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Model calibration using bulk tests**

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1 **DEM modelling for flow of cohesive lignocellulosic biomass**  
2 **powders: Model calibration using bulk tests**

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## 22 Abstract

23 Biomass feeding problems greatly hinder the industrialization of entrained-flow gasification systems  
24 for production of 2<sup>nd</sup> generation biofuels. Appropriate DEM modelling could allow engineers to design  
25 solutions that overcome these flow problems. This work shows the application of a DEM calibration  
26 framework to produce a realistic, calibrated and efficient material model for lignocellulosic biomass. A  
27 coarse (500-710  $\mu\text{m}$ ) and a fine (200-315  $\mu\text{m}$ ) sieving cuts of milled poplar were used in this study. The  
28 elongated shape and the cohesive behavior were respectively simulated using a coarse-grained multisphere  
29 approach and a cohesive SJKR contact model. Measurements of three physical responses (angle-of-  
30 repose, bulk density, a retention ratio) allowed calibration of the sliding ( $\mu_s$ ) and rolling friction ( $\mu_r$ )  
31 coefficients and the cohesion energy density (*CED*). Using a statistical analysis, the most influential  
32 calibration parameters for each bulk response were identified. A Non-Dominated Sorting Genetic  
33 Algorithm was used to solve the calibration multi-objective optimization problem. Several sets of optimal  
34 solutions reproduced accurately the three physical responses and the experimental shear responses were  
35 closely reproduced by simulations for the population of coarse particles. The DEM calibration framework  
36 studied here aims to produce material models useful for assessing flow behavior and equipment  
37 interaction for biomass particles.

38 **Keywords:** Woody biomass powder, Discrete Element Method, Parameter calibration, Multi-objective  
39 optimization, Cohesion

## 40 Highlights

- 41 • A realistic and calibrated DEM model for cohesive biomass powder is obtained.
- 42 • A multisphere representation reproduces the elongated shape of particles.
- 43 • A coarse-graining approach is used to reduce simulations runtime.
- 44 • Three contact parameters are calibrated using a genetic algorithm of optimization.
- 45 • Optimal solutions reproduce accurately the experimental physical responses.

46

47

## 48 1. INTRODUCTION

49

50       Entrained-flow biomass gasification appears one of the most suitable technology for production of  
51 second-generation biodiesel, mainly because of its great flexibility for treating a variety of biomass  
52 feedstock and because it generates the purest syngas [1,2]. This process requires lignocellulosic biomass to  
53 be fed in sub-millimetric powder form. However, a major technical obstacle for a cost-effective  
54 industrialization of this technology is related to the feeding, handling and transport of biomass particles.  
55 Unsteady flow and equipment blockages are linked to the fibrous and cohesive characteristics of biomass  
56 powders, as well as to their relatively low weight per unit volume. Despite the importance of achieving  
57 trouble-free flow and the frequency of feeding problems, much more attention has been devoted typically  
58 to reactor design and operation than to biomass feeding and flow characterization [3].

59       Evaluation and design of biomass feeding systems need a full comprehension of the effects of  
60 biomass intrinsic properties such as particle size and shape on the flow characteristics. For this purpose,  
61 the use of numerical simulations constitutes a powerful alternative to experimental approaches, which are  
62 often limited regarding the exploration domain of influential variables, the presence of intrinsic sample  
63 variability, or as for the experimental difficulty of isolating individual parameter effects.

64       The discrete element method (DEM) is the most frequently implemented method when designing and  
65 modelling particulate bulk solid handling systems. The particulate system is modelled as an assembly of  
66 singular discrete and interacting particles. Particle positions, velocity and forces acting on each particle are  
67 calculated at small intervals based on a force-displacement contact law and Newton's second law of  
68 motion [4]. Whilst DEM simulations are being used more and more extensively in a wide range of  
69 applications, the question of whether DEM is capable of producing quantitative predictions, rather than  
70 only qualitative representations of a granular solid remains largely unanswered. Therefore, one of the main  
71 constraints for application of DEM in an industrial context is the determination of the input parameters  
72 needed to adequately simulate the behavior of particulate systems.

73 Due to the disparity between physical properties obtained via traditional tests and the simulation  
74 parameters such as stiffness, sliding and rolling friction, calibrations tests are essential. Though scarce,  
75 research in the area of calibrating and modelling biomass particles includes the determination of physical  
76 properties of briquettes to be used in DEM models by Ramirez-Gomez et al. [5] and the study through  
77 DEM of feeding systems for wood-chips by Rackl et al. [6]. More research remains to be done regarding  
78 measurements or calibrations of biomass particles at a sub-millimetric and powder scale.

79 Calibration of biomass feedstocks can be complicated by the elastic, fibrous or stringy bulk behavior  
80 related to individual particle characteristics and requires adaptation of existing contact models [7].  
81 Integration of realistic shape models remains also of major concern. Indeed, previous DEM investigations  
82 have primarily focused on spherical particles. However, non-spherical powders such as biomass powders  
83 are more often encountered in industrial applications. Recent advances in computing speed and power  
84 have opened the way to more complex approaches for non-spherical particles representation. Possible  
85 shape descriptors in two and three dimensions are multi-sphere approaches, ellipses or ellipsoids, super-  
86 quadric bodies, discrete functions, shape combinations, composite particles and flexible fibers models. An  
87 overview of possible methods for DEM particles representation is given in [8–11].

88 Another major challenge for DEM simulations is the limitation regarding the number of particles that  
89 can be modelled in a reasonable time period. Most of DEM simulations considers a restricted number of  
90 particles (in the order of hundreds of thousands) with diameters in the order of some millimeters to  
91 achieve a reasonable computing time [12]. In industrial practice, however, it is often necessary to deal with  
92 billions of particles within a wide range of particle sizes. For this reason, it is unavoidable to upscale the  
93 particle size to reduce the total number of particles and thus the computational time. Several approaches  
94 of particles scale-up have been developed and can be generally sorted as: “exact scaling” [13–15], “coarse-  
95 graining” [16–19] and “cutting-off” [19,20]. Exact scaling has no advantage regarding the reduction of the  
96 number of particles, so the computation time can be reduced, as scaling factors are applied to both the  
97 equipment geometries and the particles size. Coarse-graining is defined as the reduction of computational  
98 cost by replacing actual particles by scaled representative models [17]. The scaling factor in the coarse-  
99 graining approach is only applied to the radius or volume of the particle, while the geometries of the  
100 equipment are not scaled. Coarse-graining approaches has been widely applied to spherical cohesionless

101 materials [16,17,21,22] but, besides works by Thakur et al. [23], little research about its relevance on  
102 simulation of elongated and cohesive particles has been made.

103 The main aim of this paper is to describe the application of a calibration framework proven successful  
104 for spherical materials [24–26] to biomass powders which are constituted of sub-millimetric elongated  
105 particles and have cohesive characteristics. In addition, other subjacent goals are: (i) to explore the  
106 usefulness of a coarse-graining approach for simulation of a large number of elongated biomass particles  
107 (ii) to assess the influence of DEM calibration parameters on the bulk properties of the material (iii) to  
108 illustrate the trade-offs encountered when dealing with multiobjective calibration and the multiplicity of  
109 valid solutions. In a broader perspective, this work intends to establish calibrated, realistic and efficient  
110 material models allowing the assessment of the effects of biomass particle properties on bulk behavior.  
111 This will allow the study of efficient design strategies of handling equipment for powdered lignocellulosic  
112 biomass.

113

## 114 2. MATERIALS AND METHODS

115

### 116 2.1. Samples preparation and particle size and shape characterization

117 Poplar was chosen as a representative for lignocellulosic biomass as it is a promising energy crop,  
118 namely due to its fast growth in temperate climates. Additionally, the development of poplar genotypes  
119 with improved yield, higher pest resistance, increased site adaptability and easy vegetative propagation has  
120 made poplar a commercially valuable energy crop [27,28]. The poplar tree selected for the present study  
121 came from a forest located in *La Suipe* valley in Auménancourt-le-Petit (France). The tree was shopped  
122 and cut in boards that were subsequently dried.

123 Samples of 60x80x15 mm<sup>3</sup> were cut from the boards and ground using a Retsch SM300 cutting mill  
124 with a bottom sieve of 1 mm trapezoid holes at the outlet. The powders obtained after grinding were  
125 sieved to obtain two well differentiated sieving cuts representative of coarse and fine particles. A vibratory

126 sieve shaker Retsch AS 200 at a frequency of 60 Hz for 20 minutes was used along with sieves of opening  
 127 500  $\mu\text{m}$  and 710  $\mu\text{m}$  for the coarse cut and 200  $\mu\text{m}$  and 315  $\mu\text{m}$  for the fine cut.

128 A *Sympatec-QICPIC* morphological particle size analyzer was used to obtain biomass particles size  
 129 distribution (PSD) after sieving [29]. The values of the descriptors of particles size distribution and shape  
 130 distribution are listed in Table 1. The minimum value of the Feret diameters over all orientations of the  
 131 particle is used as the magnitude characterizing particles size. The 50th centile of the cumulative volume  
 132 distributions ( $x_{50}$ ) was taken as a mean size descriptor of each size distribution. PSD span ( $S_x$ ) was  
 133 calculated from values of the 90th and 10th centiles as:

$$S_x = (x_{90} - x_{10}) / (x_{90} + x_{10}) \quad (1)$$

134 Particle shape was characterized through the aspect ratio,  $a$ , which is defined as the ratio between the  
 135 minimum and the maximum Feret diameters for a given particle. Mean values of the aspect ratio,  $a_{50}$  were  
 136 calculated as the 50th centile of the cumulative aspect ratio distributions, and the aspect ratio span  $S_a$  is  
 137 defined by:

$$S_a = (a_{90} - a_{10}) / (a_{90} + a_{10}) \quad (2)$$

138 where  $a_{90}$  and  $a_{10}$  correspond to the 90th and 10th centiles of the aspect ratio distribution,  
 139 respectively.

140 *Table 1. Samples nomenclature and size/shape characteristics.*

Sample	Sieving cut ( $\mu\text{m}$ )	$x_{50}$ ( $\mu\text{m}$ )	$x_{90}$ ( $\mu\text{m}$ )	$x_{10}$ ( $\mu\text{m}$ )	$S_x$	$a_{50}$	$S_a$
1 (Coarse sieving cut)	500-710	746	1092	519	0.36	0.38	0.49
2 (Fine sieving cut)	200-315	352	513	243	0.36	0.42	0.53

141

## 142 2.2. Bulk behavior tests

143 The first stage in the DEM calibration procedure consists of choosing an adequate number of bulk  
 144 experiments that characterize particles bulk behavior. Suitable calibration measurements should: (i) be easy  
 145 to implement in laboratory tests and time-efficient, (ii) produce sufficiently discriminating values from  
 146 variations in material properties and (iii) be highly reproducible and repeatable. From this point of view,

147 the bulk setups described hereafter have been proven suitable for cohesive materials such as biomass  
148 powders, according to preliminary tests made as part of this work.

### 149 *2.2.1. Angle-of-Repouse from bulk solid heaps*

150 Angle-of-repose measurements have been extensively used in previous research for calibration of  
151 DEM models for bulk materials [22,30–32], with special focus on non-cohesive materials.

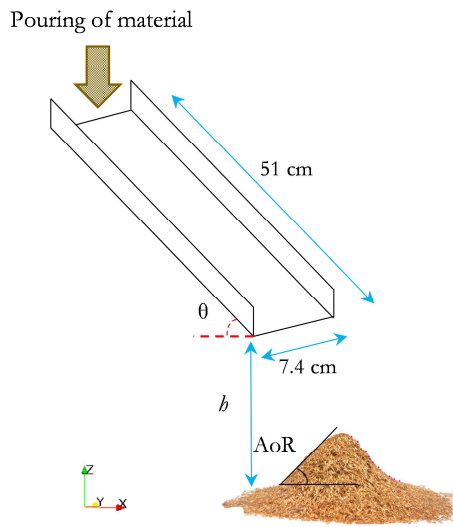
152 Conventional methods for measuring the AoR such as the lifting cylinder test [19] were tested in the  
153 preliminary stages of this work. Due to the cohesive strength and interlocking effects within biomass  
154 samples, stable structures were formed regardless of the filling method or the cylinder size. As a result,  
155 this method was unsuccessful to obtain a heap from which the AoR could be calculated.

156 A poured AoR method adapted from [33,34] was used in this work. Repeatable measurements of the  
157 AoR were attained by pouring 40 g of the powders manually over a stainless steel inclined surface, and  
158 then measuring the slope of the heap formed over a flat paper surface by the particles flowing out of the  
159 ramp (Figure 1). The inclination of the surface was fixed to be  $\alpha = 40^\circ$  for the coarse samples and  $\alpha = 50^\circ$   
160 for the finer. The inclination was measured using a calibrated angle-meter fixed to the inclined surface.  
161 Flow rate was controlled manually to avoid accumulation of the particles on the surface and set to be  
162 around 0.5 g/s. A camera taking images from a side view of the heap was placed always at the same  
163 position during the experiments. Each measurement was repeated seven times.

164 Image analysis using ImageJ [35] allowed heap's profile extraction and AoR determination by linear  
165 regression. Shape and symmetry of the heap were occasionally influenced by flow intermittencies, so  
166 values of AoR were calculated from the left side of the heap as it was the region less sensitive to abrupt  
167 perturbations.

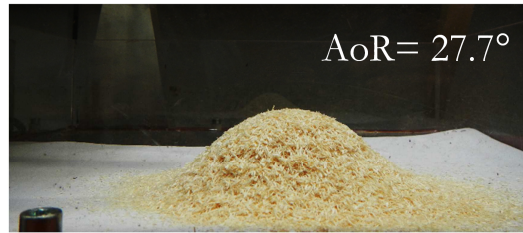


a.

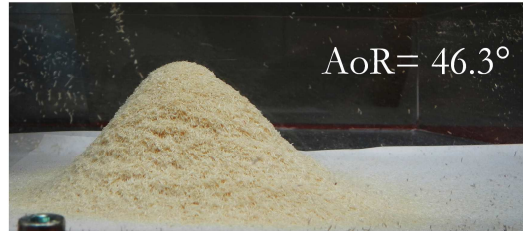


b.

Sample 1: 500-710 $\mu$ m



Sample 2: 200-315 $\mu$ m



168

169

Figure 1. AoR setup: a. Heap formation and main setup dimensions; b. Examples of biomass powders heaps.

170

For calculation of the AoR, a direct linear regression procedure using the heap's surface line instead of an indirect measurement from the heap's diameter and height [36] was preferred. Indeed, several authors have shown that there could be significant differences in AoR measurements depending on the chosen method of calculation, especially for asymmetric heaps [37–39]. Indirect methods can be very sensitive to the choice of the extreme points of the heap, while a calculation including the entire profile line represents better the pile shape. In all cases, relatively symmetric heaps were obtained and determination coefficients ( $r^2$ ) of linear regressions were always over 0.95.

177

### 2.2.2. Bulk density

178

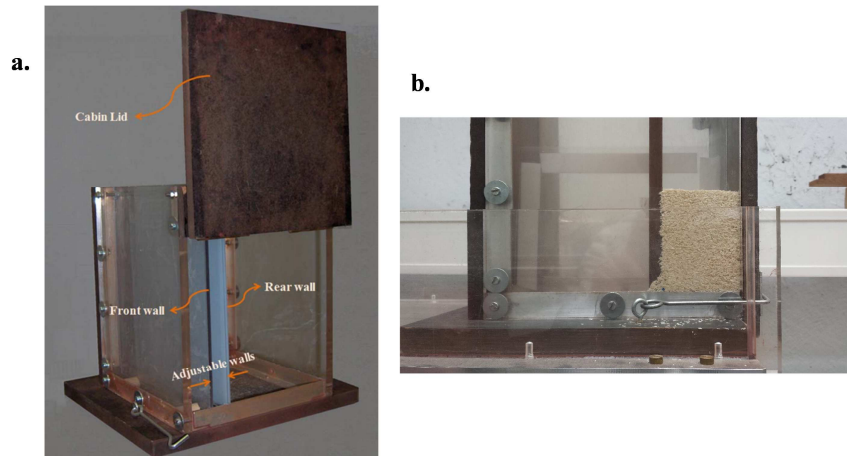
179

Loose bulk density,  $\rho_b$  in kg/m<sup>3</sup>, refers to the ratio of the mass of bulk sample  $m_l$  over its aerated volume  $V_l$  ( $\rho_b = m_l / V_l$ ). It represents the most loosely packed density of the material. Around 50 ml of oven-dried samples were smoothly poured into a graduated plastic vessel, and the mass of solid was then recorded. Each measurement was repeated for six refills using different oven-dried powder of the same sample.

182

183 **2.2.3. Rectangular container test**

184 Preliminary studies showed that calibrated parameters for biomass powders using only information  
185 from AoR and bulk density measurements did not represent a realistic cohesive flow behavior when  
186 particles settled inside a container. Rectangular containers, also called “shear box” or “ledge test”, have  
187 been used in previous research for DEM calibration tests [19,32,40]. Therefore, a rectangular container  
188 with adjustable walls was used in this work for complementary calibration (Figure 2). Walls were adjusted  
189 to adapt to the available volume of sample, so that the final dimensions of the container were 25 cm  
190 (height) x 6 cm (length) x 5.7 cm (width). The bulk material was poured in the volume and the powder’s  
191 surface was carefully kept flat at the end of the pouring. The final height of the stack was 7 cm. The cabin  
192 lid of the container was then lifted, and particles were allowed to flow out of the volume.



193  
194 *Figure 2. Rectangular container device. a. Container. b. Example of a sample inside a volume reduced by the adjustable walls.*

195 For simulation purposes, the retainment ratio  $p$  is defined by:

$$p = \frac{m_r}{m_0} \quad (3)$$

196 where  $m_r$  is the mass remaining in the volume after the cabin lid is lifted, and  $m_0$  corresponds to the mass  
197 initially poured inside the container.

198 **2.2.4. Ring shear tester**

199 A RST-XS Schulze ring shear tester [41,42] was used to assess the flow properties of the biomass  
200 powders. The ring shear tester is a widely-used device to measure flow properties of powders, including

201 unconfined yield strength, angle of internal friction and wall friction. The standard procedure leads to  
 202 results with low variability [41]. A consolidation stress  $\sigma_{pre} = 5$  kPa, considered as representative of the  
 203 stress range for industrial applications, was tested [43]. Three shear points at 25%, 50% and 75 % of  $\sigma_{pre}$   
 204 were used to determine the yield locus of each sample. The yield locus curves were regressed from  
 205 experimental points by a linear regression.

### 206 2.3.DEM simulations setup

207 This section contains an overview of the DEM contact model used in this work as well as the procedure  
 208 for representing particles characteristics through a multisphere and coarse-graining approach. Then the  
 209 simulation setup for each bulk test is presented.

#### 210 2.3.1. DEM contact model

211 In this study, simulations were run using the public version of LIGGGHTS 3.8.0 DEM code [44], on  
 212 a E5-2620 v4 2.10 GHz Intel® Xeon® machine with 125.8 GB of RAM, and with parallelization on 8  
 213 cores. A Hertz-Mindlin contact model along with an elastic-plastic spring-dashpot (EPSD2) rolling  
 214 friction model and a simplified Johnson-Kendall-Roberts (SJKR) cohesion model were used. The Hertz-  
 215 Mindlin model stands as the most commonly used contact model due to its efficient and accurate force  
 216 calculations. A representation of the contact model, accounting for a spring elastic force, a viscous  
 217 damping and a frictional slider in the tangential direction, is shown in Figure 3 [45]. At any time  $t$ , the  
 218 equations governing the translational and rotational motion of particle  $i$  of mass  $m_i$  and radius  $R_i$  can be  
 219 written as:

$$m_i \frac{d\mathbf{v}_i}{dt} = \sum_j (\mathbf{F}_{ij}^e + \mathbf{F}_{ij}^d + \mathbf{F}_{ij}^{coh}) + m_i \mathbf{g} \quad (4)$$

220 and

$$I_i \frac{d\boldsymbol{\omega}_i}{dt} = \sum_j (\mathbf{T}_{ij}^t + \mathbf{T}_{ij}^r) \quad (5)$$

221 Where  $\mathbf{v}_i$  and  $\boldsymbol{\omega}_i$  are the translational and rotational velocities of particle  $i$ , and  $I_i$  is the moment of inertia  
 222 of the particle. The forces involved are: the gravitational force  $m_i \mathbf{g}$  and the forces between particles (and  
 223 between particles and walls) which include the an elastic force  $\mathbf{F}_{ij}^e$ , a viscous damping component  $\mathbf{F}_{ij}^d$  and

224 cohesive contributions through the  $\mathbf{F}_{ij}^{coh}$  term. The torque acting on particle  $i$  due to particle  $j$  includes  
 225 two components:  $\mathbf{T}_{ij}^t$  which is generated by the tangential force and causes particle  $i$  to rotate, and  $\mathbf{T}_{ij}^r$ ,  
 226 the rolling friction torque generated by asymmetric distribution of normal contact force and slows down  
 227 the relative rotation between particles in contact [46]. If particle  $i$  undergoes multiple interactions, the  
 228 individual interaction forces and torques sum up for all particles interacting with particle  $i$ . The equations  
 229 for calculation of the particle-particle interaction forces within the Hertz-Mindlin contact model are listed  
 230 in Table 2.

231

232

233 Table 2. Equations for calculations of forces and torques on particle  $i$  according to the Hertz-Mindlin model.

Force or torque contribution	Equation
Normal elastic force, $\mathbf{F}_{ij,n}^e$	$k_n \delta_{ij,n} = -4/3 Y_{eff} \sqrt{R_{eff}} \delta_{ij,n}^{3/2}$
Normal damping force, $\mathbf{F}_{ij,n}^d$	$\gamma_n \mathbf{v}_{ij,n} = -\frac{2\sqrt{5/6 \ln(e)}}{\sqrt{\ln^2(e) + \pi^2}} \sqrt{2Y_{eff} (R_{eff} \delta_{ij,n})^{1/2}} m_{eff} \mathbf{v}_{ij,n}$
Tangential elastic force, $\mathbf{F}_{ij,t}^e$	$k_t \delta_{ij,t} = -8G_{eff} \sqrt{R_{eff}} \delta_{ij,n} \delta_{ij,t}$
Tangential damping force, $\mathbf{F}_{ij,t}^d$	$\gamma_t \mathbf{v}_{ij,t} = -\frac{2\sqrt{5/6 \ln(e)}}{\sqrt{\ln^2(e) + \pi^2}} \sqrt{8G_{eff} (R_{eff} \delta_{ij,n})^{1/2}} m_{eff} \mathbf{v}_{ij,t}$
Coulomb friction limit	$\delta_{ij,t}$ truncated to satisfy $\mathbf{F}_{ij,t}^e \leq \mu_s  \mathbf{F}_{ij,n}^e + \mathbf{F}_{ij,n}^d + \mathbf{F}_{ij,n}^{coh} $
Torque by tangential forces, $\mathbf{T}_{ij}^t$	$\mathbf{R}_{ij} \times (\mathbf{F}_{ij,t}^e + \mathbf{F}_{ij,t}^d)$
Torque by rolling friction, $\mathbf{T}_{ij}^r$	EPSD2 model

234 Where  $1/m_{eff} = 1/m_i + 1/m_j$ ,  $1/R_{eff} = 1/R_i + 1/R_j$ ,  $1/Y_{eff} = (1-\nu_i^2)/Y_i + (1-\nu_j^2)/Y_j$ ,  $1/G_{eff} = 2(2-\nu_i)(1+\nu_i)/Y_i + 2(2-\nu_j)(1+\nu_j)/Y_j$ ,  $\mathbf{R}_{ij} = R_i(\mathbf{r}_j - \mathbf{r}_i)/(R_i + R_j)$ ,

235  $e$ : coefficient of restitution,  $Y$ : Young's modulus,  $G$ : shear modulus,  $\nu$ : Poisson's ratio.

236 Regarding rolling friction modelling, the alternative elastic-plastic spring-dashpot model EPSD2  
 237 [47] adds an additional torque contribution to the particles motion given by:

$$\mathbf{T}_{ij}^r = \mathbf{T}_{ij}^{r,k} = -k_r \Delta \boldsymbol{\theta}_{r,ij} \quad (6)$$

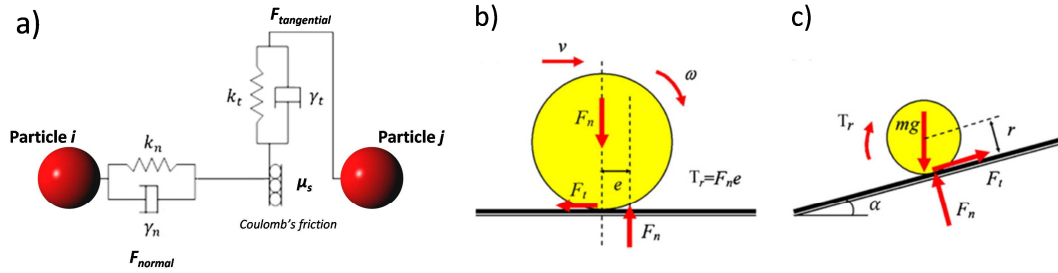
238 Where  $\mathbf{T}_{ij}^{r,k}$  is a torque component modelled as a mechanical spring,  $k_r$  is the rolling stiffness and  $\Delta \boldsymbol{\theta}_{r,ij}$  is  
 239 the incremental relative rotation between two particles. The torque contribution is truncated so:

$$\mathbf{T}_{ij}^{r,k} \leq \mathbf{T}_{ij}^{r,\max} = \mu_r R_{eff} \mathbf{F}_n \quad (7)$$

240 Where  $\mu_r$  is the rolling friction coefficient,  $R_{eff}$  the effective radius and  $\mathbf{T}_{ij}^{r,\max}$  being the limiting spring  
 241 torque which is achieved at a full mobilization rolling angle  $\theta_r^m$ . In the EPSD2 model, the rolling stiffness  
 242  $k_r$  is defined as:

$$k_r = k_t R_{eff}^2 \quad (8)$$

243 Where  $k_t$  corresponds to the tangential (i.e. shear) stiffness. Figure 3b shows the mechanism of rolling  
 244 resistance and the physical meaning of the coefficient of rolling friction  $\mu_r$ , which is a scalar value that  
 245 represents the eccentricity of the resulting normal force exerted by a surface on a rolling particle. In the  
 246 EPSD2 model  $\mu_r$  does not appear explicitly in the expression for the rolling stiffness (as for CDT or  
 247 EPSD models) but instead is used for restricting the maximum spring torque.



248  
 249 *Figure 3. Schematic representation of the contact model used in this study: a) Hertz-Mindlin contact model; b) Mechanism of*  
 250 *rolling resistance; c) rolling resistance angle [48]*

251 The simplified Johnson-Kendall-Roberts (SJKR) [49], used to simulate cohesion between particles, adds  
 252 an additional normal force  $\mathbf{F}_{ij,n}^{coh}$  tending to maintain the contact between two particles, given by:

$$\mathbf{F}_{ij,n}^{coh} = CED \cdot A \quad (9)$$

253 Where  $CED$  is the Cohesive Energy Density in  $\text{J}/\text{m}^3$  and  $A$  is the particle contact area.

### 254 2.3.2. Particles representation

255 The biomass powders were modelled as monodispersed populations of clumps of spheres (multi-  
 256 sphere method). Spheres comprising a multi-sphere particle are fixed in position relative to each other and  
 257 may overlap to approximate more closely to the actual particle shape [50]. The multi-sphere method

258 stands as one of the most general and most efficient method for representing shape within DEM. By  
 259 using a multi-sphere approach it is possible to ensure computational efficiency for contact detection and  
 260 force calculation and it is widely implemented in many DEM codes [51,52]. Multi-sphere representations  
 261 have previously been used for describing flow in silos of agricultural resources such as maize and rice  
 262 grains [53,54]. When using a multisphere approach, finding a trade-off between particles representation  
 263 accuracy and DEM run time is essential. For instance, in their study with maize grains, Markauskas et al.  
 264 [53] found that models with 6 sub-spheres successfully reproduced discharging time in silos, but similar  
 265 results could be obtained with 4 sub-spheres using another set of calibration parameters.

266 In order to reduce the number of spheres needed to represent one single particle, in this study  
 267 individual spheres were oriented over one single longitudinal axis, so the particles were needle-shaped  
 268 (Figure 4). To approximate the true morphology obtained by PSD measurements, a simplified model for  
 269 particle representation was proposed. In this model, the number of spheres in a clump,  $n_{sph}$ , is function of  
 270 the particles mean size ( $x_{50}$ ), the mean aspect ratio ( $a_{50}$ ) and an overlapping factor  $c$ .

271 The overlapping factor can be expressed as  $c = \lambda / x_{50}$ , where  $\lambda$  is the overlapping distance between  
 272 adjacent spheres in  $\mu\text{m}$  (Figure 4a). A value of  $c = 0$  means two spheres touching each other at one single  
 273 point and  $c = 1$  represents a total overlap between two contiguous spheres. As  $c$  increases the effective  
 274 roughness of the particle decreases. Previous work [52] suggested that reducing surface roughness by  
 275 increasing the number of spheres per clump did not necessarily lead to a better approximation of particles  
 276 behavior. A value of  $c$  of 20% was chosen as it is considered a good trade-off between the accuracy of  
 277 particles representation and the number of spheres needed.

278 The diameter of each sphere is set to be equal to the mean minimum Feret diameter of the  
 279 population,  $x_{50}$ . Therefore, the length of the clump ( $l_{clump}$ ), which corresponds to the mean maximum  
 280 Feret diameter, can be calculated as follows:

$$l_{clump} = x_{50} / a_{50} = n_{sph} \cdot (x_{50} - \lambda) + \lambda \quad (10)$$

281 So, from the definitions of  $a_{50}$  and  $c$ , the number of spheres needed per clump is:

$$n_{sph} = \frac{1/a_{50} - c}{1 - c} \quad (11)$$

282 The calculated values were rounded to the closest integer and the length of the clump recalculated  
 283 accordingly.

284 Calculation of bulk density from simulations needs the value of the mass of each clump, which is  
 285 computed from the clump's volume, given by:

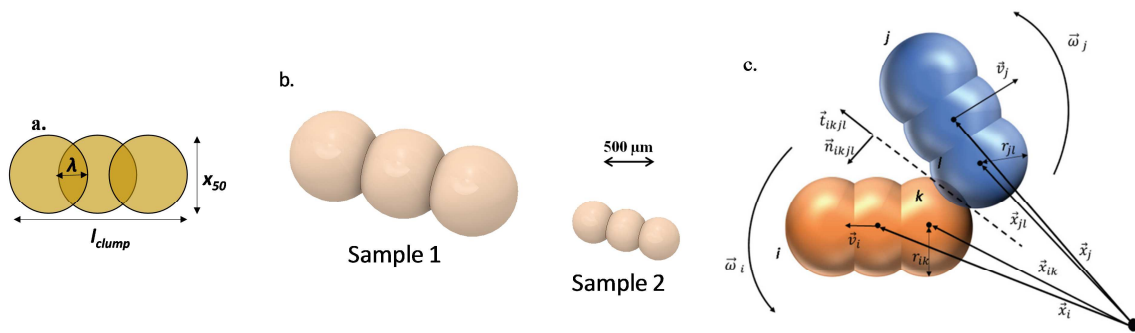
$$V_{clump} = n_{sph} \pi r^3 \left( \frac{4}{3} - \frac{n_{sph} - 1}{12n_{sph}} (6 - 2c)(4c^2) \right) \quad (12)$$

286 Where  $r$  is the spheres radius  $r = x_{50} / 2$ . Table 3 shows the model parameters used for particles  
 287 representation. For the sake of comparison with spherical models for particle representation, the  
 288 equivalent radius of a sphere having the same volume as one individual clump ( $R_{eq}$ ) is also reported.

289 Table 3. Parameters of multispheres model for particles representation

	Sample 1	Sample 2
$n_{sph}$	3	3
$r$ ( $\mu\text{m}$ )	373	176
$l_{clump}$ ( $\mu\text{m}$ )	1940	915
$V_{clump}$ ( $\text{mm}^3$ )	0.6282	0.0658
$R_{eq}$ ( $\mu\text{m}$ )	531	251

290



291

292 Figure 4. Particles representation for biomass samples. a. Nomenclature of main dimensions in a clump. b. Samples multispheres

293 models (true relative size). c. Representation of a collision between spheres  $k$  and  $i$  within two multi-sphere particles ( $\vec{t}_{ijkl}$  :

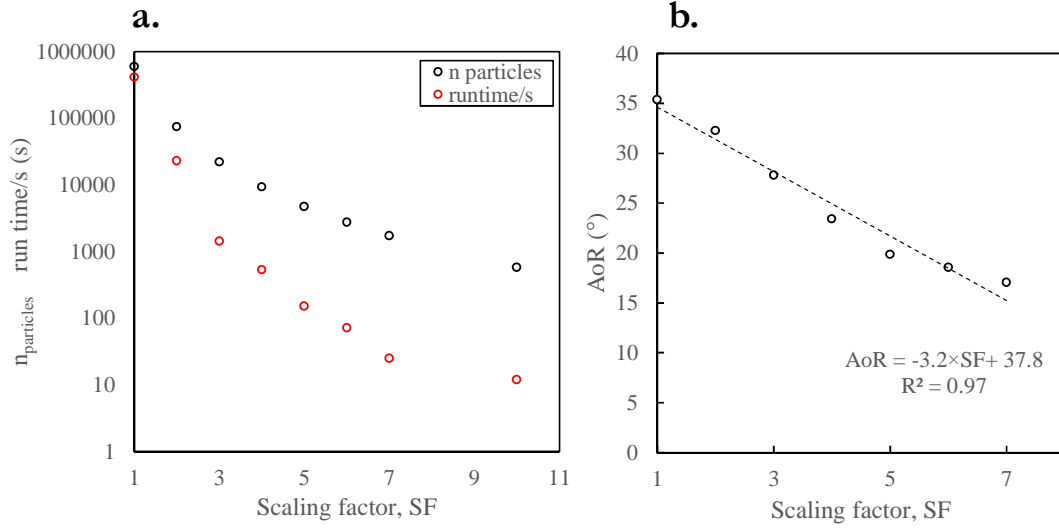
294 tangential unit vector,  $\vec{n}_{ijkl}$  : normal unit vector of contact zone)[55].

### 295 2.3.2.1. Scaling up particle size

296 Since parameters calibration commonly involves running an extensive amount of simulations, the  
297 representation of the actual number of particles used during the bulk tests would not be possible within a  
298 realistic frame time. A scaling factor,  $SF$ , can be defined as the ratio between the simulated particle radius  
299 and the actual value from PSD. As shown in Figure 5a, the computational time required to simulate one  
300 real-time second of heap formation for sample 1 significantly decreases by increasing the scaling factor, as  
301 the number of particles ( $n_{particles}$ ) decreases. A series of test runs were performed to assess the effect of  
302 scaling particle size up on the AoR of the heap formed using non-calibrated parameters ( $\mu_s = 0.9$ ,  $\mu_r = 0.5$   
303 and  $CED = 0 \text{ J/m}^3$ ). Testing values of  $SF$  over 7 leads to high uncertainty on the value of AoR as the  
304 number of particles is not enough to form a proper heap. The linear downward trend showed in Figure 5b  
305 clearly indicates that scaling effects on the AoR are not negligible. This is in contrast with results by  
306 Roessler and Katterfeld [19] who found AoR to be scale-independent. However, their conclusions  
307 referred to the case of quasi-static formation of a heap using lifting cylinder setups, which is hardly the  
308 flow condition of particles forming the heap in this work.

309 In the aim of reducing computing time, a coarse-graining approach was followed and a trade-off  
310 between the actual representation accuracy and the calculation effort was made by scaling particles size up  
311 by a factor of 4. Since the effect of the scaling factor on the bulk responses could vary depending on the  
312 values of the calibrated parameters, calibration was made using the actual values from experiments  
313 (instead of, for example a value corrected by the  $SF$  using the trend of Figure 5b). This allowed to run a  
314 typical heap formation simulation in approximately 1 hour for coarse particles and in 8 hours for fine  
315 particles, while several weeks would be needed to run a single simulation of fine particles at their actual  
316 size.





317

318 *Figure 5. Influence of scaling particles size up for sample 1: a. Number of particles and simulation time. b. Angle-of-repose value.*

### 319 2.3.3. Time-step

320 Because of the explicit numerical scheme used for DEM integration, only relatively small time-step

321 values ( $\Delta t$ ) guarantee stable simulations. A common strategy to fix a value for  $\Delta t$  is based on the

322 Rayleigh ( $\Delta T_R$ ) and Hertz ( $\Delta T_H$ ) critical time-steps, calculated as [56]:

$$\Delta T_R = \frac{\pi r \sqrt{\rho / G}}{0.1631\nu + 0.8766} \quad (13)$$

$$\Delta T_H = 2.87 \left( \frac{m_{\text{eff}}^2}{r_{\text{eff}} Y_{\text{eff}}^2 V_{\text{max}}} \right)^{0.2} \quad (14)$$

323 Where  $r$  is the minimum particle radius in the system and  $V_{\text{max}}$  is the maximum relative velocity. The

324 other parameters correspond to those defined previously in Section 2.3. In this work, both critical time-

325 steps were calculated during DEM integration and the simulation time-steps were fixed to be lower than

326 10% of  $\Delta T_R$  and  $\Delta T_H$ . Values for both samples are reported in *Table 4*.

### 327 2.3.4. Angle-of-Repose and bulk density determination from simulations

328 The experimental test shown in Figure 1 was numerically replicated using LIGGGHTS. To reduce the

329 simulation time, the simulated conveyor length is reduced to a half of the experimental length. The angle-

330 of-repose and bulk density were calculated using the positions of the particles in the heap at the end of the

331 simulation when all the particles are in a stable state. To avoid underestimation of those two bulk values,  
 332 the particles non-connected to the heap were not considered in the calculation.

333 As for the experiments, the angle of repose in the  $xz$ -plane was calculated for the left side of the heap.  
 334 At first, the positions of the particle at the top and the particle at the leftmost of the heap were identified  
 335 to determine the domain of the angle-of-repose slope. The particles in this domain were then binned into  
 336 20 equally-spaced horizontal layers. For each layer, the  $x$  and  $z$  coordinates of the top particle on the slope  
 337 were identified. The angle of repose was then determined using linear regression of these 20  $x$ - $z$   
 338 coordinates on the slope. The number of layers = 20 was chosen as it is high enough to produce stable  
 339 values of AoR and high correlation coefficient values for all calculations.

340 The bulk density of the heap was calculated from its bulk mass divided by its aerated volume. The  
 341 bulk mass is equal to the mass of one clump multiplied by the number of clumps in the heap. The concept  
 342 of numerical integration in volume calculation was implemented in a C++ algorithm to estimate the  
 343 aerated volume of the heap (analytically as the left-hand side of Eq. 15, and numerically “discretized”, as  
 344 the right-hand side of the Eq. 15):

$$\iint_{x_{min}, y_{min}}^{x_{max}, y_{max}} h(x, y) dx dy \approx \sum_1^{n_x} \sum_1^{n_y} h(x_i, y_i) \Delta x \Delta y \quad (15)$$

345 where  $x_{min}$ ,  $x_{max}$ ,  $y_{min}$ ,  $y_{max}$  are the minimum and maximum of the  $x$  and  $y$  coordinates of particles inside  
 346 the heap, respectively,  $n_x$  and  $n_y$  are the number of discretization intervals in the  $x$  and  $y$  directions,  $\Delta x$  and  
 347  $\Delta y$  are the distances between two adjacent sample points corresponding to  $x$  and  $y$  directions and  $h(x_i, y_i)$  is  
 348 the height of the heap at the coordinate  $x_i, y_i$ . The choice of  $n_x$  and  $n_y$  is a trade-off between the numerical  
 349 accuracy and the computational time. It should be high enough to mitigate the estimation error and as the  
 350 same time it should be as low as possible for quick calculations and less consuming use of computer  
 351 memory. In the present work,  $n_x$  and  $n_y$  were set to 10000. This number of sample points guarantees that  
 352 each calculation takes only few seconds on a normal desktop computer and the results of bulk volume  
 353 converge.

354 *2.3.5. Ring shear tester simulations*

355 The simulated geometry of a ring shear tester cell (Figure 6) is analogous to the experimental device  
356 described in Section 2.2.4. Previous research [57] has shown that the yield stress was independent of the  
357 shear cell size in simulations. However, a high particle-to-cell size ratio inside the shear cell could lead to  
358 erroneous values of the shear measurements [58], so the geometry was also scaled up by a  $SF = 4$ . A  
359 servo-control functionality of LIGGGHTS was applied to the top lid so the vertical component of the  
360 applied stress was continuously updated and maintained constant during the simulation. As in  
361 experiments, a pre-shear stage at  $\sigma_{pre} = 5$  kPa followed by a shear at  $\sigma = 2.5$  kPa was simulated. Shear stress  
362 is calculated from the z-component of the torque exerted over the top lid surface as follows [41]:

$$\tau = \frac{M_D}{r_m A_D} \quad (16)$$

363 Where  $M_D$  is the torque acting during shear on the top of the lid,  $r_m = \left(\frac{2}{3}\right)(r_{out}^3 - r_{in}^3) / (r_{out}^2 - r_{in}^2)$  is the  
364 moment arm and  $A_D = \pi(r_{out}^2 - r_{in}^2)$  is the area of the lid, with and the outer  $r_{out}$  and inner  $r_{in}$  radii of the  
365 top lid, respectively. Other input parameters needed for the ring shear tester simulations are listed in *Table*  
366 *4*.

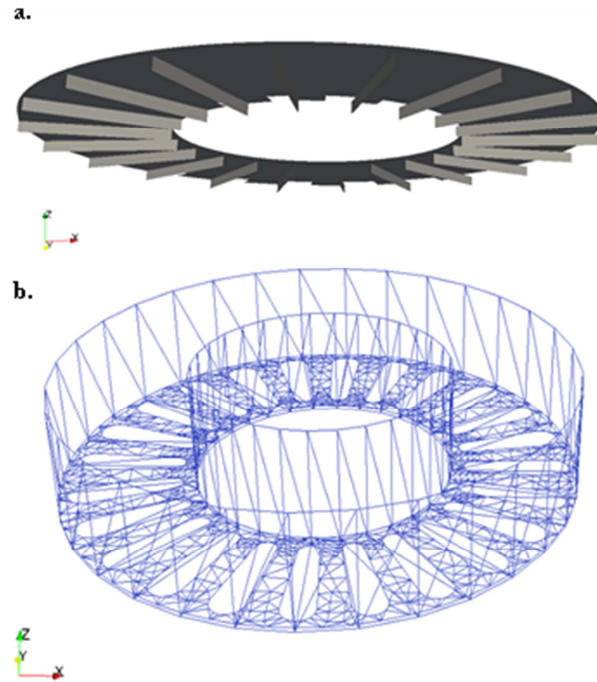


Figure 6. Geometry of the simulated ring shear tester. a. Top lid. b. Bottom cell.

#### 2.4. Calibration approach

The flowchart of the calibration approach is presented in Figure 7. Regarding the choice of the parameters to be calibrated, a minimization of their number is desired since each additional parameter increases the complexity of the calibration. The previous research highlighted the high influence of the sliding and rolling friction coefficients on the angle-of-repose obtained in DEM simulations [19,22,59]. As the particle to wall interactions are very specific to each industrial or scientific problem, in this research only interparticle interaction parameters are calibrated: the sliding friction coefficient  $\mu_s$ , the rolling friction coefficient  $\mu_r$  and the cohesion energy density  $CED$ . All the other input values needed for the DEM model are shown in Table 4 and were set based on literature values for woody materials [6]. Preliminary simulations did not show significant effects of the particles' density on the AoR nor the void fraction inside the heap, so the value is set to 1000 kg/m<sup>3</sup> for the fine samples in order to increase the time-step allowing stable simulations.

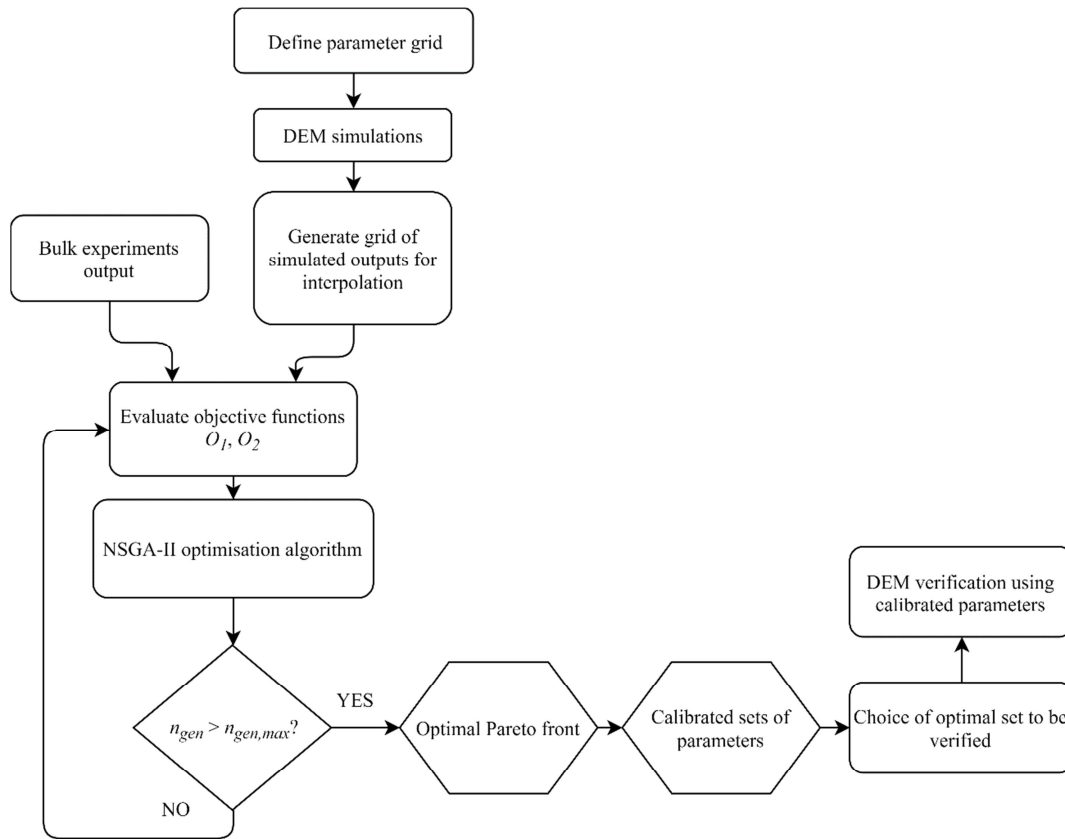


Figure 7. Flowchart of the calibration procedure.

#### 2.4.1. Selection and range of values of parameters to be calibrated

The tested values of each calibration parameter are shown in Table 5. If 5 values for each variable were to be tested,  $5^3 = 125$  simulations would be needed using a full factorial combination of variables. This is feasible in a practical time-frame for coarse samples (Sample 1) but for fine powders (Sample 2), even if using a coarse-graining approach, the high computation time required imposed a reduction of the number of values of the calibrated parameters from 5 to 3 (27 simulations). These values are the maximum, minimum and mean values written in bold type in Table 5. The full experimental plan of this work is presented in Appendix 1.

395 *Table 4. DEM simulation parameters for particles and walls*

Parameter	Sample 1	Sample 2
Poisson's ratio (particle-particle)		0.3
Poisson's ratio (particle-walls)		0.3
Particles density, kg/m <sup>3</sup>	350	1000
Young's modulus (particle-particle), Pa		5 × 10 <sup>6</sup>
Young's modulus (particle-wall), Pa		5 × 10 <sup>6</sup>
Coefficient of restitution (particle-particle)		0.1
Coefficient of restitution (particle-walls)		0.1
Coefficient of sliding friction (particle-steel)		0.4
Coefficient of sliding friction (particle-paper surface)		0.5
Coefficient of rolling friction (particle-walls)		0.5
Cohesion Energy Density (particle-walls), J/m <sup>3</sup>		0
Time-step (s)	1 × 10 <sup>-5</sup>	7 × 10 <sup>-6</sup>
Total number of particles (3 particles/clump)	10638	81309
Factory mass flow, heap AoR test, kg/s		5 × 10 <sup>4</sup>
<b>Ring shear tester simulations</b>		
External radius, top lid, mm		127
Internal radius, top lid, mm		65
Depth, bottom cell, mm		52
Total number of particles (3 particles/clump)	15000	150000
Rotational speed, top lid, deg/s		18

396

397 *Table 5. Set of calibration parameters tested.*

Parameter	Variable values				
Coefficient of sliding friction ( $\mu_s$ )	0.1	0.3	0.5	0.7	0.9
Coefficient of rolling friction ( $\mu_r$ )	0.1	0.3	0.5	0.7	0.9
Cohesion Energy Density, <i>CED</i> (J/m <sup>3</sup> )	0	10000	20000	50000	80000

398

### 399 2.5. Optimization problem setup (genetic algorithm)

400 The optimization was carried out using a multiobjective evolutionary algorithm (Non-dominated  
 401 Sorting Genetic Algorithm II, NSGA-II) [60] proven successful for DEM calibration [24,25]. Input  
 402 parameters for the genetic algorithm are listed in Table 6. By using 10 bits for encoding each calibration  
 403 parameter, the number of possible values for each parameter is  $2^{10} = 1024$ . Since 3 parameters are being  
 404 optimized, each “individual” in the population is encoded by a binary string of length 30 bits. This leads to  
 405 the numerical precision listed in Table 6 for each parameter.

406 *Table 6. Input parameters of NSGA-II*

Population size	2000
Chromosome length (bit)	30
Maximum number of generations	100
Crossover probability	0.9

Mutation probability	0.01
----------------------	------

407 Two specific objective functions were defined for the minimization of the discrepancy between  
 408 numerical and experimental results. The first objective function  $O_1$  is defined as the total relative error  
 409 between the simulation results and the experimental measurements of AoR and bulk density:

$$O_1 = \frac{|AoR_{ex} - AoR_{sim}|}{AoR_{ex}} + \frac{|\rho_{ex} - \rho_{sim}|}{\rho_{ex}} \quad (17)$$

410 In this optimization function, the weights of the two error components are equally contributing to  
 411 the total simulation error.

412 The second objective function  $O_2$  is based on the rectangular container output and aims to reduce the  
 413 difference between the simulated and the experimental  $p$  factor:

$$O_2 = \frac{|p_{ex} - p_{sim}|}{p_{ex}} \quad (18)$$

414 *Table 7. Numerical precision of binary encoded factors.*

Parameter	Interval	Numerical precision
$\mu_s$	[0.1, 0.9]	$7.81 \times 10^{-4}$
$\mu_r$	[0.1, 0.9]	$7.81 \times 10^{-4}$
<i>CED</i>	[0, 80000]	78.125

415

## 416 3. RESULTS AND DISCUSSION

### 417 3.1. Bulk behavior tests

#### 418 3.1.1. Bulk density

419 The experimental results in the Table 8 show an effect of particle characteristics on bulk density of  
 420 the samples. Interestingly, regarding the particle size, smaller values of bulk density were systematically  
 421 found for powders with the lowest granulometry. Finer samples had a bulk density 10 % lower than  
 422 coarse samples. When dealing with non-cohesive materials, a better spatial arrangement of particles is  
 423 obtained for finer particles so, generally, a decrease of particle size is accompanied by an increase in bulk  
 424 density. The opposite trend observed for biomass samples would be due to cohesion effects: the presence

425 of fine cohesive particles creates bigger void spaces which reduces bulk density. Similar results were  
426 observed by Mani et al. [61] for wheat and barley straws, corn stover and switchgrass samples.

### 427 *3.1.2. Angle of Repose tests*

428 The mean values of the angles of repose are reported in Table 8. The values of the standard deviation  
429 are also reported and show that error was always below 4 %, meaning a relatively good reproducibility.

430 There is an obvious effect of the sample characteristics on the AoR of the heaps formed. The finer sieving  
431 cut (sample 2) formed steeper heaps with values of AoR 67 % greater than the coarse cut (sample 1).

432 *Table 8. Bulk behavior experiments results (standard deviation is reported in parentheses).*

Sample	1 (Coarse sieving cut)	2 (Fine sieve cut)
$\rho_b$ (kg/m <sup>3</sup> )	184.2 (5.7)	165.6 (5.1)
AoR (°)	27.7 (0.7)	46.3 (1.6)

433

434 Following the classification criteria based on the AoR established by Ileji et al. [62] for lignocellulosic  
435 plant biomass, coarse poplar powders could be classified as free flowing, while fine powders are rather  
436 poor flowing.

### 437 *3.1.3. Rectangular container test*

438 No biomass particles were observed to flow when the lid of the rectangular container was lifted.  
439 Indeed, very stable stacks of particles were formed for all the samples. This is the result of the combined  
440 effect of particles shape and size that trigger interlocking and interparticle cohesive forces. As result of  
441 this, the retainment ratio  $p$  (Eq. 3) was found to be 1 for both samples.

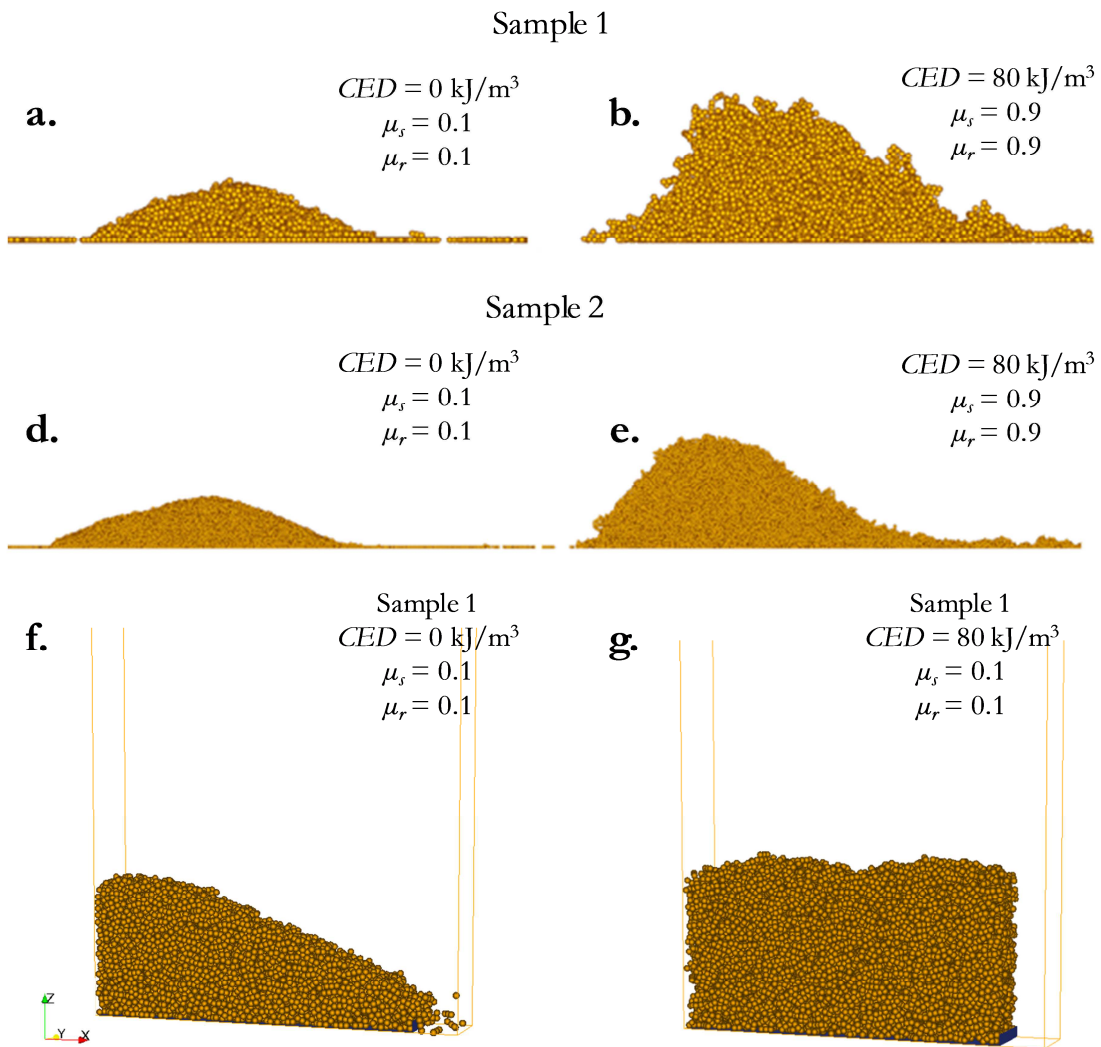
## 442 *3.2. DEM simulations*

443

444 Figure 8 shows some typical heaps and rectangular containers obtained by DEM modelling for both  
445 samples, along with the values of the calibration parameters used. Visually, the effect of modifying  
446 contact model parameters is evident. The situations represented on the left side of the Figure 8 show a  
447 material with a rather free flowing behavior, while images on the right side of Figure 8 represent rather a



448 very cohesive behavior, with greater angles of repose and the formation of a stable stack of particles inside  
 449 a container.



450

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Figure 8. Examples of heaps obtained and rectangular container test from DEM simulations.

452

### 3.2.1. Pareto chart analysis

453

454

455

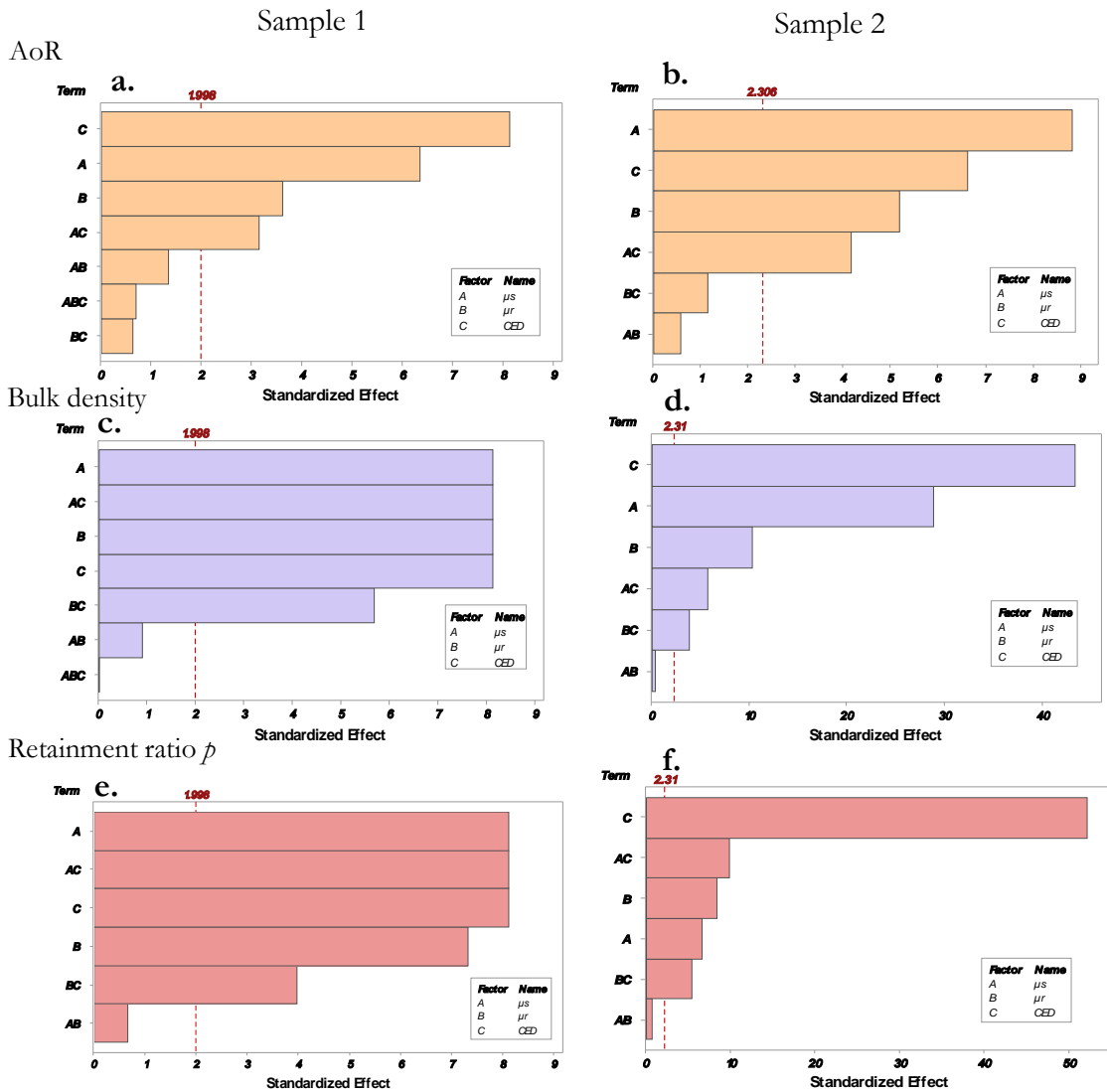
Determining if variation of DEM parameters produce discriminative effects in bulk responses is important to reduce the number of calibration inputs. For this purpose, a statistical analysis using Pareto charts was used in this work.

456

457

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A Pareto chart allows to compare the relative magnitude and the statistical significance of effects of tested variables on the measured responses. Details on the elaboration and interpretation of the Pareto charts can be found in [63].



459

460 Figure 9. Pareto charts of the standardized effects.

461 For the coarse samples, the *CED* has the biggest effect on the values of AoR (Figure 9a). In a  
 462 lower degree, sliding friction, rolling friction and the combined effect of sliding friction and *CED* also  
 463 influenced the AoR. In the case of the bulk density (Figure 9c), all the 3 factors seem to have the same  
 464 level of influence on the response, as well as the combined effects of  $\mu_s$ - *CED*. Regarding the retainment  
 465 ratio, although *CED* and  $\mu_s$  and their interactions had the greater effect, rolling friction also played a role  
 466 in controlling the number of particles remaining in the container after the lid is opened (Figure 9c).

467 For the fines sample,  $CED$  was the predominant influential factor for both bulk density and  $p$   
468 ratio responses (Figure 9b,d), while in the case of AoR,  $\mu_s$  had a greater effect (Figure 9f).

469 These results suggest that the three chosen calibration parameters were important in controlling the  
470 measured responses and determined the main effects that influence the system. In addition, the relative  
471 effects were different depending on the analyzed response. Therefore, their calibration is required.

### 472 3.2.2. *Heap angle of repose and bulk density*

473 Figure 10 and Figure 11 show 2D contour surface representations of the effects of the calibration  
474 parameters on the AoR, the bulk density and the retainment ratio  $p$ . For clarity reasons, only surfaces for  
475  $CED = 0, 20$  and  $80 \text{ kJ/m}^3$  are presented. Contour surfaces of the  $p$  ratio for  $CED = 80 \text{ kJ/m}^3$  are not  
476 shown as all simulations led to  $p \approx 1$ , regardless of  $\mu_s$  and  $\mu_r$  values. These representations clearly show the  
477 coupled effects that sliding and rolling friction coefficients as well as cohesion have on the three responses  
478 analyzed.

479 From the contours of Figure 10 it is noticeable that a single value of the bulk responses can be  
480 achieved from a wide range of parameters (each contour line spans over a wide range of both sliding and  
481 rolling friction coefficients and the same colors in the color scale can be found in two or more different  
482 graphs). This highlights the importance of choosing enough bulk setups and responses for a robust DEM  
483 calibration.

484 In the case of the AoR response, changing cohesion level affects the trends of the surfaces, showing  
485 that effects of  $\mu_s$  and  $\mu_r$  are dependent on each other but also on  $CED$  magnitude. This is especially  
486 noticeable for the highest values of  $CED$ . For non-cohesive simulations (Figure 10a), rolling friction  
487 effects are more important when sliding friction is increased, and maximum values of AoR are attained for  
488 the highest values of  $\mu_s$  and  $\mu_r$ . This is in agreement with previous results by Wensrich and Katterfeld [64]  
489 who stated that the only way in which a large angle of repose could be achieved was if both of these  
490 mechanisms (rolling and sliding) worked together. However, interestingly, when cohesion is included,  
491 even if AoR tends to increase with increase in  $\mu_s$  and  $\mu_r$  values, maximum AoR values do not necessarily  
492 correspond to the highest values of  $\mu_s$  and  $\mu_r$ ; instead, they are located at intermedium values of  $\mu_s$  and  $\mu_r$ .

493 Figure 10c shows that effects of rolling and sliding friction follow a less monotonous trend when  $CED$  is  
494  $80 \text{ kJ/m}^3$ . A reason for this is that, for values of  $CED$  over  $50 \text{ kJ/m}^3$ , particles flowing over the conveyor  
495 tend to form relatively stable agglomerates that are spread over the heap's surface, forming heaps with a  
496 rougher and more irregular surface (Figure 8b). Therefore, AoR determination for very cohesive  
497 simulations could lead to values with higher uncertainty as heap profiles are less well-described by linear  
498 regressions. This can be quantified through the calculation of the average coefficient of determination ( $r^2$ )  
499 as function of the  $CED$  values (Figure 12). Indeed, a downward trend which is more marked for the  
500 sample 1 (coarse particles) than for sample 2 (fine particles) was observed.

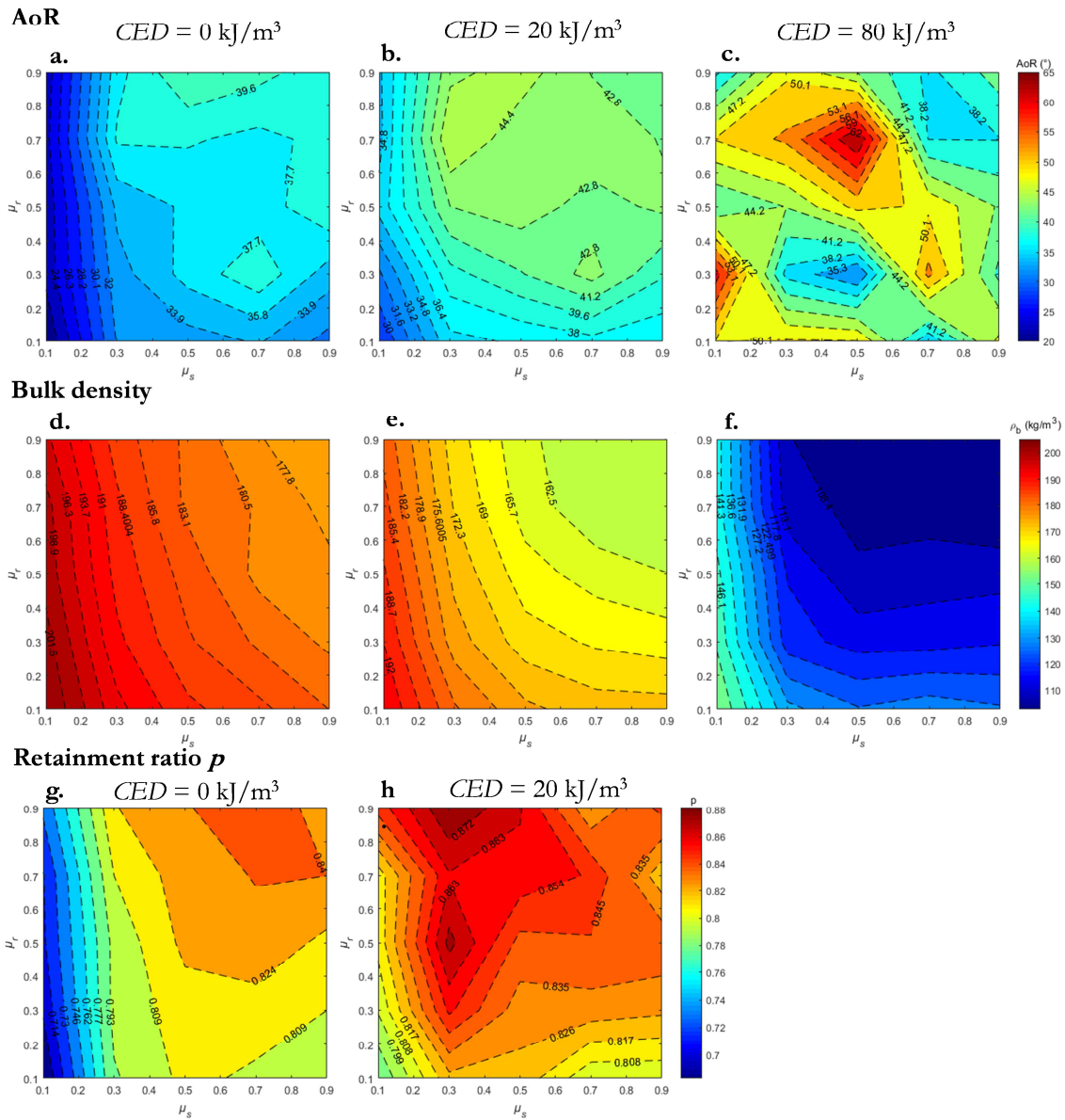
501 As for the AoR, effects of calibration parameters on bulk density go hand-in-hand. Bulk density  
502 decreases when friction and cohesion are increased or when the rolling is more restricted (so a less  
503 "spherical behavior"). This is the result of a higher void created between particles when normal forces are  
504 allowed to dissipate to a bigger extent through bigger  $\mu_s$  values. Increasing the rolling resistance and  
505 cohesion also prevent particulates from finding a more compact spatial arrangement, so void fraction  
506 could be reduced. Bulk density seems to be sliding dominated for the values on top left of the contour  
507 figures ( $\mu_s < 0.3$ ) and rolling dominated for the values at the bottom right corner on those representations.  
508 This accentuates when cohesion is increased.

509 For sample 1, experimental values of AoR and bulk density (AoR =  $27.7^\circ$ ,  $\rho_b = 184.2 \text{ kg/m}^3$ ) can only  
510 be found for the lower values of cohesion, but a high  $p$  ratio needs a high value of  $CED$ . Thus, a trade-off  
511 through optimization has to be found.

512 Regarding sample 2, small effects of cohesion on the AoR were found within the range 0 to  
513  $20 \text{ kJ/m}^3$ . Unlike sample 1, a more gradual increase of AoR with rolling resistance increase was found for  
514  $CED = 80 \text{ kJ/m}^3$ . Regarding bulk density, similar trends were found between both samples. Target values  
515 (AoR =  $46.3^\circ$ ,  $\rho_b = 165.6 \text{ kg/m}^3$ ) can be found on Figure 11c and Figure 11e, but as for sample 1, a  $p$   
516 value of 1 is only possible for the most cohesive sets of simulations.

517

Sample 1



518

519

Figure 10. Contour surface responses for sample 1.

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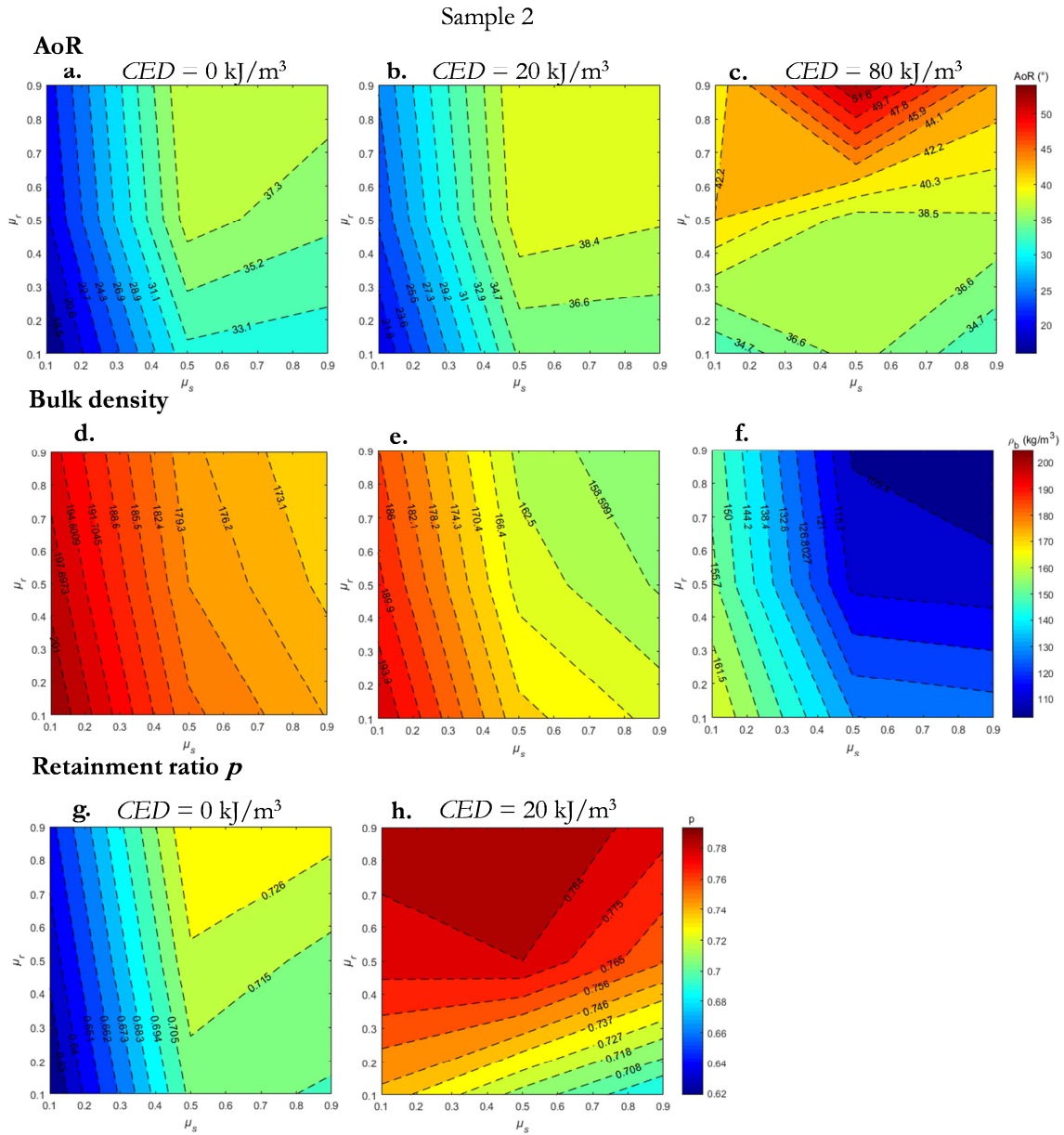
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In order to reach values of  $p$  ratio close to 1, a particle's shape representation that strengthens particles spatial interlocking could reduce the need for high  $CED$  values (e.g. through non-axial or hooked shapes). Nevertheless, this would typically require a particle's model including more spheres per clump, as well as a highly polydisperse system, which would reduce simulation performances beyond a practical interest.

525 Globally, when comparing simulations for samples 1 and 2 with low-mid cohesion, relatively  
 526 similar values of AoR and bulk density were found for the two samples when calibration parameters were  
 527 the same. On the contrary, the experimental results for samples 1 and 2 were significantly different.

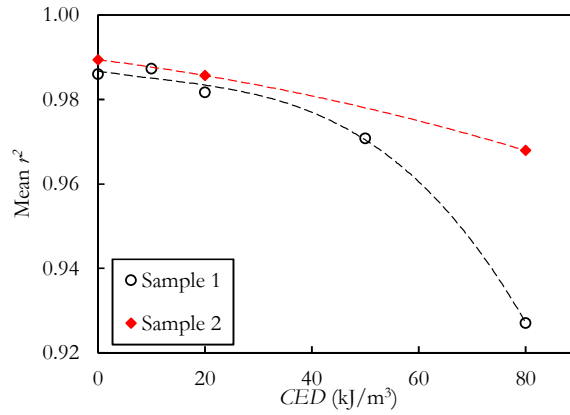


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529

Figure 11. Contour surface responses for sample 2.

530



531

532

Figure 12. Average coefficients of determination of heaps profiles.

533

### 3.2.3. Main effects of calibrated parameters

534

535

536

Using MINITAB's tool for analysis of factorial designs [65], the main effects plots presented in Figure 13 were obtained. These plots are useful for quantitatively assessing the influence of each level of  $\mu_s$ ,  $\mu_r$  and  $CED$  on the mean responses of AoR, bulk density and  $p$  ratio.

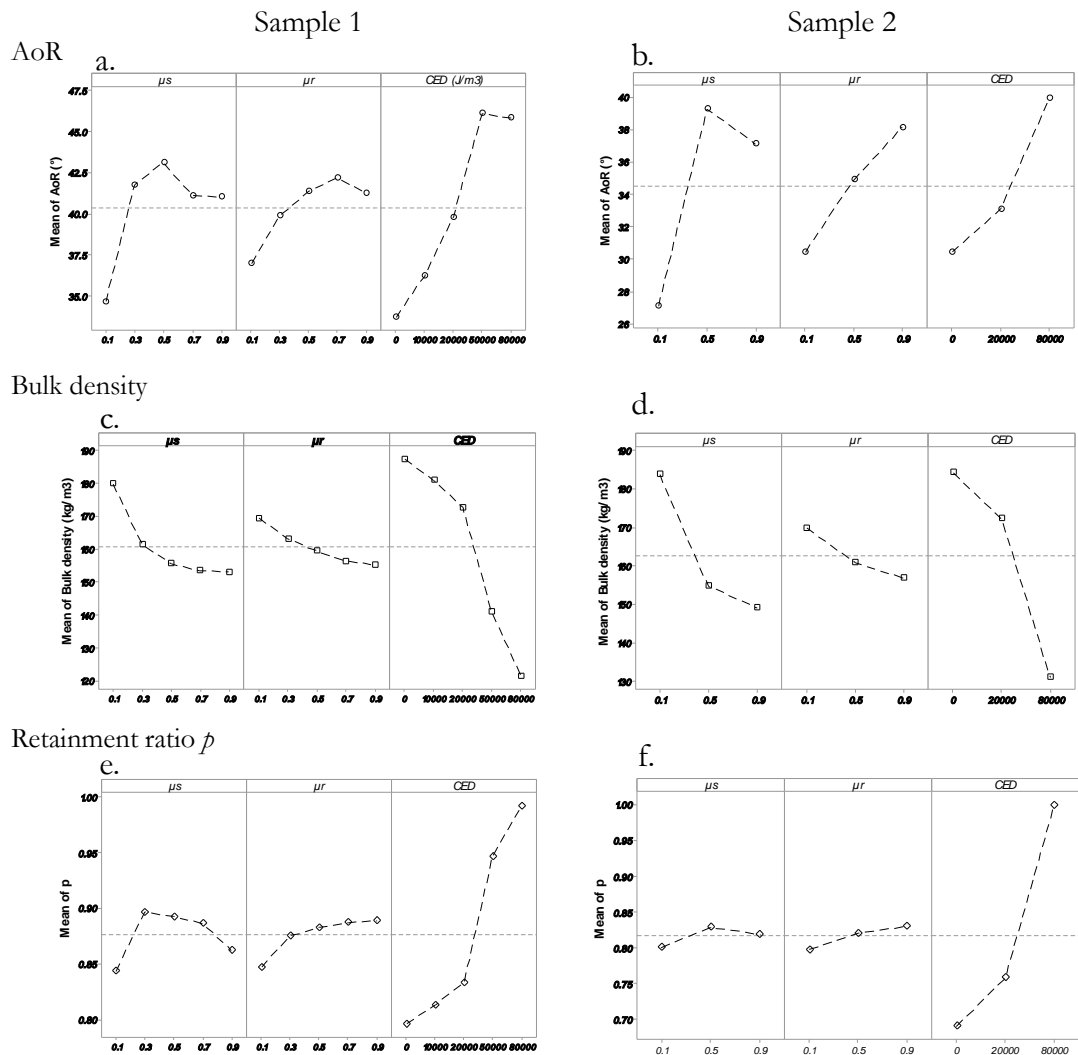


Figure 13. Main effects plots. The dotted horizontal line represents the overall mean.

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547

Globally, similar trends were found for both samples, both qualitatively and quantitatively, with a greater resolution for sample 1 than that for sample 2 as more parameters values could be tested. In the case of the coarse sample 1, a sharp increase (21%) of AoR was observed as  $\mu_s$  increased from 0.1 to 0.3. In average, higher values of AoR were obtained for  $\mu_s = 0.5$ . Sliding friction governs the translational motion of the particles by defining the magnitude of normal force that it is dissipated as a tangential component. This means that a large sliding friction coefficient can tolerate a large magnitude of the elastic deformation in the tangential direction and enhance the stability of the individual contacts amongst particles. However, sliding friction defines only a truncation parameter of the tangential force and not its absolute value when the truncation criteria is not met. This could explain the important gap of AoR



548 observed when  $\mu_s$  is increased from 0.1 to 0.3 and the low AoR variation when  $\mu_s$  is increased above 0.3.  
549 For very low values of  $\mu_s$  the tangential displacement is highly constrained and corresponds to the value  
550 defined by the product of  $\mu_s$  and the normal force on particles (Coulomb's friction limit). For higher  
551 values of  $\mu_s$  the probability of normal forces exceeding the truncation criteria is reduced and therefore  
552 further increase of  $\mu_s$  would have a lower effect on the variation of the tangential dissipation and therefore  
553 on the angle of repose.

554         Increasing rolling friction from  $\mu_r = 0.1$  to  $\mu_r = 0.7$  gradually increased the mean AoR by +15 %  
555 as shown in Figure 13a. A large rolling friction coefficient means a large resistance force to the rotational  
556 movement of the spheres clump, which provides an effective mechanism to consume the kinetic energy  
557 and reduce the rotational motion, leading to the formation of heaps with higher potentials and AoR [66].

558         The greatest variation of AoR was observed when the *CED* effect is analyzed: AoR below 35° were  
559 obtained for non-cohesive simulations while the highest values of *CED* led to AoR over 46° (+36 %).

560         Regarding bulk density, the downward trends of Figure 13c-d summarize the observations made for  
561 the contour surfaces presented in section 3.2.2. The increase of sliding and rolling friction coefficients  
562 triggered a less compacted settlement of particles inside the heap, thus creating more void spaces and  
563 reducing bulk density. For values of  $\mu_s, \mu_r > 0.7$  bulk density seems to reach a low plateau. As for *CED*  
564 effect, an important decrease of  $\rho_b$  by 36% and 30% for sample 1 and sample 2 respectively was observed  
565 when non-cohesive and highly cohesive simulations ( $CED = 80 \text{ kJ/m}^3$ ) are compared.

566         For both samples, there is a peak of  $p$  ratio when varying  $\mu_s$  values from 0.1 to 0.9. Interestingly, in  
567 the case of the coarse sample, increasing sliding friction coefficient to 0.9 lead to much more particles  
568 flowing out of the container. This is probably because when increasing friction, particles at the border of  
569 the stack are more likely to be dragged by particles flowing out the silo, which, together with the effects of  
570 cohesion, will lead to smaller values of the retainment ratio. For  $CED = 80 \text{ kJ/m}^3$ , however, cohesion is  
571 strong enough to hold particles together and conceal the effects of  $\mu_s$  or  $\mu_r$ .

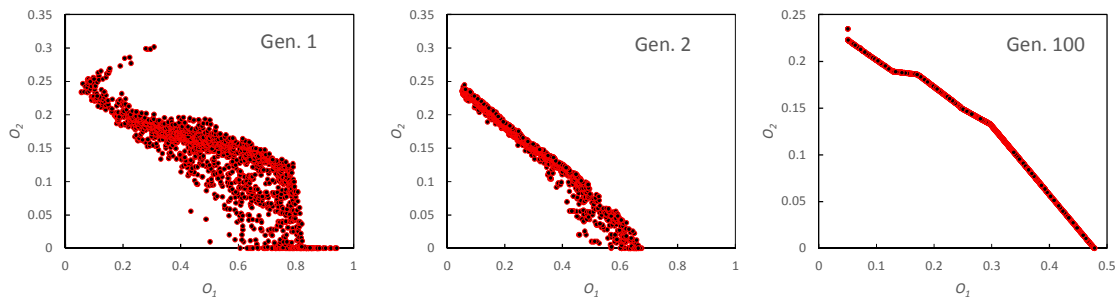
572 For both samples, limiting particles rotation tended to generate more stable stacks as can be seen  
573 from the slight increase of the  $p$  ratio with increase of  $\mu_r$ . Finally, increasing values of the  $CED$  was  
574 directly related with the number of particles staying in the container after the lid was opened. Among the  
575 variables studied,  $CED$  increase is therefore the best approach to simulate particle interlocking and to  
576 numerically reproduce the particles cohesion observed in experiments.

### 577 3.3. Calibration and selection of optimal values

578

#### 579 3.3.1. Pareto fronts, 3D representation of optimal values

580 The evolution of the two objective functions for sample 1 over 100 generations are shown in the  
581 Figure 14. The 2000 individuals constituting the initial population are spread over a relatively wide range  
582 of the objective function values that gradually narrows with successive iterations. The number of  
583 individuals stay constant, so the Pareto fronts shrink around the optimal values with the evolution of the  
584 population. Through the iteration process, the fronts converged to an optimum where no further  
585 improvement was observed in succeeding generations. For both samples, a convergent front was obtained  
586 from the 50th generation.



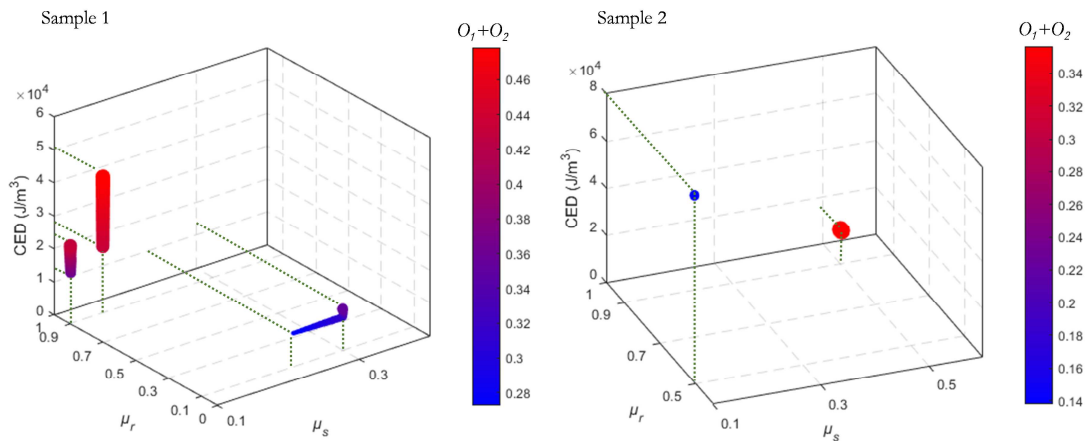
587

588 *Figure 14. Pareto front evolution over 100 generations of NSGA-II optimization.*

589 As observed through the Pareto fronts, multiobjective optimizations lead to results in which  
590 trade-offs between the objective functions were encountered. The optimal solutions that allowed to obtain  
591 values of AoR and bulk density closer to the experimental measurements yielded low  $p$  values and vice  
592 versa. In order to have a comprehensive view of the sets of calibrated parameters that better adjust the

593 bulk experimental properties of each sample, the optimal values for the last generation of NSGA-II  
 594 optimizations are presented in Figure 15. A color and size scale were used to highlight the values of the  
 595 total error, calculated as the sum of the values of the objective functions  $O_1 + O_2$ . For sample 1, sets of  
 596 optimal calibration parameters with a relatively broad range of solutions were obtained, especially for  
 597  $CED$  values which could vary between 10 and 50  $\text{kJ}/\text{m}^3$ . For sample 2, a narrower range of optimal sets is  
 598 shown in Figure 15. The solutions giving the lowest value of  $O_1 + O_2$  were input in the LIGGGHTS  
 599 program and the simulation results are presented and compared against the experimental values in Table  
 600 9.

601



602

603 *Figure 15. Sets of optimal calibrated parameters for sample 1 and 2. Size and color scale indicate the sum of  $O_1 + O_2$  values*

604

*corresponding to each set of  $\mu_s$ ,  $\mu_r$  and  $CED$ .*

605 The calibrations based exclusively on the angle of repose and the bulk density would not lead to a  
 606 material having enough interparticle cohesion to hold particles together inside a container. Therefore,  
 607 including the rectangular container test as a bulk response for calibration was decisive to expose the  
 608 cohesive character of biomass particles. Incorporating the retainment ratio response adds a cohesive  
 609 feature to the material that could more realistically simulate blocking problems on feed systems for  
 610 biomass particles. Additionally, calibration using the  $p$  ratio sensibly reduced the diversity of the optimal  
 611 values of  $\mu_s$ ,  $\mu_r$  and  $CED$ . Thus, it is clear that separate calibration test from different macroscopic

612 responses can yield different results and that calibration based on a large number of parameters and bulk  
 613 responses is preferable.

614 *Table 9. Optimized values of  $\mu_s$ ,  $\mu_r$  and CED and comparison of simulated responses (Sim.) against experimental measurements*  
 615 *(Exp.).  $e$ : relative error between experimental and DEM responses.*

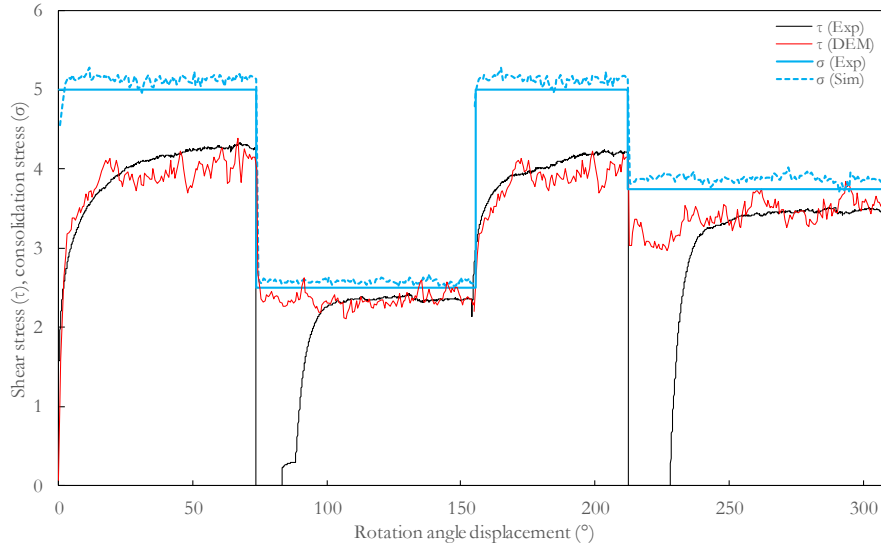
Sample	$\mu_s$	$\mu_r$	CED (kJ/m <sup>3</sup> )	$O_1 + O_2$	AoR (°)			$\rho_b$ (kg/m <sup>3</sup> )			$p$		
					Sim.	Exp.	$e$ (%)	Sim.	Exp.	$e$ (%)	Sim.	Exp.	$e$ (%)
1	0.2298	0.1000	10.01	0.27	30.0	27.7	8.3	192.2	184.2	4.3	0.80	1	20.0
2	0.1000	0.5004	80.00	0.17	42.3	46.3	8.7	157.1	165.6	5.1	1.00	1	0.0

616

617 From Table 9 it can be seen that there was little discrepancy between the simulated and the  
 618 experimental AoR, bulk density and  $p$  ratio for both samples. In the case of sample 1, even if the AoR  
 619 and bulk density values were better adjusted than those of sample 2, optimization led to particles without  
 620 enough cohesive strength to form a stable stack in the rectangular container simulations. The optimized  
 621 values of CED reflect a much more cohesive behavior for finer samples, which corresponds to the  
 622 experimental observations.

### 623 *3.3.2. Ring shear tester simulations*

624 Values presented in Table 9 were used to simulate a shear sequence in a ring shear tester. Figure 16  
 625 compares the simulation results against the experimental evolution of shear stress. Two shear cycles are  
 626 represented, starting by a preshear step at  $\sigma_{pre} = 5$  kPa and followed by a shear at  $\sigma_{sb} = 2.5$  kPa. This  
 627 preshear-shear sequence is repeated for  $\sigma_{sb} = 3.75$  kPa. Stresses are plotted against rotation angle defined as  
 628 the product of time and shear velocity. Although simulation results are relatively noisy (due to the scaled  
 629 particles and the oscillation of the servo-controlled normal force), it is encouraging that simulation results  
 630 for sample 1 were very close to experimental shear stress profiles in terms of evolution of the curve shape  
 631 and the average yield stresses.



632

633 *Figure 16. Simulated (DEM) and experimental (Exp) evolution of shear ( $\bar{\tau}$ ) and consolidation stresses ( $\bar{\sigma}$ ) for sample 1.*

634 Yield stresses of preshear and shear for both samples are listed in Table 10. Values of shear stress  
 635 were slightly underestimated in DEM simulations for sample 1. A greater gap between the experimental  
 636 and simulations results was yet observed for sample 2. This can be related to the fact that responses  
 637 shown in Section 3.3.1 for sample 2 were less well predicted using the calibrated parameters than those of  
 638 coarse powders.

639 *Table 10. Simulation (Sim.) and experimental (Exp.) results for ring shear tester tests.*

	Preshear stress at $\bar{\sigma}_{presb} = 5 \text{ kPa}$			Shear stress at $\bar{\sigma}_{sb} = 2.5 \text{ kPa}$		
	Exp.	Sim.	$e$ (%)	Exp.	Sim.	$e$ (%)
Sample 1	4.31	3.94	8.6	2.45	2.32	5.3
Sample 2	4.81	3.49	27.4	2.87	2.51	12.5

640

641 The under-estimation of the experimental results from simulations for sample 2 shows that these  
 642 results should be treated with caution. Indeed, as highlighted by [67], calibration should take into account  
 643 the nature of the actual simulated process. Calibration using angle of repose, bulk density and shear box  
 644 tests might therefore not be sufficient for simulation of materials under a consolidated state, as is the case  
 645 in shear testers. Furthermore, the use of JKR cohesion models has recently been shown not to adequately  
 646 capture the stress behavior of some cohesive powders, particularly at relatively high consolidation stresses  
 647 [68]. This has led to the development of new cohesion contact models that consider contact plasticity.

648 Additional work will therefore evaluate the relevance of JKR models compared to cohesive elasto-plastic  
649 models for biomass particles.

#### 650 4. CONCLUSION

651 Biomass powders characteristics – such as small particles size, cohesive behavior, low particle density  
652 and elongated shape – make bulk simulations highly challenging considering the current DEM state of  
653 development. This work aimed at producing a realistic, calibrated and efficient material model for  
654 lignocellulosic biomass powders to be eventually used in feeding systems for entrained-flow gasification.  
655 We successfully developed a DEM material model for biomass powders by using a coarse-grained  
656 multisphere representation of shape and size distributions of particles along with a Hertz-Mindlin-EPDS2-  
657 SJKR cohesive force model.

658 The application of a calibration procedure that uses a NSGA-II optimization algorithm was successful in  
659 determining the coefficients of sliding friction, rolling friction and a cohesive energy density term for two  
660 biomass powder populations: a coarse sieving cut between 500  $\mu\text{m}$  and 710  $\mu\text{m}$ , and a fine cut between  
661 200 and 315  $\mu\text{m}$ . The results presented here showed that the calibrated contact-law parameters fitted the  
662 physical responses accurately, and a validation using a ring shear tester showed promising results. The  
663 application of a typically used trial-and-error approach for calibration would have been highly time-  
664 consuming compared to the systematic approach used in this work.

665 This research highlighted the importance of adequately selecting bulk experiments for calibration: only  
666 taking results from angle-of-repose and bulk density measurements would not replicate the cohesive  
667 behavior of biomass particles to their actual extent. Including additional bulk responses such as a  
668 rectangular container test (shear box) reduced the diversity of optimal calibrated parameters and allowed  
669 to obtain a material model that represents better blocking problems in feeding systems.

670 With the aim of improving the predictive capability of the DEM model for biomass powders, future  
671 research could include additional bulk setups that discriminate between different cohesive strengths of  
672 biomass powders, as well as validation under a variety of stress and flow conditions.

673 The findings presented here showed a scale-dependency of the simulations for the AoR test. The prospect  
674 of being able to apply at an industrial scale the calibrated parameters found using the framework described

675 here serves as a stimulus for future research on the scalability of the calibration setups. This remains an  
 676 important issue to be addressed in future studies, especially regarding cohesive and elongated materials  
 677 such as biomass particles. Future work should also address the relevance of using flexible particle models  
 678 that might be more suitable for biomass particles.

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 683 part of the presented work was conducted. We are especially thankful to the French Council for Poplar  
 684 (CNP) and the sawmill Huberlant (Cormicy, France) for kindly providing the wood used in this work.

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## 688 Appendix A. Experimental plan

689 The Table A-1 contains the experimental plan used in this work.

690 *Table A-1. Experimental plan of this work.*

Sample 1												Sample 2			
Run N°	$\mu_s$	$\mu_r$	$CED$ (kJ/m <sup>3</sup> )	Run N°	$\mu_s$	$\mu_r$	$CED$ (kJ/m <sup>3</sup> )	Run N°	$\mu_s$	$\mu_r$	$CED$ (kJ/m <sup>3</sup> )	Run N°	$\mu_s$	$\mu_r$	$CED$ (kJ/m <sup>3</sup> )
1	0.1	0.1	0	43	0.5	0.7	10	85	0.9	0.3	50	1	0.1	0.1	0
2	0.3	0.1	0	44	0.7	0.7	10	86	0.1	0.5	50	2	0.5	0.1	0
3	0.5	0.1	0	45	0.9	0.7	10	87	0.3	0.5	50	3	0.9	0.1	0
4	0.7	0.1	0	46	0.1	0.9	10	88	0.5	0.5	50	4	0.1	0.5	0
5	0.9	0.1	0	47	0.3	0.9	10	89	0.7	0.5	50	5	0.5	0.5	0
6	0.1	0.3	0	48	0.5	0.9	10	90	0.9	0.5	50	6	0.9	0.5	0
7	0.3	0.3	0	49	0.7	0.9	10	91	0.1	0.7	50	7	0.1	0.9	0
8	0.5	0.3	0	50	0.9	0.9	10	92	0.3	0.7	50	8	0.5	0.9	0
9	0.7	0.3	0	51	0.1	0.1	20	93	0.5	0.7	50	9	0.9	0.9	0
10	0.9	0.3	0	52	0.3	0.1	20	94	0.7	0.7	50	10	0.1	0.1	20
11	0.1	0.5	0	53	0.5	0.1	20	95	0.9	0.7	50	11	0.5	0.1	20
12	0.3	0.5	0	54	0.7	0.1	20	96	0.1	0.9	50	12	0.9	0.1	20
13	0.5	0.5	0	55	0.9	0.1	20	97	0.3	0.9	50	13	0.1	0.5	20
14	0.7	0.5	0	56	0.1	0.3	20	98	0.5	0.9	50	14	0.5	0.5	20
15	0.9	0.5	0	57	0.3	0.3	20	99	0.7	0.9	50	15	0.9	0.5	20
16	0.1	0.7	0	58	0.5	0.3	20	100	0.9	0.9	50	16	0.1	0.9	20
17	0.3	0.7	0	59	0.7	0.3	20	101	0.1	0.1	80	17	0.5	0.9	20

18	0.5	0.7	0	60	0.9	0.3	20	102	0.3	0.1	80	18	0.9	0.9	20
19	0.7	0.7	0	61	0.1	0.5	20	103	0.5	0.1	80	19	0.1	0.1	80
20	0.9	0.7	0	62	0.3	0.5	20	104	0.7	0.1	80	20	0.5	0.1	80
21	0.1	0.9	0	63	0.5	0.5	20	105	0.9	0.1	80	21	0.9	0.1	80
22	0.3	0.9	0	64	0.7	0.5	20	106	0.1	0.3	80	22	0.1	0.5	80
23	0.5	0.9	0	65	0.9	0.5	20	107	0.3	0.3	80	23	0.5	0.5	80
24	0.7	0.9	0	66	0.1	0.7	20	108	0.5	0.3	80	24	0.9	0.5	80
25	0.9	0.9	0	67	0.3	0.7	20	109	0.7	0.3	80	25	0.1	0.9	80
26	0.1	0.1	10	68	0.5	0.7	20	110	0.9	0.3	80	26	0.5	0.9	80
27	0.3	0.1	10	69	0.7	0.7	20	111	0.1	0.5	80	27	0.9	0.9	80
28	0.5	0.1	10	70	0.9	0.7	20	112	0.3	0.5	80				
29	0.7	0.1	10	71	0.1	0.9	20	113	0.5	0.5	80				
30	0.9	0.1	10	72	0.3	0.9	20	114	0.7	0.5	80				
31	0.1	0.3	10	73	0.5	0.9	20	115	0.9	0.5	80				
32	0.3	0.3	10	74	0.7	0.9	20	116	0.1	0.7	80				
33	0.5	0.3	10	75	0.9	0.9	20	117	0.3	0.7	80				
34	0.7	0.3	10	76	0.1	0.1	50	118	0.5	0.7	80				
35	0.9	0.3	10	77	0.3	0.1	50	119	0.7	0.7	80				
36	0.1	0.5	10	78	0.5	0.1	50	120	0.9	0.7	80				
37	0.3	0.5	10	79	0.7	0.1	50	121	0.1	0.9	80				
38	0.5	0.5	10	80	0.9	0.1	50	122	0.3	0.9	80				
39	0.7	0.5	10	81	0.1	0.3	50	123	0.5	0.9	80				
40	0.9	0.5	10	82	0.3	0.3	50	124	0.7	0.9	80				
41	0.1	0.7	10	83	0.5	0.3	50	125	0.9	0.9	80				
42	0.3	0.7	10	84	0.7	0.3	50								

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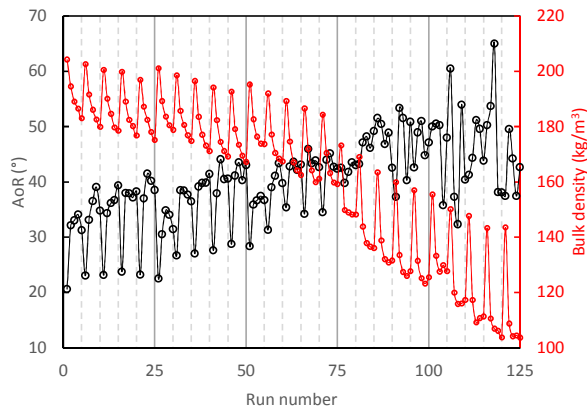
## 695 Appendix B. Individual simulation results

696 Figure 17 shows the totality of results from simulations. Each run number corresponds to a set of

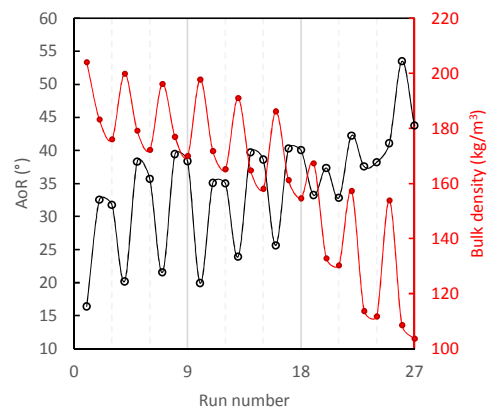
697  $\mu_s$ ,  $\mu_r$  and  $CED$  values.



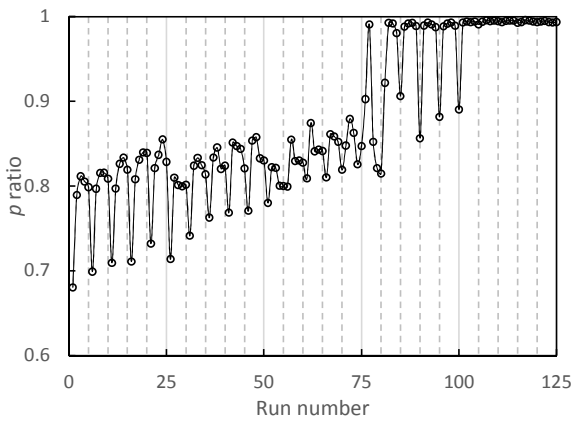
a. Sample 1



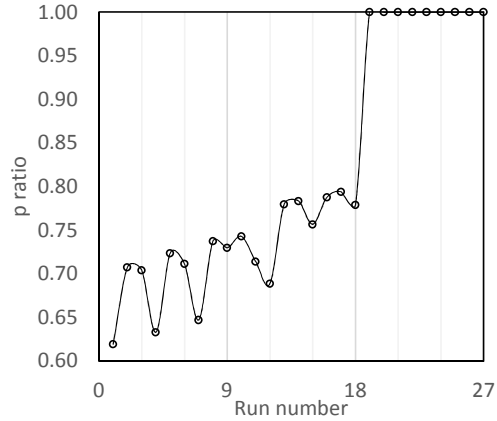
b. Sample 2



c. Sample 1



d. Sample 2



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699 Figure 17. Simulation results of AoR, bulk density and  $p$  ratio for Sample 1 and 2.

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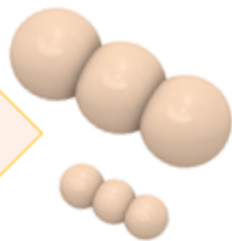
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Woody biomass powder



1000 μm



$\mu_s, \mu_r, CED$  calibration

