The consensus tracking error  $\delta$  converges to the following compact set:

$$\Omega_3 = \left\{ \lim_{t \rightarrow +\infty} \|\delta\|_2 \leq \sqrt{\frac{\sum_{i=1}^N \left[ \frac{\chi}{\bar{\alpha}} \bar{\psi}_i \right]^{\frac{2}{\underline{\psi}_i}}}{\lambda_{\min}^2(\mathcal{L} + \mathcal{B})}} \triangleq \Psi \right\} \quad (43)$$

where  $\bar{\psi}_i = \max\{r_i - r_{i,1} + 2, i \in \mathcal{M}_i\}$ ,  $\underline{\psi}_i = \min\{r_i - r_{i,1} + 2, i \in \mathcal{M}_i\}$ ,  $\bar{\alpha}$ , and  $\chi$  are given in the proof.

*Proof:* See Appendix.

In case the knowledge of  $\lambda_{\min}(\mathcal{L} + \mathcal{B})$  is not available, it was proposed to replace this terms in (43) with the more conservative bound  $(\bar{N}/N^2 + N - 1)$  with  $\bar{N} = ((N - 1/N))^{(N-1/2)}$  [45].

A design procedure for the proposed algorithm can be sketched as follows:

Step 1: Specify a constant  $\varpi > 0$  and choose appropriate initial conditions  $x_{i,k}(0)$  and  $\hat{\Xi}_{i,k}(0) \geq 0$  for  $i = 1, \dots, N, k = 1, \dots, n_i$  to satisfy  $V(0) < \varpi$ ;

Step 2: Choose RBF NN approximators  $\hat{W}_{i,k} \phi_{i,k}(\mathbf{Z}_{i,k})$  by appropriately selecting the number of network nodes, where  $i = 1, \dots, N, k = 1, \dots, n_i$ . Accordingly, calculate  $\Theta_{i,k}$ .

Step 3: Assign specific values to the design parameters  $c_{i,k} > 0, \sigma_{i,k} > 0, \beta_{i,k} > 0, \zeta_{i,k} > 0, \gamma_i > 0, b_{i,k} > 0, \rho_{i,k} > 0$ , and  $\varrho_{i,k} > 0$ .

Step 4: Determine the intermediate variables according to the following order:  $s_{i,1} \rightarrow \hat{\Xi}_{i,1} \rightarrow v_{i,1} \rightarrow s_{i,2} \rightarrow \hat{\Xi}_{i,2} \rightarrow v_{i,2} \rightarrow \dots \rightarrow s_{i,k} \rightarrow \hat{\Xi}_{i,k} \rightarrow v_{i,k} \rightarrow \dots \rightarrow s_{i,n_i} \rightarrow \hat{\Xi}_{i,n_i} \rightarrow u_i$  for  $i = 1, \dots, N, k = 3, \dots, n_i - 1$ ;

*Remark 6:* In line with [31], [32], and [33], Theorem 1 provides a practical consensus tracking result (i.e., convergence to a residual set). This is expected since [36] has proven that even for a single high-order system, asymptotic tracking is in general not possible (cf. Examples 2.1 and 2.2 of [36]).

*Remark 7:* The size of  $\Omega_3$  can be made small by increasing  $c_{i,k}, \beta_{i,k}, \sigma_{i,k}, \rho_{i,k}$ , and  $\varrho_{i,k}$ , and meanwhile decreasing  $\zeta_{i,k}, b_{i,k}$ , and  $\gamma_i$  for  $i = 1, \dots, N, k = 1, \dots, n_i$ . Then, the design parameters  $c_{i,k}, \sigma_{i,k}, \beta_{i,k}, \zeta_{i,k}, \gamma_i, b_{i,k}$ , and  $\Theta_{i,k}$  can be adjusted so as to satisfy  $\chi/\bar{\alpha} \leq \varpi$ , namely,  $V(t) \leq \varpi$  holds for  $\forall t \geq 0$  due to the fact that  $V(0) < \varpi$  and  $\dot{V} \leq 0$  when  $V = \varpi$ .

*Remark 8:* Even though the exact bound of  $\|\delta\|_2$  cannot be obtained due to the unknown constant  $\Xi_{i,k}$  coming from the optimal weight vector of approximator, one can follow similar ideas as [46, Sec. 2.2] and [47, Sec. 4] and give an estimate for the upper bound of  $\|\delta\|_2$  by assuming  $\Xi_{i,k}$  to be bounded by a known constant. A similar approach is adopted in the simulations of Section V-B. Fig. 8.

*Remark 9:* It is noted that the continuous function  $F_{i,1}$  in (15) also embeds the effect of graph connectivity, since  $F_{i,1}$  depends on the connectivity matrix  $a_{i,j}$ . At the same time, because the RBF NN activation functions depend on the neighboring states, one can rely on standard results [48] to get that any continuous function can be approximated by a RBF NN with desired accuracy over a compact set as long as we select enough neural network nodes. Similar idea is used in [23, eq. (18)], [24, eq. (12)], and [32, eq. (20)] to approximate unknown system nonlinearities over compact sets.

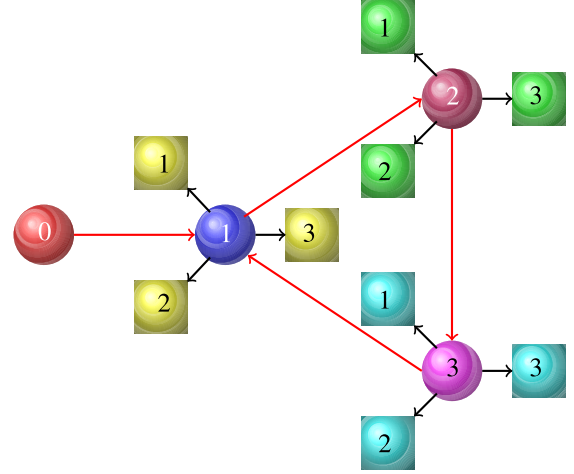


Fig. 1. Communication graph between leader 0 and follower agents 1, 2, and 3. Each agent can switch among three dynamics, represented as three squares around each agent.

*Remark 10:* Because the universal approximation ability of RBF NNs is valid only for a compact set, Theorem 1 has used invariant set theory to prove that  $\Omega_0$  is an invariant set where all closed-loop signals are retained all the time. The effectiveness of the adopted approximators has also been validated in the simulation (cf. Section V-A. Fig. 5).

*Remark 11:* Despite the dimension of input variable  $\mathbf{Z}_{i,k}$  in activation function  $\phi_{i,k}(\mathbf{Z}_{i,k})$  inevitably grows as subsystem order  $k$  grows, there are two solutions to handle this issue: one is to use the fact  $\|\phi_{i,k}(\mathbf{Z}_{i,k})\| \leq \|\phi_{i,k}(\bar{\mathbf{Z}}_{i,k})\|$  to reduce the dimension of  $\mathbf{Z}_{i,k}$  during the control design and stability analysis as done in [24, Lemma 1 and eq. (13)] and [49, Lemma 4 and eq. (15)], where  $\dim(\bar{\mathbf{Z}}_{i,k}) < \dim(\mathbf{Z}_{i,k})$ . Another one is to bound  $\|\phi_{i,k}(\mathbf{Z}_{i,k})\|$  as  $\|\phi_{i,k}(\mathbf{Z}_{i,k})\| \leq \Theta_{i,k}$  as done in [32, Lemma 3], [33, Lemma 2] and in our article [cf. (17)], where  $\Theta_{i,k} > 0$  is an appropriately chosen constant.

## V. SIMULATION EXAMPLES

### A. Numerical Example

To validate the effectiveness of the proposed scheme, one leader (labeled by 0) with three follower agents are considered with the directed graph in Fig. 1.

The odd powers are taken as  $r_{1,1} = 3, r_{1,2} = 5, r_{2,1} = 3, r_{2,2} = 7, r_{3,1} = 5, r_{3,2} = 9, n_i = 2, i = 1, 2, 3$ . For each follower, the switching signal is  $\sigma_i(\cdot): [0, \infty) \rightarrow \mathcal{M}_i = \{1, 2, 3\}$ , which is shown in Fig. 2. Note that each follower has its own switching signal, and thus can switch asynchronously with respect to the other followers. The unknown switched nonlinearities  $\varphi_{i,k}^{\sigma_i(t)}(\cdot)$  and  $h_{i,k}^{\sigma_i(t)}(\cdot)$  are taken to be heterogeneous:

For follower agent 1, the three switching dynamics are:

$$\begin{aligned} \varphi_{1,1}^1 &= 1.3 - \cos(x_{1,1}), & h_{1,1}^1 &= |\tanh(x_{1,1}^3)| + 1.6, \\ \varphi_{1,1}^2 &= 0.6 + \exp(-x_{1,1}^2), & h_{1,1}^2 &= \cos(x_{1,1}^3) + 2, \\ \varphi_{1,1}^3 &= 0.8 + 0.2 \cos(x_{1,1}^3), & h_{1,1}^3 &= 2 \cos(x_{1,1}^2), \\ \varphi_{1,2}^1 &= x_{1,2}x_{1,1} + 0.75, & h_{1,2}^1 &= 2(|\cos(x_{1,2}^2)| + 1.3), \\ \varphi_{1,2}^2 &= 0.7 + 0.2x_{1,2}^2, & h_{1,2}^2 &= 3 \sin(x_{1,2}^2) + 4, \\ \varphi_{1,2}^3 &= \cos(x_{1,2}^2) + 0.3, & h_{1,2}^3 &= 5|\sin(0.1x_{1,2})| + 1.5. \end{aligned}$$

TABLE I  
PERFORMANCE INDICES FOR TRACKING ERRORS  $s_{1,1}$ ,  $s_{2,1}$ , AND  $s_{3,1}$   
UNDER THREE SCHEMES

Tracking Error	Index	Proposed Method	Ref. [32]	Ref. [33]
$s_{1,1}$	ITAE	3.268	12.693	15.226
	RMSE	0.213	0.876	0.926
	MAE	0.031	0.127	0.139
$s_{2,1}$	ITAE	3.747	13.214	14.944
	RMSE	0.237	0.914	0.954
	MAE	0.024	0.096	0.126
$s_{3,1}$	ITAE	3.994	13.693	15.696
	RMSE	0.264	0.887	0.969
	MAE	0.0289	0.133	0.152

TABLE II  
PERFORMANCE INDICES FOR CONTROL INPUTS  $u_1$ ,  $u_2$ , AND  $u_3$  UNDER  
THREE SCHEMES

Control Input	Index	Proposed Method	Ref. [32]	Ref. [33]
$u_1$	MACA	2.113	11.021	6.564
$u_2$	MACA	2.457	8.689	8.012
$u_3$	MACA	2.754	7.254	8.367

For follower agent 2, the three switching dynamics are:

$$\begin{aligned}
\varphi_{2,1}^1 &= 1.1x_{2,1} + x_{2,1}^2, & h_{2,1}^1 &= 3\cos(x_{2,1}^2) + 5, \\
\varphi_{2,1}^2 &= x_{2,1}^2 + 0.5, & h_{2,1}^2 &= \sin(x_{2,1}^3) + 3, \\
\varphi_{2,1}^3 &= x_{2,1}^3 + 1.25, & h_{2,1}^3 &= \cos(x_{2,1}^2 + x_{2,1}^3) + 3, \\
\varphi_{2,2}^1 &= 0.5x_{2,2}^2 + 0.75, & h_{2,2}^1 &= 3 + 2\cos(\chi_{2,1}^2\chi_{2,2}^2), \\
\varphi_{2,2}^2 &= 1.3x_{2,1}^3 + 0.8x_{2,2}, & h_{2,2}^2 &= 2\cos(\chi_{2,1}^2) + 4, \\
\varphi_{2,2}^3 &= \cos(x_{2,1})x_{2,2} + 0.25, & h_{2,2}^3 &= 3\cos(x_{2,2}^3) + 5.
\end{aligned}$$

For follower agent 3, the three switching dynamics are:

$$\begin{aligned}
\varphi_{3,1}^1 &= 1.5\sin(x_{3,1}) + x_{3,1}^3, & h_{3,1}^1 &= |\sin(x_{3,1})| + 6, \\
\varphi_{3,1}^2 &= 0.3x_{3,1}^2 + \sin(x_{3,1}), & h_{3,1}^2 &= |\sin(x_{3,1}^3)| + 3, \\
\varphi_{3,1}^3 &= x_{3,1} + 0.2\cos(x_{3,1}), & h_{3,1}^3 &= \cos(x_{3,1}^2 + x_{3,1}^3) + 4.5, \\
\varphi_{3,2}^1 &= 0.5x_{3,1}^2 + 0.5x_{3,2}, & h_{3,2}^1 &= \cos(x_{3,2}^2) + 2, \\
\varphi_{3,2}^2 &= x_{3,2} + 0.8\sin(x_{3,1}), & h_{3,2}^2 &= 4\cos(x_{3,1}) + 5.5, \\
\varphi_{3,2}^3 &= \cos(x_{3,2}^2) + 0.7, & h_{3,2}^3 &= \cos(x_{3,2}^2) + 3.5.
\end{aligned}$$

The leader output is  $y_r(t) = 2\sin(t) + 2\sin(0.5t)$ . For comparison purposes, three schemes are considered, the method proposed here and the two state-of-the-art methods of [32] and [33]. In our simulation, the centers and widths of RBF NNs are chosen on a regular lattice in the respective compact sets. In particular, the neural networks used to approximate  $F_{1,1}(\mathbf{Z}_{1,1})$ ,  $F_{2,1}(\mathbf{Z}_{2,1})$ , and  $F_{3,1}(\mathbf{Z}_{3,1})$ , respectively, contain 27 (Case I) or 3 (Case II) nodes with centers evenly spaced in the interval  $[-2.5, 2.5] \times [-2.5, 2.5] \times [-2.5, 2.5]$  and widths equal to two. The neural networks used to approximate  $F_{1,2}(\mathbf{Z}_{1,2})$ ,  $F_{2,2}(\mathbf{Z}_{2,2})$ , and  $F_{3,2}(\mathbf{Z}_{3,2})$ , respectively, contain 64 (Case I) or 6 (Case II) nodes with centers evenly spaced in the interval  $[-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4]$  and widths equal to two. The initial conditions for the follower agents are taken as:  $x_{1,1}(0) = 0.5$ ,  $x_{2,1}(0) = 0.55$ ,  $x_{3,1}(0) = 0.75$ ,  $x_{1,2}(0) = 0.25$ ,

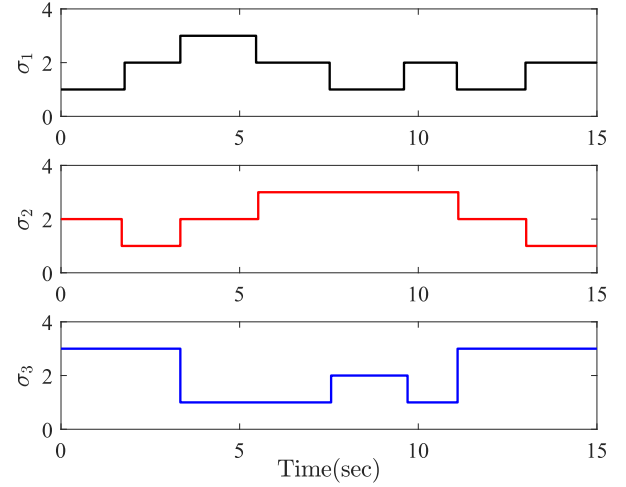


Fig. 2. Switching signals  $\sigma_i(t)$  for the three followers. Note that the followers can switch asynchronously with each other.

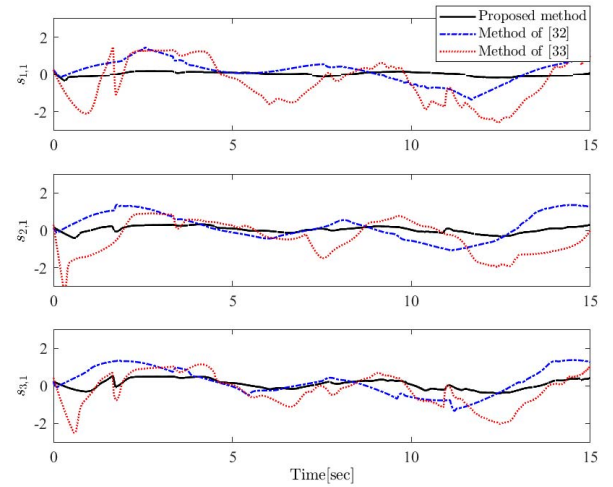


Fig. 3. Tracking errors  $s_{1,1}$ ,  $s_{2,1}$ , and  $s_{3,1}$  under three schemes.

$x_{2,2}(0) = 1.5$ ,  $x_{3,2}(0) = -0.75$ ,  $\widehat{\Xi}_{1,1}(0) = \widehat{\Xi}_{1,2}(0) = 5$ ,  $\widehat{\Xi}_{2,1}(0) = \widehat{\Xi}_{2,2}(0) = 7$ , and  $\widehat{\Xi}_{3,1}(0) = \widehat{\Xi}_{3,2}(0) = 10$ . The design parameters are chosen to be:  $c_{1,1} = 1.5$ ,  $c_{2,1} = 2.5$ ,  $c_{3,1} = 3$ ,  $c_{1,2} = 1$ ,  $c_{2,2} = 2$ ,  $c_{3,2} = 1.5$ ,  $\beta_{1,2} = \beta_{2,2} = \beta_{3,2} = 1$ ,  $\beta_{1,1} = 7.5$ ,  $\beta_{2,1} = 5$ ,  $\beta_{3,1} = 15$ ,  $\sigma_{1,1} = 0.25$ ,  $\sigma_{2,1} = 0.75$ ,  $\sigma_{3,1} = 0.5$ ,  $\sigma_{1,2} = \sigma_{2,2} = \sigma_{3,2} = 1$ ,  $\zeta_{1,1} = \zeta_{2,1} = \zeta_{3,1} = 0.5$ ,  $\zeta_{1,2} = \zeta_{2,2} = \zeta_{3,2} = 0.75$ ,  $b_{1,1} = b_{2,1} = b_{3,1} = 0.5$ ,  $b_{1,2} = b_{2,2} = b_{3,2} = 1$ ,  $\Theta_{1,1} = \Theta_{2,1} = \Theta_{3,1} = 5$ , and  $\Theta_{1,2} = \Theta_{2,2} = \Theta_{3,2} = 5\sqrt{5}$ . The simulation results in Figs. 3 and 4 and in Tables I and II are carried out based on Case I. Tables I and II report the integral time absolute error (ITAE) =  $[\int_0^T t|s_{i,1}(t)|dt]$ , root mean square error (RMSE) =  $[(1/T)\int_0^T s_{i,1}^2(t)dt]^{(1/2)}$ , mean absolute error (MAE) =  $[(1/T)\int_0^T |s_{i,1}(t)|dt]$ , and mean absolute control actions (MACA) =  $[(1/T)\int_0^T |u_i|]$  for  $i = 1, 2, 3$ , respectively. Fig. 2 reveals that the switching signals  $\sigma_i(\cdot)$ ,  $i = 1, 2, 3$ , for three followers are asynchronous. It can be seen from Fig. 3 and Table I that the proposed method achieves smaller tracking errors  $s_{1,1}$ ,  $s_{2,1}$ , and  $s_{3,1}$  than that of [32] and [33]. From Fig. 4 and Table II, one can conclude that the proposed method exhibits smaller control actions than that of [32] and [33]. Fig. 5 shows that

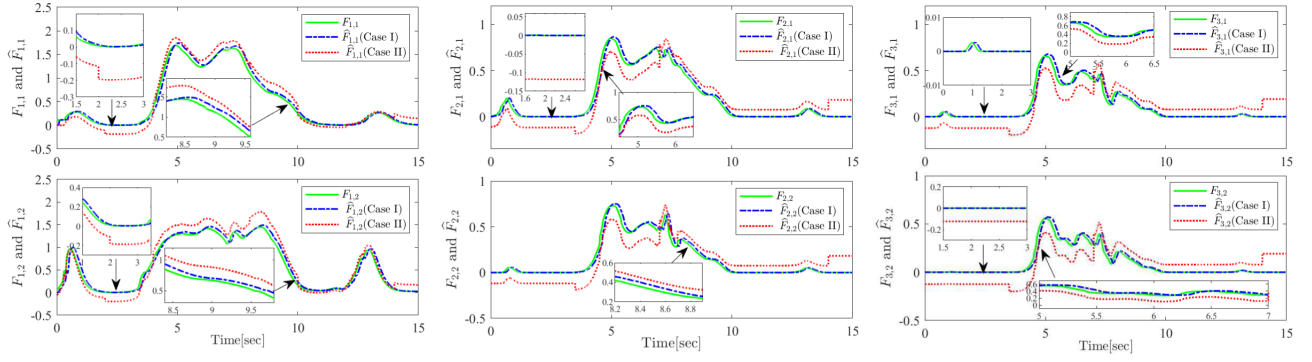


Fig. 4. Control inputs  $u_1$ ,  $u_2$ , and  $u_3$  under three schemes. The proposed scheme avoids high control gains, as the result of the reduced-complexity design (cf. Remark 5).

TABLE III  
VALUES OF  $m_1$ ,  $m_2$ ,  $k_s$ , AND  $k_\omega$  OF 10 FOLLOWERS

Agents	Mode	$m_1$ (kg)	$m_2$ (kg)	$k_s$ (N/m <sup>3</sup> )	$k_\omega$ (N/m)	Agents	Mode	$m_1$ (kg)	$m_2$ (kg)	$k_s$ (N/m <sup>3</sup> )	$k_\omega$ (N/m)
Follower 1	①	1.25	1.50	75	70	Follower 6	①	1.75	2.50	65	57
	②	1.80	1.75	70	85		②	1.95	2.75	75	60
	③	2.25	3.50	45	50		③	2.80	3.75	80	75
Follower 2	①	2.50	4.50	55	60	Follower 7	①	2.95	3.75	55	67
	②	2.00	4.80	80	75		②	1.50	2.45	75	85
	③	1.85	2.75	45	50		③	2.25	1.85	55	60
Follower 3	①	1.50	2.35	45	55	Follower 8	①	2.85	3.75	60	75
	②	1.95	4.25	50	60		②	2.50	2.75	65	80
	③	2.25	3.50	70	55		③	1.85	2.55	70	65
Follower 4	①	2.75	3.50	75	70	Follower 9	①	2.25	3.75	65	70
	②	1.80	4.75	55	65		②	1.50	2.80	75	70
	③	2.65	4.50	80	75		③	2.25	3.65	80	75
Follower 5	①	3.25	2.75	75	55	Follower 10	①	1.75	2.85	65	55
	②	2.85	3.50	70	60		②	3.25	1.75	60	65
	③	3.50	4.00	65	70		③	1.85	3.50	65	75

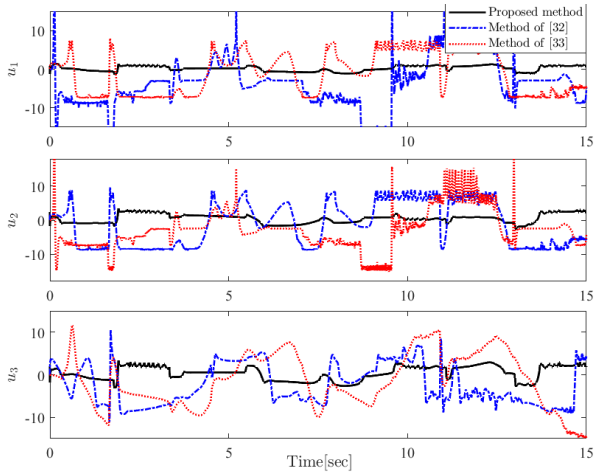


Fig. 5. True (unknown)  $F_{1,1}$ ,  $F_{1,2}$ ,  $F_{2,1}$ ,  $F_{2,2}$ ,  $F_{3,1}$ ,  $F_{3,2}$ , and their NN approximations  $\hat{F}_{1,1}$ ,  $\hat{F}_{1,2}$ ,  $\hat{F}_{2,1}$ ,  $\hat{F}_{2,2}$ ,  $\hat{F}_{3,1}$ ,  $\hat{F}_{3,2}$ .

the RBF NN approximators can achieve satisfactory approximation performances as long as we choose a sufficiently large number of network nodes.

### B. Practical Example

To further validate the developed method, a multiagent version of the underactuated weakly coupled mechanical

benchmark in [36] is considered, also shown in Fig. 6. The system includes a mass  $m_{i,1}^{\sigma_i}$  on a horizontal smooth surface and an inverted pendulum  $m_{i,2}^{\sigma_i}$  supported by a massless rod. The mass is connected to the wall surface by a linear spring and to the inverted pendulum by a nonlinear spring with a cubic force deformation relation. The dynamics of the  $i$ th agent can be represented by

$$\begin{cases} \ddot{\theta}_i = \frac{g \sin(\theta_i)}{l} + \frac{k_{i,s}^{\sigma_i(t)}}{m_{i,2}^{\sigma_i(t)} l} (x_i - l \sin(\theta_i))^3 \cos(\theta_i), \\ \ddot{x}_i = -\frac{k_{i,\omega}^{\sigma_i(t)}}{m_{i,1}^{\sigma_i(t)}} x_i - \frac{k_{i,s}^{\sigma_i(t)}}{m_{i,1}^{\sigma_i(t)}} (x_i - l \sin(\theta_i))^3 + \frac{u_i}{m_{i,1}^{\sigma_i(t)}} \end{cases} \quad (44)$$

for  $i = 1, \dots, 10$ , and  $\sigma_i(\cdot) : [0, +\infty) \rightarrow \mathcal{M}_i = \{1, 2, \dots, 10\}$ , where  $\theta_i \in (-\pi/2, \pi/2)$ ,  $x_i$  is the displacement of  $m_{i,1}^{\sigma_i(t)}$ ,  $u_i$  is the control force acting on  $m_{i,1}^{\sigma_i(t)}$ . Moreover,  $k_{i,s}^{\sigma_i(t)}$  and  $k_{i,\omega}^{\sigma_i(t)}$  are spring coefficients, and  $l$  is the pendulum length. The specific values of  $m_{i,1}^{\sigma_i(t)}$ ,  $m_{i,2}^{\sigma_i(t)}$ ,  $k_{i,s}^{\sigma_i(t)}$ , and  $k_{i,\omega}^{\sigma_i(t)}$ ,  $i = 1, \dots, 10$ , are given in the Table III, and the switching signal is given in Fig. 7. The following change of coordinates:

$$x_{i,1} = \theta_i, \quad x_{i,2} = \dot{\theta}_i, \quad x_{i,3} = x_i, \quad x_{i,4} = \dot{x}_i \quad (45)$$

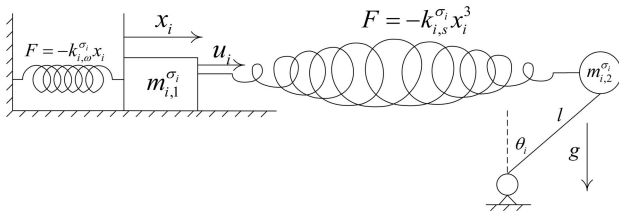
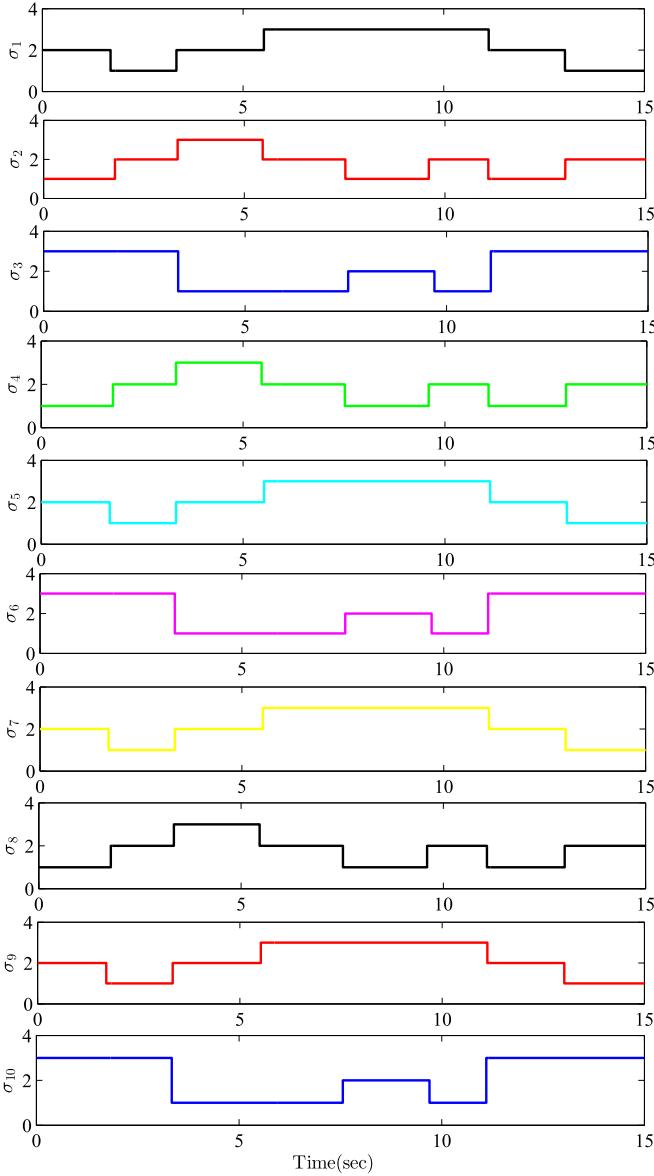


Fig. 6. Underactuated weakly coupled mechanical system.

Fig. 7. Asynchronous switching signal  $\sigma_i(t)$ .

transform (44) into

$$\begin{cases} \dot{x}_{i,1} = x_{i,2}, & \dot{x}_{i,2} = \varphi_{i,2}^{\sigma_i(t)}(\bar{x}_{i,2}) + h_{i,2}^{\sigma_i(t)}(\bar{x}_{i,2})x_{i,3}^3, \\ \dot{x}_{i,3} = x_{i,4}, & \dot{x}_{i,4} = \varphi_{i,4}^{\sigma_i(t)}(\bar{x}_{i,4}) + h_{i,4}^{\sigma_i(t)}(\bar{x}_{i,4})u_i \end{cases} \quad (46)$$

where  $\varphi_{i,2}^{\sigma_i(t)}(\bar{x}_{i,2}) = (g/l) \sin(x_{i,1}) + (k_{i,s}^{\sigma_i(t)}/m_{i,2}^{\sigma_i(t)}l) \cos(x_{i,1})[3x_{i,3}l^2 \times \sin^2(x_{i,1}) - 3x_{i,3}^2l \sin(x_{i,1}) - l^3 \times \sin^3(x_{i,1})]$ ,  $\varphi_{i,4}(\bar{x}_{i,4}) = -(k_{i,\omega}^{\sigma_i(t)}/m_{i,1}^{\sigma_i(t)})x_{i,3} - (k_{i,s}^{\sigma_i(t)}/m_{i,1}^{\sigma_i(t)})[x_{i,3}^3 - l^3 \sin^3(x_{i,1}) - 3x_{i,3}^2l \sin(x_{i,1}) +$

$3x_{i,3}l^2 \sin^2(x_{i,1})]$ ,  $h_{i,2}^{\sigma_i(t)}(\bar{x}_{i,2}) = (k_{i,s}^{\sigma_i(t)}/m_{i,2}^{\sigma_i(t)}l) \cos(x_{i,1})$ , and  $h_{i,4}^{\sigma_i(t)}(\bar{x}_{i,4}) = (1/m_{i,1}^{\sigma_i(t)})$ .

The leader signal is the same as Example A. Due to space limits, we do not repeat all the state-of-the-art comparisons as in the previous example. The neural networks used to approximate  $F_{i,1}(\mathbf{Z}_{i,1})$  and  $F_{i,2}(\mathbf{Z}_{i,2})$  for  $i = 1, \dots, 10$  contain 27 nodes with centers evenly spaced in the interval  $[-2.5, 2.5] \times [-2.5, 2.5] \times [-2.5, 2.5]$  and widths equal to two. The neural networks used to approximate  $F_{i,3}(\mathbf{Z}_{i,3})$  and  $F_{i,4}(\mathbf{Z}_{i,4})$  for  $i = 1, \dots, 10$  contain 81 nodes with centers evenly spaced in the interval  $[-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4] \times [-4, 4]$  and widths equal to two. The initial conditions for the follower agents are taken as:  $x_{i,1}(0) = 0$  for  $i = 1, \dots, 7$ ,  $x_{i,1}(0) = 0.15$  for  $i = 8, 9, 10$ ,  $x_{i,2}(0) = 0.25$  for  $i = 1, \dots, 5$ ,  $x_{i,2}(0) = -0.5$  for  $i = 6, \dots, 10$ ,  $x_{i,3}(0) = 0$  for  $i = 1, \dots, 10$ ,  $x_{i,4}(0) = -0.75$  for  $i = 1, \dots, 6$ ,  $x_{i,4}(0) = 0.25$  for  $i = 7, \dots, 10$ ,  $\hat{\Xi}_{i,1}(0) = \hat{\Xi}_{i,2}(0) = 5$  for  $i = 1, \dots, 5$ , and  $\hat{\Xi}_{i,3}(0) = \hat{\Xi}_{i,4}(0) = 7.5$  for  $i = 6, \dots, 10$ . The design parameters are chosen to be:  $c_{i,1} = 1.5$  for  $i = 1, \dots, 4$ ,  $c_{i,1} = 2.5$  for  $i = 5, \dots, 10$ ,  $c_{i,2} = c_{i,3} = 2$  for  $i = 1, \dots, 10$ ,  $c_{i,4} = 3.5$  for  $i = 1, \dots, 10$ ,  $\beta_{i,1} = 5.5$  for  $i = 1, \dots, 10$ ,  $\beta_{i,2} = 7$  for  $i = 1, \dots, 10$ ,  $\beta_{i,3} = \beta_{i,4} = 3.5$  for  $i = 1, \dots, 10$ ,  $\sigma_{i,1} = \sigma_{i,2} = 0.5$  for  $i = 1, \dots, 10$ ,  $\sigma_{i,3} = \sigma_{i,4} = 0.75$  for  $i = 1, \dots, 10$ ,  $\zeta_{i,1} = \zeta_{i,3} = 0.25$  for  $i = 1, \dots, 10$ ,  $\zeta_{i,2} = \zeta_{i,4} = 0.5$  for  $i = 1, \dots, 10$ ,  $b_{i,1} = b_{i,2} = b_{i,3} = b_{i,4} = 1$  for  $i = 1, \dots, 10$ ,  $\Theta_{i,1} = 5$ , and  $\Theta_{i,2} = \Theta_{i,3} = \Theta_{i,4} = 7\sqrt{5}$  for  $i = 1, \dots, 10$ . Fig. 8(a) shows that the 10 followers track the leader signal with bounded tracking errors. Fig. 8(b) depicts the evolution of control inputs. Fig. 8(c) draws the curves of  $\|\delta\|_2$  as well as its theoretical bound which is calculated assuming  $\Xi_{i,k}$  to be bounded as  $\Xi_{i,k} \leq 7\sqrt{5}$  for  $i = 1, \dots, 10$  and  $k = 1, \dots, 4$ .

## VI. CONCLUSION

This article proposed a result about distributed consensus tracking for high-order nonlinear multiagent systems with switched dynamics. The distinguishing feature of the proposed design is a new separation-based lemma that can simplify the control design in a twofold sense: the complexity of the virtual and actual control laws is sensibly reduced; the power of the control gains does not increase exponentially with the order of the subsystems.

## APPENDIX

*Proof of Proposition 1:* When  $x_1$  is not equal to zero, we let  $x_2 = px_1$ ,  $p \in \mathbf{R}$ . Thus, using (ii) yields

$$|F(x_1)(F(\bar{p}) - 1)| \leq \bar{v}(d)|F(p)| \cdot |F(x_1)| + |F(x_1)|d \quad (47)$$

where  $\bar{p} = p + 1$ . Applying (i) on both sides of (47) gives

$$|F(x_1 + x_2) - F(x_1)| \leq M + |F(x_1)|d \quad (48)$$

where  $M = \bar{v}(d)|F(x_2)|$ . At this point, two situations are considered:

*Situation 1:* when  $F(x_1) < 0$ , it follows from (48) that:

$$\bar{d}F(x_1) - M \leq F(x_1 + x_2) \leq \underline{d}F(x_1) + M \quad (49)$$



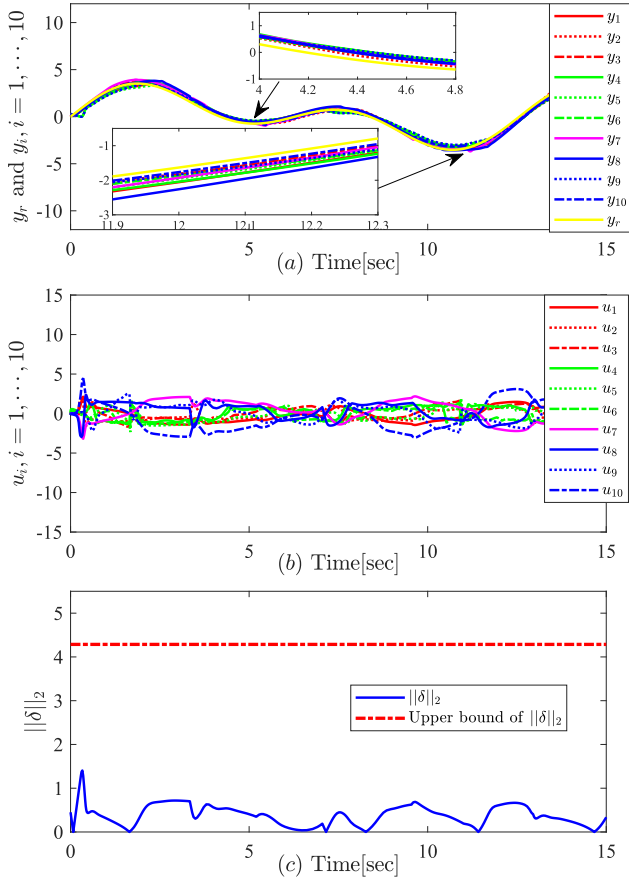


Fig. 8. (a) Follower outputs  $y_i$  ( $i=1, \dots, 10$ ) and leader output  $y_r$ . (b) Control inputs. (c) Norm of consensus tracking error  $\|\delta\|_2$  and its theoretic bound.

where  $\bar{d} = d + 1$  and  $\underline{d} = 1 - d$ .

*Situation 2:* when  $F(x_1) \geq 0$ , one has

$$dF(x_1) - M \leq F(x_1 + x_2) \leq \bar{d}F(x_1) + M. \quad (50)$$

When  $x_1$  is equal to zero, (4) becomes

$$F(x_2) = \ell(x_1, x_2)F(0) + v(x_1, x_2)F(x_2) \quad (51)$$

which we have to prove. Using (1), we get  $F(0) = F(0)F(x_2)$  and (51) becomes  $F(x_2) = [\ell(0, x_2)F(0) + v(0, x_2)]F(x_2)$  which holds by taking  $\ell(0, x_2) \equiv 0$  and  $v(0, x_2) \equiv 1$ . This completes the proof. ■

*Proof of Lemma 3:* We will verify that condition (2) in Lemma 3 holds (condition (1) is trivially satisfied). Using the binomial theorem [50, Sec. 3.1, page. 10] leads to

$$\bar{p}^r = 1 + \frac{p \cdot r!}{(r-1)!} + \dots + \frac{p^{r-1} \cdot r!}{(r-1)!} + p^r \quad (52)$$

which further results in

$$|\bar{p}^r - 1| \leq \sum_{k=1}^r \frac{r!}{k!(r-k)!} |p|^k. \quad (53)$$

At this point, it is noted that for any positive constant  $d$  taking value in  $(0, 1)$ , we select an appropriately small constant  $l > 0$  satisfying  $d = \sum_{k=1}^r (r!/k!(r-k)!) (r-k/r) l^{(r/r-k)}$ . In the meantime, if we choose  $\bar{v}(d) = \sum_{k=1}^r (r!/k!(r-k)!) (k/r) l^{-(r/k)}$ , then, it follows from Lemma 1 that  $d + \bar{v}(d)|p|^r = \sum_{k=1}^r [(r!/k!(r-k)!) (k/r) l^{-(r/k)}] |p|^r + (r!/k!$

$(r-k)!) (r-k/r) l^{(r/r-k)}] \geq \sum_{k=1}^r (r!/k!(r-k)!) \times |p|^k$ , which combined with (53) gives  $|\bar{p}^r - 1| \leq d + \bar{v}(d)|p|^r$ . Therefore,  $F(z) = z^r$  is a separable function according to Proposition 1. This completes the proof. ■

Finally, the proof of Proposition 1 reveals that, if we let  $z = x_1 + x_2$ , then, it holds that  $(x_1 + x_2)^r = \ell(x_1, x_2)x_1^r + v(x_1, x_2)x_2^r$ , where  $\ell(x_1, x_2) \in [\underline{\ell}_1, \bar{\ell}_1]$  with  $\underline{\ell}_1 = 1 - d$  and  $\bar{\ell}_1 = 1 + d$ , and  $|v(x_1, x_2)| \leq \bar{v}(d)$ .

*Proof of Theorem 1:* It follows from (40) that:

$$\dot{V}_{i,n_i} \leq -\alpha_i V_{i,n_i} + \Gamma_i$$

where  $\alpha_i = \min\{(r_i - r_{i,k} + 2)\zeta_{i,k}, \beta_{i,k}\sigma_{i,k} : 1 \leq k \leq n_i\}$  with  $\zeta_{i,k} = \gamma_i^{(r_i, k-1)/(r_i+1)}(c_{i,k} - \theta_{i,k} - \vartheta_{i,k-1})$  and  $\Gamma_i = \sum_{k=1}^{n_i} [(1/2)\sigma_{i,k} \Xi_{i,k}^2 + \hbar_{i,k} + \gamma_i(c_{i,k} - \theta_{i,k} - \vartheta_{i,k-1})]$ . Therefore, the derivative of  $V$  can be obtained as

$$\dot{V} \leq -\bar{\alpha}V + \chi \quad (54)$$

where  $\bar{\alpha} = \min_{1 \leq i \leq N} \{\alpha_i\}$  and  $\chi = \sum_{i=1}^N \Gamma_i$ . It can be concluded from (54) that  $(\chi/\bar{\alpha})$  can be made arbitrarily small by increasing  $c_{i,k}$ ,  $\beta_{i,k}$ ,  $\sigma_{i,k}$ ,  $\rho_{i,k}$ , and  $\varrho_{i,k}$ , and meanwhile decreasing  $\zeta_{i,k}$ ,  $b_{i,k}$ , and  $\gamma_i$  for  $i = 1, \dots, N$ ,  $k = 1, \dots, n_i$ . Namely, it is possible to make  $(\chi/\bar{\alpha}) \leq \varpi$  by selecting the design parameters appropriately. Then, in light of (54), we have that  $\dot{V} \leq 0$  holds true for  $V = \varpi$ : consequently, the compact set  $\Omega_0$  is an invariant set and all closed-loop signals stay inside of the compact set  $\Omega_0$  all the time since  $V(0) < \varpi$ .

A bound on the tracking error can be obtained as follows: integrating  $\dot{V}(t)$  on  $[0, t]$  gives

$$\int_0^t d[\exp(\bar{\alpha}t)V(t)] \leq \int_0^t \chi \exp(\bar{\alpha}t) dt \quad (55)$$

which suggests that

$$V(t) \leq \left(V(0) - \frac{\chi}{\bar{\alpha}}\right) \exp(-\bar{\alpha}t) + \frac{\chi}{\bar{\alpha}} \leq V(0) + \frac{\chi}{\bar{\alpha}}. \quad (56)$$

Thus, invoking (16) yields that  $\lim_{t \rightarrow +\infty} (s_{i,1}^{r_i - r_{i,1} + 2} / r_i - r_{i,1} + 2) \leq (\chi/\bar{\alpha})$ , which further leads to

$$\lim_{t \rightarrow +\infty} \|s_1\| \leq \sqrt{\sum_{i=1}^N \left[ \left( \frac{\chi}{\bar{\alpha}} \psi_i \right)^2 \right]^{\frac{1}{\psi_i}}}. \quad (57)$$

Then, from the inequalities below (5), one gets that  $\lim_{t \rightarrow +\infty} \|\delta\| \leq (\Gamma/\underline{\lambda}_{\min}(\mathcal{L} + \mathcal{B}))$ . This concludes the proof. ■

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