

# First Results of Mars Express

# **ExoMars Trace Gas Orbiter Mutual Radio Occultation**

Parrott, Jacob; Svedhem, Håkan; Witasse, Olivier; Wilson, Colin; Müller-Wodarg, Ingo; Cardesín-Moinelo, Alejandro: Schmitz, Peter: Godfrey, James: Reboud, Olivier: More Authors

10.1029/2023RS007873

**Publication date** 2024

**Document Version** Final published version

Published in Radio Science

Citation (APA)
Parrott, J., Svedhem, H., Witasse, O., Wilson, C., Müller-Wodarg, I., Cardesín-Moinelo, A., Schmitz, P. Godfrey, J., Reboud, O., & More Authors (2024). First Results of Mars Express: ExoMars Trace Gas Orbiter Mutual Radio Occultation. *Radio Science*, *59*(7), Article e2023RS007873. https://doi.org/10.1029/2023RS007873

# Important note

To cite this publication, please use the final published version (if applicable). Please check the document version above.

Copyright
Other than for strictly personal use, it is not permitted to download, forward or distribute the text or part of it, without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license such as Creative Commons.

Please contact us and provide details if you believe this document breaches copyrights. We will remove access to the work immediately and investigate your claim.



# Radio Science®

# <del>L</del>

#### RESEARCH ARTICLE

10.1029/2023RS007873

#### **Key Points:**

- Many vertical electron density profiles have been successfully acquired via mutual radio occultation (RO) measurements
- Conducting RO mutually provides many advantages over conventional spacecraft-Earth occultations
- This is an example of data-relay equipment being repurposed for Radio Science

#### Correspondence to:

J. Parrott, j.parrott21@imperial.ac.uk

#### Citation

Parrott, J., Svedhem, H., Witasse, O., Wilson, C., Müller-Wodarg, I., Cardesín-Moinelo, A., et al. (2024). First results of Mars express—ExoMars trace gas orbiter mutual radio occultation. *Radio Science*, 59, e2023RS007873. https://doi.org/10.1029/2023RS007873

Received 18 SEP 2023 Accepted 6 JUN 2024

### **Author Contributions:**

Conceptualization: Jacob Parrott,

Håkan Svedhem, Olivier Witasse Data curation: Jacob Parrott, Håkan Svedhem, Olivier Reboud, Beatriz Sánchez-Cano Formal analysis: Håkan Svedhem, Ingo Müller-Wodarg Funding acquisition: Håkan Svedhem, Olivier Witasse, Colin Wilson, Ingo Müller-Wodarg Investigation: Jacob Parrott, Peter Schmitz, Olivier Reboud Methodology: Jacob Parrott, Håkan Svedhem, Olivier Witasse, Aleiandro Cardesín-Moinelo, Peter Schmitz, James Godfrey, Olivier Reboud, Bernhard Geiger Project administration: Jacob Parrott, Håkan Svedhem, Olivier Witasse, Colin Wilson Resources: Jacob Parrott,

Resources: Jacob Parrott, Håkan Svedhem, Olivier Witasse, Ingo Müller-Wodarg, Beatriz Sánchez-Cano

© 2024. The Author(s).

This is an open access article under the terms of the Creative Commons

Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

# First Results of Mars Express—ExoMars Trace Gas Orbiter Mutual Radio Occultation

Jacob Parrott<sup>1</sup>, Håkan Svedhem<sup>2</sup>, Olivier Witasse<sup>3</sup>, Colin Wilson<sup>3</sup>, Ingo Müller-Wodarg<sup>1</sup>, Alejandro Cardesín-Moinelo<sup>4,5,6</sup>, Peter Schmitz<sup>7</sup>, James Godfrey<sup>7</sup>, Olivier Reboud<sup>7</sup>, Bernhard Geiger<sup>4</sup>, Beatriz Sánchez-Cano<sup>8</sup>, Bruno Nava<sup>9</sup>, and Yenca Migoya-Orué<sup>9</sup>

<sup>1</sup>Imperial College London, London, UK, <sup>2</sup>TU Delft, Delft, The Netherlands, <sup>3</sup>European Space Research and Technology Centre, ESA-ESTEC, Noordwijk, The Netherlands, <sup>4</sup>European Space and Astronomy Centre, ESA-ESAC, Madrid, Spain, <sup>5</sup>Instituto de Astrofísica de Andalucía, IAA-CSIC, Granada, Spain, <sup>6</sup>Instituto de Astrofísica e Ciencias do Espaço, Lisbon, Portugal, <sup>7</sup>European Space Operations Centre, ESA-ESOC, Darmstadt, Germany, <sup>8</sup>School of Physics and Astronomy, University of Leicester, Leicester, UK, <sup>9</sup>STI Unit, The Abdus Salam International Centre for Theoretical Physics, Trieste, Italy

**Abstract** Spacecraft-to-spacecraft radio occultations experiments are being conducted at Mars between Mars Express (MEX) and Trace Gas Orbiter (TGO), the first ever extensive inter-spacecraft occultations at a planet other than Earth. Here we present results from the first 83 such occultations, conducted between 2 Nov 2020 and 5th of July 2023. Of these, 44 observations have to-date resulted in the extraction of vertical electron density profiles. These observations are the successful results of a major feasibility study conducted by the European Space Agency to use pre-existing relay communication equipment for radio science purposes. Mutual radio occultations have numerous advantages over traditional spacecraft-to-ground station occultations. In this work, we demonstrate how raw data are transformed into electron density values and validated with models and other instruments.

**Plain Language Summary** Radio occultation is a measuring technique involving the passage of a signal through an atmosphere, during which we observe how much the signal bends. This bending effect is precisely measured as a frequency shift. Typically, this technique is employed by transmitting a signal from a satellite orbiting a planet to a ground station on Earth. However, in this article, we explore an alternative on this measurement approach known as mutual RO. Here, both the transmitter and receiver of the signal are positioned in orbit around the same foreign planet, creating a unique scenario. Specifically, we utilized two European Space Agency satellites: Mars Express and ExoMars Trace Gas Orbiter, and have conducted a total of 83 experiments. Within this article, we present the methodology, processing and outcomes of select experiments, underlining their reliability through comparisons with models and data from other instruments.

## 1. Introduction

A radio occultation (RO) observation occurs when a radio transmitter and the receiver become occluded from each other by an atmosphere. Just before the signal is lost, the vector between the two antennae carves through the planetary limb, going successively deeper until it reaches the surface. As the vector passes through atmospheric mediums of different refractive properties, the signal is imparted with a small frequency shift. These refractive properties can be inferred after the measurement has taken place by looking for the frequency shift that remains after the Doppler shift due to the relative motion of the two spacecraft has been factored out. In turn, these refractive properties can be used to estimate the density of the neutral atmosphere and the electron density of the ionosphere. Conventionally, RO for other planets apart from Earth happens between a spacecraft orbiting said planet and a ground station on the Earth's surface. However this can also occur between two spacecraft orbiting the same planet, which is called Mutual RO (also known as Crosslink Occultation), and is the topic of this study.

Mutual RO for planets other than Earth is relatively new, having only three previous trials during 2007 between Mars Reconnaissance Orbiter (MRO) and Mars Odyssey (Ao et al., 2015). Since then it has not been revisited, despite its numerous benefits over conventional spacecraft-to-earth RO. Benefits include improved spatial distribution across a range of latitudes, a better range of Solar Zenith Angles (SZA), a higher Signal-to-Noise (SNR)

PARROTT ET AL. 1 of 18



# **Radio Science**

10.1029/2023RS007873

Software: Jacob Parrott, Håkan Svedhem, Aleiandro Cardesín-Moinelo. Olivier Reboud, Bruno Nava, Yenca Migoya-Orué Supervision: Håkan Svedhem. Olivier Witasse, Colin Wilson, Ingo Müller-Wodarg, Peter Schmitz, James Godfrey Validation: Jacob Parrott, Håkan Svedhem Visualization: Jacob Parrott Writing - original draft: Jacob Parrott, Håkan Svedhem, Alejandro Cardesín-Moinelo, Olivier Reboud Writing - review & editing: Jacob Parrott, Colin Wilson, Ingo Müller-

Wodarg, Alejandro Cardesín-Moinelo, Peter Schmitz, Olivier Reboud.

Beatriz Sánchez-Cano, Bruno Nava,

Yenca Migoya-Orué

because the transmitter and receiver are far closer and finally, simpler processing because the Earth's atmospheric parameters do not need to be accounted for in the data reduction.

This paper describes the spacecraft configuration in Section 3. As a large component of this feasibility study was choreographing the two spacecraft, emphasis will be given to the planning stages and the antenna setup. The information on how to obtain electron density profiles from the raw data obtained at Trace Gas Orbiter (TGO) is provided in Section 4. This is followed by presenting examples of two representative electron density profiles in Section 5. We finish with a discussion in Section 6, this section will breakdown the rationale for certain engineering decisions. As this work is concentrated on the engineering of mutual RO, the scientific analyses and discussions of the shape of the profiles, such as ionosphere structure and formation, are outside the scope of this article and will be addressed in a separate study.

# 2. Orbit Configuration for Mutual Radio Occultation

In our experiment, the two satellites that are being used are the European Space Agency's (ESA) Mars Express (MEX) and ExoMars TGO, as shown in Figure 1.

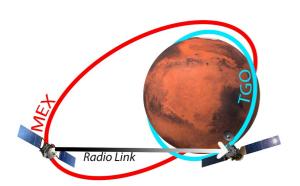
There are several advantages to the mutual configuration over the 'conventional' spacecraft-to-Earth occultations. For instance, the latitudes of conventional occultation measurements are similar between successive orbits. Over a matter of weeks, conventional occultation events vary in Martian latitude by less than  $10^\circ$ . This means that in a particular Martian season, only a limited range of latitudes can be measured (e.g., only Polar or only equatorial regions). This is due to the heliocentric layout of Mars and Earth being similar from day-to-day and the fact that the nodal precession of the spacecraft's orbits is slow; therefore, the Mars-Earth horizon occurs in a similar position. Figure 2 highlights this, by showing that TGO-Earth and MEX-Earth conventional occultations are restricted to very specific latitudes for a short timescale, whereas the orbits of MEX and TGO (shown in Table 1) produce a far broader latitudinal coverage. Also, spacecraft-to-Earth occultations can only occur in specific seasons along the martian year, whereas mutual RO occur more regularly.

Similarly, due to the relative positions of Mars, Earth and the Sun, the spacecraft-to-Earth RO is also constrained to similar values of local time and SZA in any given season. A rough guide for the possible range of SZA for occultations with Earth has been provided by Tamburo et al., 2023, with  $90 \pm 180^{\circ} \times 1AU/\pi a$ , where a is the semi-major axis of the orbit of the occulted planet, in astronomical units. This simple formula loosely applies to Mars occultations as it does not account for the relatively larger eccentricity of the Martian orbit. For example, in a three month period, TGO-Earth RO only covers SZA of  $81^{\circ}-130^{\circ}$  (ingress) and  $50^{\circ}-100^{\circ}$  (egress), while mutual occultations offer a much more even distribution of SZA, as shown in Figure 3.

A further advantage of mutual ROs is that of signal quality. Having the receiver and transmitter orbiting the same planet means that interplanetary plasma does not have to be accounted for in the data analysis. For spacecraft-to-Earth occultations, the resultant frequency shift can be affected by heliophysical parameters, such as the integrated interplanetary plasma along the signal path instead of the target ionosphere or atmosphere, restricting the range of reliable sounding. Additionally, not having the receiver inside the atmosphere of the Earth and under its significantly denser ionosphere and moist troposphere greatly simplifies the processing of the data since meteorological data sets do not have to be integrated into the processing, hence removing a potential source of error. Finally, mutual ROs are typically performed over a range of 1,000–10,000 km. With the aid of orbit simulations, we calculated this to be some five orders of magnitude smaller than the 55–400 million km range over which Mars-to-Earth radio occultations are carried out, resulting in a significantly better SNR.

The orbits of the two spacecraft also dictate whether a mutual RO will be considered an ingress or an egress observation. This is decided on whether the tangent point goes up or down in altitude during the measurement. The tangent point refers to the 3D location in the vector between the two spacecraft (SC) that is closest to the planet's surface. The tangent point during an RO observation can either be increasing or decreasing in altitude. This is because Mutual RO has two configurations: as previously described, the two satellites can begin the observation in-view of each other, then they can descend over the horizon with respect to each other. For the example in Figure 4, we call this an ingress RO because the tangent point moves monotonically downwards. But mutual RO can also work in reverse, where the measurements begins when the receiving satellite is occluded by the surface of a planet. As the RO observation progresses, the tangent point increases in altitude and the observation ends when this tangent point is far above the ionosphere; this is known as egress RO.

PARROTT ET AL. 2 of 18



**Figure 1.** Orbital configuration of Mars express (red) and trace gas orbiter (blue) during a typical mutual radio occultation observation, with a black/ white arrow indicating the direction of the radio link between the two spacecraft.

# 3. Experiment Configuration and Operations

TGO is the orbital element of the ExoMars program. TGO and the Schiaparelli Entry, Descent and Landing Demonstrator Module (EDM) were launched together on 14 March 2016 and arrived at Mars seven months later (Ball et al., 2022). TGO carries four advanced scientific instruments and is also serving as a member of the Mars Relay Network. At present, while waiting for the arrival of the ESA Rosalind Franklin rover, TGO relays over 50% of the data from the NASA Landers back to Earth.

1944799x, 2024, 7, Downloaded from https:

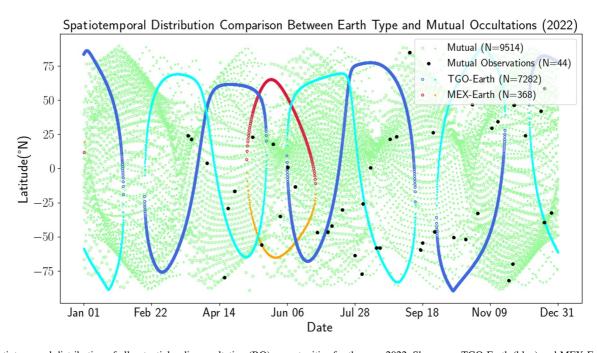
36/10.1029/2023R8007873 by Tu Delft, Wiley Online Library on [15.07/2024]. See the Terms and Conditions (https://onlinelibrary.wiley.com/terms-and-conditions) on Wiley Online Library for rules of use; OA articles are governed by the applicable Creative Commons Licens

ESA's first mission to another planet, MEX, was launched on 2 June 2003 arriving at Mars on December 25th of the same year. Its Beagle-2 lander was declared lost in February 2004 after repeated attempts to contact the lander failed (Bridges et al., 2017; Cardesin-Moinelo et al., 2024). The ultra high frequency (UHF) radio included on MEX to act as the lander relay for Beagle-2 subsequently has performed relay operations with 6 NASA landers: Spirit, Opportunity, Phoenix, Curiosity, InSight and Perseverance

as well as the Chinese Zhurong rover, in addition to tracking the ExoMars Schiaparelli demonstrator during its descent through the Martian atmosphere in 2016.

#### 3.1. MEX Transmitter—MELACOM

Mars Express LAnder COMmunication (MELACOM) was chosen to be the transmission source as its Open Loop recording capability is much less than that of TGO's Electra unit and wouldn't be able to record a signal with sufficient precision and sampling rate for radio science observations (*James Godfrey*, 2020, pers comm). However, MELACOM's oven stabilized oscillator means that it could potentially provide a stable carrier signal. The oscillator's Allan variance is stated to be better than  $5 \times 10^{-12}$  (C-MAC, 2005), which is considered to be very good, even if it is not as good as an Ultra Stable Oscillator (USO).



**Figure 2.** Spatiotemporal distribution of all potential radio occultation (RO) opportunities for the year 2022. Shown are TGO-Earth (blue) and MEX-Earth (red) RO having a periodicity through the year and limited coverage. Ingress are indicated by darker colors and Egress occultations have a lighter hue. Mutual RO opportunities (green) are shown to have a considerably more even spaced distribution in latitudes. Actual mutual RO observations that have been conducted in this study are indicated by solid black circles.

PARROTT ET AL. 3 of 18

Orbit parameters	Transmitter (MEX)	Receiver (TGO)		
Pericenter altitude (km)	350	380		
Apocenter altitude (km)	10,500	430		
Eccentricity	0.57	0.007		
Inclination (°)	87	76		
Period (hours)	7.5	2		

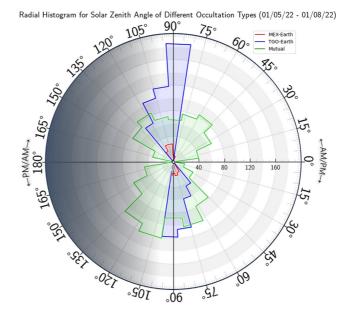
*Note*. See (Cardesín-Moinelo et al., 2021) and ESA SPICE kernels (European Space Agency & ESA SPICE Service, 2019a, 2019b) for detailed orbital parameters.

In normal lander data relay use, the MELACOM radio transmits a hail signal at the target lander. On receiving the hail, the lander responds and following a handshake the radio link between the two spacecraft is established. From that point onwards, data can be transferred between the two spacecraft in either direction. The hail sequence comprises of brief periods of unmodulated carrier transmission, followed by a modulated signal and then a drop in transmission repeating every 22 s. This is not suitable for the radio science experiment. It was, however, used for the first eight 'proof of concept' measurements. The manufacturer of the MELACOM radio, QinetiQ UK, produced an updated version of the MELACOM firmware including a new unmodulated "carrier-only" transmission mode. After testing this firmware on the avionic test bench, this firmware update was uplinked and tested in-flight in March 2021 and has been used for all subsequent observations.

In preparation for the ExoMars arrival at Mars, a performance characterization of the MELACOM system, including the oscillator accuracy, was done from the Arecibo radio telescope in November 2013. It was determined that the frequency only differed from the nominal frequency by 52 Hz (Gurvits, 2014). This is well in line with the expected aging since the launch.

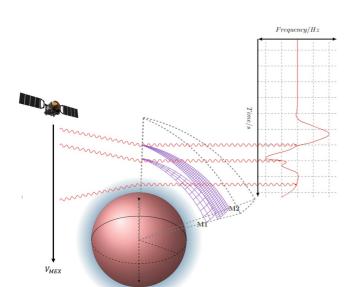
#### 3.2. TGO Receiver—Electra

Electra is a modern highly flexible UHF communications system designed by NASA's Jet Propulsion Laboratory (JPL) (Edwards, 2003) and is presently flying on several NASA missions. It was provided by NASA to ESA as a part of the ExoMars collaboration. It can operate at 16 different transmit frequencies and 16 receive frequencies in any combination in the 390–450 MHz band (Taylor et al., 2006). For these MEX-TGO RO measurements, the receiving frequency was set to the nominal MEX transmission frequency of 437.1 MHz. The recording is done in Open Loop Recording mode, that is, there is no attempt to lock on the incoming signal and the recorder is running "in the blind" at a sampling frequency up to 128 kHz. Both In-phase and Quadrature signals are sampled



**Figure 3.** Radial histograms to indicate the solar zenith angle (SZA) distribution of all spacecraft-to-Earth and spacecraft-to-spacecraft (mutual) (green) radio occultation (RO) opportunities during a 3 month period in 2022. SZA is indicated around the circumference and population is shown on the *x*-axis within the plot. This plot shows that TGO-Earth (blue) and MEX-Earth (red) RO cluster in a specific SZA dawn/dusk range during this period, whereas the mutual occultations cover most SZA values. The total number of MEX-Earth RO opportunities is smaller than the number of TGO-Earth RO opportunities due to MEX's longer orbital period.

PARROTT ET AL. 4 of 18



**Figure 4.** Schematic of Mutual radio occultation in the Martian environment (not to scale). On the left is a transmitting spacecraft moving downwards in an ingress configuration. The red lines represent radio waves being transmitted from the transmitter. The receiving satellite has been omitted for clarity. As the tangent point descends, the radio link first passes through the ionospheric layers (shown as M1 and M2), and later also passes through the neutral atmosphere (shown in a blue shade). The direction of the transmitted waves bend according to the mediums refractivity, such that the n < 1 ionosphere bends the waves away from the planet, and the n > 1 neutral atmosphere refract the waves toward the planet. A frequency shift is imparted onto the radio link due to the refraction in the Martian ionosphere and atmosphere. The red radio wave lines can be used for mapping the specific features in the Martian ionosphere and atmosphere to the features in the vertical frequency plot.

simultaneously. This sample frequency is more than sufficient to account for the worst case expected frequency shift, so to ensure that the signal always is within the bandwidth of the system. At a later stage it may be decided to lower the sampling rate to reduce the generated data volume.

On MRO, Electra is driven by Ultra Stable Oscillators (USO) providing excellent short and long term frequency stability to the units. Unfortunately, this is not the case for Electra on TGO where a Temperature Compensated Crystal Oscillator (TCXO) is used. It is adequate for the purpose of communication with the units on the Martian surface but is marginal when used for Radio Science. At present, however, it has not been possible to quantify in detail how the performance of the RO is affected by the TCXO and its aging. A difference between measurements and predictions in the absolute frequency of several hundred Hz has been observed. This has been identified as a spread in the exact frequencies from the various different units that had not been accounted for in a parameter table. This has now been corrected by updating a time conversion constant within Electra but there is a remaining difference of about 120 Hz. This may be due to aging of the crystal in the oscillator and is not a major problem as it can easily be subtracted.

#### 3.3. The MEX-TGO Radio Link

The receiving frequency of one of the two spacecraft had to be changed to match the transmit frequency of the other since the Orbiter-to-Lander UHF communication radios are used here for a direct link between the two orbiters, meaning one SC must either transmit at a receiving frequency or receive at a transmit frequency. Fortunately, the TGO Electra radio can accomplish this, whereas the MEX MELACOM radio lacks this versatility. TGO was therefore configured to receive at 437.1 MHz, the transmit frequency of the MEX relay radio.

Conventional ROs usually utilize the spacecraft's deep space communication equipment, typically at X-band and/or S-band (8–12 GHz and 2–4 GHz, respectively) (Pätzold et al., 2004; Withers et al., 2020). Here we describe our

experimental work using the UHF radio packages onboard MEX and TGO (390–450 MHz), originally designed for communication with landers and rovers on the Martian surface.

RO at UHF frequencies are especially effective for measuring ionospheres. This is due to the specific plasma frequency of the ionosphere, which occurs when electron and ion momentum acts as a restoring force against an electric field between an electron and an ion. This frequency increases with electron density such that,

$$f_p = \frac{1}{2\pi} \sqrt{\frac{N_e e^2}{m\xi_0}} \tag{1}$$

where  $N_e$  is the electron density, e is the elementary charge, m is the electron mass and  $\xi_0$  is the permittivity of free space. For frequencies below the plasma frequency, an incident wave will be fully reflected. For frequencies much higher than the plasma frequency, an incident wave will propagate with only little effect through the medium. However, for frequencies only slightly above the plasma frequency, an incident wave will propagate through the medium but will be refracted and will experience a phase shift. We make use of this effect for RO measurements.

With  $n^2 = 1 - \frac{\omega_p^2}{\omega^2}$ , where  $\omega_p = 2\pi f_p$ ,  $\omega$  is the transmit radio frequency and n is the refractive index (Born & Wolf, 2019), it can be seen that the lower the frequency is, the higher the effect will be on the propagation, as long as the frequency is above the plasma frequency. Therefore, at UHF the effect is much stronger than it is at S- or X-band.

Apart from the frequency selection, the specifics of the radio link should be discussed. The maximum distance between the two spacecraft during an RO can be up to approximately 15,000 km. In order not to interfere with scientific observations by any of the other investigations on MEX or TGO, no dedicated pointing is used for the

PARROTT ET AL. 5 of 18

**Table 2**The Worst Case Link Budget and Physical Error Propagation for a MEX-TGO Mutual RO Observation With the Maximum Distance and Off-Boresight Angles

Parameters	Values				
Tx					
RF power	37 dBm (5W)				
Antenna gain	−7 dBi				
Circuit loss	-1 dB				
Transmitted power	29 dBm				
Medium					
Space loss	-168.1 dB				
Boresight compensation	6 dB				
Rx					
Antenna gain	−7.1 dBi				
Circuit loss	−0.4 dB				
Error propagation					
Total received power	-140.6 dBm				
Noise spectral density	$-171.6 \text{ dBmHz}^{-1}$				
Rx power/noise (1 s)	31 dBHz				
Carrier loop bandwidth	1 Hz				
Radio loss	−1 dB				
Carrier loop SNR	30 dB				
Voltage SNR (1 s)	44.8				
Phase error	22.3 mrad				
Pathlength error	2.4 mm				
Frequency error	3.6 mHz				

RO sessions. Both S/C are usually pointing with the sides carrying their UHF antennas to near Nadir. Therefore, the off-bore-sight angles toward each other are typically below 75°. Maximum distance and maximum off bore-sight pointing on both S/C never occur simultaneously because MEX's MELA-COM antenna is always near nadir for the mutual ROs at apoapsis, therefore pointing toward the lower altitude TGO. Therefore, a compensation of +6 dB has been applied to this worst case scenario. The minimum expected received power at Electra should be close to −140.6 dBm at these view angles and ranges, as shown by Table 2. At the UHF frequency  $(f_t)$  of 437.1 MHz and an estimated noise temperature of 500 K, combined with the carrier loop SNR (SNR<sub>CL</sub>) of 30 dB, would result in a voltage SNR of 44.8  $(SNR_V = \sqrt{2 \times SNR_{CL}})$ . This results in a carrier phase error of just 22.3 mrad  $(SNR_v^{-1})$  leading to a relative pathlength measurement error of 2.4 mm  $(SNR_v^{-1}/f_t 2\pi)$ . Alternatively this is 3.6 mHz error in frequency.  $((f_t 2\pi - SNR_v^{-1})/2\pi)$  So, we anticipate that the contribution of thermal noise will be insignificant in comparison with systematic errors, for example, oscillator drift.

#### 3.4. Planning

Mutual RO uses orbiter communications equipment which is transmitting the same frequency as used for Orbiter to Lander Forward Link operations. Considering that there are currently 5 Mars orbiters (TGO, MEX, MRO, Mars Odyssey, and MAVEN) which are communicating in this frequency band with Mars surface assets, extreme care needs to be given to avoid radio frequency interference (RFI) with other orbiter to lander relay communications when planning the UHF radio science measurements.

The planning of mutual observations is performed by the Science Operations Centres (SOC) of both MEX and TGO missions (Cardesín-Moinelo et al., 2021). This planning process starts with an opportunity analysis of the geometric conditions, identifying the time periods where the line of sight between MEX and TGO intersects the limb of Mars, when the tangent point altitude is between 0 and 400 km. Also the orientation of both orbiters must be such that the UHF antennas are in view to each other, that is, both antenna

boresight angles are below 75° and the distance between the S/Cs must be less than 15,000 km to ensure a favorable SNR. These visibility windows are then considered potential candidates for RO measurements.

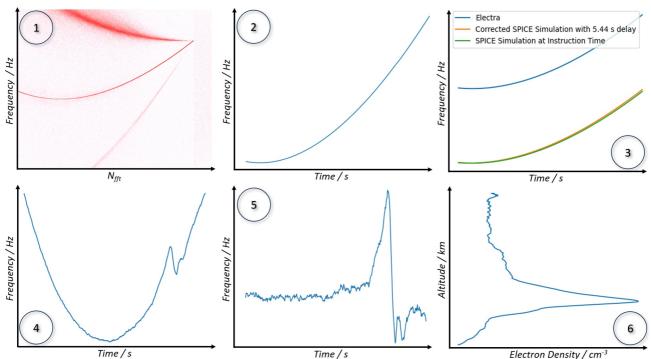
The scheduling process then needs to take into account the operational constraints, not only from both spacecraft, but also from any other possible lander relay communications occurring at Mars. Relay operations are considered critical, therefore any orbiter to lander view period is considered as a "no-go zone" for RO observations. These view periods are provided by ESA's spacecraft operations center (ESOC) to the SOC (ESAC), typically 12 weeks prior to the Medium-Term Planning Period which covers 4 weeks of operation. Exclusion periods of special operations by TGO and MEX are also avoided, such as orbit control maneuvers, S/C maintenance periods and MEX communication passes.

Finally, the science planners take all the visibility and feasibility opportunities into account to select the optimal UHF Radio Science observations, either ingress or egress occultations with the best geometrical conditions (lowest distance, best visibility angles, largest altitude range) and maximizing the desired seasonal coverage with respect to latitude, longitude and local time.

Once the UHF Radio Science slots are selected and the full science plan is confirmed for both missions, the science planners at ESAC generate the pointing timeline and the commanding parameters for all MEX and TGO payloads, including the relay antennas, and the timelines are passed on to the mission planners at ESOC for verification, about 8 weeks prior to execution. At this stage the orbiter attitude and spacecraft resource profile (for power consumption and data generation) gets "fixed" and Mission Planners at ESOC provide the selected UHF

PARROTT ET AL. 6 of 18

1944799x, 2024, 7, Downloaded from https://agupubs.onlinelibrary.wiley.com/doi/10.1029/2023R8007873 by Tu Delft, Wiley Online Library on [15.07/2024]. See the Terms and Conditions (https://online



**Figure 5.** A graphical representation of the outputs to the steps in Section 4. The mechanics of each of these steps are described at length in this section. 1: Spectrogram acquired from performing an fast fourier transform on the I and Q data, 2: The carrier in (1) is isolated via selecting the peak spectral densities, then the signal is truncated and has its frequency resolution interpolated, 3: A SPICE Doppler simulation is used to predict what the frequency shift should be if there was just a vacuum between the two spacecraft, 4: The corrected SPICE Doppler signal from (3) is subtracted from the signal (2). Note that the scale is significantly increased in this panel, 5: A low order polynomial fit is removed from (4) and the 70–80 km zero refractivity assumption is leveraged in an Abel-Inversion minimization. Note that the scale is further increased in this panel, 6: Abel-Inversion and conversion to electron density.

Radio Science slots to the JPL Mars Relay Operations System (MaROS) as information to the Lander community. This helps to identify potential RFI conflicts in case a relay overflight opportunity comes up at a later stage (e.g., due to updated orbit predictions). In case any RFI conflicts between MEX-TGO Radio Science and NASA relay operations are detected prior to the Short-Term Planning process, when spacecraft commanding is generated, UHF Radio Science observations might have to be withdrawn because relay operations take priority over UHF Radio Science.

Typically, one mutual RO observation is selected every week, covering the limb from the surface up to 400 km, with a default duration of 10 min, in which MEX transmits the UHF carrier to TGO, recording in open loop and generating a data volume of about 307 MB. This data is later downlinked to Earth with the same priority as the rest of TGO's science data and without affecting the relay data traffic.

# 4. Processing

TGO's onboard Electra system obtains the downconverted open-loop recordings as in-phase and quadrature data (I&Q). However, the on-ground software package created alongside the system was out-of-date and had not been updated alongside Electra's firmware upgrades. Therefore, new software was created to read the raw Electra bitstreams to extract the I&Q data, the Automatic Gain Control (AGC) level, and the timestamps.

The following processing chain will be enumerated and its corresponding outputs are found in Figure 5.

1. The primary objective for this next processing stage is to extract the peak carrier frequency from the MEX transmission. Firstly, a spectrogram is extracted from the I&Q data by means of a Fast Fourier Transform (FFT) with a 2<sup>18</sup> point Hanning window, corresponding to 2 s, and an overlap of 50%. With a ten-minute observation and a sampling frequency of 128 kHz, this produces around 585 periodograms. This window size was chosen to get a compromise between frequency resolution and time resolution. The goal was to

PARROTT ET AL. 7 of 18

300

Time /s

300

Time /s

400

400

500

3F 08/05/23 (SZA

 $31^{\circ}$ 

||

2W 10/03/23 (SZA



Ĕ

Altitude

Point 300

loo-

300-

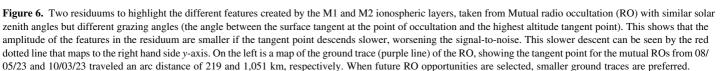
Point Altitude

200

angent -001

600

600



100

100

200

200

Frequency /Hz

0.5

0.0

-0.5

1.0

0.5

-0.5

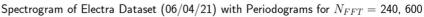
Frequency /Hz

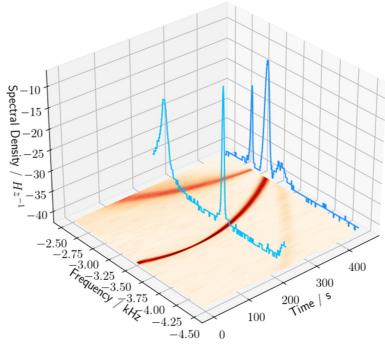
increase the frequency resolution as much as possible by increasing the window size, to a limit, as a larger window might render the small timescale M1 ionosphere feature indistinguishable. The M1 layer is the fainter secondary ionospheric layer found below the M2 layer. Figure 6 shows two examples of the residual frequency shifts caused by the ionosphere and atmosphere, called the residuum. From the observation on 08/05/23, 450 s marks the M2 features and the smaller bump at 480 s represents the contribution by the M1 ionospheric layer, this is the layer that can be missed if the window size is set too large. For a RO observation with a steep grazing angle, the tangent point is typically within the M1 ionospheric layer for around 10 s, With current window size, this allows for only nine data points to describe the M1 morphology. Attempting to increase the spectral resolution anymore by increasing the FFT window size will worsen this.

- 2. Depending on the orbital configuration, the data must then be truncated to exclude times when MEX's tone is not detected. For ingress occultations, this occurs at the end of the observation as the spacecraft-to-spacecraft vector is intercepted by the Martian surface, and for egress measurements, this occurs at the beginning and can be delayed for 10–60 s as the MEX-TGO vector is intercepted by the Martian surface. Then, to increase the frequency resolution further, a Gaussian curve was fitted to the highest spectral density in each periodogram, these spectral peaks are shown in the two periodograms of Figure 7. The curve fitting was done on the peak density and its six surrounding points. The mean value in this Gaussian (the peak) is taken as the true received carrier frequency. The lack of resolution would lead to spectral artifacts in the residuum and ultimately, this reduced the magnitude of these artifacts by 4.8 times.
- 3. The total frequency shift measured by the receiver is dominated by the Doppler shift caused by the relative velocities of the two spacecraft, hereafter called geometric Doppler. This must be removed from the signal as it can be three orders of magnitude larger than the frequency shift imparted onto the signal due to the ionosphere and atmosphere. The geometric Doppler is simulated using SPICE (C. Acton et al., 2018), an ephemeride framework developed by JPL's Navigation and Ancillary Information Facility (C. H. Acton, 1996). The operational positional kernels for MEX and TGO are updated regularly by the ESA SPICE Service, so each simulation uses accurate post-processed spacecraft ephemerides.

PARROTT ET AL. 8 of 18

1944799x, 2024, 7, Downloaded from https://agupubs.onlinelibrary.wiley.com/doi/10.1029/2023RS007873 by Tu Delft, Wiley Online Library on [15.07/2024]. See the Terms and Conditions (https:





**Figure 7.** A spectrogram for an ingress mutual radio occultation with two periodograms superimposed on the *z*-axis. The periodograms correspond to the 240th and 440th fast fourier transform windows. The darker colors in the spectrogram directly correspond with the larger spectral density seen in the periodograms. As well as showing evidence of multipath scattering, this figure shows the individual peaks in the periodograms that are used for fitting a Gaussian curve to, as a means to increase the spectral resolution.

Initially, the correct geometric Doppler could not be found as the exact start time for the observation was not known. As previously mentioned, the timestamps in the bitstream did not reach our required precision, so the start point for the simulation was based on TGO commanding time, which can vary by  $\pm 16$  s. This was overcome by simulating  $\pm 20$  geometric Doppler shifts with starting intervals of 1 s and constructing a 40 by 600-sized matrix, to which a 2D rectangular bivariate spline was applied. This operation interpolated the 40 simulations at 10 ms intervals, in effect producing 4,000 Doppler shift simulations to compare against. The geometric Doppler with the smallest difference from frequency recorded at Electra is then chosen.

As discussed in Section 3.2, there is a variable frequency offset and frequency drift for many reasons. This offset can simply be subtracted by taking the minimum absolute geometric Doppler value for the SPICE simulation and subtracting this from the same point in the real Electra recorded Doppler shift. The variable frequency drift however is far more challenging to overcome. The following processing steps consist of two fitting functions. The first is a form of polynomial fit and the second is a linear bias that ensures that 70-80 km has an electron density profile close to  $0 \text{ m}^{-3}$  (The reasoning for this assumption is described further on).

4. The frequency drift must be adjusted in order to account for the absence of a USO. An example of this drift can be seen in panel four of Figure 5. In order to do this, the tangent point is planned well above the ionosphere for most of the observation duration, so most of the residuum should be at 0 Hz for the majority of the elapsed time. All non-zero values during this vacuum portion of the residuum are known to be artifacts which are most likely due to this frequency drift. This can be removed by fitting a polynomial to the vacuum portion and an additional point in the residuum which corresponds to the time the gradient in the residuum is 0 Hz s (and the tangent point height is about 40 km). This addition for when the tangent point is within the limb is required as simply extrapolating the polynomial throughout the entire measurement will seldom produce an accurate residuum. This is because the frequency drift during the vacuum portion does not inform what the drift during the limb

PARROTT ET AL. 9 of 18

1944799x, 2024, 7, Downloa

doi/10.1029/2023RS007873 by Tu Delft, Wiley Online Library on [15/07/2024]. See the Terms

portion will be as the drift is random and not predictable from the previous portion of the signal. The polynomial fit is not designed to pass through this point exactly, there is an arbitrary frequency offset applied such that the atmospheric portion of the residuum does not cross the 0 Hz axis. This can be seen in Figure 6, where data set 3F does not cross the 0 Hz axis at the end of the measurement, whereas data set 2W does, only 3F will produce valid electron density profiles in this case. This frequency offset is set to 0.2 Hz, but this value should be considered of no relevance since the subsequent processing step accounts for all errors introduced by this offset assumption. This value of 0.2 Hz offset is not critical, a further investigation in Appendix A shows a parametric test which demonstrates that the following processing step compensates for any assumption made here. Figure A1 in Appendix A goes into more detail of describing this frequency offset resilience. There is one final aspect to this fitting; the polynomial order can vary between 3 and 4 to minimize the error introduced to the regions that are not being fitted over. The introduced error will be larger the further away from the fitted regions, and this error grows if an even higher order polynomial is used. So, the order of the polynomial is kept as low as possible by iterating this fitting process with increasing order until improvements to the  $\chi^2$  value over vacuum portion is negligible. Sometimes a fourth-order polynomial is required, but the next measurement will only require a third-order, this order value must be kept dynamic as the frequency drift is inconsistent.

- 5. One final amendment is required to ensure an accurate residuum. A linear frequency bias is applied to the residuum to guarantee a refractivity close to zero at 70–80 km altitude, whilst not effecting the higher portion of the profile when the tangent point is in the vacuum of space. Typically, for Martian radio occultations, this portion of the profile is always near zero, irrespective of solar activity, SZA variation, and the presence of dust storms (Fox & Yeager, 2006). This is similar to the method carried out by Ao et al., 2015 in their ODY—MRO crosslink occultation demonstration. The subtle difference between the 40 km point and 70–80 km should be reiterated here. The 40 km point is where the gradient in the residuum is 0 Hz s<sup>-1</sup>, and we use this point to act like an anchor in stage 4 to ensure that the residuum does not pass the 0 Hz *x*-axis, which would render this stage 5 impossible. The 70–80 km region is the part of the vertical electron density profile where the density is close to zero. This is an iterative process wrapped around an Abel-inversion (Fjeldbo & Eshleman, 1968), which was produced by the International Center for Theoretical Physics, Trieste, under contract for ESA (Nava et al., 2020). Such that this minimization algorithm could run as fast as possible, the Abel-inversion MATLAB codebase was converted to python, so that it could be integrated with the existing processing stack. The resultant bias applied is minimal, never rising more than 0.1 mHz s<sup>-1</sup>
- 6. The final residuum from (5) is converted into bending angles and this is then processed through an Abelinversion to produce a refractivity profile. From this an electron density  $(N_e)$  profile is derived by using Equation 2 (Ando et al., 2012)

$$N_e = \frac{nf^2}{\alpha} \tag{2}$$

where

$$\alpha = \frac{e^2}{8\pi^2 \epsilon_0 m_e} = -40.2592 \, m^3 s^{-2} \tag{3}$$

where *n* is refractivity (dimensionless), *f* is the transmit frequency of  $4.371 \times 10^8$  Hz, *e* is charge of an electron,  $\epsilon_0$  is the permittivity of free-space and  $m_e$  is the mass of an electron.

# 5. Results

At the time of writing, 83 mutual ROs have taken place between MEX and TGO. From these, 44 vertical electron density profiles have been extracted. A summary of these profiles can be found in Table 3. There are a multitude of reasons for why this number is far smaller than the total number of occultations. The primary reason is that nine tests occurred with SZA angles greater than  $100^{\circ}$  (beyond the terminator/on the night side), and with no photoionization at night, only some localized ionization from solar wind electron precipitation (Adams et al., 2018), and minimal plasma transport. The ionospheric electron densities are below  $1 \times 10^{10}$  m<sup>-3</sup> and their effects too weak to currently be extracted from the residuum signal. Therefore, our current processing method is not suitable on the

PARROTT ET AL. 10 of 18

**Table 3**A Summary of the 44 RO Observations for Which Electron Density Profiles Have Been Calculated

I	RO number	Data set name	Date	UTC start	UTC of occultation	Scheme	Longitude (°E)	Latitude (°N)	SZA (°)	Max altitude (km)	Local solar time
	1	I	02/04/21	15:09:00	15:18:16	Ingress	144.5	13.1	13.6	399	11:04
	2	J	06/04/21	03:30:00	03:38:49	Ingress	351.9	42.5	33.6	415	11:01
	3	K	14/04/21	23:32:00	23:33:09	Egress	61.1	42.5	82.1	394	05:55
	4	L	18/05/21	07:07:00	07:08:32	Egress	346.1	80.5	62.5	368	11:18
	5	M	25/05/21	00:08:00	00:09:05	Egress	152.5	53.6	36.0	321	11:07
	7	O	22/07/21	00:06:00	00:07:20	Egress	5.5	-7.7	32.2	374	12:18
	12	T	06/04/22	02:14:21	02:23:47	Ingress	224.4	4.0	62.4	387	07:55
	15	W	27/04/22	13:52:20	13:52:55	Egress	13.5	-16.9	71.4	326	15:28
	17	1A	18/05/22	05:00:27	05:09:23	Ingress	276.0	-55.8	39.0	343	10:52
	18	1B	27/05/22	13:21:22	13:22:00	Egress	245.6	17.6	40.9	407	10:59
	19	1C	01/06/22	11:01:00	11:09:50	Ingress	263.4	-35.2	68.7	347	06:46
	21	1E	13/06/22	03:18:23	03:28:08	Ingress	288.2	-13.6	72.9	350	17:07
	22	1G	30/06/22	14:06:29	14:15:16	Ingress	260.8	-47.2	39.1	379	14:41
	23	1H	08/07/22	10:46:11	10:55:28	Ingress	16.9	-47.2	32.2	379	13:57
	25	1J	19/07/22	19:49:30	19:58:45	Ingress	322.2	-30.3	5.2	380	11:56
	32	1Q	25/08/22	09:02:31	09:03:14	Egress	164.1	21.2	62.2	397	14:37
	33	1R	30/08/22	14:49:09	14:49:57	Egress	111.7	23.2	58.7	391	13:30
	36	1U	17/09/22	04:50:41	04:51:41	Egress	346.0	-59.3	50.8	371	07:44
	37	1V	19/09/22	06:00:57	06:01:21	Egress	349.8	-54.5	59.4	379	07:49
	39	1X	13/10/22	15:14:55	15:14:54	Egress	227.3	-50.8	58.0	334	17:08
	41	1Z	27/10/22	20:43:17	20:52:23	Ingress	240.2	46.6	65.9	425	14:28
	42	2A	31/10/22	09:34:47	09:35:14	Egress	46.1	-33.0	69.8	367	11:58
	43	2B	11/11/22	04:36:05	04:36:43	Egress	167.7	29.4	68.0	399	08:11
	45	2E	27/11/22	07:47:32	07:56:41	Ingress	289.0	-82.2	67.4	379	11:12
	48	2H	07/12/22	14:38:51	14:39:33	Egress	45.0	23.9	80.2	403	17:09
	49	2I	14/12/22	06:03:10	06:04:38	Egress	209.2	65.3	76.6	409	15:17
	50	2Ј	19/12/22	19:33:50	19:34:17	Egress	44.6	42.0	53.1	418	14:15
	51	2K	21/12/22	22:19:24	22:28:18	Ingress	3.7	-39.7	41.4	380	13:05
	52	2L	22/12/22	16:28:43	16:29:09	Egress	96.8	58.7	60.3	377	12:49
	53	2M	27/12/22	04:07:52	04:16:36	Ingress	312.4	-32.6	32.8	380	12:07
	54	2N	03/01/23	08:44:08	08:53:03	Ingress	294.4	-7.9	27.0	353	10:18
	57	2Q	27/01/23	01:14:13	01:23:28	Ingress	214.2	11.7	73.5	384	07:02
	58	2R	01/02/23	03:27:34	03:37:18	Ingress	227.0	83.5	81.0	397	06:52
	59	2S	09/02/23	00:07:25	00:16:29	Ingress	4.8	76.8	75.5	407	07:42
	60	2T	15/02/23	17:21:54	17:31:12	Ingress	230.6	72.9	62.7	391	11:44
	61	2U	23/02/23	14:03:27	14:12:27	Ingress	339.4	64.1	31.8	368	10:40
	62	2V	26/02/23	18:39:46	18:48:46	Ingress	275.1	61.8	83.5	365	08:58
	63	2W	10/03/23	03:53:34	04:02:40	Ingress	298.7	45.2	77.4	359	12:31
	67	3A	05/04/23	09:16:49	09:17:24	Egress	49.6	-51.8	40.2	380	08:28
	69	3C	17/04/23	14:21:09	14:22:09	Egress	151.3	-57.5	25.8	381	12:33
	70	3D	25/04/23	03:53:28	04:02:18	Ingress	51.4	-37.3 40.9	28.9	417	14:44
	70	3E	02/05/23	20:57:44	21:06:43	Ingress	205.9	28.0	48.9	417	13:50
						_					
	72 73	3F	08/05/23	06:20:01	06:29:18	Ingress	123.3	42.5	13.5	416	13:37 15:32
	73	3G	15/05/23	05:34:23	05:43:25	Ingress	230.2	62.3	87.5	429	

PARROTT ET AL. 11 of 18

1944799x, 2024, 7, Downloaded from https:

/agupubs.onlinelibrary.wiley.com/doi/10.0129/2023R8007873 by Tu Delft, Wiley Online Library on [15.07/2024]. See the Terms and Conditions (https://onlinelibrary.wiley.com/terms-and-conditions) on Wiley Online Library for rules of use; O.A articles are governed by the applicable Creative Commons License

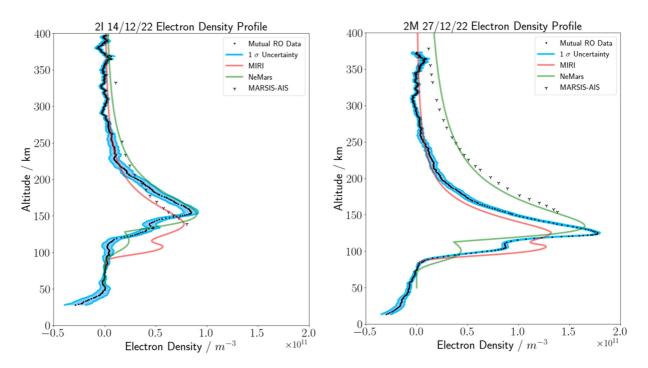
nightside as there are no key residuum features to reference. We will develop an updated technique for extracting the nightside electron densities in the near future, this is a particular challenge due to the absence of a USO.

The second category of occultations that could not be analyzed are those where MEX was transmitting its HAIL sequence for the first eight tests; this looping 22 s signal was modulated and had regular silence periods. For 75% of the sequences, there was an obtainable carrier wave present. So, these eight data sets still have potential value for proving 'eavesdropping' capability, as will be discussed further in Section 6.

For six of the occultations, the orbits of MEX and TGO were such that the tangent point descended very slowly. The amplitude of the ionospheric features in the residuum is proportional to the derivative of electron density with respect to time. So, if the tangent point descends too slowly then the residuum features can be minimized to a point below the noise floor of  $1 \times 10^{10}$  m<sup>-3</sup>. Figure 6 has been made to illustrate this point.

Of the 16 remaining measurements, three observations were conducted when the Martian limb was not between the two spacecraft. This was done to test the oscillators' stability in the absence of an atmosphere. Five occultations were unsuccessful due to MEX not transmitting at the correct time. The occurrence of the scheduling errors led to MEX RO transmissions becoming more automated to reduce the probability of future errors. The final eight RO observations where a vertical electron density profile was unobtainable are due to various reasons and require further work to find the root cause.

Figure 8 shows the electron density profiles from two RO measurements, the 49th (named "2I") and the 53rd (named "2M") which occurred on 14/12/22 and 27/12/22 respectively. The profiles do not extend across the full 430 km of altitude because the orbits of MEX and TGO did not allow the tangent point to go to the maximum altitude for all observations. Profile 2M has a maximum altitude of 380 km and 2I is 409 km, this is considered typical as the range of maximum height for a profile we have obtained is 321–429 km.



**Figure 8.** Electron density profiles for two mutual radio occultation observations. 2I (left panel) is from an egress configuration with a high solar zenith angles (SZA) value of 77° and 2M (right panel) is from an ingress with a SZA close to noon with 33°. 0 km on the *y*-axis indicates the average mars radii of 3,389.1, not the ground. The blue envelope is the result of a numerical error-propagation with 100 iteration. There is a vertical uncertainty of 6 km for both profiles. Also included are comparisons with NeMars (Sánchez-Cano et al., 2013) (Sanchez-Cano solar 2016) and Mars Initial Reference Ionosphere Model (MIRI) (Mendillo et al., 2013) semi-empirical models. The model inputs for 27/12/22 are SZA 32°, Coordinates [312, -32], F<sub>10.7</sub> 151.7, Mars Solar Distance 1.558 AU. The inputs for 14/12/22 are SZA 76°, Coordinates [209, 65], F<sub>10.7</sub> 157.4, Mars solar Distance 1.541 AU. Data from MARSIS-AIS is also superimposed, effort was made to find measurements with similar SZA. The specific MARSIS data set for 2I is OrbitNumber:10424, IonosondeNumber:225 and the for 2M; OrbitNumber:10675, IonosondeNumber:93.

PARROTT ET AL. 12 of 18

The minimum altitudes for 2M and 2I are 13 and 27 km respectively. The reason that these data sets do not reach 0 km is twofold. Firstly, the inverse Abel-transform that is used to convert the residuum into a vertical refractivity gradient assumes Mars to be a sphere with a radius equal to the mean Martian radius. This is the same assumption as the models and MARSIS data set, so they are all readily comparable. The shape of Mars is more closely approximated with a topology modulated ellipsoid. 2M has the coordinates [312.4, -32.6]; since this is in the mid-latitudes, the average Mars radii is a good approximation. In addition, this is in the southern highlands just off the northwest side of Hellas Planitia, so the lowest tangent point for 2M is 0.7 km above the Martian average radius. On the other hand, 2I occurs at [209.2, 65.3], with this high latitude, and the fact that it is in the Panchaia Basin, means that the average Mars radii overestimates by 9 km. Secondarily, the SPICE simulations for these two tests showed that they actually occurred 4.84 and 9.98 s after the instructed time for 2M and 2I respectively. This means that the moment of occultation occurred at a higher altitude than expected. This SPICE simulation delay is significant because this simulation is also the way that the tangent point is calculated, so this delay carries into the altitude readings. This timing error is further worsened by a 5.16 and 5.02 s delay for 2M and 2I from an unknown cause, which translates to a 6 km vertical uncertainty for both tests.

An explanation for the morphology of these profiles is as follows: the tangent point between the two spacecraft at the beginning of the test is at a high altitude where the Martian ionosphere has a negligible electron density, therefore it has a near-zero effect. As the altitude drops to below 200 km the main ionospheric layer ("M2") is seen with peak electron densities of  $1.75 \times 10^{11}$  m<sup>-3</sup> at 141 km and  $8.55 \times 10^{10}$  m<sup>-3</sup> at 157 km for 2M and 2I respectively. We find a fainter secondary ionospheric layer (named M1) below the M2 layer, peaking at 110 km for 2M and 145 km for 2I. At deeper altitudes, electron-ion recombination is highly effective, so the electron densities decrease to near zero and the neutral atmosphere becomes dominant. The negative readings on the electron densities axis seen below 50 km correspond to the neutral densities counteracting the effect of the net refractivity from the higher ionospheres. The deep neutral atmosphere will be addressed in a future study.

The two profiles differ from each other principally because of the different values for SZA. 2M occurs closer to noon with a SZA value of 32.8° and 2I is nearer the terminator with 76.7°. These profiles follow the behavior expected from an ionosphere dominated by photoionization. The reduced photoionization and higher SZA at 2I causes the M2 peak density to decrease and the peak altitude to be higher (Fox & Yeager, 2006).

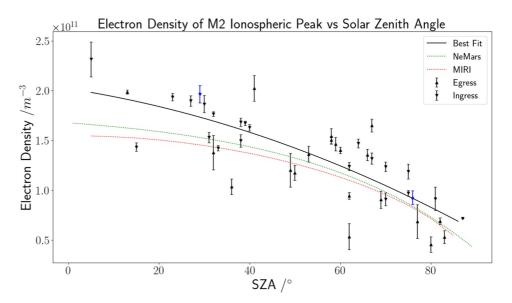
For further validation, we are in the following comparing our profiles to other observations and to two ionosphere models. The Y-crosses in Figure 8 are electron density profiles from the Mars Advanced Radar for Subsurface and Ionosphere Sounding (MARSIS) onboard the MEX spacecraft in its Active Ionospheric Sounding (AIS) mode (Gurnett et al., 2008), and have been retrieved via the methodology described in Sánchez-Cano et al., 2012. This instrument uses a chirp signal to sound the top side of the ionosphere. Similar to a discussion point in Section 6, a signal is reflected from an ionospheric volume when its plasma frequency is higher than the signal's frequency. In order to determine the plasma frequency, MARSIS sequentially increases the transmit frequency until reflection ceases (Jordan et al., 2009). The altitude where this happens is determined by monitoring the time for the last echo to be received. These plasma frequencies and altitudes can be combined to make topside electron density profiles. The altitudes below the M2 peak cannot be probed with this method.

Two models have been superimposed in Figure 8. The NeMars (Sánchez-Cano et al., 2013) model is shown in green. This is an empirical model based of data from MEX's Mars Advanced Radar and Ionospheric Sounding experiment (MARSIS) and Mars Global Surveyor (MGS) conventional RO. The other model in red is the Mars Initial Reference Ionosphere (MIRI) Model (Mendillo et al., 2013). This model is similar to NeMars where it uses a mostly MARSIS data and smaller amount of MGS conventional RO data, but also includes MEX MaRS conventional RO data too (Pätzold et al., 2004). In addition, this is a semiempirical model, meaning that its numerical parameterizations are guided by underlying known physical ionospheric behavior. At 2I, our observations show good consistency with NeMars but the MIRI profiles have a lower M2 peak altitude and a more developed M1 layer. This result is similar to the findings in Ao et al., 2015, where they also compared with NeMars. At 2M, our topside ionosphere and M2 peak altitude are consistent with MIRI, but our M2 peak density is larger than MIRI's by around 50%. The NeMars topside ionospheric densities are about a factor of 2 larger than ours but the M2 peak altitude and density are more consistent. A forthcoming study will investigate these differences in more detail.

For a broader validation of our observations, we are also looking at the trend with SZA of the M2 peak densities, as showing in Figure 9. Super-imposed in the figure alongside our observations are again values from NeMars

PARROTT ET AL. 13 of 18

1944799x, 2024, 7, Downloaded from https://agupubs.onlinelibrary.wiley.com/doi/10.1029/2023RS007873 by Tu Delft, Wiley Online Library on [15/07/2024]. See the Terms



**Figure 9.** The trend of M2 ionospheric peak electron densities reducing with increasing Solar Zenith Angles (SZA). The black solid line is the least-mean-square quadratic fit  $(-1 \times 10^7 x^2 - 7 \times 10^8 x + 2 \times 10^{11})$  of all occultations with correlation R value of 0.807. Comparisons can been seen for the NeMars (Sánchez-Cano et al., 2013) and Mars Initial Reference Ionosphere Model (MIRI) (Mendillo et al., 2013) models. The two blue markers indicate the measurements that are shown in Figure 8. The inputs to these models are for conditions which match data set 2M (shown in Table 3). Specifically these inputs are Coordinates [2, -32],  $F_{10.7}$  is 151.7, and the Mars Solar Distance is 1.558 AU.

(green) and MIRI (red). As seen by the best fit curve to our occultation data (black line), there is a clear trend of peak ionospheric densities decreasing for increasing zenith angles, consistent with the expectations for an ionosphere dominated by solar photoionization. Our observed M2 peak values and SZA trend are consistent with those of both NeMars and MIRI, with the minor differences probably being due to factors not considered by the models.

Also visible in Figures 8 and 9 are error bars (in Figure 8 illustrated as blue envelopes). These have been calculated following the methodology of Müller-Wodarg et al., 2006 via a numerical error propagation of 100 iterations with a 5% input error. Specifically, this error calculation was carried out by adding this 5% input error to the carrier signal frequency extracted during step one of Figure 5, then noting the change in the final vertical profile. As stated in Section 3.3, the frequency error of 3.6 mHz was far lower than the noise observed in the residuums. 5% was calculated from the ratio of the magnitude of a typical M2 ionospheric residuum feature to the short-timescale noise. The source of this noise will be determined once full oscillator characterization has taken place.

# 6. Discussion and Recommendations

We have shown that mutual RO is a powerful method for sampling the ionospheres of planets. Despite the limitations encountered in our experiments, most notably the absence of a USO, we have through very careful analysis of the returned Doppler shifts been able to extract multiple ionospheric profiles which show ionospheric behavior consistent with expectations. One of the most powerful advantages of our method has been the ability to sample all dayside solar zenith angles and thereby for the first time obtain a remote sensing method for sampling the Martian ionosphere in full 3-D.

Our feasibility study has also revealed how the method can be further improved upon in a number of ways. As stated in Subsection 3.2, the lack of the USO onboard TGO caused a variable frequency offset (varying from -610 to -680 Hz). This has been improved by changing an internal time conversion constant within Electra's firmware via a telemetry update; now the offset ranges from 97 to 149 Hz. Additionally, this oscillator instability led to a minimization being required to ensure that 80 km is close to zero refractivity, this further worsened the uncertainty in electron density profiles as other vertical features could be inadvertently altered to ensure this

PARROTT ET AL. 14 of 18

80 km zero point. Electra can record a time precision of 15 ns, which is ample precision for this mutual RO purpose. However, in the absence of a USO, it is recommended that the timestamps from the local oscillator be calibrated against a more accurate USO timestamps onboard the spacecraft at regular intervals throughout the test. This can be achieved by incorporating the spacecraft's extended telemetry. This would also improve the variable Electra timestamp accuracy, which made simulating the geometric Doppler difficult, as stated in Section 4. This has been done for the most recent RO observations, where the difference between the commanding and actual start time has been reduced from  $\pm 16 \text{ s to around } +4 \text{ s.}$ 

There are several discoveries and improvements that should be noted. Figure 7 shows multiple spectral features in the spectrogram, where three arcs can be seen to converge throughout the RO observation. These are either side of the main carrier tone and are visibly fainter. This is a result of multipath reflections from the surface. At any time during observation, the two lines are equally spaced from the main carrier tone (which is determined by being the highest spectral density), and as the tangent point descends to the surface, these three lines converge as the occultation begins and the radio link is interrupted by the surface of Mars. As the tangent point falls, the path differential between the line-of-sight and reflected signal path becomes smaller. The fainter third peak is a mirror frequency as a result of the downconverted step in Electra. The periodograms show that the spectral peaks become finer closer to the time of occultation. This is likely due to the fact that the shallower the path gets over the surface the less scattering points there are contributing to the scattering.

Although this has not been done for the events described in this report, mutual RO has the potential to "eavesdrop" on other passing radio communications. Despite terrestrial global navigation satellite system (GNSS) satellites not transmitting signals that are specifically designed to be used by RO satellites; such as COSMIC (Ho et al., 2020), CHAMP and other RO satellites use them regardless. For example, there is the potential to use Mars Relay System communication links to probe the Martian ionosphere and atmosphere, provided that the carrier frequency is obtainable. If telemetry can successfully be filtered out of the sidebands, then minutia in the carrier frequency can be ascertained. Practically, this transpires as MEX and TGO not needing dedicated pointing, power, and total downlink resources, as it would be dual-purpose with other SC-SC or SC-lander communications. This would increase the number of opportunities available to conduct mutual RO. This would be a similar operation to Ao et al., 2015, where the signal used for RO was a modulated transmission intended for either the Spirit or Opportunity rovers. In theory, any signal should be useable, so long as a stable carrier tone can be isolated.

In addition to eavesdropping, this method could be improved from an operational standpoint by doing RO simultaneously in two or more frequencies. As explained in Section 3.3, mutual RO is especially effective for measuring ionospheres at these UHF frequencies. From this study, we have found a maximum electron density of  $2.4 \times 10^5$  cm<sup>-3</sup> leading to a plasma frequency of 4.4 MHz. At this 437.1 MHz frequency, a propagating radio wave will be greatly affected by the refractive properties of the cold ionospheric plasma, leading to UHF observations being specifically sensitive to the Martian ionosphere. A second frequency in dual-band could be selected such that the ionospheric and neutral atmospheric contributions to net refractivity along the radio link could be separated. This could be achieved by transmitting two tones that are far enough apart in the spectrum. For example, ample separation could be achieved with a UHF and an X-band link (around 0.44 and 8 GHz). This is similar to MEX's MaRS instruments which uses dual frequency phase coherent downlinks in S and X band. (Pätzold et al., 2004). This recommendation should only be considered for future missions as both MELACOM and Electra lack this capability.

### 7. Conclusions

There has been a resurgence of interest in mutual RO in recent years. Now that ESA has two spacecraft orbiting another planet, this technique can be investigated and the instrumentation refined. Typically, RO observations for other planets have the receiver on the Earth's surface, but this constrains the breadth of locations and SZA that can be measured. It also introduces errors as the signal must pass through dispersive space between the two planets and through the Earth's relatively dense ionosphere and moist atmosphere. Mutual RO alleviates these problems by placing both the receiver and transmitter in orbit around the same planet. The hardware for these observations has been detailed. The constant carrier is being sent from MEX's MELACOM antenna to TGO's Electra antenna through the Martian limb. None of this equipment was

PARROTT ET AL. 15 of 18

1944799x, 2024, 7, Downloa

orary.wiley.com/doi/10.1029/2023RS007873 by Tu Delft, Wiley Online Library on [15/07/2024]. See the Terms

and-conditions) on Wiley Online Library for rules of use; OA articles are governed by the applicable Creative Commons Licens

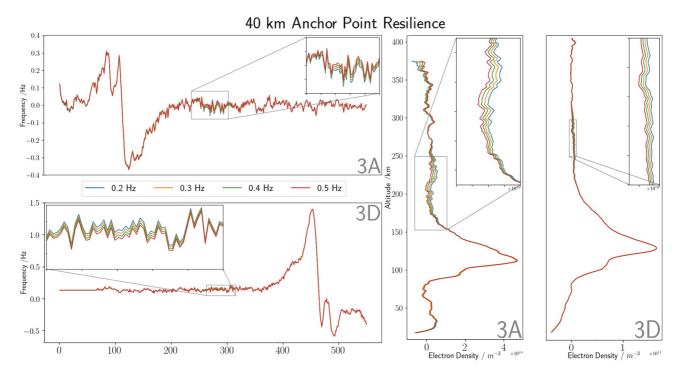
designed for this application, so several techniques have been applied to obtain acceptable results. The firmware on both satellites was updated, and the advantageous orbital parameters were determined. A new processing chain was developed to overcome the hardware's limitations. The most significant of these constraints is the lack of a USO, which led to a retrieval process including a minimization step that ensured that the refractivity at 70–80 km altitude was near zero.

Mutual RO has the potential to allow a vast (several order of magnitude) increase in RO opportunities, compared to spacecraft-to-earth RO. However, the true value of mutual RO will only be realized once simultaneous RO observations can occur across multiple satellites, similar to terrestrial occultation constellations. This will leverage the existing equipment already placed in orbit around Mars or other planets by ESA and its partners. 'Eavesdropping' will be essential for this to happen, such that mutual RO can be dual-purpose with relay activities.

This article has demonstrated the success of this feasibility study and highlighted essential engineering considerations to improve when designing for future missions. These tests are ongoing; at the time of writing, there is roughly one mutual RO observation per week for the foreseeable future. While the physical hardware cannot be altered, this process will be further improved once the aged Electra oscillator is better characterized. Ultimately, this article has shown an economic way to garner extra scientific returns from non-specialized equipment and should encourage future missions to include mutual RO as a viable capability.

# **Appendix A: Anchor Point Resilience**

Although the vertical offset used during the first fitting in the processing is selected arbitrarily, the final results are very resilient to any error that may be introduced with this assumption. For convenience, the method for the application of the arbitrary offset shall be repeated from step four of Section 4. This vertical offset marks the distance from the 0 Hz axis that the polynomial fit must pass through to ensure that the residuum does not cross the 0 Hz axis. For the results shown in this article, a 40 km point vertical offset was set to 0.2 Hz, but this value matters little, as the second fitting corrects for this. If the first fitting results in a residuum with a non-physical form, it is accounted for later in the processing chain since the second fitting brings the 70–80 km region in the electron density profiles close to 0 m<sup>-3</sup>. Figure A1 supports this, by showing the variation in residuum and resultant



**Figure A1.** Two examples of a residuum and profile that show how the arbitrary frequency offset on the 40 km point has a near-negligible effect. Data set 3A is an egress mutual radio occultation and the 40 km anchor point would be at around 30 s, where as on the ingress data set 3D, the anchor point would be at 550 s. Four different amounts of frequency offset are shown, starting from 0.2 Hz and finishing with 0.5 Hz.

PARROTT ET AL. 16 of 18

1944799x, 2024, 7, Downloaded from https:

/agupubs.onlinelibrary.wikey.com/doi/10.1029/2023RS007873 by Tu Delft, Wiley Online Library on [15/07/2024]. See the Terms

of use; OA articles

electron density profiles vary very little, even when a vertical offset of 0.5 Hz is applied to the 40 km point. Also, this variation is only seen around 300 s on each residuum because this is the middle of measurement. Step four does not have any effect on the high altitude portion of the residuum, and step five then corrects for any changes that have occurred at the low altitude portions. Thus, leaving just the middle of the residuum to display any variance.

## **Data Availability Statement**

Figure 9 data points are at https://doi.org/10.6084/m9.figshare.24125850.v1 (Parrott, 2023b).

Table 3 at https://doi.org/10.6084/m9.figshare.24125958.v1 (Parrott, 2023c).

Associated data products from data sets 2I (14/12/22) and 2M (27/12/22):

- Vertical Electron Density Profiles, https://doi.org/10.6084/m9.figshare.24125895.v1 (Parrott, 2023a)
- Total Doppler Shift, https://doi.org/10.6084/m9.figshare.25138349.v1 (Parrott, 2024b)
- In-phase and quadrature data, https://doi.org/10.6084/m9.figshare.25138334.v1 (Parrott, 2024a).

#### References

- Acton, C., Bachman, N., Semenov, B., & Wright, E. (2018). A look towards the future in the handling of space science mission geometry. Planetary and Space Science, 150, 9-12. https://doi.org/10.1016/j.pss.2017.02.013
- Acton, C. H. (1996). Ancillary data services of NASA's navigation and ancillary information facility. Planetary and Space Science, 44(1), 65-70. https://doi.org/10.1016/0032-0633(95)00107-7
- Adams, D., Xu, S., Mitchell, D. L., Lillis, R. J., Fillingim, M., Andersson, L., et al. (2018). Using magnetic topology to probe the sources of Mars' nightside ionosphere. Geophysical Research Letters, 45(22), 12190-12197. https://doi.org/10.1029/2018GL080629
- Ando, H., Imamura, T., Nabatov, A., Futaana, Y., Iwata, T., Hanada, H., et al. (2012). Dual-spacecraft radio occultation measurement of the electron density near the lunar surface by the SELENE mission. Journal of Geophysical Research, 117(A8). https://doi.org/10.1029/ 2011JA017141
- Ao, C. O., Edwards, C. D., Kahan, D. S., Pi, X., Asmar, S. W., & Mannucci, A. J. (2015). A first demonstration of Mars crosslink occultation measurements. Radio Science, 50(10), 997–1007. https://doi.org/10.1002/2015RS005750
- $Ball, A. J., Blancquaert, T., Bayle, O., Lorenzoni, L. V., \& \ Haldemann, A. F. C., \& \ the Schiaparelli \ EDM \ team. (2022). The ExoMars schiaparelli \ A. J., Blancquaert, T., Bayle, O., Lorenzoni, L. V., & Haldemann, A. F. C., & the Schiaparelli \ EDM \ team. (2022). The ExoMars schiaparelli \ EDM \ t$ entry, descent and landing demonstrator module (EDM) system design. Space Science Reviews, 218(5), 44. https://doi.org/10.1007/s11214-022-00898-z
- Born, M., & Wolf, E. (2019). Principles of optics (seventh anniversary edition, 60th anniversary of first edition, 20th anniversary of seventh edition). Cambridge University Press.
- Bridges, J. C., Clemmet, J., Croon, M., Sims, M. R., Pullan, D., Muller, J.-P., et al. (2017). Identification of the beagle 2 lander on Mars. Royal Society Open Science, 4(10), 170785. https://doi.org/10.1098/rsos.170785
- Cardesín-Moinelo, A., Geiger, B., Lacombe, G., Ristic, B., Costa, M., Titov, D., et al. (2021). First year of coordinated science observations by mars express and ExoMars 2016 trace Gas orbiter. Icarus, 353, 113707. https://doi.org/10.1016/j.icarus.2020.113707
- Cardesin-Moinelo, A., Godfrey, J., Grotheer, E., Blake, R., Damiani, S., Wood, S., et al. (2024). Mars express: 20 Years of mission, science operations and data archiving. Space Science Reviews, 220(2), 25. https://doi.org/10.1007/s11214-024-01059-0
- C-MAC. (2005). Crystal product data book 2000.Retrieved from http://lars.mec.ua.pt/public/LAR%20Projects/Laser3D/2003\_MiguelMatos/ Componentes/crystal%20oscillators%20catalogue.pdf
- Edwards, C. D. (2003). The Electra proximity link payload for mars relay telecommunications and navigation. 54th International Astronautical Congress of the International Astronautical Federation, the International Academy of Astronautics, and the International Institute of Space Law. https://doi.org/10.2514/6.IAC-03-O.3.a.06
- European Space Agency & ESA SPICE Service. (2019a). ExoMars 2016 SPICE kernel dataset. European Space Agency. https://doi.org/10.5270/ esa-pwvigkg
- European Space Agency & ESA SPICE Service. (2019b). Mars express SPICE kernel dataset. European Space Agency. https://doi.org/10.5270/ esa-trn5vp1
- Fjeldbo, G., & Eshleman, V. R. (1968). The atmosphere of mars analyzed by integral inversion of the Mariner IV occultation data. Planetary and Space Science, 16(8), 1035-1059. https://doi.org/10.1016/0032-0633(68)90020-2
- Fox, J. L., & Yeager, K. E. (2006). Morphology of the near-terminator martian ionosphere: A comparison of models and data. Journal of Geophysical Research, 111(A10), A10309. https://doi.org/10.1029/2006JA011697
- Gurnett, D., Huff, R., Morgan, D., Persoon, A., Averkamp, T., Kirchner, D., et al. (2008). An overview of radar soundings of the martian ionosphere from the Mars express spacecraft. Advances in Space Research, 41(9), 1335-1346. https://doi.org/10.1016/j.asr.2007.01.062
- Gurvits, L. I. (2014). Observation and detection of mars express UHF radio signal with the Arecibo telescope (tech. Rep. No. 13-017). Joint Institute for VLBI in Europe.
- Ho, S., Anthes, R. A., Ao, C. O., Healy, S., Horanyi, A., Hunt, D., et al. (2020). The COSMIC/FORMOSAT-3 radio occultation mission after 12 Years: Accomplishments, remaining challenges, and potential impacts of COSMIC-2. Bulletin of the American Meteorological Society, 101(7), E1107-E1136. https://doi.org/10.1175/BAMS-D-18-0290.1
- Jordan, R., Picardi, G., Plaut, J., Wheeler, K., Kirchner, D., Safaeinili, A., et al. (2009). The Mars express MARSIS sounder instrument. Planetary and Space Science, 57(14-15), 1975-1986. https://doi.org/10.1016/j.pss.2009.09.016
- Mendillo, M., Marusiak, A. G., Withers, P., Morgan, D., & Gurnett, D. (2013). A new semiempirical model of the peak electron density of the Martian ionosphere. Geophysical Research Letters, 40(20), 5361-5365. https://doi.org/10.1002/2013GL057631
- Müller-Wodarg, I. C. F., Yelle, R. V., Borggren, N., & Waite, J. H. (2006). Waves and horizontal structures in Titan's thermosphere. Journal of Geophysical Research, 111(A12), A12315. https://doi.org/10.1029/2006JA011961

Operations Centre and Mission Operations

Acknowledgments

Centre teams. IMW is grateful for the support from UK-STFC grant ST/ S000364/1, B.S.-C. acknowledges support through UK-STFC Ernest Rutherford Fellowship ST/V004115/1. A.C.-M. acknowledges IAA-CSIC team is supported by grant PID2022-137579NB-I00 funded by MCIN/AEI/10.13039/ 501100011033 and by "ERDF A way of making Europe." B.N. and Y.M.-O. acknowledge ESA support through Contract No. 4000127090/19/NL/IB/gg. The authors thank Harvey Elliot and Austin Lazaro at JPL for their assistance in constraining the Electra time-stamps. The authors also thank Paul Withers and two

further reviewers for their helpful

comments on the manuscript.

J.P acknowledges his UK Science and

Technology Facilities Council (STFC)

Ph.D. Bursary ST/T506151/1 and the

ongoing support of the ESA Science

PARROTT ET AL. 17 of 18

1944799x, 2024, 7, Downloaded from https:/

onlinelibrary.wikey.com/doi/10.1029/2023RS007873 by Tu Delft, Wiley Online Library on [15.07/2024]. See the Terms and Conditions (https://onlinelibrary.wikey.com/terms

and-conditions) on Wiley Online Library for rules

of use; OA articles are governed by the applicable Creative Commons License



- Nava, B., Kashcheyev, A., Migoya-Orue, Y., Radicella, S. M., Parrott, J., Sánchez-Cano, B., et al. (2020). Mutual radio occultation experiment between ExoMars trace gas orbiter and Mars express: Feasibility study and preparation for the data analysis (tech. rep.). Abdus Salam Centre of International Physics. https://doi.org/10.5194/epsc2020-299
- Parrott, J. (2023a). Electron density profiles. [Dataset]. https://doi.org/10.6084/M9.FIGSHARE.24125895.V1
- Parrott, J. (2023b). M2 electron density and SZA. [Dataset]. https://doi.org/10.6084/M9.FIGSHARE.24125850.V1
- Parrott, J. (2023c). Mutual occultation specification table. [Dataset]. https://doi.org/10.6084/M9.FIGSHARE.24125958.V1
- Parrott, J. (2024a). Compressed inphase and quadrature data. [Dataset]. https://doi.org/10.6084/M9.FIGSHARE.25138334.V1
- Parrott, J. (2024b). Net Doppler (residuum + geometric Doppler). [Dataset]. https://doi.org/10.6084/M9.FIGSHARE.25138349.V1
- Pätzold, M., Neubauer, F. M., Carone, L., Hagermann, A., Stanzel, C., Häusler, B., et al. (2004). MARS: Mars express orbiter radio science. In *Mars express: The scientific payload ADS bibcode: 2004ESASP1240..141P* (Vol. 1240, pp. 141–163). ESA Publications Division. Retrieved from https://ui.adsabs.harvard.edu/abs/2004ESASP1240..141P
- Sánchez-Cano, B., Radicella, S., Herraiz, M., Witasse, O., & Rodríguez-Caderot, G. (2013). NeMars: An empirical model of the Martian dayside ionosphere based on Mars express MARSIS data. *Icarus*, 225(1), 236–247. https://doi.org/10.1016/j.icarus.2013.03.021
- Sánchez-Cano, B., Witasse, O., Herraiz, M., Radicella, S. M., Bauer, J., Blelly, P.-L., & Rodríguez-Caderot, G. (2012). Retrieval of ionospheric profiles from the Mars express MARSIS experiment data and comparison with radio occultation data. *Geoscientific Instrumentation, Methods and Data Systems, I*(1), 77–84. https://doi.org/10.5194/gi-1-77-2012
- Tamburo, P., Withers, P., Dalba, P. A., Moore, L., & Koskinen, T. (2023). Cassini radio occultation observations of Saturn's ionosphere: Electron density profiles from 2005 to 2013. *Journal of Geophysical Research: Space Physics*, 128(4), e2023JA031310. https://doi.org/10.1029/2023JA031310
- Taylor, J., Lee, D., & Shambayati, S. (2006). Mars reconnaissance orbiter telecommunications (tech. Rep.). Jet Propulsion Laboratoy.
- Withers, P., Felici, M., Mendillo, M., Moore, L., Narvaez, C., Vogt, M. F., et al. (2020). The MAVEN radio occultation science experiment (ROSE). Space Science Reviews, 216(4), 61. https://doi.org/10.1007/s11214-020-00687-6

PARROTT ET AL. 18 of 18