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Article

# A University Building Test Case for Occupancy-Based Building Automation

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**Abstract:** Heating, ventilation and air-conditioning (HVAC) units in buildings form a system-of-subsystems entity that must be accurately integrated and controlled by the building automation system to ensure the occupants' comfort with reduced energy consumption. As control of HVACs involves a standardized hierarchy of high-level set-point control and low-level Proportional-Integral-Derivative (PID) controls, there is a need for overcoming current control fragmentation without disrupting the standard hierarchy. In this work, we propose a model-based approach to achieve these goals. In particular: the set-point control is based on a predictive HVAC thermal model, and aims at optimizing thermal comfort with reduced energy consumption; the standard low-level PID controllers are auto-tuned based on simulations of the HVAC thermal model, and aims at good tracking of the set points. One benefit of such control structure is that the PID dynamics are included in the predictive optimization: in this way, we are able to account for tracking transients, which are particularly useful if the HVAC is switched on and off depending on occupancy patterns. Experimental and simulation validation via a three-room test case at the Delft University of Technology shows the potential for a high degree of comfort while also reducing energy consumption.

**Keywords:** heating ventilation and air-conditioning (HVAC); demand side management; occupancy-based control; predicted mean vote (PMV); optimization

## 1. Introduction

Heating, Ventilation and Air-Conditioning (HVAC) systems, widely used in residential and commercial buildings, are responsible for a large part of the global energy consumption [1]. According to the European Commission's Joint Research Center, Institute for Energy (2009), HVAC systems in the European Union member states were estimated to account for approximately 313 TWh of electricity use in 2007, about 11% of the total 2800 TWh consumed in Europe that year [2]. Energy savings in HVAC systems were therefore identified as a key element to fulfill the target of reducing energy consumption by 20% by 2020. Increased attention has been focused on the reduction of HVAC energy consumption (without violating comfort requirements) [3], via more efficient equipment [4–6], novel approaches to HVAC energy storage [7] or supervisory control techniques [8–10]. A recent literature review of control methods, with an emphasis on the theory and applications of model predictive control for HVAC systems can be found in [11].

Typical HVAC systems are comprised of boilers, air handling units (AHUs), Variable Air Volume (VAV) boxes, radiators, thermal zones, valves, dampers, fans, pumps, pipes and ducts. The primary

drawback with the current state of the art is that separate control systems are designed for each HVAC component, where the design is carried out to ensure that a certain constant reference set point is maintained. Integrating all of these single components require a tedious manual effort by HVAC system installers to tune all these set points: apart from the enormous tuning effort [12], it is difficult to explicitly account for changing conditions, e.g., individual comfort of occupants or their occupancy patterns. Very often, thermal discomfort often leads to constant correction of temperature set-points by the users, causing increased energy consumption [13,14]. Thus, it is necessary to develop a model-based approach with the ability to integrate the human thermal comfort along with various HVAC components. However, as thermal comfort of the users is season-dependent and highly subjective, there exist various attempts to quantify it according to the physical characteristics of both the occupants and their surroundings. Widely-used thermal comfort models are the Adaptive Comfort Model [15] and the Predicted Mean Vote (PMV) [16], where the latter is considered in this work because it is most suited in the absence of natural ventilation. Recent works on occupancy-based building indoor climate control, also touching upon thermal comfort topics, can be found in [17,18].

While there exist many intelligent HVAC control algorithms, they often require the deployment of a completely new control architecture. On the other hand, control architecture for building automation is quite standardized: in particular, most HVAC low-level controllers commissioned in the field today are of Proportional-Integral (PI) or Proportional-Integral-Derivative (PID) type. Therefore, there exists a need to integrate modern controllers with existing PID controllers to ensure that the control objectives are met. Furthermore, current research in Building Management Systems (BMSs) has turned towards Model Predictive Controllers (MPC) for optimal control of building systems, thanks to its capability of handling external disturbances [19], linear and nonlinear models with multiple constraints [20,21]. In view of this situation, in this work, we propose an integrated control structure using an upper MPC layer and lower PID layer. The MPC is based on an integrated HVAC model and generates set-points for the lower layer based on energy and comfort optimization, while the lower level controllers is composed of PI controllers auto-tuned so as to track the reference set-points. One of the main benefits of the integrated control structure is that the PID dynamics can be easily included in the MPC optimization [22]: in this way, we are able to account for tracking transients, which are particularly useful if the HVAC has to be switched on and off depending on occupancy patterns [23]. By doing this, we are able to achieve integration of HVAC and occupants via a PMV index. To the best of our knowledge, the studies available in literature about MPC for office buildings, e.g., [24,25] and references therein, typically neglect such transients. In recent years, there has been a considerable effort in using building energy performance models such as EnergyPlus and TRNSYS [26] not only for simulation and energy consumption purposes, but also for assisting in evaluation of controller design [27,28]. In this work, the proposed control strategy is experimentally validated via an EnergyPlus building energy performance model of a three-room test case at the Delft University of Technology.

This paper will be organized as follows. Section 2 introduces the HVAC test case we consider. Section 3 outlines the optimization problem for both control layers. Section 4 validates the model with real-life data and with EnergyPlus simulations. Section 5 deals with the simulation and results and conclusions are drawn in Section 6. All symbols introduced in the text can be found in Appendix A.

## 2. Modelling of HVAC Dynamics

We will focus on the cooling test case shown in Figure 1, which models the dynamical interactions between three rooms and one corridor in the Mechanical Engineering faculty of Delft University of Technology (TU Delft). Figure 1 highlights the multi-component interacting structure of the HVAC system, with a chiller driving a cooling coil of an AHU, with the AHU being further connected to a VAV system which supplies fresh air into the rooms and the corridor. Cold water is supplied with a variable-speed pump to the cooling coil, and the fan in the AHU is a variable-speed fan as well. The three rooms have dimensions 16 m<sup>2</sup>, 16 m<sup>2</sup> and 20 m<sup>2</sup>, with a corridor of 26 m<sup>2</sup>. The chiller has

capacity of 2 m<sup>3</sup> and maximum energy of 2 kW. The three rooms are subject to a variable occupancy schedule.

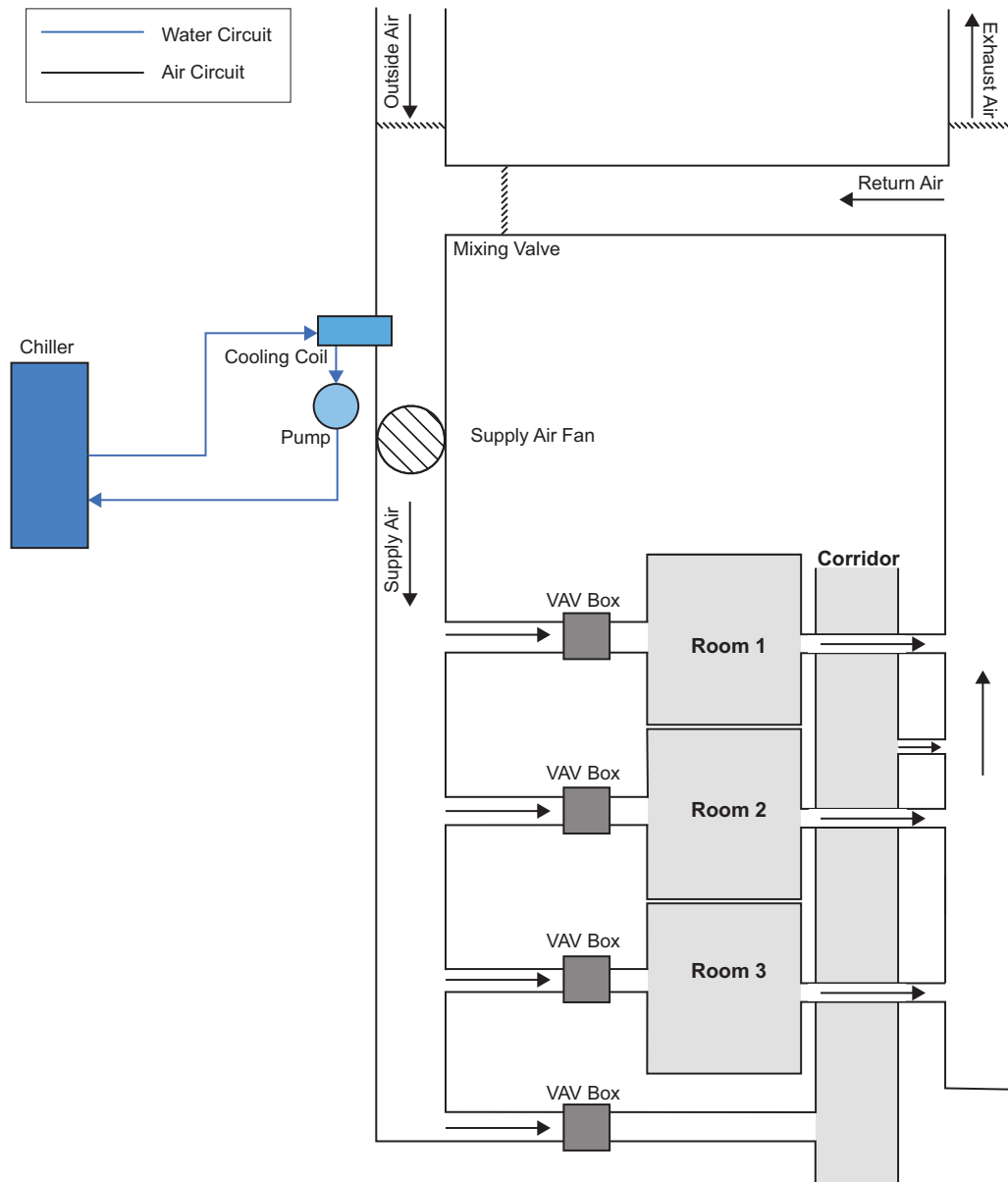


Figure 1. Scheme of the test case Heating, Ventilation and Air-Conditioning system.

The overall integrated model of the HVAC system is a simplified version of the model developed in [29]. The corresponding dynamics are:

$$\begin{aligned}
 \text{[Chiller]} \quad \frac{dT_c}{dt} &= \frac{Q_c}{c_w \rho_w V_c} + \frac{u_w}{\rho_w V_c} (T_{c_{rw}} - T_c), \\
 \text{[Cooling coil]} \quad \frac{dT_{cc}}{dt} &= \frac{u_w}{\rho_w V_p} (T_c - T_{cc}) + \frac{h_{cc} A_{cc}}{c_w \rho_w V_p} (T_s - T_c), \\
 \text{[AHU]} \quad \frac{dT_s}{dt} &= \frac{u_a}{\rho_a V_d} (u_d T_{rm} + (1 - u_d) T_{out} - T_{ma}) \\
 &\quad + \frac{h_{cc} A_{cc}}{c_a \rho_a V_d} (T_s - T_{cc}).
 \end{aligned} \tag{1}$$

At the room level, we quantify the sources and sinks that affect the temperature change in a room, as shown in Figure 2. The balance in room #i can be defined via

$$\begin{aligned} \frac{dT_{rm_i}}{dt} = & \underbrace{\frac{u_{rm_i}}{\rho_a V_{rm_i}}(T_s - T_{rm_i})}_{\text{cooling load due to HVAC}} + \underbrace{\frac{h_{wa_i} A_{wa_i}}{c_a \rho_a V_{rm_i}}(T_{wa_i} - T_{rm_i})}_{\text{conduction through walls}} \\ & + \underbrace{\frac{h_{wd_i} A_{wd_i}}{c_a \rho_a V_{rm_i}}(T_{wd_i} - T_{rm_i})}_{\text{conduction through windows}} + \underbrace{\frac{q_s}{c_a \rho_a V_{rm_i}}}_{\text{solar radiation}} + \underbrace{q_{int}}_{\text{occupants and equipment}} \end{aligned} \quad (2)$$

In Equation (2),  $T_{wa}$  and  $T_{wd}$  constitute unmeasurable variables. Therefore, the wall and window temperatures are expressed as an affine function of the room and outside air temperature, in line with [30]

$$T_{wa_i} = T_{rm_i} + \frac{T_{out} - T_{rm_i}}{R_{wa_i} A_{wa_i} h_{rm_i}}, \quad (3)$$

where  $R_{wa} = \frac{1}{h_{rm_1} A_{wa}} + \frac{l}{k_{wa} A_{wa}} + \frac{1}{h_{out_1} A_{wa}}$  is the combination of conductive and convective heat transfer coefficients in [K/W]. Similarly, for the window temperature  $T_{wd}$ , we have

$$T_{wd_i} = T_{rm_i} + \frac{T_{out} - T_{rm_i}}{R_{wd_i} A_{wd_i} h_{wd_i}}, \quad (4)$$

where  $R_{wd} = \frac{1}{h_{rm_2} A_{wd}} + \frac{l}{k_{wd} A_{wd}} + \frac{1}{h_{out_2} A_{wd}}$  is the thermal resistance in [K/W].

A few standard assumptions have been made to develop Equations (1) and (2): air and water are well-mixed and have the same temperature; there is no heat loss through ducts and pipes in the system; thermal conductivity of walls is constant and the heat transfer through it is one-dimensional.

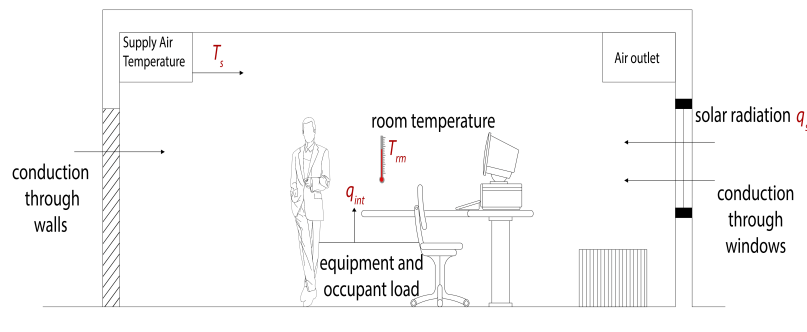


Figure 2. Scheme of the Heating, Ventilation and Air-Conditioning room test case.

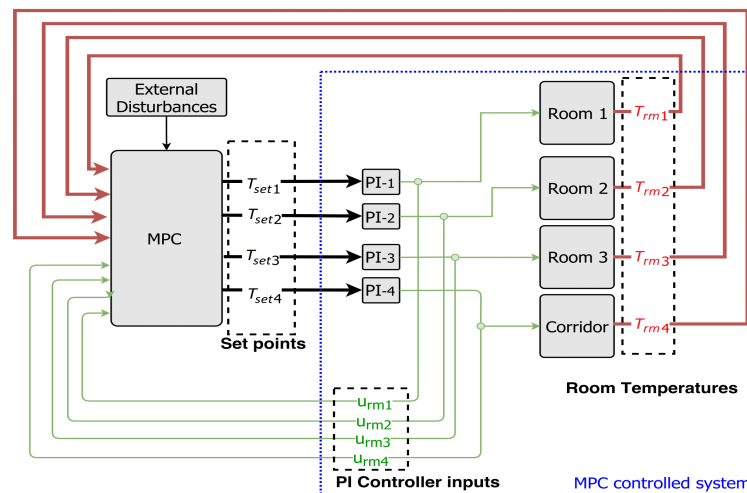
In Equations (1) and (2), the multiplication of the control input (flow) by the state (temperature) results in a bilinear system. This continuous-time bilinear model is discretized with  $\Delta t = 10$  min using a backward Euler approach, which is well suited for systems with low sampling rates, such as BMSs [31]. The discretized (bilinear) model is then linearized around the point of 24 °C for the room temperature: since the temperature and input range is quite small, this is sufficient for control purposes [32,33]. The resulting discrete-time linear model can be represented in the state-space structure

$$\begin{aligned} x(k+1) &= Ax(k) + Bu(k) + B_d d(k), \\ y(k) &= Cx(k) + Du(k), \end{aligned} \quad (5)$$

where  $x = [T_{rm_1} \ T_{rm_2} \ T_{rm_3} \ T_{rm_4}]^T \in \mathbb{R}^4$  is the state (comprising the four zone temperatures),  $u = [u_{rm_1} \ u_{rm_2} \ u_{rm_3} \ u_{rm_4}]^T \in \mathbb{R}^4$  is the input (comprising the four air flow rates), and  $d = [T_{out} \ q_s \ q_{int}]^T \in \mathbb{R}^3$  is the disturbance (comprising external temperature, solar radiation and internal gains).

### 3. Optimization Problem Formulation

The optimization involves: optimization of the low-level PI controls (in order to achieve acceptable tracking of the set points); optimization of the set-points (in order to minimize energy consumption and thermal discomfort). A schematic of the overall control strategy is represented in Figure 3.



**Figure 3.** Scheme of proposed control strategy. Note that the feedback of the Proportional-Integral (PI) controllers creates a nested loop with the Model Predictive Control (MPC) layer.

#### 3.1. Optimization for Low-Level Controllers

Four PI controllers, one for each VAV box, are considered: as the purpose of PI control is to achieve tracking with limited energy, we need to quantify the energy consumption of the fan, pump and chiller. With a common duct distributing airflow to all three rooms and the corridor, the total mass airflow  $u_a$  blown by the fan is the sum of the individual inlet airflow rates. Therefore, the fan power consumption is [29]

$$Q_f = u_a \Delta P, \quad (6)$$

where  $\Delta P$  is the total pressure increase in the fan in  $Pa$ . The power by the pump is [29]

$$Q_p = \frac{u_w \rho_w g h}{3.6 \cdot 10^6}, \quad (7)$$

where  $u_w$  is the pump flow capacity,  $\rho_w$  the density of water,  $g$  is gravity acceleration and  $h$  is the differential head (the term  $3.6 \cdot 10^6$  is for the conversion from J to kWh). Finally, the chiller power  $Q_c$  is obtained in (1) by calculating the water temperature drop in the cooling coil. All powers are converted into energies after integration over time.

Eight PI gains (four proportional  $K_p$ , four integral  $K_i$ ) are be designed through an offline simulation-based optimization via the MATLAB (Matlab R2016b, The MathWorks, Inc., Natick, Massachusetts, USA) command 'fmincon', to minimize the following cost function:

$$J = \sum_{k=0}^{\tau_f} \left( \sum_{i=1}^4 (T_{rmi}(k) - T_{set}(k))^2 \right) + 10^{-2} (Q_f^2 + Q_p^2 + Q_c^2),$$

where  $T_{set}$  is the desired zone temperature and  $\tau_f$  represents the total duration of the simulation (in this case, 24 h). The cost  $J$  formalizes the objective to track the desired set points while minimizing energy consumption: the weight  $10^{-2}$  was chosen as a trade-off between these goals. The optimized PI gains for each VAV box are given in Table 1. It must be noted that, because rooms 1 and 2 have identical size and layout, we have imposed the same PI gains: however, even without such imposition, the result of the optimization was having such gains very close to each other.

**Table 1.** Auto-tuned PI gains after optimization.

	VAV	VAV	VAV	VAV
Gain	Room 1	Room 2	Room 3	Corridor
$K_p$	7.63	7.63	8.10	6.92
$K_i$	0.66	0.66	0.70	0.65

### 3.2. Optimization for Set-Point Control

#### 3.2.1. Thermal Comfort

The sense of thermal comfort of a human is a highly subjective sensation which could be attributed to various factors such as general health, geographical upbringing and general physical composition. Fanger proposed to quantify such factors and created a predictive model for whole body thermal comfort via the PMV index [34]. The PMV index is now standardized in the American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) thermal sensation scale [16]: this thermal scale runs from Cold (−3) to Hot (+3) where 0 indicates maximum user comfort.

The equation for Predicted Mean Vote (PMV) index is

$$PMV = [0.303e^{-0.036M} + 0.028]L, \quad (8)$$

where  $L$  is the thermal load, defined as the difference of metabolic heat generation and the calculated heat loss from the body to the actual environmental conditions, assuming optimal comfort conditions:

$$\begin{aligned} L = & M - W - 3.96 \times 10^{-8} f_{cl} [(t_{cl} + 273)^4 - (t_r + 273)^4] \\ & - f_{cl} h_c (t_{cl} - T_{rm}) - 3.05 [5.73 - 0.007(M - W) - \rho_a] \\ & + 0.42 [(M - W) - 58.15] - 0.0173M(5.87 - \rho_a) \\ & - 0.0014M(34 - T_{rm}), \end{aligned} \quad (9)$$

where  $f_{cl}$  is the clothing factor,  $h_c$  is the convective heat transfer coefficient,  $M$  is the metabolic rate [ $W/m^2$ ],  $\rho_a$  is the vapor pressure of air [kPa],  $t_{rm}$  is the room air temperature,  $t_{cl}$  is the temperature of the clothing surface [ $^{\circ}C$ ],  $t_r$  is the mean radiant temperature [ $^{\circ}C$ ], and  $W$  is the external work (taken as 0 for office conditions).

The mean radiant temperature is a difficult quantity to measure, since it involves measurement of the wall envelope and window temperature [35]. It is also a highly nonlinear function, which can be computationally expensive when included in the cost of the optimization. To overcome this, Rohles [36] proposed an adapted model of the PMV which expresses the thermal sensation as a function of parameters easily sampled in an office environment, such as air temperature and relative

humidity. The boundary conditions of the modified PMV index were: clothing insulation level  $I_{cl} = 0.6$  clo, metabolic rate  $M = 70$  W/m<sup>2</sup>, and air velocity  $v_a = 0.2$  m/s. With these approximations, the PMV equation from (8) can be expressed as a function of Temperature  $T_{rm}$  and water vapour pressure  $\rho_a$ , and given by

$$PMV_{rm} = aT_{rm} + b\rho_a - c, \quad (10)$$

where  $a$ ,  $b$  and  $c$  are Rohles' experimental coefficients, and are dependent on the gender of the occupants. For a male occupant,  $a = 0.212$ ,  $b = 0.293$ ,  $c = 5.949$  and for a female it is  $a = 0.275$ ,  $b = 0.255$ ,  $c = 8.62$ . The simplified PMV index (10) is used in the predictive optimization.

### 3.2.2. Model Predictive Controller

To account for tracking transients, we augment the system state  $x$  with  $\bar{x} = [x^T \ x_c^T]^T$ , where  $x_c(k) \in \mathbb{R}^4$  represents the PI controller states. Substituting for input  $u_c(k)$  in (5), we have

$$\begin{aligned} \bar{x}(k+1) &= A_{in}\bar{x}(k) + B_{in}e(k), \\ u_c(k) &= C_{in_u}\bar{x}(k) + D_{in_u}e(k), \\ y(k) &= C_{in_y}\bar{x}(k) + D_{in_y}e(k), \end{aligned} \quad (11)$$

with  $u_c(k) \in \mathbb{R}^4$  being the PI controller inputs and  $e(k) \in \mathbb{R}^4$  being the error vector

$$\begin{aligned} A_{in} &= \begin{bmatrix} BC_c & A \\ A_c & 0 \end{bmatrix} & B_{in} &= \begin{bmatrix} BD_c \\ B_c \end{bmatrix}, \\ C_{in_u} &= \begin{bmatrix} 0 & C_c \end{bmatrix} & D_{in_u} &= D_c, \\ C_{in_y} &= \begin{bmatrix} C & DC_c \end{bmatrix} & D_{in_y} &= DD_c. \end{aligned}$$

Substituting back for  $e(k)$ , we get the overall closed-loop equations with PI controllers

$$\begin{aligned} A_{out} &= A_{in} - B_{in}(I + D_{in_y})^{-1}C_{in_y}, \\ B_{out} &= B_{in} - B_{in}(I + D_{in_y})^{-1}D_{in_y}, \\ C_{out_u} &= C_{in_u} - D_{in_y}(I + D_{in_y})^{-1}C_{in_u}, \\ D_{out_u} &= D_{in_u} - D_{in_u}(I + D_{in_y})^{-1}D_{in_u}, \\ C_{out_y} &= (I + D_{in_y})^{-1}C_{in_y}, \\ D_{out_y} &= (I + D_{in_y})^{-1}D_{in_y}, \end{aligned} \quad (12)$$

which finally gives us the complete state space dynamics of the closed-loop system (the blue dashed box in Figure 3)

$$\begin{aligned} \bar{x}(k+1) &= A_{out}\bar{x}(k) + B_{out}w(k) + B_d d(k), \\ u_c(k) &= C_{out_u}\bar{x}(k) + D_{out_u}w(k), \\ y(k) &= C_{out_y}\bar{x}(k) + D_{out_y}w(k), \end{aligned} \quad (13)$$

where  $w(k)$  is a vector of set-point temperatures with  $w = [T_{set_1} \ T_{set_2} \ T_{set_3} \ T_{set_4}]^T$ .



Using (10) and the closed loop state space derived in (11), we formulate the optimization for the MPC as follows:

$$\begin{aligned} & \underset{\tilde{w}(k)}{\text{minimize}} \sum_{k=0}^{N_p-1} \left( \underbrace{\|K_u \Delta u\|_1}_{\text{energy minimization}} + \right. \\ & \quad \left. \underbrace{\|K_{pmv}(PMV_{rm}(k) - s(k))\|_1}_{\text{comfort maximization}} \right), \\ & \text{subject to: (11)} \\ & \quad -0.2 \leq s \leq 0.2, \quad 0.01 \leq u \leq 2, \\ & \quad 18 \leq y \leq 26, \quad -0.5 \leq \Delta u \leq 0.5, \end{aligned}$$

where  $\tilde{w}$  indicates the sequence of set points  $w$  along the prediction horizon, and  $s$  is a vector of slack variables for the PMV. We set a prediction horizon of  $N_p = 10$  for the optimization problem.

#### 4. Validation

To test the real-world feasibility of this approach, we model the building facility at TU Delft using EnergyPlus (EnergyPlus 7.0.0, Department of Energy's (DOE) Building Technologies Office (BTO), Washington, DC, USA) [26], as shown in Figure 4. EnergyPlus is a simulation program that allows simulation the energy consumption for HVAC loads as well as water usage within buildings. Upon constructing an EnergyPlus model of the building, this model was compared with the actual energy usage collected by the Building Management System of the faculty, which is MetaSys (Metasys 9.0, Johnson Controls Inc., Cork, Ireland) by Johnson Controls (a sample interface is shown in Figure 5). Figure 6 shows the experimental simulation of the EnergyPlus model to compare the daily heating demand of the actual and EnergyPlus building.

For the validation, the following simplifications were made. The chiller power was approximated by the electricity consumption of the entire building by scaling it proportionally to the ratio between the volume of the building and the volume of the test rooms and corridor; the damper proportion was kept constant according to information received from the facility management (70:30% mixing of fresh and return air). Finally, together with the EnergyPlus model, a MATLAB model of the building was constructed from (1) and (2) taking into account interactions among rooms (the equations for the entire model cannot be shown due to limited space): all the parameters in the MATLAB model have been derived based on physical properties (density, thermal capacitance, convective heat coefficients). The temperature for the chiller and the cooling coil have been selected as suggested by the facility management. The parameters were further tuned using a system identification procedure, as proposed by [30].



Figure 4. Model of Tower C at TU Delft developed using DesignBuilder and simulated in EnergyPlus.

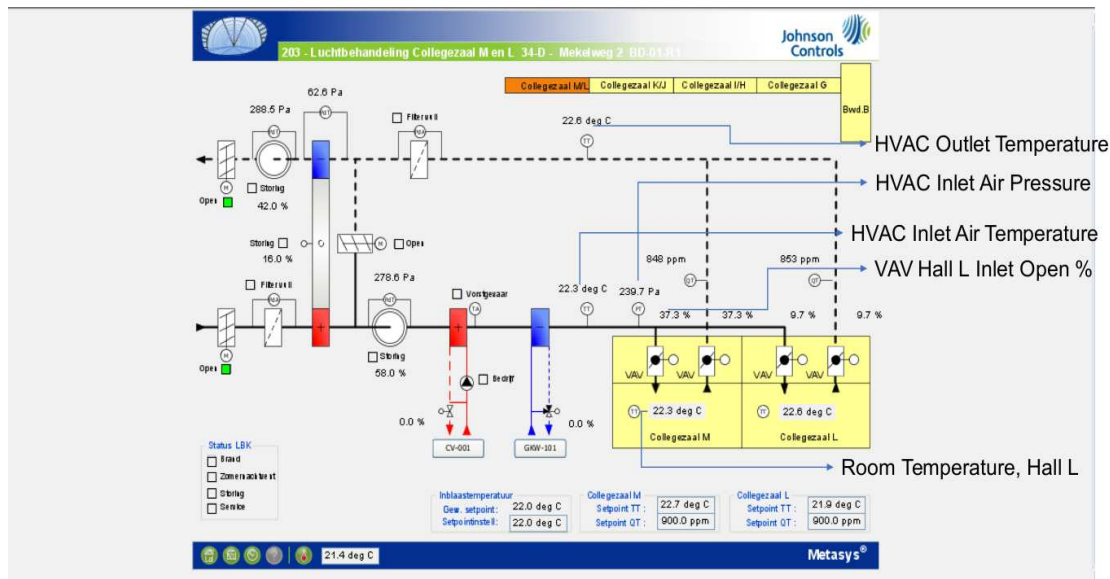


Figure 5. Screenshot of the Building Management System interface of Tower C.

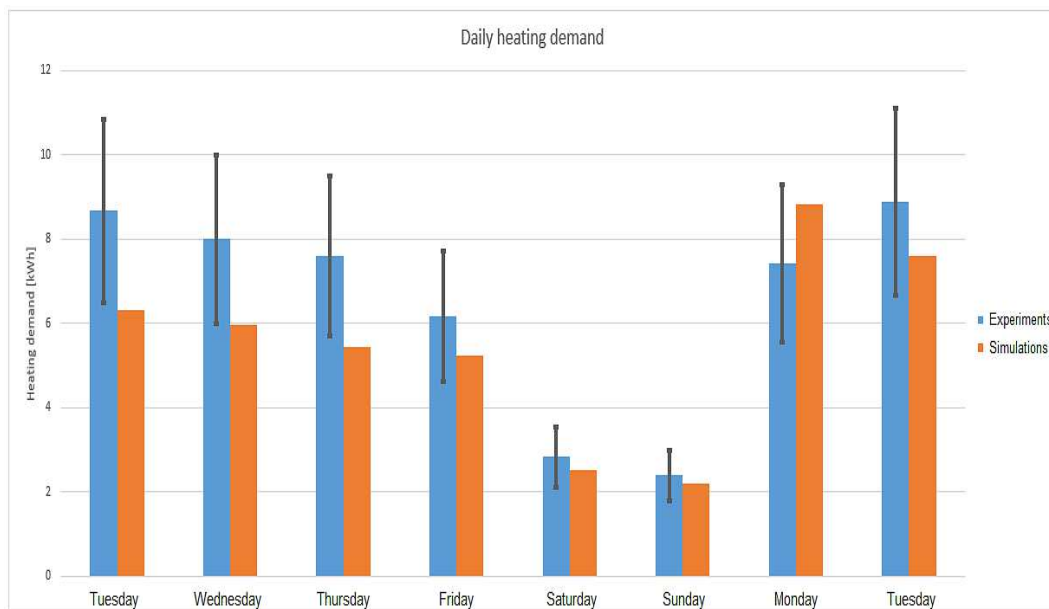


Figure 6. Validation of daily heating demand in EnergyPlus.

### 5. Simulations

The proposed MPC + Autotuned PI strategy is simulated in MATLAB and interfaced with EnergyPlus. To highlight energy savings, this strategy is compared with a baseline control that tracks a constant set point of 24 °C. Simulations are run for a span of 24 h, with weather profile taken from 19 June 2017, as shown in Figure 7. Please note that the strategy we used has been taken from the actual strategy used in the University building (constant set point and constant 70:30% mixing of fresh and return air). We agree that smarter strategies are in general possible and would lead to different numerical results.

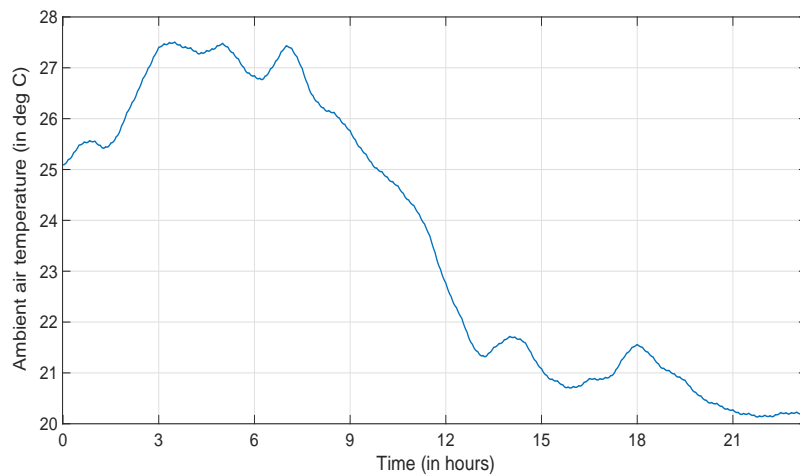


Figure 7. Ambient weather temperature for 19 June 2017.

Figures 8 and 9 show the temperature tracking and PMV profile for two rooms (the other room and the corridor have a similar behavior to the one shown here). The error in set-point tracking is less than  $\pm 0.5\text{ }^{\circ}\text{C}$ , which is acceptable due to quantized measurements provided by the sensors simulated in EnergyPlus. When occupants are present in a room, it can be seen that PMV is mostly maintained within  $\pm 0.2$ , which is within the prescribed ASHRAE limits of 0.5. It can also be noted from Figure 10 that the effort is to maintain comfort while having minimal supply air whenever possible.

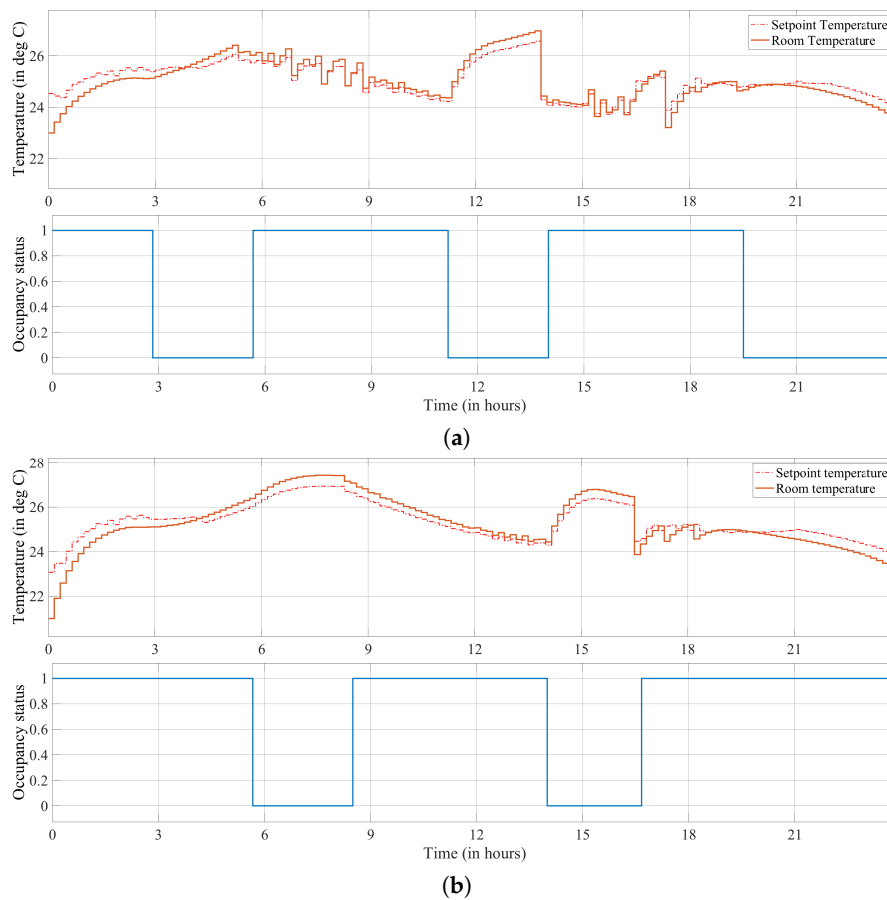
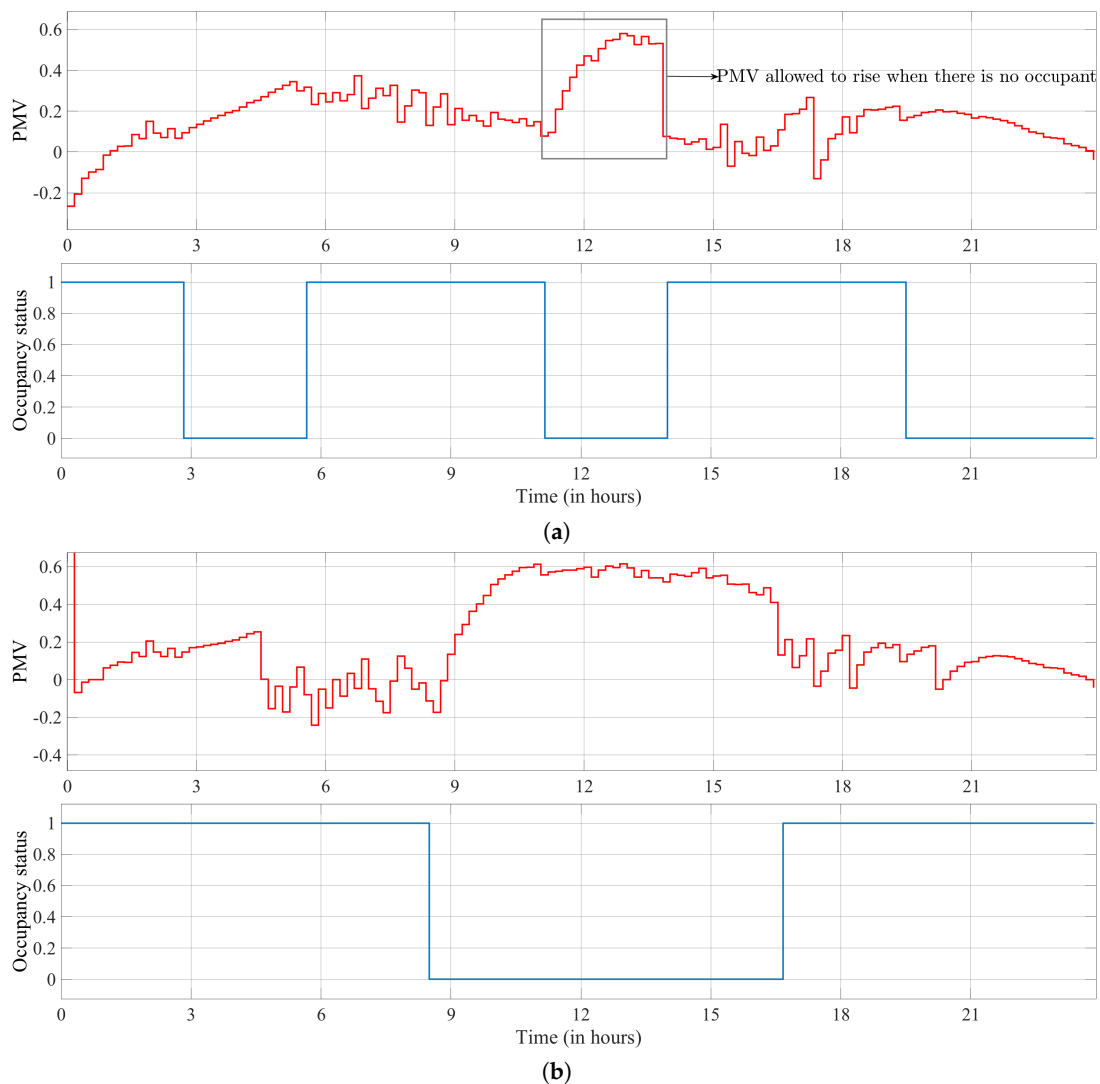


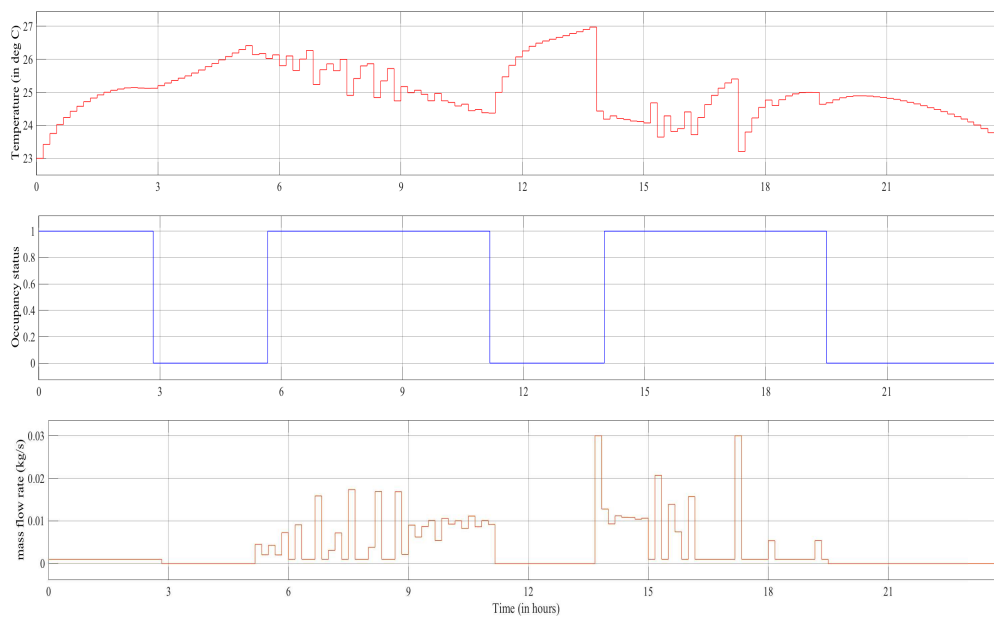
Figure 8. (a) temperature profile and set-point tracking, Room 1; (b) temperature profile and set-point tracking, Room 2.



**Figure 9.** (a) evolution of PMV vs. Occupancy, Room 1; (b) evolution of PMV vs. Occupancy, Room 3.

The most interesting behavior, which justifies the occupancy-based effort of this work occurs when occupants are not present in a room: in this case, the PMV is allowed to increase (note that the supply air is zero, as shown in Figure 10). Basically, when no people are inside a room, the temperature evolution in the room/corridor is mainly due to conduction through the walls and windows.

In this work, it has been assumed that the occupancy schedule can be forecast. In principle, such forecasting is possible based on the schedule of the lectures: in fact, at TU Delft, the lecture rooms are open during lecture times and closed otherwise. We acknowledge that, in more general settings, such forecasting may be not trivial, c.f. the excellent survey [37]. It can be noted that, because the optimization is based on minimization of PMV, a pre-cooling action is automatically implemented to allow people to find a good climate when they are back. In fact, Figure 10) reveals that around half an hour before people arrive the air flow is turned on again (the other rooms exhibit a similar behavior).



**Figure 10.** Input air flow, Room 1 (notice the pre-cooling action).

Table 2 shows the comparison of power consumption for the variable supply fan for the baseline PI strategy with the PI with MPC. We notice that, while the optimization only accounted for reduction in fan power, the pump at the chiller side also had a reduced load due to lowered cooling demand. Therefore, there was a significant reduction in the power consumed by the pump as well. Overall, almost 40% reduction in energy consumption of the fan is achieved. Please note that the energy consumption of the fan can be derived from the mass flow rate trend, and it is therefore not reported to avoid including extra figures. In addition, we noticed that the pump and chiller also had a reduced energy consumption due to lowered cooling demand.

**Table 2.** Air pushed in the rooms in [kg] and total energy consumption in [kW] for a simulation of one day.

Controller	Total Airflow (kg)	Consumption (kW)
Baseline PI	1877.1	12.77
MPC + optimized PI	1132.5 (−39.7%)	7.70 (−39.7%)

## 6. Conclusions and Future Work

This paper presented an integrated framework to model the set-point and low-level control of a multi-component HVAC system. Starting from a physics-based modelling, a system-of-subsystems dynamic model was used to design a set of strategies that integrate set-point predictive control and low-level PI control. One of the advantages of the proposed control strategy is that, by embedding the PI dynamics in the predictive structure, we are able to account for tracking transients, which are particularly useful if the HVAC is switched on and off depending on occupancy patterns. In addition, we do not disrupt the standard hierarchy of controllers typical of building automation systems. The lower level controllers are auto-tuned so as to track the set points with limited energy consumption; the set points generated by the higher level controller were generated in such a way that comfort was maximized and overall system energy minimized. Energy savings of around 40% have been reported, by using a three-room test case at the Delft University of Technology validated in EnergyPlus using real-life data. Future work will include extension of this design principle so as to consider a stochastic MPC with chance constraints to increase flexibility of the strategy to varying weather and heat load conditions.

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## Appendix A. Nomenclature

**Table A1.** List of symbols used.

Name	Description
$T$	Temperature ( $^{\circ}\text{C}$ )
$Q$	Input Power (kW)
$u$	Control input
$c$	Specific heat capacity (kJ/kg·K)
$\rho$	Density (kg/m <sup>3</sup> )
$V$	Volume (m <sup>3</sup> )
$h$	Heat transfer coefficient (W/m <sup>2</sup> ·K)
$A$	Area (m <sup>2</sup> )
$q$	Load due to external sources
$M$	Metabolic rate (W/m <sup>2</sup> )
$W$	Rate of external work (=0 for office conditions)
Subscripts	Description
$c$	Chiller
$cc$	Cooling coil
$rm$	Room
$s$	Supply air
$a$	air
$w$	water
$cl$	clothing
$r$	radiant surface

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