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## Numerical Investigation of Configuration with Optimum Swirl Recovery for Propeller Propulsion Systems

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This paper addresses the design of swirl recovery vanes for propeller propulsion in tractor configuration at cruise conditions using numerical tools. A multi-fidelity optimization framework is formulated for the design purpose, which exploits low-fidelity potential flow-based analysis results as input for high-fidelity Euler equation-based simulations. Furthermore, a model alignment procedure between low- and high-fidelity models is established based on the shape-preserving response prediction algorithm. Two cases of swirl recovery are examined, i.e. swirl recovery by the trailing wing which leads to a reduction of the lift-induced drag, and swirl recovery by a set of stationary vanes (SRVs) located inside the propeller slipstream which leads to production of additional thrust. In the first case, the optimization of the wing circulation distribution is achieved by twist optimization. The resulting reduction in induced drag is 5.9% out of 66.1 counts at the design cruise condition of  $C_L = 0.5$ . In the case of the SRV design, four configurations are evaluated by locating the vanes at different azimuthal and axial positions relative to the wing. The interactions between SRVs and wing are discussed and an optimum configuration is identified, where the vanes are positioned on the blade-downgoing side downstream of the wing. In this configuration, the wake and tip vortices of the vanes have negligible effect on the wing circulation distribution and consequently introduce no extra drag. With a blade count of 4, the total system drag has decreased by 6.1 counts, which is equivalent to 2.4% of propeller thrust.

#### Nomenclature

С	=	chord length, [m]	∆r	=	section span in SRV lifting line
$C_d$	=	sectional drag coefficient; $d / (0.5 \rho V_{\infty}^2 S)$			model, [m]
$C_{D,i}$	=	induced drag coefficient; $D_I / (0.5 \rho V_{\infty}^2 S)$	R	=	propeller radius, [m]
$C_p$	=	pressure coefficient; $(p - p_{\infty})/(0.5\rho V_{\infty}^2)$	S	=	surface area, [m <sup>2</sup> ]
$C_{L,V}$	=	SRV lift coefficient; $L_V / (0.5 \rho V_{\infty}^2 S)$	$T_P$ , $T_V$	=	thrust of propeller and SRV, [N]
$C_{L,W}$	=	wing lift coefficient; $L_W / (0.5 \rho V_\infty^2 S)$	$v_a$ , $v_t$	=	axial and circumferential induced
$C_{T,P}$	=	propeller thrust coefficient; $T_p / (\rho n_s^2 D^4)$			velocities by SRVs, $[m \cdot s^{-1}]$
$C_{T,V}$	=	SRV thrust coefficient; $T_V / (0.5 \rho V_{\infty}^2 S)$	V	=	velocity vectors on wing panels, $[m \cdot s^{-1}]$
D	=	propeller diameter, [m]	$V_a$ , $V_t$	=	axial and circumferential inflow
$D_I$	=	induced drag, [N]			velocities at the location of SRV
F	=	force vectors on wing panels			sections, $[m \cdot s^{-1}]$
$L_W, L_V$	/ =	lift force of wing and SRV, [N]	$V_\infty$	=	freestream velocity, $[m \cdot s^{-1}]$
J	=	propeller advance ratio; $V_{\infty} / (n_s D)$	$V^*$	=	total inflow velocity of SRVs sections;
М	=	number of sections in SRV lifting line			$V^* = \sqrt{(V_a + v_a)^2 + (V_t + v_t)^2}$ , [m·s <sup>-1</sup> ]
		model	x	=	axial coordinate, [m]
$n_s$	=	propeller rotation frequency, $[s^{-1}]$	X	=	samples in optimization process
n	=		Г	=	circulation, $[m^2 \cdot s^{-1}]$
Ν	=	blade count	μ		doublet strength, $[m^3 \cdot s^{-1}]$
r	=	radial coordinate, [m]	ρ		air density, $[kg \cdot m^{-3}]$

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$\sigma$		source strength, $[m^2 \cdot s^{-1}]$	τ	=	twist angle, [deg]
$\Phi$	= V	velocity potential, $[m^2 \cdot s^{-1}]$	$\varphi$	=	SRV azimuthal position, [deg]

#### I. Introduction

Growing demand for air-based transport<sup>1</sup> and the subsequent higher environmental impact<sup>2</sup> have raised great challenges to airlines<sup>3</sup>, and therefore aircraft and engine manufactures, of providing aircraft with lower fuel consumption, lower noise production, and less emissions than what the current technology provides. Turboprop propulsion systems, with their advantage of higher propulsive efficiency compared to equivalent technology level turbofan engines<sup>4</sup>, are considered a suitable technology to provide low emission propulsion of airplanes. In the short-haul sector below 400 nautical miles, turboprops are dominant choice as their market share is around 75%<sup>5</sup>. In the large regional aircraft segment (60-90 seats) of in-service fleet, turboprop engines and turbojet engines share the market evenly since the year of 2003 according to the statistics published by Bombardier Aerospace<sup>6</sup>. Moreover, the commercial turboprop aircraft manufactures like ATR and Bombardier initiated a new focus on the 90-120 seats segment market where the turbojet-powered aircraft is so far the only choice<sup>7</sup>.

Since the application of propeller propulsion has a long history in aerospace history, many studies have been performed on its integration with other aircraft components. It was recognized that the aerodynamic interaction between the propeller and other aerodynamic surfaces produces both time-averaged and unsteady loads which have an effect on the aircraft aerodynamic performance, stability and control, structural loading, and production of noise and vibration<sup>8,9</sup>. Among many investigations of the propeller effect on the wing performance, Kroo<sup>10</sup> and Miranda<sup>11</sup> demonstrated numerically that reduction of drag can be achieved by propeller-wing interaction due to the recovery of the swirl of propeller slipstream by the trailing wing. This conclusion was verified experimentally for tractor-propeller configurations by Witkowski<sup>12</sup>. Numerical effort was also undertaken in Witkowski's work to determine the wing load dependence on parametric variations, which led to basic understandings of wing swirl recovery in tractor configurations. As pointed out by Veldhuis<sup>13</sup>, further improvement in drag reduction performance can be obtained by properly adapting the wing loading distribution immersed in the slipstream.

In an attempt to improve propulsive efficiency of propellers, swirl-recovery vanes (as shown in Fig. 1) were introduced which are capable of recovering swirl momentum in the slipstream and thus generate extra thrust. This was proposed by NASA in the late 1980s as part of the Advanced Turboprop Project<sup>14,15</sup>. Experimental data obtained at the Lewis Research Centre showed an extra 2% of propeller thrust generated by SRVs at the design condition<sup>16</sup>. Recently, numerical design of SRV was performed by Wang<sup>17</sup> and Stokkermans<sup>18</sup>, of which the results have indicated order of 2 to 5% extra thrust from SRVs at relatively high propeller-loading conditions. In the authors' previous work<sup>19</sup>, a hybrid SRV design framework based on a lifting-line model was developed. A set of SRVs with a blade count of 4 was designed. The design was subsequently validated in a wind-tunnel experiment. At the design condition of the advance ratio J = 0.6 and the propeller thrust coefficient  $C_{T,P} = 0.32$ , an extra 3.4% of propeller thrust was predicted by the numerical design, and 2.6% was measured in the wind-tunnel tests. The thrust coefficient of the SRVs showed an approximately linear relation with the propeller thrust coefficient, diminishing to 1.5% of the propeller thrust at  $C_{T,P} = 0.20$  based on the experimental results. A study on SRV application in wingmounted tractor-propeller configuration was carried out by Stokkermans<sup>20</sup>. In this study, SRVs designed for isolated propeller were investigated in wing-mounted configuration by means of RANS simulations. Results showed that the SRVs performance degrades significantly due to flow separation caused by wing induced velocities. However, by manually adjusting the pitch angle of the vanes in RANS simulations, benefit was gained in terms of either improved wing performance or system propulsive efficiency. This implies that an integrated SRV design taking the wing effect into account will most likely result in a performance benefit in practice.

As demonstrated by Witkowski<sup>12</sup>, the drag reduction due to a propeller slipstream for a wing-tractor configuration originates from the tilting of the lift force by the swirl in the propeller slipstream. The lift force acting on the wing in the propeller-induced upwash region exhibits a augmentation (due to an increment of the local incidence angle) and forward rotation leading to a negative drag force contribution. Similarly, in the downwash region, the lift force is diminished (due to decrease of incidence angle) and rotated backward producing components of positive drag. When SRVs are introduced in the slipstream, the tilting of lift force on the wing will be changed by the vanes due to swirl recovery. Therefore, an integrated design should be made combining both thrust production from the vanes and induced-drag reduction from the wing when considering swirl recovery design for propeller propulsion in installed configuration.

Although SRV design for maximum thrust and wing optimization for minimum induced-drag have been investigated separately, it has not been studied yet whether it is beneficial to combine these two components. No research has been performed on how to integrate SRV with a wing that employs a propeller propulsion system. The

current research is conducted to fill this gap. The methodology used for the design and analysis of SRVs and wing is first introduced in Sec. II. The results for a wing that is designed to maximize swirl recovery are detailed in Sec. III, and discussion of SRV design with a prescribed wing geometry is provided in Sec. IV.

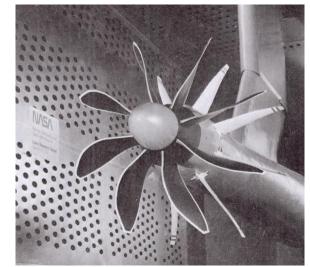


Fig. 1 SR-7A propeller with swirl recovery vanes (Courtesy of Dittmar<sup>15</sup>).

#### II. Methodology

Since there are three components in the tractor propeller-SRV-wing system, three modules in the design procedure are established correspondingly. These are the analysis module of the isolated propeller to establish the flowfield of slipstream, the SRV design module in the propeller-wing induced velocity field, and the wing analysis module in the propeller-SRV induced velocity field. The modular design process is shown in Figure 2.

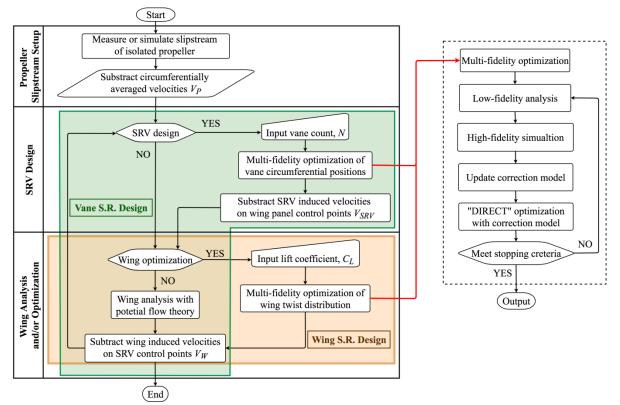


Fig. 2 Modular design process of swirl recovery system for installed tractor propellers.

3 American Institute of Aeronautics and Astronautics

Prior to the design of swirl recovery system, a description of the velocity field induced by the propeller is required. This is achieved by performing a numerical simulation of the isolated propeller based on the Reynolds-averaged Navier-Stokes (RANS) equations. In installed configuration, as discussed by Veldhuis<sup>13</sup>, the effect of the wing loading on the inflow field of a tractor propeller is similar to the impact of an incidence angle on an uninstalled propeller. Each of the blades generates an unsteady load which is dependent on the azimuthal position in the rotation cycle. However, the experimental results from Ortun<sup>21</sup> showed that the time-averaged thrust and torque coefficients of the propeller in incidence exhibit negligible change when the inclination angle is smaller than 2 deg. Furthermore, since the objective of this paper is to compare the swirl recovery performance from the wing and the SRVs, it is necessary to have the same velocity input for both cases. Due to the two reasons discussed above, during the design process of swirl recovery system, the amount of the angular momentum in the slipstream is assumed to be constant by neglecting the perturbations to propeller performance when due to the addition of the SRV and the wing.

With respect to SRV and wing design, a multi-fidelity optimization algorithm is utilized. A potential flow based analysis is adopted as low-fidelity method for fast convergence. The solutions to the Euler equations are used as high-fidelity method for higher accuracy of performance determination of the whole system. The multi-fidelity optimization is a double-loop process including an inner loop and an outer loop. The inner loop corresponds to a lift-constrained drag-minimization problem performed with low-fidelity method, and the outer loop corresponds to an alignment procedure between the low-fidelity model and high-fidelity model using a correction algorithm. The details of each design/analysis module and the optimization process are described as follows.

#### A. Propeller Slipstream Setup

The propeller used in this research represents a scaled-model of a conventional propeller of a typical regional turboprop aircraft. It features six blades and a radius of 0.2032 m. The hub of the propeller has a radius of 0.042 m, and the blade pitch angle equals 50 deg at 70% of the radius. The geometric details of the propeller are described in Fig. 3. The propeller is positioned at zero incidence angle relative to the freestream velocity.

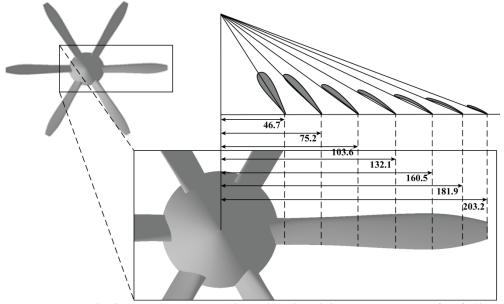
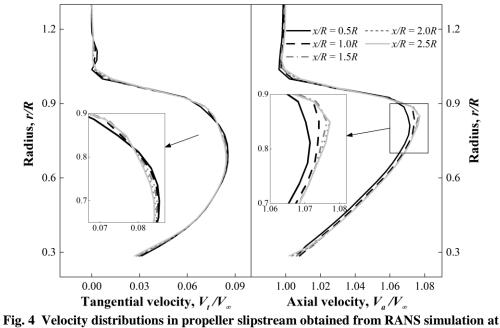


Fig. 3 Propeller layout (dimensions in millimeters, courtesy of Ref. 19).

The computational mesh for the RANS simulation of the isolated propeller is the same as that was used in Ref. 19, where a grid refinement study was performed and the simulation results were validated by experimental data in terms of both the propeller performance coefficients and the velocity distributions in the propeller slipstream. The simulation is carried out at the cruise condition of a typical turboprop aircraft, which corresponds to an altitude of 5000 m and flight Mach number of  $0.44^{22}$ . The propeller operates at an advance ratio *J* of 2.4 and a computed thrust coefficient  $C_{T,P}$  of 0.22.

The radial distributions of the circumferentially-averaged axial velocity  $V_a$  and tangential velocity  $V_t$  produced by the propeller are critical input information for SRV and wing design. In Fig. 4, the axial development of the velocity distributions is depicted on five survey planes perpendicular to the propeller axis. Their axial distance to the propeller plane ranges from 0.5*R* to 2.5*R*. It can be observed that the distributions of  $V_t$  exhibit a negligible change



 $C_{T,P} = 0.22$  and J = 2.4.

#### B. Low-Fidelity Potential Flow Based Analysis of Propeller-SRV-Wing Configuration

The flowfield of propeller slipstream is determined by a RANS simulation of the isolated propeller as discussed above, and the circumferentially-averaged velocity distributions in the slipstream are taken as input information for SRV and wing design. A lifting line model is used for SRV design and a surface singularity method is utilized for wing performance analysis. A full coupling between SRV design and wing analysis is established where iterations are performed until both are converged.

#### 1. SRV Design with Circumferentially Non-Uniform Inflow

A design procedure of SRVs for isolated propeller was established in the authors' previous work<sup>19</sup> based on a lifting-line model. From time-averaged point of view, the velocity field behind the isolated propeller is circumferentially uniform. Hence, the SRVs designed for an isolated propeller are uniformly distributed along the azimuthal direction, and all of the vanes have the same loading distribution. However, in installed configuration, the circumferential uniformity is altered by the wing induced velocities. The design procedure of SRVs is thus adapted in the way that firstly, the vane loadings are uniquely dependent on their azimuthal positions with specific inflow velocities, and secondly, the azimuthal positions of the vanes ( $\varphi_i$ ) are optimized for maximum thrust production.

For determination of  $\varphi_i$ , the global optimization algorithm DIRECT is used which will be introduced later in Sec. II.D. With the azimuthal positions fixed, the determination of the optimum loading distributions of the vanes is required. The inflow velocities at vane positions are obtained by summation of freestream velocity and velocities induced by the propeller and the wing. Following the terms used previously in Ref. 19 and the force diagram shown in Fig. 5, the SRV thrust is determined by the Kutta-Joukowski's theorem and can be expressed as:

$$T_{V} = \rho N \sum_{n=1}^{N} \sum_{m=1}^{M} \left( (V_{t_{m,n}} + v_{t_{m,n}}) \Gamma_{m,n} - \frac{1}{2} V_{m,n}^{*} c_{d_{m,n}} c_{m,n} (V_{a_{m,n}} + v_{a_{m,n}}) \right) \Delta r_{m,n}$$
(1)

where N is the total blade count, n is the index of blade count, M is the total number of lifting segments in lifting line theory and m is the index of each lifting segment. In order to have maximum thrust, the partial derivative of  $T_V$  with respect to the circulation distribution is then set to zero:

$$\frac{\partial T_V}{\partial \Gamma_{m,n}} = 0 \tag{2}$$

where the derivative is given by:

$$\frac{\partial T_{V}}{\partial \Gamma_{m,n}} = (V_{t_{m,n}} + v_{t_{m,n}}) \Delta r_{m,n} + \sum_{j} \sum_{i} \frac{\partial v_{t_{i,j}}}{\partial \Gamma_{m}} \Gamma_{i,j} \Delta r_{i,j}$$

$$-\sum_{j} \sum_{i} \frac{1}{2} \frac{\partial V_{i,j}^{*}}{\partial \Gamma_{m}} c_{d_{i,j}} c_{i,j} (V_{a_{i,j}} + v_{a_{i,j}}) \Delta r_{i,j}$$

$$-\sum_{j} \sum_{i} \frac{1}{2} V_{i,j}^{*} \frac{\partial (c_{d_{i,j}} \cdot c_{i,j})}{\partial \Gamma_{m}} (V_{a_{i,j}} + v_{a_{i,j}}) \Delta r_{i,j}$$

$$-\sum_{j} \sum_{i} \frac{1}{2} V_{i,j}^{*} c_{d_{i,j}} c_{i,j} \frac{\partial v_{a_{i,j}}}{\partial \Gamma_{m}} \Delta r_{i,j}$$
(3)

As shown in previous work in Ref. 19, the distributions of the sectional drag coefficient  $c_d$  and chord length c have negligible effect on the optimum circulation distribution. Thus, the terms including either  $c_d$  or c on the right hand side of Eq. (3) diminish and Eq. (2) can be rewritten as:

$$\frac{\partial T_V}{\partial \Gamma_{m,n}} = (V_{t_{m,n}} + v_{t_{m,n}}) \Delta r_{m,n} + \sum_j \sum_i \frac{\partial v_{t_{i,j}}}{\partial \Gamma_m} \Gamma_{i,j} \Delta r_{i,j} = 0$$
(4)

The partial derivatives of the induced tangential velocities with respect to the circulations of the horseshoe vortices are computed by Biot-Savart's law. A non-linear system of equations is formulated with circulation strength of vane lifting segments as independent variables. The equation system is solved by Newton's method.

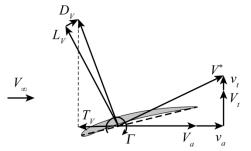


Fig. 5 Velocity and force diagram of SRV section.

Once the circulation distributions of the vanes are determined, the induced velocities from the vanes on the wing collocation points can be calculated using Biot-Savart's law . In order to perform Euler simulation, the vane shapes also need to be determined. It should be noted that with a prescribed circulation distribution, there are infinite numbers of vane shape which can achieve this distribution. The one used in this paper employs an airfoil shape of NACA 2412. The chord length of the vane sections is proportional to their local circulations, and the maximum chord length equals that of the propeller root. The local incidence angle of the vane sections are adjusted to maintain the desired circulation distribution.

#### 2. Wing Analysis with Surface Singularity Method

The wing performance is obtained with potential flow-based surface singularity method considering interaction effects from the propeller and SRVs.

#### 2.1 Potential Flow Formulation

When the flow surrounding the wing is assumed to be inviscid, irrotational and incompressible, a scalar velocity potential  $\Phi_{Total}$  can be defined such that the continuity of mass is governed by the Laplace's equation as:

$$\nabla^2 \Phi_{Total} = 0 \tag{5}$$

Following Green's identity, applying the boundary element discretization of Laplace's equation to a traditional wing geometry results in the following integrals for calculating the perturbation potential from the wing  $(\Phi_W = \Phi_{Total} - \Phi_{\infty} - \Phi_P - \Phi_V)$ :

$$\Phi_{W} = \frac{1}{4\pi} \int_{S_{\text{bound}} + S_{\text{wake}}} \mu \frac{\partial}{\partial n} (\frac{1}{r}) dS - \frac{1}{4\pi} \int_{S_{\text{bound}}} \sigma(\frac{1}{r}) dS$$
(6)

By applying Dirichlet boundary condition, the internal potential is set to zero as:

$$\frac{1}{4\pi} \int_{S_{\text{bound}}} \mu_b \frac{\partial}{\partial n} (\frac{1}{r}) dS - \frac{1}{4\pi} \int_{S_{\text{bound}}} \sigma(\frac{1}{r}) dS + \frac{1}{4\pi} \int_{S_{\text{wake}}} \mu_w \frac{\partial}{\partial n} (\frac{1}{r}) dS = 0$$
(7)

where the wake potential jump  $\mu_w$  is determined by a Kutta condition imposed at the trailing edge of the lifting surface. The wake is prescribed as a drag-free wake of which the panels are aligned with the freestream velocity. Setting up source strength to:

$$\sigma_i = n_i \cdot (V_{\infty} + V_{P,i} + V_{V,i}) \tag{8}$$

results in the value of the doublets as unknowns.

#### 2.2 Panel Pressure and Force

Once the strength of surface singularities are determined, the velocity induced by the wing is computed by calculating the gradient of the doublet distribution. The pressure on the wing surface can be obtained through Bernoulli's equation. To account for the compressibility effect, the Prandtl-Glauert correction is applied, and the pressure coefficient is given as:

$$C_{p,i} = \left(1 - \frac{\left(V_{\infty} + V_{P,i} + V_{V,i} + V_{W,i}\right)^{2}}{\left(V_{\infty} + V_{P,i} + V_{V,i}\right)^{2}}\right) / \sqrt{1 - M_{\infty}^{2}}$$
(9)

The aerodynamic force on the panel can be computed as:

$$\Delta F_{i} = -C_{p,i} \left[\frac{1}{2}\rho (V_{\infty} + V_{P,i} + V_{V,i})^{2}\right] \Delta S_{i} n_{i}$$
(10)

The total force of wing is then obtained by integrating the forces of all the wing surface panels.

#### 2.3 Induced Drag Calculation by Trefftz-Plane Analysis

The induced drag of the wing is calculated by a far-field method in the so-called Trefftz-plane. This method has been proven by many authors to be capable of providing accurate predictions of the induced drag<sup>23,24</sup>. The calculation can be accomplished by virtue of Kutta-Joukowski's theorem in the drag direction on the Trefftz-plane as:

$$D_{I} = \frac{1}{2} \int \rho(V_{P,i} + V_{V,i} + V_{W,i}) \times \boldsymbol{\Gamma}_{i} dl_{i}$$
(11)

Originating from three different sources of induced velocities on the wing, three components are identified, i.e. the wing self-induced drag (by  $V_W$ ), the propeller-induced drag (by  $V_P$ ), and the SRV-induced drag (by  $V_V$ ). The induced drag is computed by the method proposed by Blackwell<sup>25</sup>. It should be noted that the viscous drag of the wing is assumed to be constant, thus it is not included in the optimization procedure.

#### C. High-Fidelity Euler Equation-Based Simulation of Propeller-SRV-Wing Configuration

As mentioned in Sec. II.B, in potential flow-based method, the deformation of the slipstream is neglected. This is done in order to achieve fast computation when performing optimization. However, as observed by Veldhuis<sup>13</sup>, a strong deformation of the slipstream symmetry exists when the wing is given a positive angle of attack. The inaccuracy resulting from the neglecting of the slipstream deformation can be corrected by a higher fidelity method which employs full coupling of the propeller slipstream, SRV and wing. Since the aerodynamic theory used in potential flow method is inviscid, a natural choice for the higher fidelity model is an Euler equation-based solver.

The propeller in Euler equation-based simulation is represented by an actuator disk in order to maintain the same velocity distributions in the slipstream as in those obtained from the RANS simulation. The radial distributions of the propeller thrust and torque obtained from the RANS simulation are replaced by axial and angular momentum sources in the actuator disk model. The resolution of the wing solid surface, the refinement of propeller slipstream region, wing wake, and wing tip vortex region are similar to that discussed by Lötstedt<sup>26</sup>. The same strategy is also applied to the vanes by scaling down the grid size based on the ratio of chord length of SRV and wing. The simulations are performed with finite element-based ANSYS<sup>®</sup> CFX solver.

#### **D.** Global Optimization by DIRECT Algorithm

The design of the swirl recovery system for propeller propulsion system is achieved by optimizing the summation of the thrust production from the vanes and the induced drag reduction of the wing, while maintaining total lift constant. The optimization problem can be stated as follows:

$$\underset{X \in \mathbb{R}^{D}}{\text{minimize}} \qquad -C_{T,V}(X) + C_{D,i}(X)$$
subject to  $C_{L,W}(X) + C_{L,V}(X) = \text{const}$ 

$$(12)$$

The gradient-free DIRECT optimization algorithm is used to achieve global optimization, where DIRECT stands for "DIviding RECTangles"<sup>27</sup>. This algorithm, which was proposed by Jones, is a modification of the standard Lipschitzian approach. By identifying the potentially optimal intervals, the algorithm balances its effort between global and local searches of the objective function to guarantee a global optimum. The successful application of DIRECT algorithm in aerodynamic optimization has been reported by many authors<sup>28,29,30</sup>. This algorithm is found suitable for global optimization problems with bound constraints and a real-valued objective function when the objective function is a "black box" function or evaluation. The non-linear constraint of the constant lift is treated implicitly during the optimization loop in the way that for a given wing shape, the incidence angle of the wing is adjusted to acquire the desired total lift. Thus, the original non-linear constraint optimization problem is relieved to a bound constraint optimization as:

$$\underset{X \in \mathfrak{N}^{D}}{\text{minimize}} \quad -C_{T,V}(X) + C_{D,i}(X)$$
subject to  $X \in [X_{\min}, X_{\max}]$ 

$$(13)$$

#### E. Multi-Fidelity Optimization Using Shape Preserved Response Prediction Algorithm

To reduce the number of evaluations of the high-fidelity models, a surrogate-based optimization (SBO) technique is utilized. The low-fidelity potential flow-based surrogates are corrected to become a reliable representation of the high-fidelity Euler equation-based model. By using the SBO technique, the optimization burden is shifted to the low-cost surrogate model, whereas the high-fidelity model is referenced occasionally for verification purposes only.

The model alignment of SBO is performed not directly to the figures of interests (response surfaces of  $C_{T,V}$ ,  $C_{D,i}$ ,  $C_{L,W}$ , and  $C_{L,V}$ ), but to the intermediate simulation results, more specifically, the circulation distribution of the vanes, and the lift and circulation distributions of the wing. As the objective and constraint of the optimization problem are uniquely determined by these distributions, alignment of the corresponding distributions for the low- and high-fidelity models will result in an alignment of the objective and constraint. The shape-preserving response prediction (SPRP) methodology is adopted here for the model alignment.

In Fig. 6, an example of the application of SPRP alignment procedure on the wing circulation distribution is depicted. We denote the circulation distributions from the Euler solution and potential flow-based results as  $\Gamma_E$  and  $\Gamma_p$  respectively. At the beginning of multi-fidelity optimization, the global optimization is carried out based on the low-fidelity method (so that  $\Gamma_p$  is obtained). The optimum design obtained from the low-fidelity optimization is then simulated by high-fidelity Euler solver (so that  $\Gamma_E$  is obtained). The SPRP alignment is established by determining the translation vectors of corresponding circulation distributions, i.e. the difference between  $\Gamma_E$  and  $\Gamma_p$ . The model alignment between low fidelity and high fidelity is constructed assuming that the change of  $\Gamma_E$  due to adjustment of the wing shape in the next iteration of global optimization can be predicted using the change of  $\Gamma_p$ . Thus, the SPRP model is applied to the low-fidelity analysis during the new iteration of global optimization. The formulations for the vane circulation and wing lift distribution are analogous.

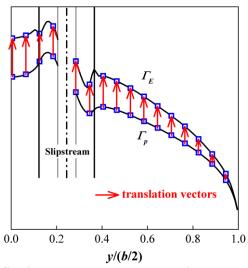


Fig. 6 Alignment of multi-fidelity models by shape-preserving response prediction methodology.

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#### III. Swirl Recovery Design of Trailing Wing for a Tractor Propeller

The swirl recovery by a trailing wing with a tractor propeller results in a reduction in wing induced drag, of which the mechanism was well explained by Witkowski<sup>12</sup>. In order to achieve the lift distribution with minimum induced drag, the twist distribution of the wing is optimized. The distribution is represented by a B-spline curve with 8 control points located at 8 spanwise locations as shown in Fig. 9. The upper and lower bounds of twist angle are set to 0 deg and 8 deg respectively (so that the range is larger than the maximum difference of the optimum twist angles). The wing is represented by singularities distributed on 200 spanwise panels and 23 chordwise panels. Since the lift and circulation distributions will be corrected by high-fidelity Euler solutions, these panel numbers are adequate to resolve the integrated loads ( $C_{L,W}$  and  $C_{D,i}$ ) within 0.1% of accuracy.

The wing geometry, which is shown in Fig. 7, is a scaled model of a typical turboprop aircraft, in this case the Fokker  $F50^{13}$ . The wing airfoil is NACA  $64_2415$  and assumed to be the same for all spanwise sections. The dihedral and twist of the original wing geometry are neglected for simplification. The fuselage is not considered as well and the half wing is extended to the full span. At cruise condition, the total lift coefficient equals 0.5, which is set as an implicit constraint during the twist optimization.

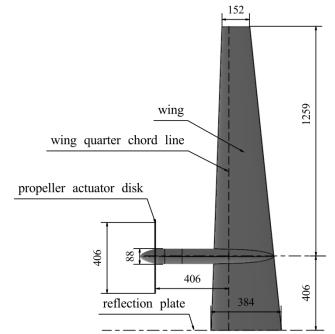


Fig. 7 Dimensions of the propeller and wing model (unit in millimeters, top view). The dimensions of the model are based on a scaled down and simplified version of the Fokker 50 wing.

#### A. Convergence of Multi-Fidelity Optimization

As illustrated in Fig. 2, the multi-fidelity optimization is a double-loop procedure. The inner loop is performed with DIRECT global optimization algorithm and stopped when the number of evaluations exceeds 100 times the number of design variables (which is 8 in this case). The outer loop is terminated when the difference of minimum induced drag between the current loop and the previous loop is less than 0.2 count.

The multi-fidelity optimization of wing twist distribution has converged after 3 outer loops. The convergence is shown in Fig. 8, where on the left the convergence history of each inner loop (DIRECT global optimization) is illustrated and on the right the optimum twist distributions of different inner loops are compared. The induced drag has decreased by 3.9 counts after optimization compared to that of the straight wing. This amount of drag reduction is equivalent to 1.4% of propeller thrust. The optimum twist distributions of three inner loops exhibit the same shape which further confirms the convergence of the multi-fidelity optimization. In general, the optimum twist distribution is characterized by higher value inside the slipstream, and lower value at the tip. Due to the lift constraint, the loading is allocated more to the region where the lift-drag ratio is higher, which is the region immersed in the slipstream. The twist angle is lowest at the wing tip to reduce the strength of tip vortex and thus to reduce tip loss.

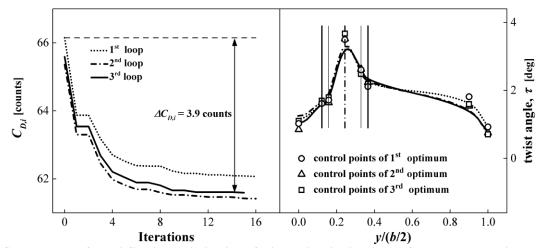


Fig. 8 Convergence of multi-fidelity optimization of wing twist distribution; left: convergence history of each inner loop during DIRECT optimization, right: comparison of optimum twist distributions to show convergence of the outer loop.

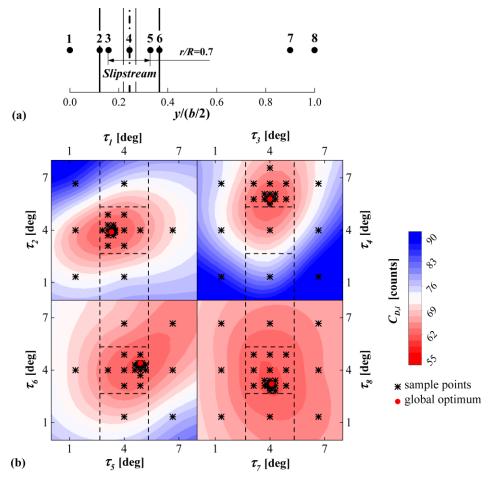


Fig. 9 Depiction of (a) spanwise locations of control points for B-spline curve, and (b) design space exploration of wing twist optimization.

#### B. Design Space Exploration of Twist Distribution by DIRECT Algorithm

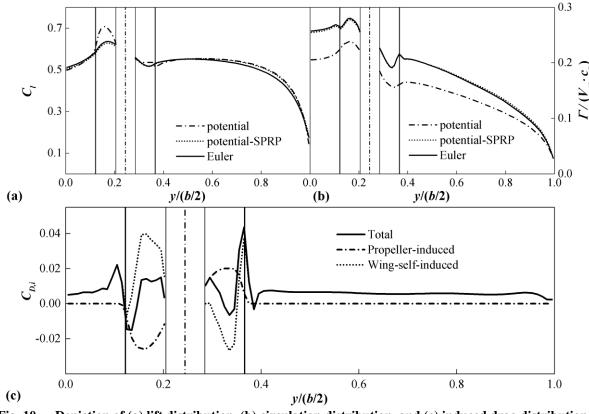
By balancing between global and local searches, the DIRECT algorithm is guaranteed to converge to the global optimum provided that the objective function is continuous<sup>27</sup>. Fig. 9 illustrates the response surface of the wing

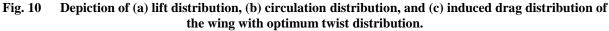
induced drag against twist angles at control points obtained from the third inner loop. It should be noted that each scatter point in this figure represents multiple samples in the optimization due to the fact that for a given combination of twist angles  $(\tau_i, \tau_j)$ , there are multiple combinations of other twist angles  $(\tau_k, k = 1 \sim 8 \text{ and } k \neq i, j)$  evaluated during the optimization. Of all the samples, only the one with minimum response value is collected and shown in the contour.

It can be seen from Fig. 9 that the design space is fully explored and the response surfaces exhibit a single minimum. However, this does not mean that the twist optimization can be achieved by a gradient-based optimization algorithm with one starting point, since Fig. 9 only shows the response surface surrounding the global optimum and the actual response surface is multi-dimensional and unknown. The response variation with respect to the twist angles at the tip region ( $\tau_7$ ,  $\tau_8$ ) is much less compared to other control point locations. This indicates that the drag induced by the tip vortex is smaller than that induced by the slipstream, which will be confirmed and explained in the next section.

#### C. Optimum Spanwise Loading Distributions

Fig. 10 presents the spanwise lift, circulation and induced drag distributions of the wing with optimum twist distribution. In Fig. 10(a) and Fig. 10(b), comparisons are made of the lift and circulation distributions obtained from potential flow-based analysis (denoted as potential), potential flow-based analysis applied with SPRP model (denoted as potential-SPRP), and the Euler simulation result (denoted as Euler). The match of both lift and circulation distributions between the latter two cases again confirms the convergence of the multi-fidelity optimization procedure.





The total induced drag, which includes the wing self-induced drag and the propeller-induced drag, is shown in Fig. 10(c). The wing self-induced drag is a consequence of the downwash velocity produced by the trailing wake vorticity on the collocation points. Since the magnitude of local induced drag is proportional to the local strength of bound vorticity, the distribution of wing self-induced drag follows the same pattern of the circulation distribution in the way that the local maximum/minimum in circulation distribution results in local maximum/minimum of wing self-induced drag. Analogous to the downwash velocity induced by the trailing wake vorticity, the swirl velocity

inside the propeller slipstream also induces (positive or negative) drag on the wing by tilting the lift force at wing collocation points. On the blade-upgoing side, the swirl velocity points upward and induces negative drag (or equivalently thrust) on the wing. Similarly, on the blade-downgoing side, the swirl velocity points downward and induces positive drag on the wing. Due to the fact that the wing circulation is augmented on the blade-upgoing side and diminished on the blade-downgoing side, the propeller-induced negative drag is larger in magnitude than the positive drag. However, the propeller-induced drag cancels out each other on upgoing and downgoing sides, and the total reduction in drag, in the end, is small compared to the wing self-induced drag, accounting for only 4% of the total induced drag.

It can be seen from Fig. 10(b) that in the Euler simulation result, there is a local minimum in wing circulation distribution at the location of the slipstream edge on the blade-upgoing side, and a local maximum on the blade-downgoing side. These two extremes are not captured by the low-fidelity potential flow-based analysis. However, as discussed above, these extremes have a strong effect on the induced drag distribution. In this sense, it can be concluded that when performing the induced drag prediction of the wing with a tractor propeller, one should refer to a solution where the interaction between the propeller slipstream and the downstream wing is simulated.

#### IV. SRV Design for Tractor Propeller in Installed Configuration

By optimizing the twist distribution of the wing, the reduction of induced drag can be achieved. However, the complexity of wing geometry and subsequently the difficulty in manufacturing has increased by introducing twist distribution. This problem can be tackled by having the wing without any twist distribution but introducing a set of SRVs which also has the capability of recovering swirl. In this way, extra thrust can be generated from the vanes. However, the velocity distributions inside the slipstream will be changed by the presence of SRVs. The lift and induced drag distributions of the wing will be altered accordingly. A full coupling between SRV design and wing analysis is established. A set of SRVs is designed at the same condition with wing optimization.

The azimuthal positions of the vanes are optimized using DIRECT algorithm. The radius of the SRVs is kept the same as that of the propeller. The wing is again represented by singularities distributed on 200 spanwise panels and 23 chordwise panels, and SRVs are discretized into 20 lifting segments. The coupling between SRV design and wing analysis is defined to be converged when the change of SRV thrust is less than 1%.

#### A. Effect of Axial and Azimuthal Positions of SRVs

#### 1. Velocity and Force Diagrams of SRVs and Wing

The wing with a positive lift induces upwash at the front and downwash at the back, depending on the axial position relative to the wing. The angular velocity generated by the propeller, when expressed in wing coordinate system, points upward on the blade-upgoing side and downward on the blade-downgoing side, depending on the azimuthal position relative to the wing. Considering the installation position of SRVs, it can be either upstream or downstream of the wing in terms of axial position, and either on blade-upgoing side or downgoing side in terms of azimuthal position. Consequently, the induced velocities from the propeller and wing on the SRVs are represented by 4 different cases, depending on the axial and azimuthal positions relative to the wing. Fig. 11 illustrates the velocity and force diagrams of SRVs and wing of the four different cases.

On the blade-upgoing side, the upward angular velocity induced by the propeller is augmented by the winginduced upwash when SRVs are located upstream of the wing, and reduced by the downwash when SRVs are located downstream of the wing. From SRV thrust production point of view, it is beneficial to locate SRVs upstream of wing. Besides positive thrust, SRVs also generate positive lift on this side. Conversely, on the blade-downgoing side, the downward angular velocity is decreased by the upwash upstream of wing, and enhanced by the downwash downstream of the wing. More thrust will be generated if SRVs are located downstream of the wing compared to the case where SRVs are located upstream of the wing. However, the lift force generated by the vanes is negative in this case.

From the wing point of view, in all the four cases, the induced force on the wing by the SRVs always has the opposite direction with the induced force on the SRVs by the wing. This has a simple physical explanation when one notes that the swirl velocity in the propeller slipstream can either be recovered by the SRVs or the wing.

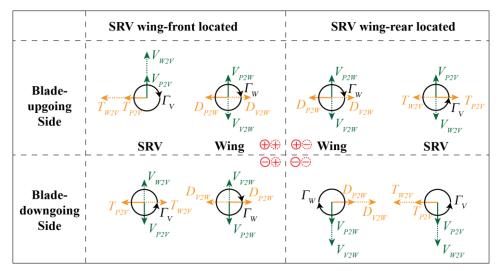


Fig. 11 Velocity and force diagrams of SRVs and wing. In this scheme, the SRV-wing system is viewed from the side with the undisturbed flow coming in from the left.

#### 2. Performance of SRV and Wing

SRV design is performed at a blade count of N = 1 with different axial and azimuthal positions relative to the wing. The axial distance between SRV and the wing quarter-chord line is one time propeller diameter when SRV is located upstream of the wing, and three quarters of the wing root chord length when SRV is located downstream of the wing. The thrust coefficient of SRV,  $C_{T,V}$ , the induced drag coefficient of the wing,  $C_{D,i}$  and their summation are shown in Fig. 12. The azimuthal angle of SRV  $\varphi$  is defined as  $0/\pi$  when the vane is in vertical position and points upward/downward,  $0.5\pi/1.5\pi$  when the vane is at horizontal position on the blade-downgoing/upgoing side. The propeller actuator disk, the wing and the optimum SRV design of four cases are sketched in Fig. 13.

When the SRV is located upstream of the wing, as discussed previously, it generates more thrust on the bladeupgoing side than on the blade-downgoing side. The maximum thrust provided by the vane equals 10.8 counts at the position of  $\varphi = 1.6\pi$ . However, the induced drag of the wing has increased dramatically mainly due to two reasons. Firstly, as can be seen from Fig. 11 for the case where the SRV is located upstream of the wing on the bladeupgoing side, it induces downwash and thus positive drag on the wing. The wake vortices shed from the SRV is at a closer distance to the wing surface compared to the bound vorticity of SRV, hence they become dominant in generating downwash velocities on the wing collocation points. Secondly, even though the rolling up of the vane tip vortex is not simulated in the lifting line model, it is captured by Euler simulation due to its inherent potential-flow cause of formation. Because of the rolling up of the vane tip vortex, the wing circulation exhibits an increase at the region close to the vane tip vortex. A local maximum is present in wing circulation distribution and consequently a local maximum in wing induced drag. By multi-fidelity optimization algorithm, this effect is included in the induced drag evaluation of the wing. These two effects get their maximum influence at a vane position of  $\varphi = 1.5\pi$  as can be observed in Fig. 12(b). For the reason discussed above, the increased amount of wing induced drag is even higher than the thrust produced by the SRV. It is thus detrimental in terms of drag reduction to locate the SRV upstream of the wing.

When the SRV is located downstream of the wing, the angular velocity in propeller slipstream is enhanced by the wing-induced downwash on the blade-downgoing side. SRV gets its maximum thrust coefficient of 9.1 counts at  $\varphi = 0.41\pi$ . On the blade-upgoing side, a local maximum of  $C_{T,V}$  is found when the SRV is located horizontally at  $\varphi =$  $1.5\pi$  where the wing induced velocity gets its maximum. Since the wing is located upstream of SRV, both the wake and the tip vortices of the vane have limited effect on the wing loading distribution. There is maximum change of 5.8 counts of wing induced drag with different vane azimuthal positions. The main reason for the change of wing induced drag is that besides thrust, the vane is also generating negative lift. In order to keep the total lift constant, the wing needs to provide more lift compared to the case without SRV. The summation of SRV thrust  $C_{T,V}$  and wing induced drag  $C_{D,i}$  gets its minimum value of 62.0 counts at vane position of  $\varphi = 0.38\pi$ . At this position, the vane is capable of providing thrust of 8.8 counts. Hence, one may conclude that it is preferable to locate SRV downstream of wing on the blade-downgoing side in terms of thrust production.

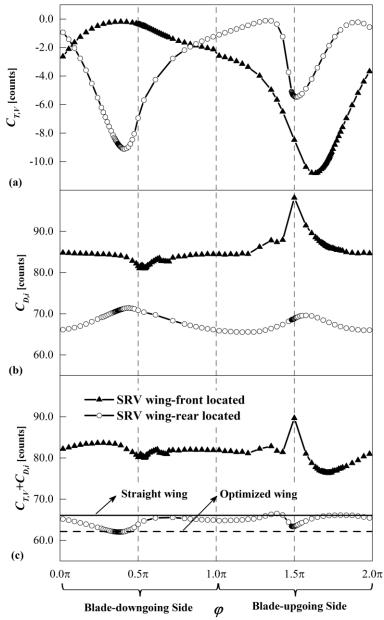
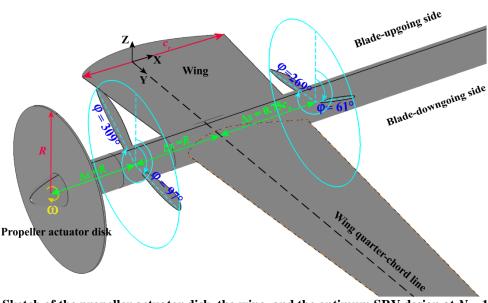


Fig. 12 SRV and wing performance with respect to different axial and azimuthal positions of SRV relative to the wing at N = 1; (a) thrust coefficient of SRV  $C_{T,V}$ ; (b) drag coefficient of wing  $C_{D,i}$ ; (c) summation of  $C_{T,V}$  and  $C_{D,i}$ .



Sketch of the propeller actuator disk, the wing, and the optimum SRV design at N = 1 and four Fig. 13 different installation positions.

#### 3. Effect of Vane Axial Position Downstream of the Wing

An optimum SRV location is identified in the discussion of previous section where the vane is located on the blade-downgoing side downstream of the wing. The effect of vane axial position relative to the wing is investigated in this configuration at N = 1. The axial distance between the SRV and the wing is increased based on the case discussed in the previous section ( $x = 0.75c_r$ ). The performance of SRV and wing is shown in Fig. 14. When increasing their axial distance, the induced velocity from the wing on the vane decreases. With less enhancement of angular velocity by the wing, less thrust is generated by the vane. Even though the induced drag of the wing also decreases, the drag of the combination increases. Thus, the system performance gets its optimum when SRV is located closest to the wing.

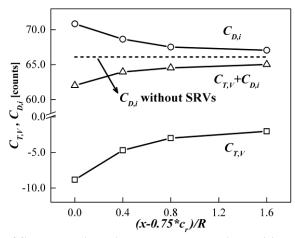


Fig. 14 Performance of SRV and wing with respect to the axial position of the vane downstream of the wing.

#### **B.** Effect of Blade Count

In the authors' previous work <sup>19</sup>, it was found that the optimal number of SRVs with maximum thrust for isolated propeller case is N = 9. In the previous section, it is demonstrated that it is preferable to locate SRVs on the bladedowngoing side downstream of the wing in the installed case. Even though there is a local optimum of system performance on the blade-upgoing side, the vane is located horizontally parallel to the wing such that the wake shed from the wing will impinge on the vane. This makes the local optimum questionable when taking viscous effects into account. Thus, the effect of blade count is investigated only on the blade-downgoing side with blade count up to 4. The system performance is depicted in Fig. 15. The induced drag of the wing again is correlated to the thrust of the SRVs due to the fact that the wing needs to compensate for the negative lift produced by the vanes. Thus, the induced drag of the wing has increased by 1.4 counts when the vane count increases from 1 to 4.

The thrust coefficient of SRVs designed for both isolated propeller (uninstalled case, denoted as Unins.) and installed propeller (denoted as Ins.) is characterized in Fig. 15. In both cases, SRV thrust increases with the blade count. However, the thrust in installed case is much larger than that of the uninstalled case (8.8 counts compared to 2.7 counts at N = 1). This is due to the swirl velocity enhancement by the wing on the blade-downgoing side. At N = 4 of installed case, SRVs are capable of producing thrust of 12.2 counts, which is equivalent to 4.3% of propeller thrust. However, it should be noted that the viscous drag of the vanes is not taken into account in the inviscid analysis. The summation of  $C_{T,V}$  and  $C_{D,i}$  equals 60.0 counts at N = 4. Compared to the case without SRVs ( $C_{D,i} = 66.1$  counts), the drag of the system has decreased by 6.1 counts.

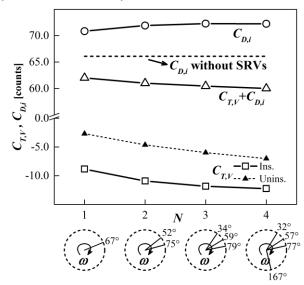


Fig. 15 Performance of SRV and wing with respect to blade count.

#### V. Conclusion

Design of swirl recovery vanes for a propeller propulsion in tractor configuration at cruise conditions is performed numerically. The swirl recovery can be utilized either by the trailing wing or by introducing a set of SRVs in the slipstream. A design framework has been developed which consists of three modules corresponding to three components in this system, i.e. the analysis module of the isolated propeller, the SRV design module and the wing analysis module. The design framework is based on a multi-fidelity optimization procedure. A potential flow-based method is adopted as the low-fidelity method for fast convergence, while an analysis based on Euler equations is used as the high-fidelity method. The DIRECT optimization algorithm is utilized for global optimization, and the shape-preserving response prediction methodology is adopted as the model alignment technique between low- and high-fidelity models.

A case study is carried out at the cruise condition of a typical turboprop aircraft. Two configurations are considered. In the first configuration, swirl recovery is achieved by the trailing wing, and the twist distribution of the wing is optimized. The Euler simulation of a tractor propeller-wing combination indicates that the slipstream impinging on the wing surface introduces local maxima and minima in wing circulation not only inside but also at the edge of the slipstream. The low-fidelity potential flow-based method is not able to capture the multiple extrema in the wing circulation distribution, making the multi-fidelity optimization technique necessary for all the analyses performed in this paper.

In the optimized wing configuration, the induced drag reduction is achieved by increasing the wing loading of the spanwise part where the lift to drag ratio is high, which is the region immersed in the slipstream. The twist angle is lowest at the tip to reduce the strength of wing tip vortex and thus tip losses. Compared to the original wing, the induced drag of the wing with optimum twist distribution has decreased by 3.9 counts out of 66.1 counts, corresponding to 1.4% of propeller thrust.

In the second configuration, a set of SRVs is introduced in the propeller slipstream. SRVs are designed with the constraint of constant total lift from SRVs and wing. Four different cases of SRVs installation positions are

identified based on different axial positions (upstream and downstream the wing) and azimuthal positions (bladeupgoing side and blade-downgoing side) of SRVs relative to the wing. On the blade-downgoing side upstream of the wing and the blade-upgoing side downstream of the wing, the angular velocity in the slipstream is decreased by the wing induced velocity, while on the blade-upgoing side upstream of the wing and the blade-downgoing side downstream of the wing, the angular velocity is enhanced by the wing. From a thrust production point of view, it is beneficial to locate SRVs in regions where the angular velocity is enhanced. However, when SRVs are located upstream of the wing, the wake and tip vortices of the vane deteriorate the wing performance by increasing its induced drag. In such case, the thrust produced by the SRVs is counteracted by a larger drag increment on the wing. However, when the SRVs are located downstream of the wing, the circulation distribution of the wing is not disturbed much by SRVs, so as the induced drag. The best performance is found when the SRV is positioned on the blade-downgoing side downstream of the wing.

For the optimum configuration, a parameter study is performed in terms of the axial distance between SRV and wing. The system performance is found to be optimal when the SRV is located closest to the wing. At this position (where the SRV is three quarters of wing root chord length behind the wing quarter-chord line), a second parameter study is carried out in terms of blade count effect. In this particular case, the results have shown that SRVs are capable of producing thrust of 12.2 counts at N = 4. However, besides thrust, negative lift is also generated by the vanes. In order to have constant total lift, the wing lift increases and consequently the induced drag. In the end, compared to the case without SRVs, the drag of the system has decreased by 6.1 counts, which is equivalent to 2.4% of propeller thrust.

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