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# A numerical homogenization scheme used for derivation of a homogenized viscoelastic-viscoplastic model for the transverse response of fiber-reinforced polymer composites

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## Abstract

With a classical notched configuration, the damage process in the transverse plane of fiber-reinforced polymer composites are studied by a direct numerical simulation model (DNS). However, to avoid high computational costs the region in which the fiber/matrix microstructure is explicitly modeled must remain small. Therefore, away from the notch tip, a homogenized model is needed to capture the far-field mechanical response without damage but with possibly rate-dependent nonlinearity. In this contribution, with a representative volume element (RVE), a step-by-step numerical homogenization procedure is introduced to calibrate a homogenized viscoelastic-viscoplastic (VE-VP) model with the same formulation as the VE-VP model used for describing the polymer behavior in the RVE model. The calibrated VE-VP model is used in a homogenized FEM model to describe the composite material response and compared against the RVE model. It is found that: (1) the homogenized model captures the viscoelastic deformation, the rate-dependent yielding, stress relaxation and unloading behavior of the polymer composite well, although the assumptions of a single plastic Poisson's ratio and pure isotropic hardening are oversimplifications of the composite behavior; (2) the novel step-by-step numerical homogenization procedure provides an efficient and accurate way for obtaining material parameters of a VE-VP model.

**Keywords:** Composites, Viscoelasticity, Viscoplasticity, RVE, Numerical homogenization

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## 1. Introduction

Fiber-reinforced polymer composites exhibit a complex nonlinear mechanical response in the transverse plane, due to the composition of different types of materials and interfaces between the constituents. By modeling the composite microstructure with a fine numerical model, a virtual testing tool can be established to evaluate the damage and failure of composites in the transverse plane for given constituents and material interfaces instead of performing expensive experiment campaigns. For detailed analysis of crack growth, a direct numerical simulation (DNS) model with a notched configuration is useful as it mimics a typical material characterization experiment. To reduce the computational cost, the composite material away from the notch can be represented by a homogenized model without damage and failure but with possibly rate-dependent nonlinearity. Experimental tests of polymer composites under different loading types, such as fatigue, impact, etc., reveal that polymer composites can show evident viscoelastic deformation and viscoplastic flow before damage and failure emerge [1–3]. The underlying mechanism of the viscoelastic and viscoplastic behavior of polymer composites is related to the nonlinear and time-dependent mechanical properties of the microstructure [4]. Various homogenization strategies exist to calculate the effective properties of polymer composites based on the mechanical properties of the microstructural constituents [5]. The homogenization methods can be divided roughly into: mean-field homogenization, mathematical (asymptotic) homogenization, computational homogenization and numerical homogenization [6, 7].

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25 The mean field homogenization method was first proposed for composites having linear elastic constituents. It is  
26 based on assumed relations between volume averages of strain fields in each phase. This relation is typically derived  
27 from the exact solution of Eshelby [8] for an ellipsoidal inclusion embedded in an infinite matrix or its extensions with  
28 consideration of multiple inclusions by Mori and Tanaka [9], self-consistent scheme by Kröner [10] and Hill [11], and  
29 double inclusion schemes [5]. Extension of these schemes to the nonlinear (time-dependent) regime usually requires  
30 the linearization of the local constitutive equations and the definition of uniform reference properties for each phase.  
31 Popular linearization strategies include secant [12], incremental [13], tangent [14] and affine [15–17] approaches.  
32 Examples of the application of mean field homogenization for nonlinear (elasto-plastic, viscoelastic, elasto-visco-  
33 plastic) mechanical problems can be found in [15, 18–21]. This semi-analytical method can be very accurate in linear  
34 (thermo)elasticity and it is computationally efficient. However, there is no detailed stress/strain field for each phase  
35 and accurate extension to nonlinear cases is still challenging.

36 The mathematical homogenization method represents the physical fields in a composite by asymptotic expansion  
37 in powers of a small parameter  $\zeta$ , which is the ratio of a characteristic size of the heterogeneities and a measure of  
38 the macrostructure. The asymptotic expansion allows a decomposition of the final solution into a series of governing  
39 equations, which can be evaluated successively from a sequence of (initial) boundary-value problems within a unit  
40 cell (or representative volume element) domain. The effective properties are obtained through volume averaging  
41 operations [22]. This method is mathematically elegant and rigorous for a periodic microstructure with linear elastic  
42 mechanical properties. However, extension to a nonlinear material response is not straightforward although possible  
43 with the transformation field analysis [23]. In this method, the inelastic strain field is considered as given eigenstrains,  
44 which can be determined from solving linear problems with eigenstrains. Examples can be found for viscoelasticity  
45 [24–26] and for viscoplasticity [27–30]

46 In the computational homogenization method, also referred to as micro-macro analysis or  $FE^2$  [31], the local  
47 macroscopic constitutive response is derived from the solution of a microstructural boundary value problem in a  
48 (statistically equivalent) representative volume element (RVE) and information of the microscale is hierarchically  
49 passed to the macroscale by bridging laws. The RVE is a characteristic sample of heterogeneous material that should  
50 be sufficiently large to involve enough composite micro-heterogeneities in order to be representative, however it should  
51 be much smaller than the macroscopic dimensions [32]. This method does not introduce any explicit format of the  
52 macroscopic constitutive equations as the macroscopic stress is determined from the mechanical deformation state of  
53 the associated RVE. However, the implementation of this method is not readily available in a general-purpose finite  
54 element code and the computational cost of this method can be prohibitively high. Computational homogenization  
55 has been applied to model, amongst others, viscoelasticity [33–35] and viscoplasticity [36–39].

56 For the numerical homogenization method, also called unit cell method [31], a macroscopic canonical constitutive  
57 law, e.g. viscoplasticity, is assumed a priori for the macroscale model. The material parameters are then determined  
58 from the averaged microscopic stress-strain fields calculated from the computational analysis of a microstructural  
59 model (a unit cell or an RVE) subjected to fundamental load cases. The calibrated macroscopic constitutive model is  
60 then used for modeling composite structures without explicitly representing the microstructure, which greatly reduces  
61 the computational cost. When compared with the computational homogenization method, the numerical homogeniza-  
62 tion does not need to keep solving boundary value problems of RVEs during a macroscale analysis. This approach has  
63 been used for development of the so-called homogenization-based or micromechanically derived classical constitutive  
64 models, e.g. plasticity and damage [40–42], as well as for viscoelasticity [43, 44] and viscoplasticity [44–46].

65 In this paper, a viscoelastic-viscoplastic (VE-VP) model for polymer composites is derived using a numerical  
66 homogenization scheme. This paper is organized as follows: in Section 2, the basic formulation of the VE-VP model  
67 proposed by Rocha et al. [47] is illustrated and the stress update scheme used for implementation of the VE-VP  
68 model is introduced. In Section 3, novel step-by-step calibration procedures are introduced to calibrate the material  
69 parameters of a homogenized VE-VP model based on the response of a representative volume element (RVE) under  
70 typical loading conditions. In Section 4, the performance of the introduced numerical scheme is demonstrated.

71 **2. A viscoelastic-viscoplastic polymer model**

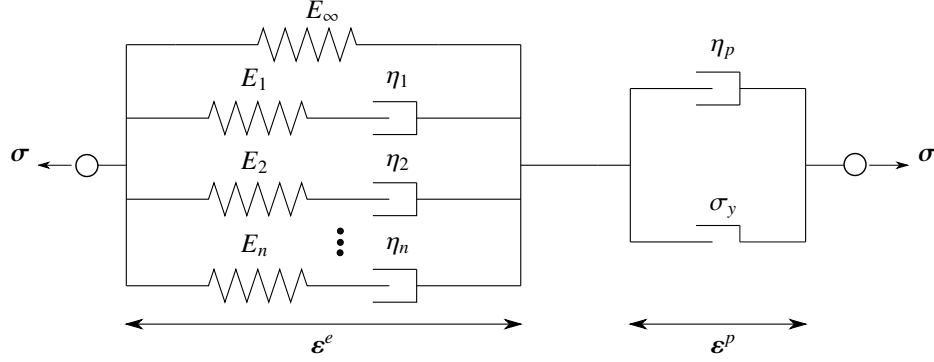


Figure 1: Schematic representation of the viscoelastic-viscoplastic polymer model in one-dimension. The coefficients of the elastic and plastic components do not represent the same coefficients used in Section 2.1.

72 Following Rocha et al. [47], a viscoelastic-viscoplastic (VE-VP) model as schematically represented in Fig. 1  
 73 used to model the constitutive behavior of an epoxy resin. In this model, the total strain  $\varepsilon_{ij}$  is decomposed into an  
 74 elastic part  $\varepsilon_{ij}^e$  and a plastic part  $\varepsilon_{ij}^p$ :

$$\varepsilon_{ij} = \varepsilon_{ij}^e + \varepsilon_{ij}^p \quad (1)$$

75 The elastic behavior is represented by a generalized Maxwell model consisting of  $n$  parallel Maxwell elements con-  
 76 nected along with an extra isolated long-term spring. In each Maxwell element, a spring with modulus  $E_i$  and a  
 77 dashpot with viscosity parameter  $\eta_i$  are connected in series. The plastic behavior is represented by a sliding element  
 78 with yield stress  $\sigma_y$  and a dashpot with viscosity parameter  $\eta_p$ . Overstress is allowed to be developed due to the dash-  
 79 pot component that is placed in parallel to the sliding element. In this section, the mathematical formulation for the  
 80 viscoelasticity and viscoplasticity model is described first, followed by the stress update scheme used for numerical  
 81 simulation with the finite element method (FEM).

82 **2.1. Formulation for the VE-VP model**

83 Following the conceptual representation of the VE-VP model, the mathematical formulations for the VE-VP  
 84 constitutive model in a three-dimensional setting is detailed in this section. The contribution of the viscoelastic  
 85 components is described with a linear viscoelastic model. Afterwards, the viscoplastic components are represented  
 86 by a Perzyna-type overstress formulation with a backbone of a pressure-dependent plasticity model.

87 **2.1.1. Viscoelasticity**

88 Assuming a linear viscoelastic model, the stress is computed with Boltzmann's hereditary integral related to the  
 89 elastic strain by [48]:

$$\sigma_{ij}(t) = \int_{-\infty}^t D_{ijkl}(t - \tilde{t}) \frac{\partial \varepsilon_{kl}^e(\tilde{t})}{\partial \tilde{t}} d\tilde{t} \quad (2)$$

90 in which  $D_{ijkl}(t)$  is the time-dependent stiffness that can be expressed with the time-dependent shear stiffness  $G(t)$  and  
 91 bulk stiffness  $K(t)$ :

$$D_{ijkl}(t) = 2G(t)I_{ijkl}^{dev} + 3K(t)I_{ijkl}^{vol} \quad (3)$$

92 where  $G(t)$  and  $K(t)$  can be further expanded as an addition of a long-term contribution and a Prony series of  $n_s$  shear  
 93 elements and  $n_r$  bulk elements:

$$G(t) = G_\infty + \sum_{s=1}^{n_s} G_s \exp\left(-\frac{t}{g_s}\right) \quad K(t) = K_\infty + \sum_{r=1}^{n_r} K_r \exp\left(-\frac{t}{k_r}\right) \quad (4)$$

94 in which  $G_\infty$  and  $K_\infty$  represent the long-term shear and bulk stiffness, and  $G_s$ ,  $K_r$ ,  $g_s$  and  $k_r$  are shear and bulk stiffness  
 95 and relaxation time of the Maxwell elements, respectively. The fourth-order deviatoric and volumetric operator tensors  
 96 introduced in Eq. (3) are defined as:

$$I_{ijkl}^{dev} = \delta_{ik}\delta_{jl} - \frac{1}{3}\delta_{ij}\delta_{kl} \quad I_{ijkl}^{vol} = \frac{1}{3}\delta_{ij}\delta_{kl} \quad (5)$$

97 where  $\delta_{ij}$  is the Kronecker delta. These operator tensors can also be used to decompose the elastic strain  $\varepsilon_{ij}^e$  into a  
 98 deviatoric part  $\varepsilon_{ij}^{e,dev}$  and a hydrostatic part  $\varepsilon_{ij}^{e,vol}$ :

$$\varepsilon_{ij}^e = \varepsilon_{ij}^{e,dev} + \varepsilon_{ij}^{e,vol} = I_{ijkl}^{dev}\varepsilon_{kl}^e + I_{ijkl}^{vol}\varepsilon_{kl}^e \quad (6)$$

99 By substituting Eqs. (4) into Eq. (3), the time-dependent stiffness  $D_{ijkl}(t)$  can be expressed as:

$$D_{ijkl}(t) = (2G_\infty I_{ijkl}^{dev} + 3K_\infty I_{ijkl}^{vol}) + \left( \sum_{s=1}^{n_s} 2G_s \exp\left(-\frac{t}{g_s}\right) I_{ijkl}^{dev} + \sum_{r=1}^{n_r} 3K_r \exp\left(-\frac{t}{k_r}\right) I_{ijkl}^{vol} \right) = D_{ijkl}^\infty + D_{ijkl}^m(t) \quad (7)$$

100 where  $D_{ijkl}^\infty$  is the long-term stiffness and  $D_{ijkl}^m(t)$  is the overall stiffness of the Maxwell elements.

### 101 2.1.2. Viscoplasticity

102 The viscoplasticity model is a Perzyna-type model with a backbone of a hardening plasticity model. Following  
 103 Rocha et al. [47], the yield function is pressure-dependent and is defined as:

$$f_p(\sigma, \varepsilon_{eq}^p) = 6J_2 + 2I_1(\sigma_c - \sigma_t) - 2\sigma_c\sigma_t \quad (8)$$

104 where  $I_1 = \sigma_{kk}$  is the first stress invariant,  $J_2 = \frac{1}{2}S_{ij}S_{ij}$  is the second invariant of the deviatoric stress  $S_{ij}$ , and  $\sigma_t$  and  
 105  $\sigma_c$  are the yield stress in tension and compression, respectively. The yield stress values  $\sigma_t$  and  $\sigma_c$  are a function of the  
 106 accumulated equivalent plastic strain  $\varepsilon_{eq}^p$ , which is in turn related to the plastic strain in an incremental form as:

$$\Delta\varepsilon_{eq}^p = \sqrt{\frac{1}{1 + 2\nu_p^2} \Delta\varepsilon_{ij}^p \Delta\varepsilon_{ij}^p} \quad (9)$$

107 in which  $\nu_p$  is the plastic Poisson's ratio. In case of an applied uniaxial loading along direction-1, the incremental  
 108 plastic strain in the other two perpendicular directions, i.e.  $\Delta\varepsilon_{22}^p$  and  $\Delta\varepsilon_{33}^p$ , is related to the incremental plastic strain  
 109 in the loading direction  $\Delta\varepsilon_{11}^p$ :

$$\Delta\varepsilon_{22}^p = \Delta\varepsilon_{33}^p = -\nu_p \Delta\varepsilon_{11}^p \quad (10)$$

110 The desired contraction behavior is implemented through a non-associative flow rule which is written in an incremental  
 111 form as:

$$\Delta\varepsilon_{ij}^p = \Delta\gamma \left( 3S_{ij} + \frac{2}{9}\alpha I_1 \delta_{ij} \right) \quad (11)$$

112 where  $\Delta\gamma$  is the incremental plastic multiplier and the parameter  $\alpha$  is:

$$\alpha = \frac{9}{2} \frac{1 - 2\nu_p}{1 + \nu_p} \quad (12)$$

113 A viscous time scale is introduced in the model by allowing the overstress to develop beyond the yield surface. The  
 114 overstress formulation is of Perzyna-type and the evolution of the plastic multiplier  $\Delta\gamma$  can therefore be described by:

$$\Delta\gamma = \begin{cases} \frac{\Delta t}{\eta_p} \left( \frac{f_p}{\sigma_t^0 \sigma_c^0} \right)^{m_p} & \text{if } f_p > 0 \\ 0 & \text{if } f_p \leq 0 \end{cases} \quad (13)$$

115 in which  $\sigma_t^0$  and  $\sigma_c^0$  are the yield stress values when  $\varepsilon_{eq}^p = 0$ ,  $\Delta t$  is the time increment, and  $m_p$  and  $\eta_p$  are viscoplastic  
 116 coefficients.

## 117 2.2. Stress update scheme

118 To facilitate the implementation of the introduced VE-VP model in a FEM framework, an incremental stress update  
 119 scheme and the consistent tangent used for the Newton-Raphson method are derived. The stress update scheme defines  
 120 how the stress increment  $\Delta\sigma_{ij}$  for a material point is related to a strain increment  $\Delta\varepsilon_{ij}$ , given that all the state variables  
 121 from the previous time step are known. For each time step a viscoelastic trial stress is always first computed, assuming  
 122 that the stress development within this step is not beyond the yield surface. Whenever this assumption is violated, a  
 123 viscoplastic returning-mapping scheme is used to correct the trial stress.

### 124 2.2.1. Viscoelastic stress update

125 Supposing that all the state variables of a material point at time  $t = t_n$  are known and applying a strain increment  
 126  $\Delta\varepsilon_{ij} = \varepsilon_{ij}(t_{n+1}) - \varepsilon_{ij}(t_n)$ , the viscoelastic trial stress is derived as follows: a decomposition of the stress into deviatoric  
 127 part and hydrostatic part gives:

$$\sigma_{ij}(t_{n+1}) = S_{ij}(t_{n+1}) + 3p(t_{n+1})\delta_{ij} \quad (14)$$

128 in which  $S_{ij}$  is the deviatoric stress,  $p$  is the hydrostatic stress. By substituting Eqs. (3) and (4) into Eq. (2), the  
 129 deviatoric and hydrostatic part of the stress at time  $t = t_{n+1}$  can be expressed as:

$$S_{ij}(t_{n+1}) = 2G^\infty \varepsilon_{ij}^{e,dev}(t_{n+1}) + \sum_{s=1}^{n_s} \int_0^{t_{n+1}} 2G_s \exp\left(-\frac{t_{n+1}-\tilde{t}}{g_s}\right) \frac{\partial \varepsilon_{ij}^{e,dev}(\tilde{t})}{\partial \tilde{t}} d\tilde{t} = 2G^\infty \varepsilon_{ij}^{e,dev}(t_{n+1}) + \sum_{s=1}^{n_s} \tau_{ij}^s(t_{n+1}) \quad (15)$$

$$p(t_{n+1}) = K^\infty \varepsilon_v^e(t_{n+1}) + \sum_{r=1}^{n_r} \int_0^{t_{n+1}} K_r \exp\left(-\frac{t_{n+1}-\tilde{t}}{k_r}\right) \frac{\partial \varepsilon_v^e(\tilde{t})}{\partial \tilde{t}} d\tilde{t} = K^\infty \varepsilon_v^e(t_{n+1}) + \sum_{r=1}^{n_r} h^p(t_{n+1}) \quad (16)$$

131 in which  $\varepsilon_v^e = \varepsilon_{kk}^e$  is the volumetric part of the elastic strain,  $\varepsilon_{ij}^{e,dev} = \varepsilon_{ij}^e - \frac{1}{3}\varepsilon_v^e\delta_{ij}$  is the deviatoric part and the viscous  
 132 components can be described as:

$$\tau_{ij}^s(t_{n+1}) = \int_0^{t_{n+1}} 2G_s \exp\left(-\frac{t_{n+1}-\tilde{t}}{g_s}\right) \frac{\partial \varepsilon_{ij}^{e,dev}(\tilde{t})}{\partial \tilde{t}} d\tilde{t} = \exp\left(-\frac{\Delta t}{g_s}\right) \tau_{ij}^s(t_n) + 2G_s \left[1 - \exp\left(-\frac{\Delta t}{g_s}\right)\right] \frac{g_s}{\Delta t} \Delta \varepsilon_{ij}^{e,dev} \quad (17)$$

$$\begin{aligned} &= \exp\left(-\frac{\Delta t}{g_s}\right) \tau_{ij}^s(t_n) + 2G_{ve}(\Delta t) \Delta \varepsilon_{ij}^{e,dev} \\ 133 \quad h^p(t_{n+1}) &= \int_0^{t_{n+1}} K_r \exp\left(-\frac{t_{n+1}-\tilde{t}}{k_r}\right) \frac{\partial \varepsilon_v^e(\tilde{t})}{\partial \tilde{t}} d\tilde{t} = \exp\left(-\frac{\Delta t}{k_r}\right) h^p(t_n) + K_r \left[1 - \exp\left(-\frac{\Delta t}{k_r}\right)\right] \frac{k_r}{\Delta t} \Delta \varepsilon_v^e \\ &= \exp\left(-\frac{\Delta t}{k_r}\right) h^p(t_n) + K_{ve}(\Delta t) \Delta \varepsilon_v^e \end{aligned} \quad (18)$$

134 with

$$G_{ve}(\Delta t) = G_s \left[1 - \exp\left(-\frac{\Delta t}{g_s}\right)\right] \frac{g_s}{\Delta t} \quad K_{ve}(\Delta t) = K_r \left[1 - \exp\left(-\frac{\Delta t}{k_r}\right)\right] \frac{k_r}{\Delta t} \quad (19)$$

135 By using Eqs. (15)-(19), the stress  $\sigma_{ij}(t_{n+1})$  can be expressed as:

$$\begin{aligned} \sigma_{ij}(t_{n+1}) &= S_{ij}(t_{n+1}) + 3p(t_{n+1})\delta_{ij} \\ &= D_{ijkl}^\infty : \varepsilon_{kl}^e(t_{n+1}) + D_{ijkl}^{ve}(\Delta t) : \Delta \varepsilon_{kl}^e + \sigma_{ij}^{hist}(t_n) \end{aligned} \quad (20)$$

136 with

$$D_{ijkl}^{ve}(\Delta t) = 2G_{ve}(\Delta t) I_{ijkl}^{dev} + 3K_{ve}(\Delta t) I_{ijkl}^{vol} \quad (21)$$

$$\sigma_{ij}^{hist}(t_n) = \sum_{s=1}^{n_s} \exp\left(-\frac{\Delta t}{g_s}\right) \tau_{ij}^s(t_n) + 3 \sum_{r=1}^{n_r} \exp\left(-\frac{\Delta t}{k_r}\right) h^p(t_n) \delta_{ij} \quad (22)$$

138 For the trial stress it is assumed that there is no plastic strain increment, i.e.  $\Delta \varepsilon_{ij}^p = 0$  and  $\Delta \varepsilon_{ij}^e = \Delta \varepsilon_{ij}$ . Therefore,  
 139 by using Eq. (20) the viscoelastic trial stress reads:

$$\sigma_{ij}^{tr} = D_{ijkl}^\infty : \varepsilon_{kl}^e(t_{n+1}) + D_{ijkl}^{ve} : \Delta \varepsilon_{kl} + \sigma_{ij}^{hist}(t_n) = D_{ijkl}^\infty : (\varepsilon_{kl}(t_{n+1}) - \varepsilon_{kl}^p(t_n)) + D_{ijkl}^{ve}(\Delta t) : \Delta \varepsilon_{kl} + \sigma_{ij}^{hist}(t_n) \quad (23)$$

140 The viscoelastic stress is then substituted into the yield function in Eq. (8) to check if the yield condition is  
 141 satisfied. If the yield function is not larger than zero, the stress is equal to the trial stress,

$$\sigma_{ij}(t_{n+1}) = \sigma_{ij}^{tr} \quad (24)$$

142 Otherwise, the stress has to be corrected with the viscoplastic return-mapping scheme outlined in the next section.  
 143 The consistent tangent operator needed for iterative solving of the global system of equations is given in Appendix A.

### 144 2.2.2. Viscoelastic-viscoplastic stress update

145 If the yield function for a viscoelastic trial stress in Eq. (8) is larger than zero, a return-mapping scheme is needed.  
 146 In this case, plastic flow should occur so that  $\Delta \varepsilon_{ij}^p \neq 0$  and  $\Delta \varepsilon_{ij}^e = \Delta \varepsilon_{ij} - \Delta \varepsilon_{ij}^p$ . According to Eq. (20) and Eq. (23), the  
 147 stress can be expressed as:

$$\sigma_{ij} = \sigma_{ij}^{tr} - \left( D_{ijkl}^\infty + D_{ijkl}^{ve}(\Delta t) \right) \Delta \varepsilon_{kl}^p = \sigma_{ij}^{tr} - \hat{D}_{ijkl} \Delta \varepsilon_{kl}^p \quad (25)$$

148 in which

$$\hat{D}_{ijkl} = D_{ijkl}^\infty + D_{ijkl}^{ve}(\Delta t) \quad (26)$$

149 Substitution of Eq. (26) and replacing the increment of plastic strain defined in Eq. (11) in Eq. (25) gives:

$$\sigma_{ij}(t_{n+1}) = \sigma_{ij}^{tr} - 6\hat{G}\Delta\gamma S_{ij}(t_{n+1}) - \frac{2}{9}\hat{K}\alpha\Delta\gamma(I_1)_{n+1}\delta_{ij} \quad (27)$$

150 where

$$\hat{G} = G_\infty + G_{ve}(\Delta t) \quad \hat{K} = K_\infty + K_{ve}(\Delta t) \quad (28)$$

151 Splitting Eq. (27) into its deviatoric and volumetric components gives:

$$S_{ij}(t_{n+1}) = S_{ij}^{tr} - 6\hat{G}\Delta\gamma S_{ij}(t_{n+1}) \iff S_{ij}(t_{n+1}) = \frac{S_{ij}^{tr}}{1 + 6\hat{G}\Delta\gamma} = \frac{S_{ij}^{tr}}{\zeta_s} \quad (29)$$

$$p(t_{n+1}) = p^{tr} - \frac{2}{3}\Delta\gamma\hat{K}\alpha I_1 \iff p(t_{n+1}) = \frac{p^{tr}}{1 + 2\hat{K}\alpha\Delta\gamma} = \frac{p^{tr}}{\zeta_p} \quad (30)$$

153 in which

$$\zeta_s = 1 + 6\hat{G}\Delta\gamma, \quad \zeta_p = 1 + 2\hat{K}\alpha\Delta\gamma \quad (31)$$

154 Considering Eqs. (8), (9), (11), (29) and (30), the overstress function in Eq. (13) is only a function of  $\Delta\gamma$ :

$$\Phi(\Delta\gamma) = \frac{\Delta t}{\eta_p} \left( \frac{f_p}{\sigma_t^0 \sigma_c^0} \right)^{m_p} - \Delta\gamma = 0 \quad (32)$$

155 This equation can be solved by a local Newton-Raphson scheme outlined in Appendix B. After the incremental plastic  
 156 multiplier  $\Delta\gamma$  is obtained, the stress can be computed by a back substitution of its value into Eq. (27). The consistent  
 157 tangent needed for iterative solution of the system of equations in an implicit FEM framework is given in Appendix  
 158 B.

## 159 3. Numerical homogenization scheme

160 In this section, a numerical homogenization scheme for deriving a viscoelastic-viscoplastic model for two-phase  
 161 polymeric composites is introduced. In this method, the mechanical response of the composites is assumed to be an  
 162 average response of the two different phases of the material. Therefore, by selecting a characteristic sample of the  
 163 heterogeneous composite microstructure, i.e. the so-called representative volume element (RVE), the overall response  
 164 of composites can be extracted from homogenization of the response of the RVE (see Fig. 2). In this work, a three-  
 165 dimensional orthotropic periodic RVE of  $5 \times 5$  fibers with a volume fraction of 60% is created<sup>1</sup>. The polymer phase

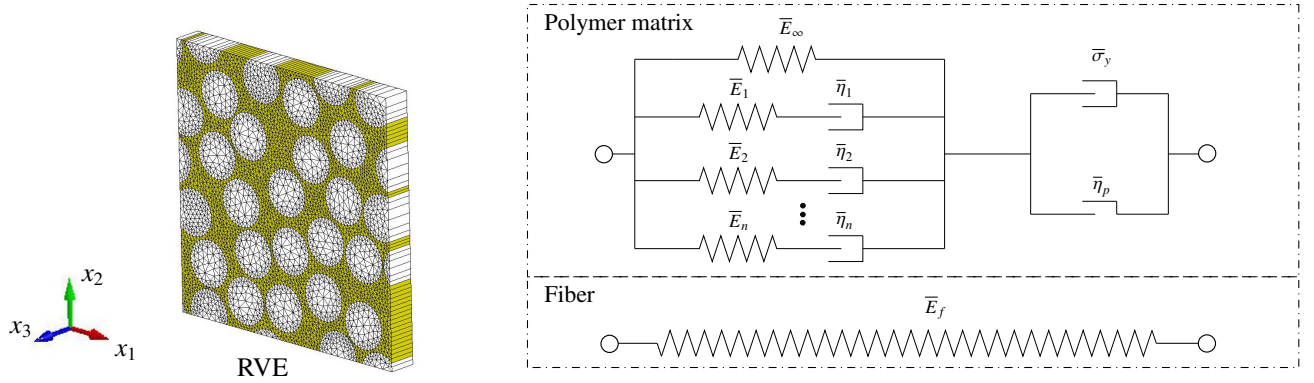
<sup>1</sup>A discrete element method generator called HADES is used to generate a stochastic distribution of the fibers with the diameter  $D_f = 5 \mu\text{m}$  and a minimum distance between fibers  $d_{min} = 0.2 \mu\text{m}$ , following the procedures in Liu et al. [49]. After this, a mesh is generated with GMSH [50] for the fibers and the matrix.

166 of the RVE is assumed to be epoxy and the VE-VP model introduced in Section 2 is adopted with given material  
167 parameter values. The fiber, which is usually much stiffer and stronger, is assumed to be linear elastic. Perfect  
168 bonding is assumed for the interface between the polymer matrix and fibers. This three-dimensional orthotropic RVE  
169 is adopted with only the response of the fiber/matrix microstructure in the transverse plane investigated. For that  
170 reason we can use an isotropic material for the homogenized response. This isotropic model will only be valid for the  
171 2D response. We choose to do the calibration in plane stress, because this allows for straightforward identification of  
172 parameters of the homogenized VE-VP model. For the 3D RVE simulations, global plane stress conditions are applied  
173 with periodic boundary conditions with free contraction in the fiber direction, which means that the average stress in  
174 fiber direction is equal to zero. Because the nonlinear response of the composite material can be expected to inherit  
175 characteristics of the underlying nonlinear model for the polymer matrix, it is assumed that the overall transverse  
176 mechanical response of the composite material can be described with the same VE-VP model as the polymer phase  
177 alone. Numerical homogenization requires the parameters of the homogenized VE-VP model to be determined from a  
178 calibration process. According to the VE-VP model introduced in Section 2.1, the following set of material parameters  
179 needs to be determined through numerical homogenization schemes: (1) elasticity-related: Young's modulus  $E_\infty$  and  
180 Poisson's ratio  $\nu$ ; (2) viscoelasticity-related: relaxation modulus (i.e.  $K_r$  and  $G_s$ ) and relaxation times (i.e.  $k_r$  and  $g_s$ );  
181 (3) plasticity-related: plastic Poisson's ratio  $\nu_p$  and hardening curves; (4) viscoplasticity-related:  $m_p$  and  $\eta_p$ .

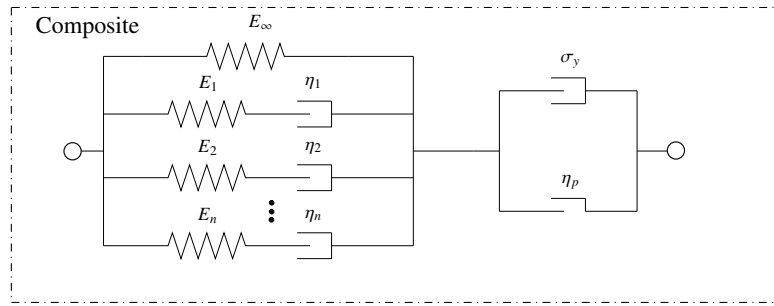
182 The adopted strategy is a step-by-step calibration process based on different components of the homogenized VE-  
183 VP material model: (a) elasticity; (b) viscoelasticity; (c) plasticity; (d) viscoplasticity. The central premise of this  
184 paper is that if we have a micromodel with representative geometry and rich constitutive relations for the constituents,  
185 we can calibrate an equally rich constitutive law for an equivalent homogeneous material by separately accounting  
186 for the influence of the different constitutive ingredients. The calibration procedure is performed for two-dimensional  
187 plane stress simulations. A three-dimensional orthotropic RVE with free contraction in fiber direction is adopted to  
188 ensure a consistent macroscopic plane stress response.

189 For each calibration step, only one component of the constitutive model is considered while the others are turned  
190 off. In this way, the complexity of coupling different mechanisms is reduced and the material parameters for each  
191 component of the homogenized VE-VP model can be calibrated through the corresponding homogenization tech-  
192 niques. Typically, the mechanical response of the RVE model under representative loading conditions is investigated  
193 with FEM simulations and the average response of the RVE is considered as the reference exact solution of the ho-  
194 mogenized VE-VP model. The value of the material parameters of the homogenized VE-VP model can be determined  
195 by matching the averaged RVE response with optimization algorithms. Building upon the parameters calibrated from  
196 the previous step, each time a certain number of extra parameters is calibrated by extracting the necessary information  
197 from the RVE model during a new calibration step. Finally, the whole set of calibrated parameters of the homogenized  
198 VE-VP model is obtained.





(a) Finite element mesh of the RVE microstructure (the dimensions of the numerical sample are  $[l_1, l_2, l_3] = [28.6, 28.6, 0.5] \mu\text{m}$ ) and its constitutive models.



(b) Homogenized VE-VP material model

Figure 2: The equivalent homogeneous model with a VE-VP model (b) is assumed to have the same mechanical behavior as the RVE model with heterogeneous material in (a). The parameters in (b) have to be determined by homogenization of the RVE model.

### 3.1. Step 1: calibration of elastic component parameters

To calibrate elasticity parameters of the homogenized VE-VP model, only the elasticity components of the RVE model is considered on while the other components are turned off (see Fig. 3). The Young's modulus of the fiber  $\bar{E}_f$  and matrix  $\bar{E}_\infty$  are 74000 MPa and 2500 MPa, respectively. The Poisson's ratio for fiber  $\bar{\nu}_f$  and matrix  $\bar{\nu}_m$  are 0.2 and 0.37, respectively. The Young's modulus and Poisson's ratio of the homogenized VE-VP model can be extracted by subjecting the RVE to a uniaxial stress state. The boundary conditions illustrated in Appendix C are applied on the RVE shown in Fig. 3 with a prescribed unit displacement along direction-1. The Poisson's ratio can therefore be calculated as:

$$\nu = -\varepsilon_{22}/\varepsilon_{11} = 0.42 \quad (33)$$

where  $\varepsilon_{22}$  and  $\varepsilon_{11}$  are the normal strains along direction-1 and the direction-2, respectively. Similarly, the Young's modulus  $E_\infty$  is calculated by:

$$E_\infty = \frac{f_1/(l_2 l_3)}{\varepsilon_{11}} = 10394 \text{ MPa} \quad (34)$$

where  $f_1$  is the total nodal force of the right surface of the RVE model,  $l_2$  and  $l_3$  are the length of the RVE along direction-2 and direction-3, respectively.

### 3.2. Step 2: calibration of viscoelastic parameters

To calibrate the viscoelastic parameters of the homogenized VE-VP model, only the viscoelastic components of the RVE model are turned on (see Fig. 4). Following [47, 51], a dynamic mechanical analysis (DMA) on the RVE is performed. The basic theory and procedures can be illustrated as follows: it is known that for a viscoelastic material

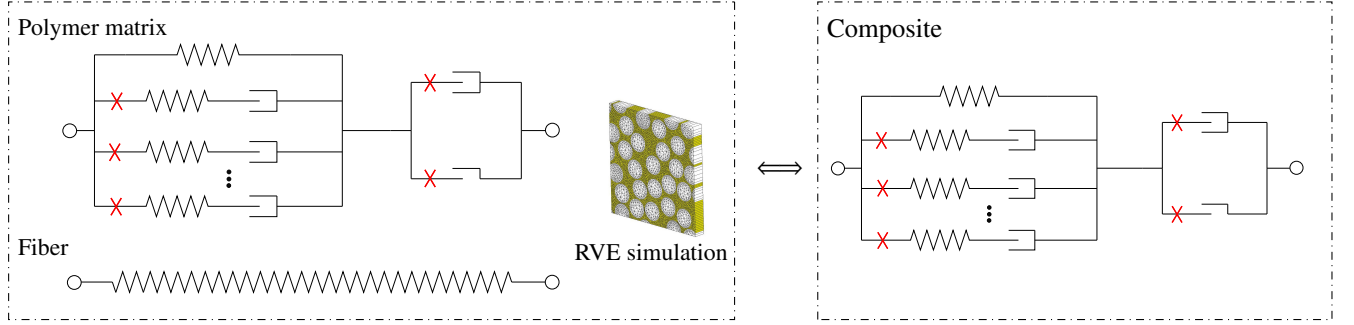


Figure 3: Schematic representation of step 1: the calibration of elasticity parameters of the homogenized VE-VP model. The cross sign represents the components that are turned off.

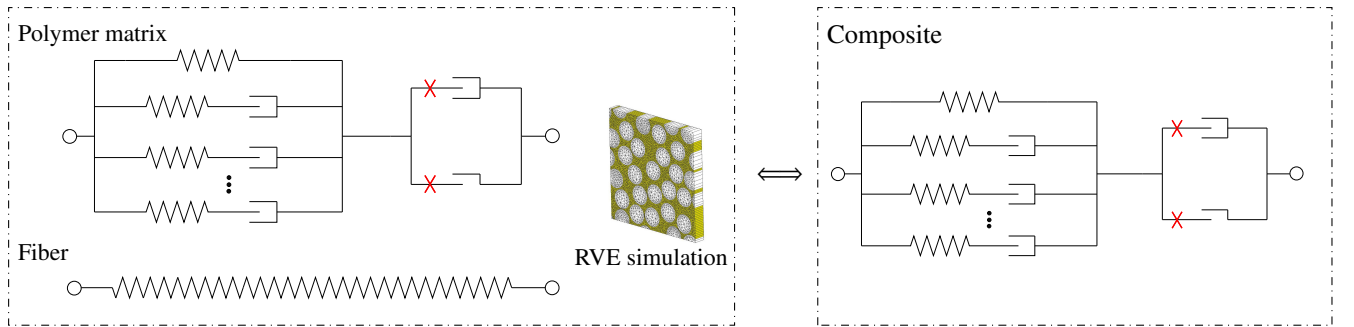


Figure 4: Schematic representation of step 2: the calibration of viscoelasticity parameters of the homogenized VE-VP model. The cross sign represents the components that are turned off.

215 subjected to a sinusoidal strain  $\bar{\varepsilon}^e = \varepsilon_0 \sin(\omega t)$ , the resultant stress is also sinusoidal but with a phase shift and can be  
 216 expressed as:

$$\sigma(t) = \sigma_0 \sin(\omega t + \delta) = E' \varepsilon_0 \sin(\omega t) + E'' \varepsilon_0 \cos(\omega t) \quad (35)$$

217 where  $E'$  is called the storage modulus and  $E''$  is called the loss modulus. Under uniaxial loading, the stress is  
 218 independent of the Poisson's ratio, and the viscoelastic Young's modulus may be described by a Prony series similar  
 219 to Eq. (4):

$$E(t) = E_\infty + \sum_{i=1}^n E_i \exp\left(-\frac{t}{\tau_i}\right) \quad (36)$$

220 where  $E_\infty = 10394$  MPa is the long-term Young's modulus which is already calibrated in Section 3.1,  $E_i$  and  $\tau_i$  are  
 221 the relaxation Young's modulus and the relaxation time for each Maxwell chain, respectively, and  $n$  is the number of  
 222 Maxwell chains. Following Rocha et al. [47], four Prony series are used for the polymer model and the corresponding  
 223 parameter values are listed in Table 1. For given parameters  $E_i$  and  $\tau_i$ , the stress signal is given as:

$$\sigma(t) = \int_{-\infty}^t E(t - \tilde{t}) \frac{\partial \bar{\varepsilon}^e(\tilde{t})}{\partial \tilde{t}} d\tilde{t} = \left( E_\infty + \sum_{i=1}^4 \frac{E_i \omega^2}{\omega^2 + \frac{1}{\tau_i^2}} \right) \varepsilon_0 \sin(\omega t) + \left( \sum_{i=1}^4 \frac{E_i \omega}{\omega^2 + \frac{1}{\tau_i^2}} \right) \varepsilon_0 \cos(\omega t) \quad (37)$$

$\bar{\tau}_i$ (ms)	52.7704	2938.8889	5.4080e4	3.9612e7
$\bar{E}_i$ (MPa)	98.5401	142.4348	487.7009	112.2702

Table 1: Prony series parameter values for the polymer model

224 from which the storage modulus and loss modulus can be identified as:

$$E'(\omega) = E_\infty + \sum_{i=1}^4 \frac{E_i \omega^2}{\omega^2 + \frac{1}{\tau_i^2}} \quad (38)$$

$$E''(\omega) = \sum_{i=1}^4 \frac{E_i \omega \tau_i}{\omega^2 + \frac{1}{\tau_i^2}} \quad (39)$$

226 The closed-form formulations given in Eq. (38) and Eq. (39) show that both the storage modulus  $E'$  and the loss  
227 modulus  $E''$  are a function of the applied angular frequency  $\omega$ .

228 To calibrate the viscoelastic parameters of the homogenized VE-VP model, 10 DMA simulations with uniaxial  
229 tension on the RVE with 10 different angular frequencies  $\omega_i \in 2\pi \times [0.05, 0.1, 0.5, 1.0, 2.0, 5.0, 10.0, 15.0, 20.0, 25.0]$   
230 Hz and the same magnitude  $\varepsilon_0 = 0.0001$  mm are performed and the overall stress of the RVE is recorded. The  
231 boundary conditions illustrated in Appendix C are applied on the RVE shown in Fig. 4 and the overall stress is  
232 calculated according to Eq. (63). For each case, the values of  $E'$  and  $E''$  can be calculated from the stress of the  
233 simulation, considering the closed-form expression Eq. (35). These values for the storage modulus and loss modulus  
234 are plotted in Fig. 5. Meanwhile, a nonlinear least-square optimization algorithm implemented in the LSQNONLIN  
235 function in MATLAB is used to match the numerical results with the closed-form formulation of  $E'$  and  $E''$ . The two  
236 objective functions that are minimized by running the LSQNONLIN are:

$$y(x) = \left[ \begin{array}{c} \sqrt{\sum_{i=1}^{10} (E'_i(\omega_i, x) - \bar{E}'_i(\omega_i))^2} \\ \sum_{i=1}^{10} (E''_i(\omega_i, x) - \bar{E}''_i(\omega_i))^2 \end{array} \right] \quad (40)$$

237 where  $x = (E_1, E_2, E_3, E_4, \tau_1, \tau_2, \tau_3, \tau_4)$  are the unknown viscoelastic relaxation modulus and relaxation times needed  
238 to be calibrated,  $E'_i(\omega_i, x)$  and  $E''_i(\omega_i, x)$  are the relaxation modulus and relaxation time calculated from Eq. (38) and  
239 Eq. (39), and  $\bar{E}'_i(\omega_i)$  and  $\bar{E}''_i(\omega_i)$  are the storage modulus and loss modulus obtained from each RVE simulation.  
240 Finally, the calibrated VE parameters are:

$$[E_1, E_2, E_3, E_4, \tau_1, \tau_2, \tau_3, \tau_4]^T = \begin{bmatrix} 256.4811 \\ 188.1201 \\ 2232.8425 \\ 302.9434 \\ 61.1900 \\ 553.0494 \\ 40905.3228 \\ 30015955.2538 \end{bmatrix} \quad (41)$$

241 By substituting the calibrated values in Eq. (41) into Eq. (38) and Eq. (39), the calibrated loss modulus and storage  
242 modulus functions are obtained. The comparison between this calibrated solution and the RVE solution shown in Fig.  
243 5 verifies the accuracy of the calibration procedure.

244 Next, the relaxation bulk modulus  $K_i$  and shear modulus  $G_i$  can be obtained by:

$$G_i = \frac{E_i}{2(1 + \nu)}, \quad K_i = \frac{E_i}{3(1 - 2\nu)}, \quad i = 1, 2, 3, 4 \quad (42)$$

245 where  $\nu = 0.42$  is the elastic Poisson's ratio calibrated in Section 3.1. The relaxation times for bulk modulus and shear  
246 modulus are obtained by [52]:

$$g_i = \frac{E_i \tau_i}{G_i}, \quad k_i = \frac{E_i \tau_i}{K_i}, \quad i = 1, 2, 3, 4 \quad (43)$$

247 All these data are listed in Table 2.

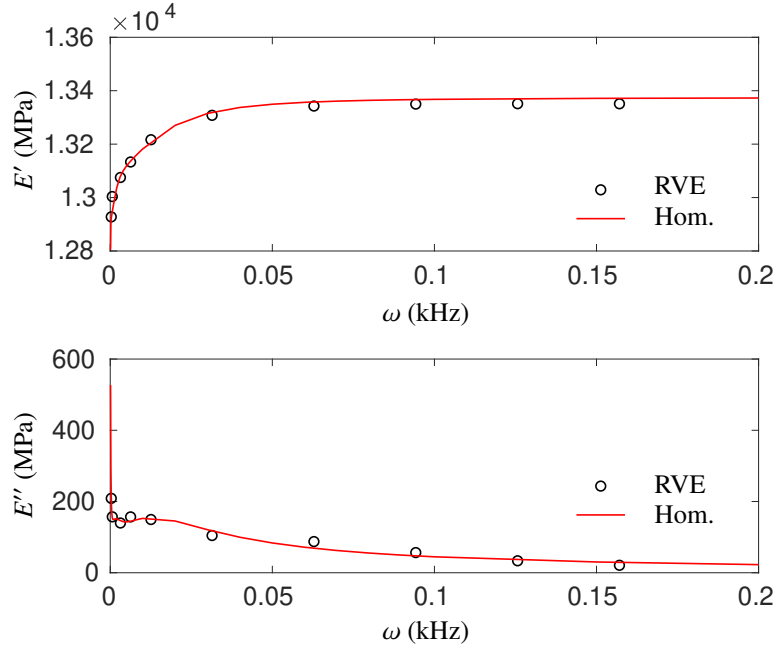


Figure 5: Comparison of storage modulus and loss modulus results between RVE model and the homogenized model with calibrated parameters

$G_i$ (MPa)	90.3102	66.2395	786.2121	106.6702
$g_i$ (ms)	173.7796	1570.6603	116171.1168	85245312.9208
$K_i$ (MPa)	534.3356	391.9169	4651.7552	631.1321
$k_i$ (ms)	29.3712	265.4637	19634.5549	14407658.5218

Table 2: Bulk and shear relaxation modulus and relaxation times of four Prony series

### 248 3.3. Step 3: homogenized plasticity model

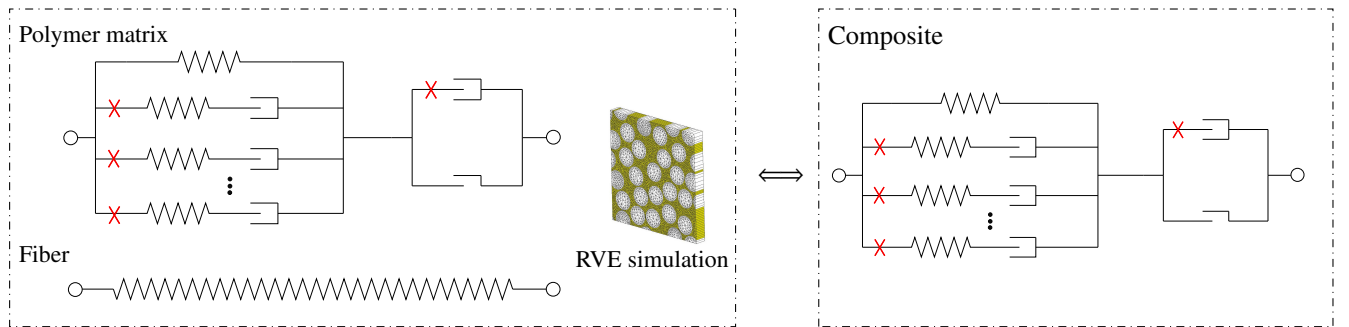


Figure 6: Schematic representation of step 3: the calibration of plasticity parameters of the homogenized VE-VP model. The cross sign represents the components that are turned off.

249 To calibrate the plasticity properties of the homogenized VE-VP model, i.e. the plastic Poisson's ratio  $\nu_p$  and the  
 250 hardening curves, only the plasticity components of the RVE model are turned on (see Fig. 6) with the homogenized  
 251 elasticity properties from Section 3.1. The plastic Poisson's ratio is 0.32 and the hardening curves of the matrix for  
 252 tension and compression are  $\sigma_t(\varepsilon_{eq}^p) = 64.80 - 33.6 \exp(-\varepsilon_{eq}^p/0.003407) - 10.21 \exp(-\varepsilon_{eq}^p/0.06493)$  and  $\sigma_c = 1.25\sigma_t$   
 253 (see Fig. 8b). Two types of stress states are applied on the RVE: a uniaxial tensile stress and a uniaxial compressive

254 stress, to account for the hardening plasticity behavior under both tension and compression loading. The boundary  
 255 conditions illustrated in Appendix C are applied on the RVE shown in Fig. 6 with a tensile (and compressive) loading  
 256 rate  $\dot{u}$  of 0.003 m/s.

257 During the RVE simulation, the average stress  $\sigma_{11}$  and strains  $\varepsilon_{11}$ ,  $\varepsilon_{22}$  are recorded. The stress vs. strain curve  
 258 and the distribution of the equivalent plastic strain at several representative time instants of the two cases are shown in  
 259 Fig. 7. There is an initial linear region where the material is deforming elastically (see point A in Fig. 7). Afterwards,  
 260 a hardening-type of stress-strain curve is observed while plastic flow occurs and plastic bands start to form (see points  
 261 B, C, D in Fig. 7). The stress increase in compression is faster than that in tension. From the enclosed subfigures,  
 262 it can be found that the deformation pattern of the RVE with plastic shear bands is similar to what is expected for a  
 263 isotropic material under a unidirectional stress state. This verifies the effectiveness of the applied boundary conditions.  
 264 It should also be noted that the detailed strain and stress field are obtained as well, which is one of the advantages over  
 265 mean-field homogenization approaches.

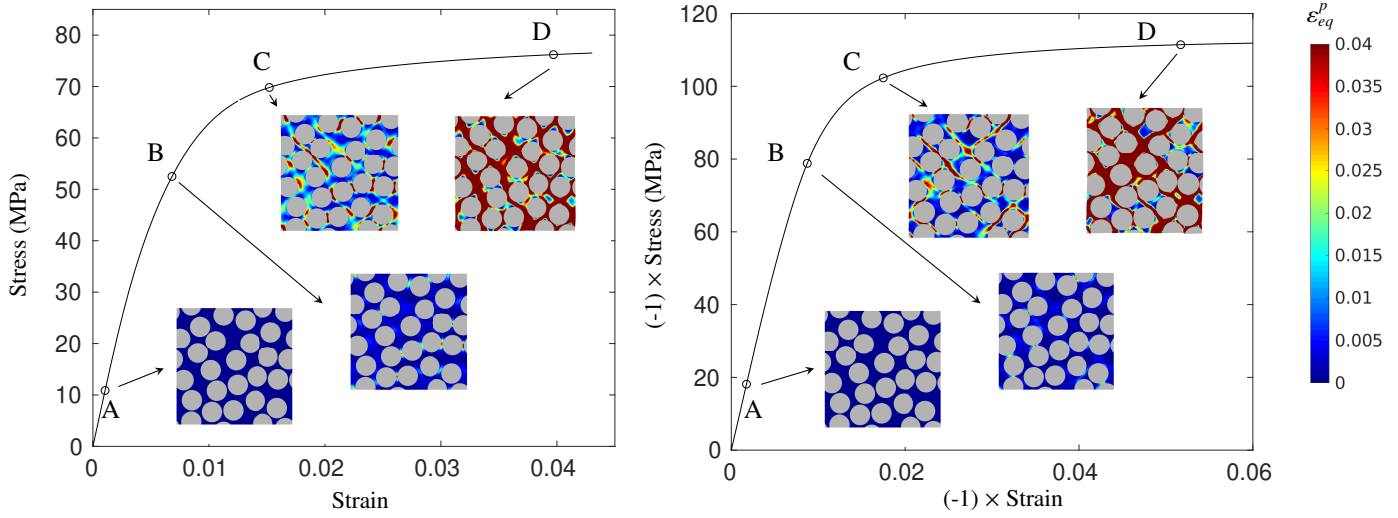


Figure 7: Stress vs. strain curve for tension (left) and compression (right). The enclosed subfigures show the distribution of the equivalent plastic strain  $\varepsilon_{eq}^p$  for typical time instants.

266 The plastic Poisson's ratio  $\nu_p$  for each case can be calculated according to:

$$\nu_p = -\frac{\varepsilon_{22}^p}{\varepsilon_{11}^p} = -\left(\frac{u_2}{l_2} + \nu \cdot \frac{\sigma_{11}}{E_\infty}\right) / \left(\frac{u_1}{l_1} - \frac{\sigma_{11}}{E_\infty}\right) \quad (44)$$

267 where  $u_1$  and  $u_2$  are the displacement along direction-1 and direction-2, respectively,  $l_1$  and  $l_2$  are the length of RVE  
 268 along direction-1 and direction-2, respectively, and  $\nu = 0.42$  is the elastic Poisson's ratio

269 The evolution of the plastic Poisson's ratio  $\nu_p$  with the strain  $\varepsilon_{11}$  is visualized in Fig. 8. The plastic Poisson's  
 270 ratio for tension and compression gradually stabilizes to a certain value. For tension that is around 0.34 while for  
 271 compression it is around 0.5. A similar observation was made in micromechanical simulations by van der Meer [53],  
 272 showing that the assumption of a single plastic Poisson's ratio is an oversimplification for the composite material  
 273 response.

274 In this work, the plastic Poisson's ratio extracted from tensile loading is adopted (i.e.  $\nu_p = 0.34$ ) for simplicity.  
 275 Therefore, the coefficient  $\alpha$  in the flow rule, i.e. Eq. (11), is found to be 1.075. For this transversely isotropic RVE,  
 276 the definition of the equivalent plastic strain from Eq. (9) is adapted to:

$$\Delta\varepsilon_{eq}^p = \sqrt{\frac{1}{1 + \nu_p^2} \Delta\varepsilon_{ij}^p \Delta\varepsilon_{ij}^p} \quad \text{in which } i, j = 1, 2 \quad (45)$$

277 so that the same in-plane response is found with an isotropic RVE with the equivalent plastic strain defined in Eq. (9).  
 278 From the unidirectional tension and compression RVE simulations, the hardening curves, i.e.  $\sigma_c(\varepsilon_{eq}^p)$  and  $\sigma_t(\varepsilon_{eq}^p)$ , can  
 279 be extracted by taking the stress and equivalent plastic strain data pair  $(\sigma_{11}^i, \varepsilon_{eq}^p)$  for each time step with:

$$\sigma_{11}^i = \frac{f_1}{l_2 l_3}, \quad i = c, t \quad (46)$$

280 The calibrated hardening curves for tension and compression are plotted in Fig. 8(b) along with the hardening curves  
 281 of the matrix. It is observed that by adding the fibers, the yield stresses increase.

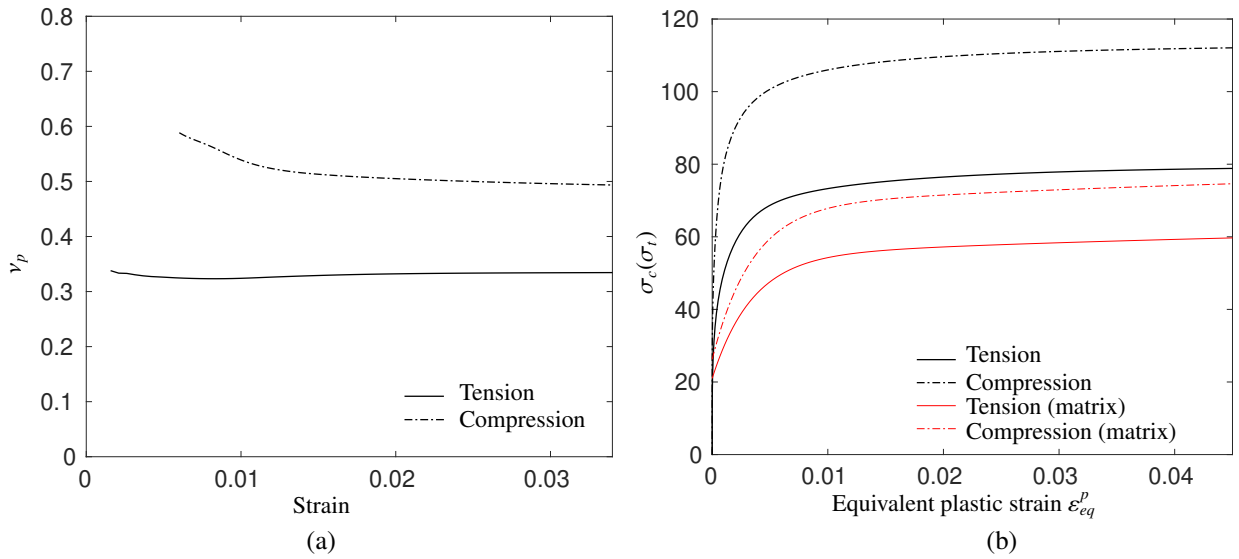


Figure 8: (a) Plastic Poisson's ratio under tension and compression (for the matrix, the plastic Poisson's ratio is 0.32); (b) calibrated hardening curves

282 **3.4. Step 4: homogenized viscoplastic properties**

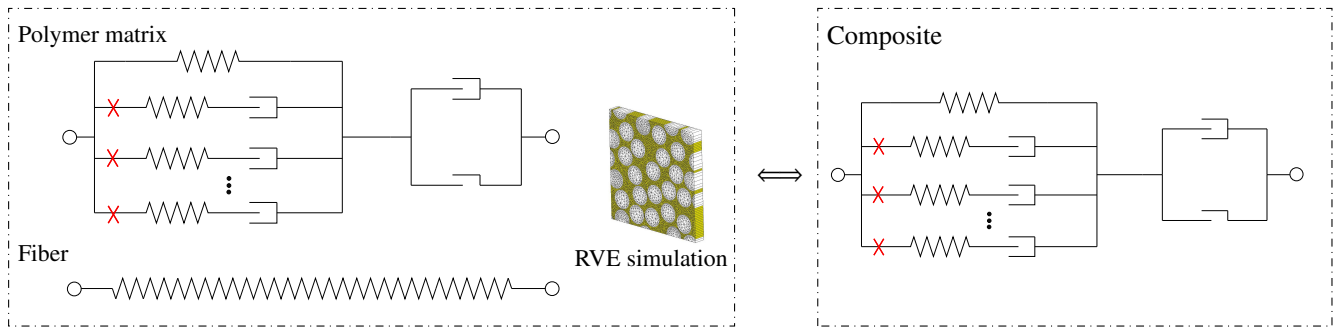


Figure 9: Schematic representation of step 4: the calibration of viscoplasticity parameters of the homogenized VE-VP model. The cross sign represents the components that are turned off.

283 To obtain the viscoplasticity parameters of the homogenized VE-VP model, i.e.  $m_p$  and  $\eta_p$ , part of the polymer  
 284 and the homogenized model are turned off. The RVE is loaded in unidirectional tension and different loadings are  
 285 considered. The boundary conditions illustrated in Appendix C are applied on the RVE shown in Fig. 9. The elasticity  
 286 and plasticity properties of the polymer in the RVE model have already been introduced in Section 3.1 and Section  
 287 3.3, respectively. The viscoplastic coefficients for the polymer are  $\bar{m}_p = 7.305$  and  $\bar{\eta}_p = 3.49 \cdot 10^{12}$  MPa-s. Six

288 different cases with the loading rates  $\dot{\epsilon}_{11} \in [0.00035, 0.00175, 0.0035, 0.0175, 0.035, 0.175] \text{ s}^{-1}$  are applied on the  
 289 RVE. The stress-strain relations of the six cases are plotted in Fig. 10. Single element tests with the homogenized  
 290 VE-VP model are performed to match the six RVE simulation results with given viscoplastic coefficients  $m_p$  and  $\eta_p$ .  
 291 The elasticity and plasticity properties of the homogenized VE-VP model are already calibrated in Section 3.1 and  
 292 Section 3.3. Therefore, only the homogenized viscoplastic parameters  $m_p$  and  $\eta_p$  need to be calibrated. In order to find  
 293 an optimal combination of these parameters,  $7 \times 5$  simulations of the homogenized VE-VP model with a combination  
 294 of one of the seven  $m_p$  values and one of the five  $\eta_p$  values listed in Table 3 are performed. Six objective functions are  
 295 introduced as:

$$y(m_p, \eta_p) = \begin{bmatrix} \sum_{i=1}^{n_1} \left( \Xi_i^{(1)}(m_p, \eta_p) - \bar{\Xi}_i^{(1)} \right)^2 \\ \sum_{i=1}^{n_2} \left( \Xi_i^{(2)}(m_p, \eta_p) - \bar{\Xi}_i^{(2)} \right)^2 \\ \sum_{i=1}^{n_3} \left( \Xi_i^{(3)}(m_p, \eta_p) - \bar{\Xi}_i^{(3)} \right)^2 \\ \sum_{i=1}^{n_4} \left( \Xi_i^{(4)}(m_p, \eta_p) - \bar{\Xi}_i^{(4)} \right)^2 \\ \sum_{i=1}^{n_5} \left( \Xi_i^{(5)}(m_p, \eta_p) - \bar{\Xi}_i^{(5)} \right)^2 \\ \sum_{i=1}^{n_6} \left( \Xi_i^{(6)}(m_p, \eta_p) - \bar{\Xi}_i^{(6)} \right)^2 \end{bmatrix} \quad (47)$$

296 where  $\bar{\Xi}_i^{(k)}$  is the stress of each time increment in each loading rate case  $k = 1, 2, \dots, 6$  obtained from the RVE simu-  
 297 lation,  $\Xi_i^{(k)}(m_p, \eta_p)$  denotes the stress of the homogenized numerical model for the same time increment as the RVE  
 298 simulation with the value of  $m_p$  and  $\eta_p$ , and  $n_k$  is the number of time increments for each loading rate case. Afterwards,  
 299 the function  $\Xi_i^{(k)}(m_p, \eta_p)$  is defined by the following interpolation/extrapolation scheme:

$$\Xi_i^{(k)}(m_p, \eta_p) = \sum_{s=1}^2 \sum_{t=1}^2 N_s(m_p) N_t(\eta_p) \Xi_i^{(k)}(m_p^s, \eta_p^t), \quad m_p^s \in \mathcal{M}, \eta_p^t \in \mathcal{Q} \quad (48)$$

300 where  $N_s(m_p)$  and  $N_t(\eta_p)$  are 1st-order Lagrange interpolation functions of  $m_p$  and  $\eta_p$ , respectively, and  $\Xi_i^{(k)}(m_p^s, \eta_p^t)$   
 301 is the stress of the homogenized numerical model for each loading rate case at the same time increment as the RVE  
 302 simulation for  $m_p^s \in \mathcal{M}$  and  $\eta_p^t \in \mathcal{Q}$ . By running the LSQNONLIN function in MATLAB, the optimal values of  $m_p$   
 303 and  $\eta_p$  are found to be  $m_p = 6.66$ ,  $\eta_p = 1.2 \cdot 10^{13} \text{ MPa}\cdot\text{s}$ . The stress-strain curves for the homogenized numerical  
 304 model using the calibrated values are plotted in Fig. 10. It is shown that the homogenized model solution matches  
 305 very well with the RVE simulation results for the studied strain rate ranges.

$\mathcal{M}$	6.5	6.6	6.7	6.8	6.9	7.0	7.1
$\mathcal{Q}$ (MPa·s)	5.e12	7.e12	1.e13	2.e13	5.e13		

Table 3: A list of all the  $m_p$  and  $\eta_p$  values used in homogenized model

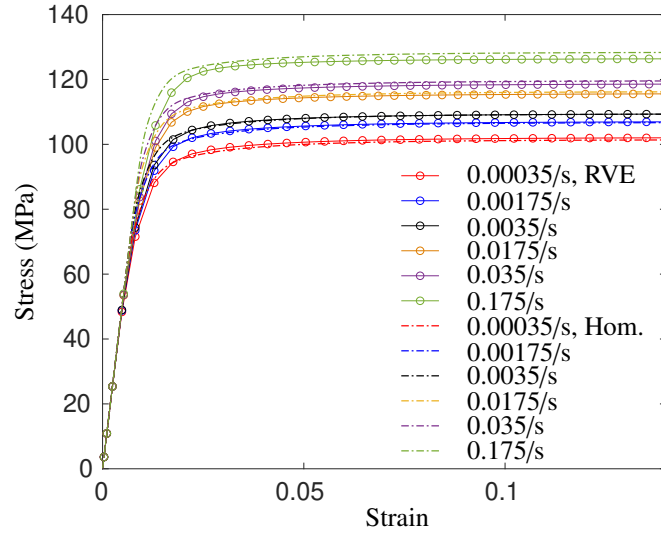


Figure 10: Comparison of Stress-strain relation of monotonic loading for six different strain rates between RVE simulation and the homogenized numerical model

#### 306 4. Validation

307 To validate the step-by-step calibration scheme introduced in the previous section, the performance of the homog-  
 308 enized VE-VP model is compared with the RVE model under a number of characteristic loading conditions.

##### 309 4.1. Rate dependence

310 The complete homogenized VE-VP model with calibrated parameters from Section 3 is now compared against  
 311 the RVE simulation for a monotonic loading at different rates. All viscoelasticity and viscoplasticity components are  
 312 turned on for both the homogenized model and the polymer model in the RVE. Both the homogenized model and the  
 313 RVE model are loaded in unidirectional tension and two different strain rates are considered: 0.00035/s and 0.175/s.  
 314 The boundary conditions and the calculation of the overall stress illustrated in Appendix C are applied on both models.  
 315 The comparison in stress vs. strain relation between the RVE model and the homogenized model for these two strain  
 316 rates is shown in Fig. 11. For both cases, an extra computation with the homogenized numerical model but with only  
 317 the viscoplasticity components turned on is performed and its result is also plotted. For both cases, the response of  
 318 the composite microstructure is captured very well. This is seen from the good match between the RVE model and  
 319 the homogenized model with all components turned on. The enclosed subfigure also shows that by turning off the  
 320 viscoelasticity components, the rate-dependent initial stiffness is not captured correctly in the homogenized model,  
 321 while the yield stress is still captured well. This verifies that the proposed step-by-step calibration scheme does not  
 322 lack accuracy due to interaction between the different processes.



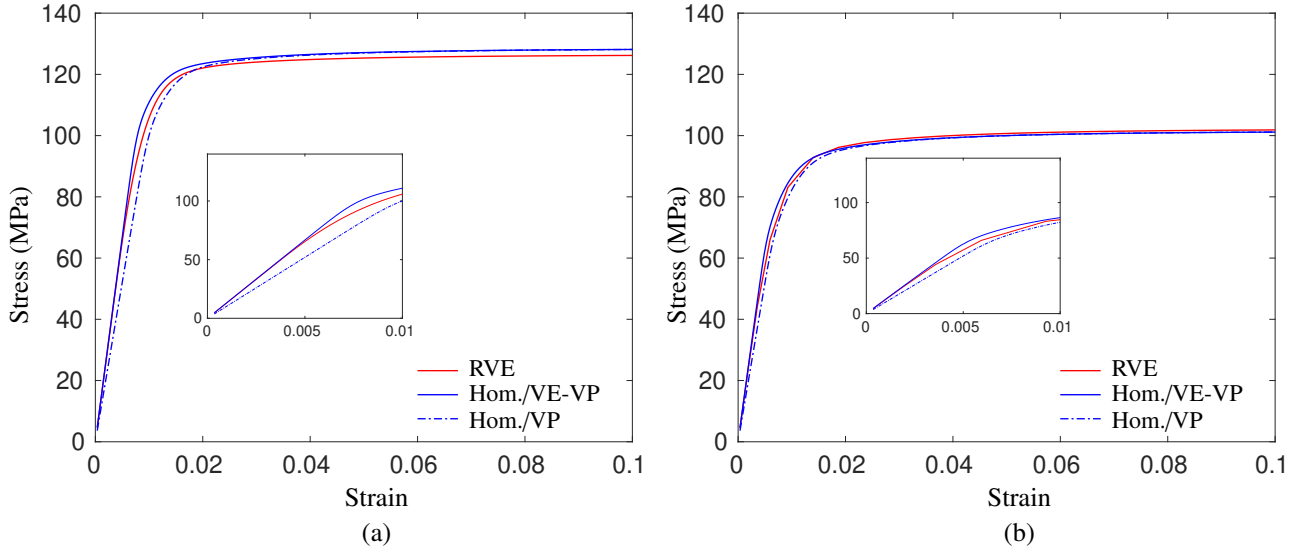


Figure 11: Comparison of the RVE model and the homogenized model for the stress-strain relation under monotonic loadings. (a) strain rate is 0.175/s and (b) strain rate is 0.00035/s.

#### 323 4.2. Loading/unloading/relaxation behavior

324 To further validate the calibrated parameters  $m_p$  and  $\eta_p$ , the cyclic loading cases shown in Fig. 12 are studied.  
 325 The scenario with loading/unloading (LU) is investigated for two different strain rates: 0.00035/s and 0.175/s. The  
 326 comparison between the RVE simulation result and the homogenized numerical model is demonstrated in Fig. 13. It  
 327 is shown that the stress-strain curve for these two cases has a similar pattern, for the first loading/unloading cycle, the  
 328 stress is first elastic and after the strain is relatively large, viscoplastic flow starts, followed by elastic unloading. After-  
 329 wards, in the next loading/unloading cycle, the material is elastically loaded initially and viscoplastic flow continues  
 330 to develop followed by elastic unloading again. When strain after unloading is relatively large in the last few cycles,  
 331 plastic flow also starts in compression as observed from the nonlinear part of the unloading branch of the curve. In  
 332 both cases, the homogenized model matches very well with the RVE model under tension but if compression also  
 333 happens, there is some deviation where the model would perform better if (part of) the hardening in the homogenized  
 334 model would be described as kinematic hardening instead of the same isotropic hardening that is present in the matrix  
 335 model.

336 Finally, with the loading/relaxation/unloading/relaxation (LRUR) test, the capability of the homogenized model  
 337 to capture relaxation is investigated. Both the RVE model and homogenized model are loaded in uniaxial tension  
 338 under a strain rate of 0.0035/s. As shown in Fig. 14, the homogenized numerical model matches very well for both  
 339 loading and relaxation phases, although again a small deviation is observed for reverse loading when plastic flow in  
 340 compression starts.

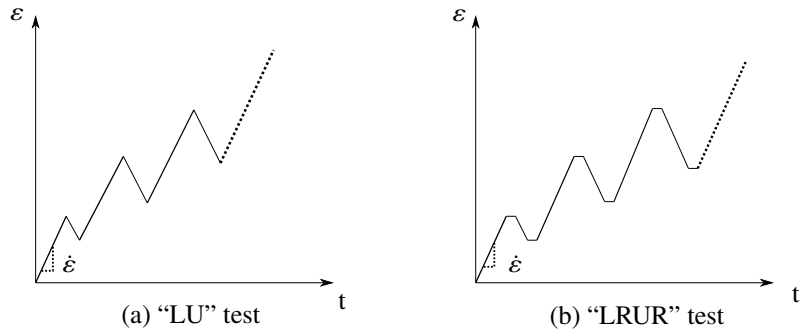


Figure 12: Two types of periodic-type of loading with constant loading (unloading) rates. (a) Loading/unloading ('LU') test; (b) Loading/relaxation/unloading/relaxation ('LRUR') test. The strain rate for the unloading part is the same as the loading part and the unloading stops when the strain is unloaded to 2/3 of the strain when the unloading process starts.

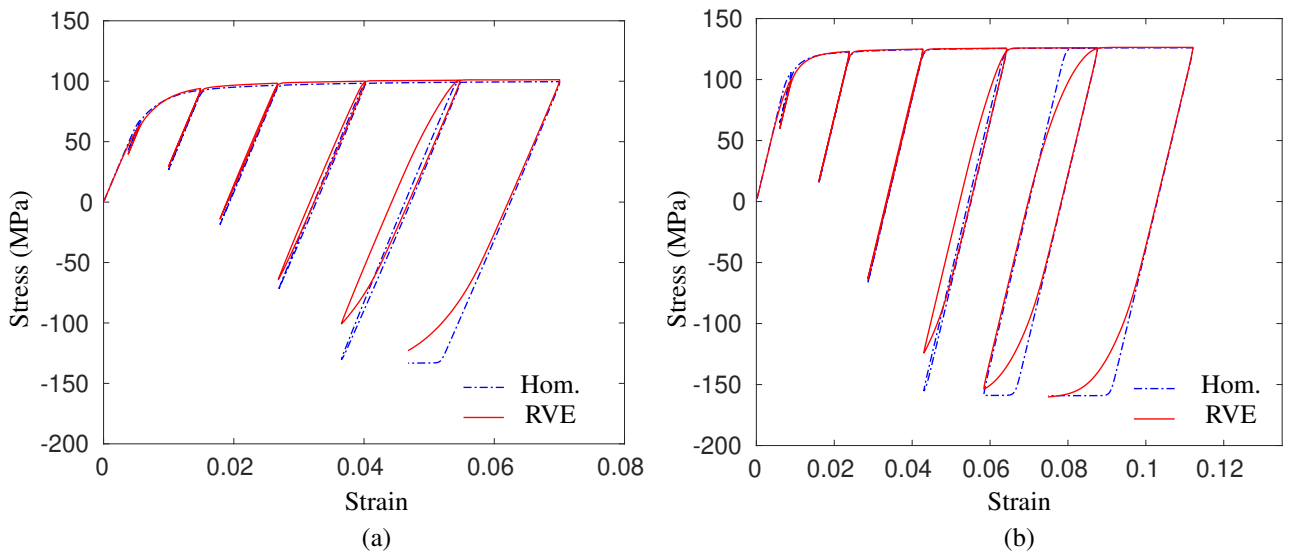


Figure 13: Comparison of the RVE model and the homogenized model for the stress-strain relation under 'LU' loadings. (a) strain rate 0.00035/s; (b) strain rate 0.175/s.

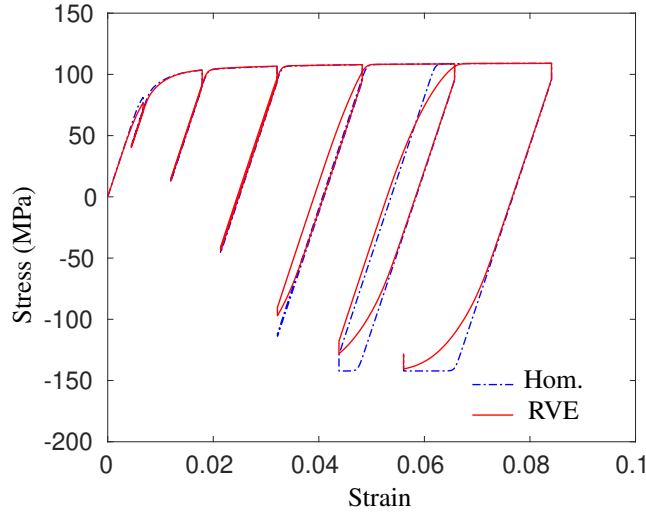


Figure 14: Comparison of the RVE model and the homogenized model for the stress-strain relation under a “LRUR” loading. Strain rate 0.0035/s.

## 341 5. Conclusion

342 In this paper, a numerical homogenization scheme is introduced to derive a viscoelastic-viscoplastic material  
 343 model for polymer composites. It is assumed that the homogenized VE-VP model has the same formulation as the  
 344 VE-VP model for the polymeric matrix. The material parameters of different components of the homogenized VE-VP  
 345 model are calibrated by a novel step-by-step numerical homogenization procedure.

346 The elasticity properties of the homogenized VE-VP model, including the Young’s modulus  $E_\infty$  and the elastic  
 347 Poisson’s ratio  $\nu$ , are extracted from the stress and strain in the loading direction and strain in the lateral direction  
 348 when the RVE model with only the elasticity components turned on is subjected to uniaxial loading. Next, the relax-  
 349 ation modulus and relaxation time of the viscoelastic components of the homogenized VE-VP model are calibrated by  
 350 performing a series of DMA tests on the RVE model with only viscoelasticity components turned on. A good match  
 351 of the storage modulus and loss modulus at different loading frequencies between the RVE model and the closed-form  
 352 solutions in Eq. (38) and Eq. (39) shows that the viscosity of the polymer composites within elastic range is quantified  
 353 by the calibrated homogenized model accurately. Afterwards, the plastic Poisson’s ratio and hardening curves (for  
 354 both tension and compression) are calibrated by unidirectional load cases with only plasticity components turned on  
 355 for the RVE model. It is found that the yield stress of the composite is higher than the yield stress of the polymer  
 356 matrix alone and a single plastic Poisson’s ratio is an oversimplification of the polymer composites behavior. The  
 357 homogenized model with the same isotropic hardening as the matrix model matches very well with the RVE model  
 358 under monotonic loading. However, if plasticity also happens under reverse loading, there is some deviation where  
 359 the homogenized model would perform better if (part of) the hardening would be described as kinematic hardening.  
 360 Next, by turning on the viscoplasticity components of the RVE model, the viscoplasticity related parameters  $m_p$  and  
 361  $\eta_p$  of the homogenized VE-VP model are calibrated by a series of monotonic tensile tests at different loading rates.

362 With the calibrated material parameters from the step-by-step numerical homogenization scheme, the homoge-  
 363 nized numerical model is compared with the RVE model under characteristic load cases. The capabilities of the  
 364 homogenized VE-VP model in capturing rate-dependence, loading/unloading and stress relaxation are examined.  
 365 A good match between these two models demonstrates that the introduced step-by-step numerical homogenization  
 366 procedure with turning on/off certain components of the material models provides an efficient and accurate way for  
 367 obtaining material parameters of a VE-VP model. The procedure has been demonstrated for the transverse response  
 368 of fiber-reinforced composites but can also be used for particle reinforced composites with an appropriate geometry  
 369 for the RVE.

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## 376 Appendix A

377 According to Eq. (20),

$$\sigma_{ij}(t_{n+1}) = D_{ijkl}^{\infty} : \varepsilon_{kl}^e(t_{n+1}) + D_{ijkl}^{ve}(\Delta t) : \Delta \varepsilon_{kl}^e + \sigma_{ij}^{hist}(t_n) \quad (49)$$

378 By taking the derivative of the stress  $\sigma_{ij}(t_{n+1})$  with respect to the strain  $\varepsilon_{kl}^e(t_{n+1})$ , the consistent tangent can be  
 379 derived as:

$$D_{ijkl}^{con} = \frac{\partial \sigma_{ij}(t_{n+1})}{\partial \varepsilon_{kl}(t_{n+1})} = \frac{\partial \sigma_{ij}(t_{n+1})}{\partial \varepsilon_{kl}^e(t_{n+1})} = D_{ijkl}^{\infty} + D_{ijkl}^{ve}(\Delta t) \quad (50)$$

## 380 Appendix B

381 To solve the local return-mapping scheme, a Newton-Raphson scheme is adopted. Herein, a consistent tangent for  
 382 the local Newton-Raphson scheme is derived by using:

$$\frac{\partial \Phi}{\partial \Delta \gamma} = \hat{V} \frac{\partial f_p}{\partial \Delta \gamma} - 1 \quad (51)$$

383 where

$$\hat{V} = \frac{m_p \Delta t}{\eta_p \sigma_t^0 \sigma_c^0} \left( \frac{f_p}{\sigma_t^0 \sigma_c^0} \right)^{m_p-1} \quad \frac{\partial f_p}{\partial \Delta \gamma} = -\frac{72 \hat{G} J_2^{tr}}{\zeta_s^3} - \frac{4(\sigma_c - \sigma_t) \hat{K} \alpha I_1^{tr}}{\zeta_p^2} + \hat{H} \frac{\partial \Delta \varepsilon_{eq}^p}{\partial \Delta \gamma} \quad (52)$$

384 with

$$\hat{H} = \frac{\partial f_p}{\partial \varepsilon_{eq}^p} = \frac{2I_1^{tr}}{\zeta_p} \left( \frac{\partial \sigma_c}{\partial \varepsilon_{eq}^p} - \frac{\partial \sigma_t}{\partial \varepsilon_{eq}^p} \right) - 2 \left( \sigma_c \frac{\partial \sigma_t}{\partial \varepsilon_{eq}^p} + \sigma_t \frac{\partial \sigma_c}{\partial \varepsilon_{eq}^p} \right) \quad (53)$$

$$\frac{\partial \varepsilon_{eq}^p}{\partial \Delta \gamma} = \sqrt{\frac{1}{1 + 2(v_p)^2}} \left( \sqrt{\hat{A}} - \frac{\Delta \gamma}{2\sqrt{\hat{A}}} \left( \frac{216 \hat{G} J_2^{tr}}{\zeta_s^3} + \frac{16\alpha^3 \hat{K} (I_1^{tr})^2}{27\zeta_p^3} \right) \right) \quad (54)$$

$$\hat{A} = \frac{18J_2^{tr}}{\zeta_s^2} + \frac{4\alpha^2}{27\zeta_p^2} (I_1^{tr})^2 \quad (55)$$

387 Consistent linearization of Eq. (25) gives:

$$D_{ijkl}^{con} = \frac{\partial \sigma_{ij}}{\partial \varepsilon_{kl}} = \frac{\hat{G}}{\zeta_s} \left( \delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk} - \frac{2}{3} \delta_{ij} \delta_{kl} \right) + \frac{\hat{K}}{\zeta_p} \delta_{ij} \delta_{kl} - \frac{72 \widehat{V} \widehat{G}^2}{\mu \zeta_s^4} S_{ij}^{tr} S_{kl}^{tr} - \frac{36(\sigma_c - \sigma_t) \widehat{V} \widehat{K} \widehat{G}}{\mu \zeta_p \zeta_s^2} S_{ij}^{tr} \delta_{kl} \quad (56)$$

$$- \frac{8\alpha I_1^{tr} \widehat{V} \widehat{K} \widehat{G}}{\mu \zeta_p^2 \zeta_s^2} \delta_{ij} S_{kl}^{tr} - \frac{4\alpha I_1^{tr} (\sigma_c - \sigma_t) \widehat{V} \widehat{K}^2}{\mu \zeta_p^3} \delta_{ij} \delta_{kl} - \frac{6 \widehat{V} \widehat{G} \widehat{H}}{\mu \zeta_s^2} S_{ij}^{tr} \widehat{E}_{kl} - \frac{2\alpha I_1^{tr} \widehat{V} \widehat{K} \widehat{H}}{3\mu \zeta_p^2} \delta_{ij} \widehat{E}_{kl}$$

388 where

$$\mu = -\frac{\partial \Phi}{\partial \Delta \gamma} \quad \widehat{E}_{ij} = \frac{\partial \varepsilon_{eq}^p}{\partial \varepsilon_{ij}} = \frac{1}{1 + 2v_p^2} \frac{(\Delta \gamma)^2}{\Delta \varepsilon_{eq}^p} M_{kl} \frac{\partial M_{kl}}{\partial \varepsilon_{ij}} \quad (57)$$

$$M_{kl} = \frac{3S_{kl}^{tr}}{\zeta_s} + \frac{2\alpha I_1^{tr} \delta_{kl}}{9\zeta_p} \quad \frac{\partial M_{ij}}{\partial \varepsilon_{kl}} = \frac{6G(\delta_{ijkl}^s - \frac{1}{3}\delta_{ij}\delta_{kl})}{\zeta_s} + \frac{\frac{2}{3}\alpha K \delta_{ij}\delta_{kl}}{\zeta_p} \quad (58)$$

390 The meaning of other variables can be found in Section 2. A more detailed derivation has been presented in [47].

391 **Appendix C**

392 Periodic boundary conditions are applied on the RVE. For instance, for a schematic finite element model with four  
 393 hexagonal elements as shown in Fig. 15, this implies that:

$$394 \quad \mathbf{u}^R - \mathbf{u}^L = \mathbf{u}^{(2)} - \mathbf{u}^{(0)} \quad (59)$$

$$395 \quad \mathbf{u}^U - \mathbf{u}^D = \mathbf{u}^{(3)} - \mathbf{u}^{(0)} \quad (60)$$

$$\mathbf{u}^F - \mathbf{u}^B = \mathbf{u}^{(1)} - \mathbf{u}^{(0)} \quad (61)$$

396 where  $\mathbf{u}^R$  and  $\mathbf{u}^L$  are the displacement of any periodic pair of nodes on the right surface and left surface of the  
 397 numerical model, respectively,  $\mathbf{u}^U$  and  $\mathbf{u}^D$  are the displacement of any periodic pair of nodes on the top surface and  
 398 bottom surface, respectively,  $\mathbf{u}^F$  and  $\mathbf{u}^B$  are the displacement of any periodic pair of nodes on the front surface and  
 399 back surface, respectively,  $\mathbf{u}^{(0)}, \mathbf{u}^{(1)}, \mathbf{u}^{(2)}, \mathbf{u}^{(3)}$  are the displacement of master nodes  $\{0,1,2,3\}$ , respectively. To ensure  
 400 that the RVE deformation under unidirectional loading is the same as an isotropic structure under the same loading  
 401 condition, special care should be taken with respect to the possible shear deformation. The following constraints are  
 402 applied to prevent possible shear deformation:

$$u_1^{(0)} = u_2^{(0)} = u_3^{(0)} = u_1^{(2)} = u_3^{(2)} = u_1^{(3)} = u_2^{(3)} = u_2^{(1)} = u_3^{(1)} = 0 \quad (62)$$

403 Following [49, 54], the incremental average stress for each time step can be calculated by:

$$\delta\sigma = \begin{bmatrix} \delta\sigma_{11} \\ \delta\sigma_{22} \\ \delta\sigma_{33} \\ \delta\sigma_{23} \\ \delta\sigma_{31} \\ \delta\sigma_{12} \end{bmatrix} = \frac{1}{V_0} \begin{bmatrix} \tilde{\mathbf{H}}_0 & \tilde{\mathbf{H}}_1 & \tilde{\mathbf{H}}_2 & \tilde{\mathbf{H}}_3 \end{bmatrix} \begin{bmatrix} \delta f_0 \\ \delta f_1 \\ \delta f_2 \\ \delta f_3 \end{bmatrix} \quad (63)$$

404 with

$$\tilde{\mathbf{H}}_q = \begin{bmatrix} x_1^{(q)} & 0 & 0 \\ 0 & x_2^{(q)} & 0 \\ 0 & 0 & x_3^{(q)} \\ 0 & \frac{x_3^{(q)}}{2} & \frac{x_2^{(q)}}{2} \\ \frac{x_3^{(q)}}{2} & 0 & \frac{x_1^{(q)}}{2} \\ \frac{x_2^{(q)}}{2} & \frac{x_1^{(q)}}{2} & 0 \end{bmatrix}, \quad q = 0, 1, 2, 3 \quad (64)$$

405 in which  $V_0$  is the volume of the RVE,  $x_i^{(q)}$  and  $\delta f_i$  are the coordinate and incremental nodal forces of the four control  
 406 nodes, respectively.

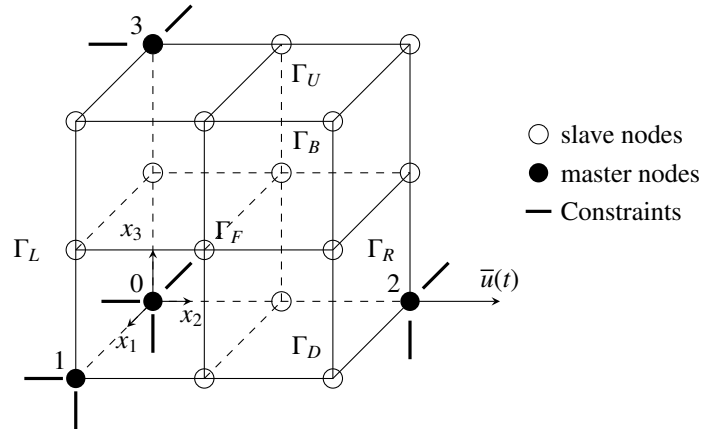


Figure 15: Schematic representation of the periodic and prescribed boundary conditions of a finite element model. Three periodic pairs: top surface  $\Gamma_U$  and bottom surface  $\Gamma_D$ , left surface  $\Gamma_L$  and right surface  $\Gamma_R$ , and front surface  $\Gamma_F$  and back surface  $\Gamma_B$ .

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