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# The cooling efficiency of s-CO<sub>2</sub> microchannel heat sink compared with a water-based design

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High-pressure drops characteristic of microchannel heat sinks (MCHS) is an issue that needs to be addressed to reduce the size of heat-removing devices in compact electronic devices. Supercritical carbon dioxide (s-CO<sub>2</sub>) is a suitable candidate being proposed as an alternative coolant to enhance the cooling of the microchannel heat sink (MCHS), with high heat flux, due to its favorable thermophysical properties near its critical point. In this study, numerical simulations are conducted to evaluate the thermal and hydraulic performance of a channel for a designed heat sink with s-CO<sub>2</sub> (at constant  $P = 8$  MPa) and compare it with conventional liquid coolant (water). The effect of coolants mass flow rate ( $\dot{m}$ ), channel aspect ratio (AR), and inlet temperatures on the thermal and hydraulic performance of one channel is studied by varying  $\dot{m}$  from 0.004 to 0.03 kg/s and AR from 0.33 to 10. The results show that, for the same aspect ratio, same geometry, and constant heat flux, s-CO<sub>2</sub> offers a higher overall heat transfer coefficient (32%) with a lower friction factor (pumping power) compared with the water at the same inlet temperature ( $T = 32^\circ\text{C}$ ). The results of pumping power comparison between two coolants reveal that for CO<sub>2</sub> in supercritical conditions ( $P = 8$  MPa,  $T = 32^\circ\text{C}$ ), the consumed power varies by change of the aspect ratio, which is 1.85 times lower than water for  $AR = 0.33$  and is 3.6 times higher for  $AR = 10$ . However, in the subcooled state, the reverse effect of the aspect ratio is seen.

## KEYWORDS

CFD, electronic cooling, heat sink, IGBT, pumping power, s-CO<sub>2</sub>

## 1 | INTRODUCTION

A wide range of applications of cooling systems in thermal engineering is recognized and studied both theoretically and practically in areas such as electronic devices, micropower generation, computer chips, biomedical use, and many more. Increasingly, thermal management of electronic devices has become a critical topic as new generations of high-performing chip packages requiring high frequencies produce very high heat fluxes. As explained in Black's

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equation, a prolonged heat flux results in a hot spot on the electronic device, reducing device lifespan because the meantime to failure (MTTF) accelerates.<sup>1</sup>

Compact electronic devices that operate at high power densities require proper thermal management, as there are no effective techniques for removing heat dissipation from these devices.<sup>2</sup> With the increase in power density and miniaturization of electronic packages, cooling system technology has changed from air-cooling technology to advanced heat transfer technology as conventional methods are insufficient to remove extreme, high heat flux.<sup>3</sup> Liquid-cooled micro-channels are capable of minimizing maximum substrate temperatures and reducing gradients of substrate temperatures in electronics cooling which are two key objectives in electronic cooling. Because of its high heat transfer surface area to volume ratio, the cooled micro-channels heat sink (MCHS) can dissipate considerable heat generated by compact electronic devices. Furthermore, the micro hydraulic diameter also maximizes heat transfer by enhancing the heat transfer coefficient.<sup>4</sup> As Tuckerman and Pease reported,<sup>5</sup> a rectangular MCHS can remove heat flux up to  $790 \text{ W/cm}^2$ , but the amount of pumping power required by the MCHS is very high due to the high pressure drop penalty in the microchannel. Japar et al.<sup>6</sup> study suggested that high wall shear stress in the developing laminar flow region is responsible for high-pressure drop penalty. This became the motivation of many researchers to examine the performance of rectangular microchannel by modifying geometry parameters. Moreover, researchers<sup>7-9</sup> have shown that by increasing the aspect ratio (channel width to channel height) of the rectangular microchannel, thermal and hydraulic resistances decreased as a result of larger convective heat transfer areas and flow cross sections, respectively. It was also found that channel width, with a fixed aspect ratio, affected thermal resistance significantly since the bottom part of the channels sat directly below the heat flux. Additionally to the thermal resistance issue, the rectangular channel MCHS optimization process also targeted the pressure drop penalty.

Hydrothermal has recently been further improved through the application of nanotechnology. Several experiments and studies have recently been conducted to enhance the performance of MCHS and fluid between parallel plates through advanced geometric structure and nanofluids, which facilitate high thermal and hydraulic performance but at the cost of excessive pressure drop.<sup>10-12</sup>

In addition to the abovementioned methods, the use of a suitable heat transfer fluid is another option to improve the thermal performance of MCHS. There are a number of criteria to take into account when choosing a heat transfer liquid, including performance, operating range, temperature range, and chemical stability. Therefore, a new type of coolant is needed for heat removing systems that can dissipate heat well without causing pressure losses. In this regard, we propose supercritical  $\text{CO}_2$  (s- $\text{CO}_2$ ) for electronic cooling via MCHS in the current study.

For the last two decades, thermohydraulics of supercritical carbon dioxide (s- $\text{CO}_2$ ) as a cooling fluid have been investigated in the anticipation of compact s- $\text{CO}_2$  heat exchangers and turbomachinery. Accordingly, a great deal of information is available on s- $\text{CO}_2$  as working and heat transfer fluid for various applications such as refrigeration and air-conditioning systems, solar thermal, nuclear, and waste heat recovery plants.<sup>1-3,13,14</sup> A supercritical Rankine system that utilizes low-grade heat was also proposed using this working fluid.<sup>4,15,16</sup> Apart from its environmentally friendly nature, availability, chemical stability, non-toxic, and non-flammable nature, s- $\text{CO}_2$  has excellent thermophysical properties near its critical point ( $T = 304 \text{ K}$ ,  $P = 7.34 \text{ MPa}$ ), which makes it a great candidate to be used as coolant in MCHS. s- $\text{CO}_2$  can also solve problems associated with liquid coolants, such as short circuits caused by leaked coolants and nanoparticles clogging heatsink channels. Even though the technology of s- $\text{CO}_2$  systems has improved in power generation, heating ventilation, air conditioning (HVAC), and automotive industries, the viability of using s- $\text{CO}_2$  in other applications is still under investigation by researchers.<sup>14,17,18</sup> Interesting experimental studies have already been conducted to prove the high efficiency of  $\text{CO}_2$  in refrigeration cycles, in both subcritical and supercritical regions.<sup>6-8</sup> These studies are focusing on s- $\text{CO}_2$  as coolant and cover a variety of channel geometries and operating temperature ranges.

Channels are used in heat sinks to move the coolant around, control flow distribution, and enhance (local) cooling performance of the system. The geometry of channels, which is a very crucial parameter in determining the performance of the heat sink, is categorized from conventional to micro-channel considering their hydraulic diameters. Mehendal et al.<sup>16</sup> and Kandlikar et al.<sup>19</sup> have proposed different classifications as shown in Table 1.

Since the introduction of MCHS by Tuckerman and Pease,<sup>20</sup> many researchers have studied the performance of MCHS with water and air to optimize the geometry of the channel and increase the heat transfer.<sup>17,18</sup>

In parallel, few studies have considered MCHS using other exotic coolants such as s- $\text{CO}_2$ .<sup>21,22</sup> Sarkar<sup>23</sup> has carried out an energy analysis of a MCHS similar to the one used by Tamura et al.<sup>5</sup> and compared its thermal performance for water and s- $\text{CO}_2$  for constant heat flux of  $300 \text{ W/cm}^2$  and flow rate of  $10 \text{ cm}^3/\text{s}$ . His results showed that the s- $\text{CO}_2$  yields

TABLE 1 Classification of channels according to previous studies<sup>16,19</sup>

Mehendale		Kandlikar	
Conventional channels	$D_h > 6 \text{ mm}$	Conventional channel	$D_h > 3 \text{ mm}$
Compact passages	$1 < D_h < 6$	Mini-channels	$200 \text{ }\mu\text{m} < D_h < 3 \text{ mm}$
Meso-channels	$100 \text{ }\mu\text{m} < D_h < 1 \text{ mm}$	Micro-channels	$10 \text{ }\mu\text{m} < D_h < 200 \text{ }\mu\text{m}$
Micro-channels	$1 \text{ }\mu\text{m} < D_h < 100 \text{ }\mu\text{m}$	Transitional channels	$0.1 \text{ }\mu\text{m} < D_h < 10 \text{ }\mu\text{m}$
		Molecular nano-channels	$D_h < 0.1 \text{ }\mu\text{m}$

lower thermal resistance for a certain range of fluid inlet temperature values. But he did not consider the effect of thermal and hydraulic entrance length on the heat transfer performance as discussed earlier. On the other hand, both thermal resistance and pumping power were highly dependent on s-CO<sub>2</sub> inlet pressure and temperature, which highlights the importance of the heat source temperature as a key design parameter.<sup>18</sup>

The field of cooling plates for electronic components continues to witness innovation. The number of related patented applications is in a continuous increase.<sup>24</sup> Among other reasons, the recent development in additive manufacturing techniques has opened numerous prospects for the optimization of heat exchangers, and more companies are rapidly developing skills in these techniques.<sup>25</sup>

The present study will address two objectives, which, to the best of the authors' knowledge, have not been explicitly investigated in other published reports. First, the thermal performance of a specifically designed heat sink, using two different cooling fluids, namely, water and s-CO<sub>2</sub>, is investigated. The main variables such as heat transfer and pumping power and the thermal performance of each cooling fluid are presented through graphs. The second objective of the study is to perform a sensitivity analysis of key variables such as Reynolds number, aspect ratio, and entrance length (thermal and hydraulic) since they have significant effect on the cooling performance of the heat sink for each of the cooling fluids under constant heat flux. It is shown that the heat transfer and pumping power in heat sinks are highly dependent on these variables, which have not been sufficiently addressed in the previous literatures. Therefore, results are depicted on graphs for a better understanding of the scientific community and engineers to assist them in improving their designs.

The numerical study is carried out based on CFD analysis using commercial software ANSYS Fluent<sup>®</sup> and the main advantages of each cooling fluid are elaborated and conclusions are drawn.

## 1.1 | Characteristics of s-CO<sub>2</sub>

With pressure and temperature exceeding those of critical values, the fluid is called supercritical. For CO<sub>2</sub>, the critical values are  $P = 7.38 \text{ MPa}$  and  $T = 304.25 \text{ K}$ .<sup>13</sup> In the vicinity of the pseudo-critical temperature, rapid changes of the CO<sub>2</sub> thermo-physical properties make its heat transfer characteristics complex and unique.<sup>13</sup> Such phenomena result in an interesting thermohydraulic performance as a result of high specific heat and low-pressure drop. Figure 1 illustrates the thermal properties of s-CO<sub>2</sub> at 8 MPa. As shown, specific heat ( $C_p$ ) of s-CO<sub>2</sub>, near the critical region, is about 29 kJ/kg K, which is six times higher than that of water. This feature is one of the obvious reasons, which makes s-CO<sub>2</sub> an attractive substitute to water in heat transfer applications. However, in the case of heat sinks, these characteristics can be highly affected by other parameters such as inlet pressure, temperature, mass flux, heat flux, and channel diameter.<sup>24</sup> The effects of these parameters on the overall performance of the heat sink will be numerically studied in this paper.

## 2 | COMPUTATIONAL MODELING

In this study, the cooling performance of a heated channel is compared by using two different cooling fluids. CFD simulations are performed using ANSYS FLUENT<sup>®</sup>. To compare the thermohydraulic performance of the system, the following assumptions are made:

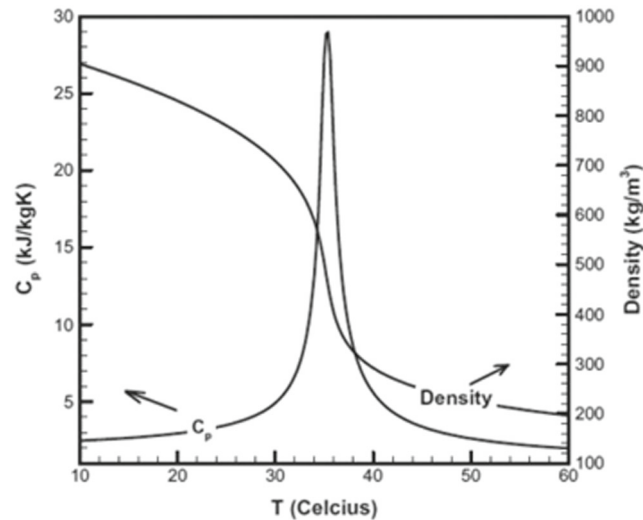


FIGURE 1 Thermal physical properties of supercritical CO<sub>2</sub> fluid at 8 MPa. Data from NIST<sup>25</sup>

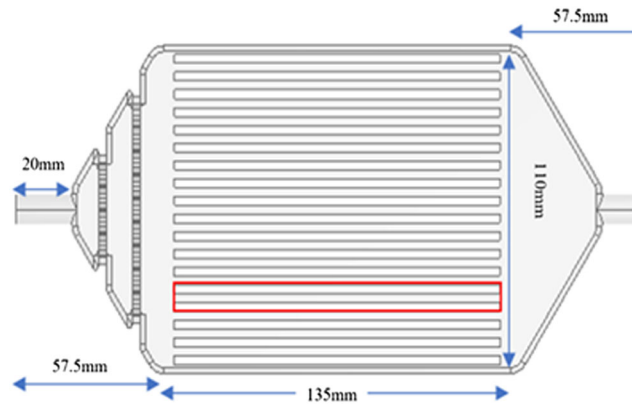


FIGURE 2 Heat sink dimensions [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

- Cooling fluid is equally distributed in each of the channels in the heat sink.
- Uniform heat flux is applied to the top and bottom surfaces while side walls are adiabatic.
- The effects of radiation are neglected.
- The steady-state condition is achieved.
- The coolant can be modeled as a single-phase fluid.
- The contact losses are considered negligible between the fluid and the solid domain interfaces.
- Heat flux is uniformly dissipated on the channels surface.
- Thermal resistance between the heat source and the base of the heat sink is neglected.

## 2.1 | Physical model

The geometry of the heat sink is shown in Figures 2 and 3. The coolant passes through parallel rectangular channels and removes the heat from the top and bottom walls, on which electronics are glued and generate a constant heat flux,  $\dot{q}$ , on each wall.

Figure 3 illustrates details of the channel section. Following Sakanova et al.,<sup>26</sup> a single-layered channel heat sink (CHS) is used for this study with either water or s-CO<sub>2</sub> as the coolant.

The inlet conditions for water and CO<sub>2</sub> are shown in Table 2 and used as boundary conditions for the CFD model. Table 3 shows the dimensions of different channel geometries used for sensitivity analysis in CFD modeling. Case E is the actual channel dimensions of the existing industrial model.

The computational domain includes both fluid and solid regions. For the solid region, zero velocity is applied, and the thermal conductivity for the solid material,  $k = 237 \text{ W/(m K)}$  for aluminum, is used.

The mass flow at the inlet is given, and the inlet temperature of both fluids is set as shown in Table 2. The outlet condition is pressure outlet, and the reference pressure at the outlet is set to zero. The heat source is defined at the top and bottom walls of the channel with a constant heat flux set to  $2000 \text{ W/m}^2$ . Assuming the heat loss from the sidewalls of the channel is negligible, the side walls are set as adiabatic.

## 2.2 | Mathematical model

To assess the cooling performance of a heat sink, the maximum and minimum temperatures at the heated surfaces as well as the pumping power required for coolant circulation inside the channels are studied based on the work of Sakanova et al.<sup>26</sup>

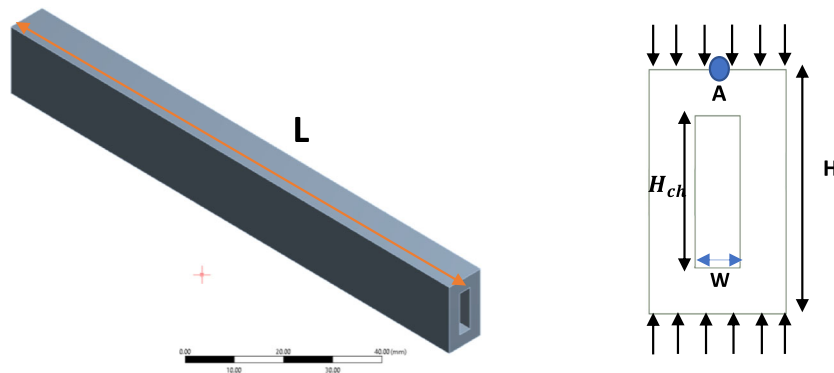


FIGURE 3 (Left) Single channel model and (right) dimensions of the channel cross section [Colour figure can be viewed at [wileyonlinelibrary.com](https://onlinelibrary.wiley.com/doi/10.1002/nma.8535)]

TABLE 2 Inlet conditions for water and s-CO<sub>2</sub>

Inlet conditions	Water	s-CO <sub>2</sub>
T <sub>in</sub> (°C)	32	32
P <sub>in</sub> (MPa)	0.2	8
$m$ (kg/s)	0.004	0.004
$P$ (kg/m <sup>3</sup> )	998	652.12
$\dot{q}$ (W/m <sup>2</sup> )	2000	2000

TABLE 3 Dimensions of one channel for CFD analysis

Dimensions	Case						
	A	B	C	D	E	F	G
$D_h$ (mm)	1.50	2.75	3	3.75	4.6	5.30	5.45
$W_{CH}$ (mm)	3	3	3	3	3	3	3
$H_{ch}$ (mm)	1	2.5	3	5	10	24	30
$L_{ch}$ (mm)	126	126	126	126	126	126	126
AR (H/W)	0.33	0.83	1	1.66	3.33	8	10

The cooling performance of channel or microchannel heat sink can be evaluated using the local heat transfer coefficient  $h_{(x)}$  defined by Equation (1) as

$$h_{(x)} = \frac{\dot{q}}{T_{wall(x)} - T_{bulk(x)}} \quad (1)$$

where  $\dot{q}$  is the prescribed constant heat flux applied at the top and bottom walls,  $T_{wall(x)}$  is the temperature of the centreline on the wall, shown in Figure 3 by point A, and  $T_{bulk(x)}$  is a fluid bulk temperature taken at the section along the channel length. Both temperatures are obtained via CFD simulation.

For a given channel, the hydraulic diameter is calculated by

$$D_h = \frac{2WH}{W + H} \quad (2)$$

where  $W$  and  $H$  are channel width and channel height, respectively, as depicted in Figure 3. The averaged Nusselt number,  $\overline{Nu}$ , can be calculated by using the averaged heat transfer coefficient,  $\overline{h}$ :

$$\overline{Nu} = \frac{\overline{h} \cdot D_h}{k} \quad (3)$$

where  $k$  is the fluid thermal conductivity at inlet temperature.

### 2.3 | Thermal and hydraulic entrance length in the channel

Several studies showed that microchannel flow correlations are greatly linked to  $L_{th}$ , the thermal entrance length.<sup>27,28</sup> For a turbulent flow, this length does not exceed 20 times the channel hydraulic diameter ( $D_h$ ). However, in the case of a laminar flow, the thermal length  $L_{th}$  is longer than the entrance thermal length for turbulent flow and is a linear function of both Reynolds ( $Re$ ) and Prandtl ( $Pr$ ) numbers as shown in Equation (5). For a rectangular channel, the hydrodynamic entrance length ( $L_h$ ) for laminar and turbulent flows is calculated using Equations (4) and (6), respectively<sup>29</sup>:

For turbulent flow:

$$\frac{L_{th}}{D_h} = \frac{L_h}{D_h} = 20 \quad (4)$$

$$L_{th} = 0.16 D_h Re Pr \quad (5)$$

$$L_h = 0.05 Re D_h \quad (6)$$

The required entrance length and Reynolds number for both water and  $CO_2$  for the current geometry are shown in Table 4. It shows that the entrance length for laminar flow is much longer than the existing channel length of 126 mm. Hence, the flow will always be in the developing region when Reynolds is less than 2700. However, for  $CO_2$ , the Reynolds number is much higher, and the flow is turbulent. The entrance length for  $CO_2$  is  $x/D = 22.2$ , beyond which the flow can be considered fully developed.

TABLE 4 Entrance length for water and  $CO_2$ , for  $m = 0.004$  kg/s,  $AR = 3.33$ ,  $\dot{q} = 2000$  W/m<sup>2</sup>

Entrance length	Water	$CO_2$
Thermal length required	1022 mm	90 mm
Hydrodynamic length required	58 mm	90 mm
Reynolds number	781	24,654

TABLE 5 Definition of flow regimes used for CFD calculations

Laminar flow	$Re < 2700$
Transitional flow	$2700 < Re < 3800$
Turbulent flow	$Re > 3800$

## 2.4 | Heat transfer coefficient and pressure drop

As presented in the previous sections, a CFD model is proposed, in laminar and turbulent regimes. Preliminary validation of calculations is conducted and compared with the experimental work of previous works,<sup>29–31</sup> and good agreement between both results is noted. As a result, the three regions for varying Reynolds numbers defined in Wang et al.<sup>30</sup> are used in this paper and are shown in Table 5.

The pressure drop,  $\Delta P$ , for single phase channel flow is calculated by

$$\Delta P = 4fL_{DP} \frac{\dot{m}^2}{2\rho Ac^2 D_h} \quad (7)$$

where  $f$  is the friction factor,  $L_{DP}$  the total length of the channel between inlet and outlet,  $\dot{m}$  the mass flow rate,  $r$  the density, and  $Ac$  is the channel area. The experimental friction factor for hydrodynamically fully developed laminar flow is given in Equation (8). For transitional and turbulent regions, the friction factor is given by the Blasius equation, Equation (9).<sup>31</sup>

$$f = 64/Re \quad (8)$$

$$f_{Bl} = 0.3164 Re_{Dh}^{-0.25} \quad (9)$$

With a given  $\Delta P$ , the pumping ( $PP$ ) is described as

$$PP = \Delta P \frac{\dot{V}}{\eta} \quad (10)$$

$\dot{V}$  is the volume flow rate ( $m^3/s$ ) of cooling fluid in the channel and  $\eta$  is the pump efficiency.

## 2.5 | Governing equations and boundary conditions

The fluid flow and heat transfer in the channel are described using the governing equations for mass continuity Equation (11), conservation of momentum Equation (12), and energy for turbulent flow Equation (13).

$$\nabla \cdot (\rho V) = 0 \quad (11)$$

$$\nabla(\rho VV) = \nabla(\mu \nabla V) - \nabla P + \rho g + F \quad (12)$$

$$\nabla \cdot (\rho V C_p T) = \nabla(k \nabla T) \quad (13)$$



## 2.6 | Modeling, simulation method, and grid dependency check

For CFD simulations, different grids are used for mesh sensitivity analysis, depending on the flow and fluid conditions. For the laminar flow and the channel height of 10 mm, the mesh size is 0.5 mm on the X-axis for both solid and fluid regions. For the turbulent flow, the mesh size is 0.3 mm in the fluid region while the solid mesh size is kept at 0.5 mm (see Table 6). This parametric study allowed us to find that CFD results are grid-independent when the total element number exceeds  $240 \times 10^3$  for the laminar flow and  $470 \times 10^3$  for turbulent flow aiming for two parameters, that is, total pressure drop and outlet temperature. Mesh size is homogeneous along X-axis and presents a growth rate of 1.1 along Y and Z, to capture the sharp gradients within and at the boundary layer edges. The mesh size for other channel heights, for example, 30, 24, 5, 2.5, and 1 mm, is adapted accordingly. Figure 4 shows details of the meshing used for the calculation.

The discretization method applied for each fluid and flow regime is different and is shown in Table 7. For laminar flow, first-order upwind scheme and, for turbulent flow, second-order upwind scheme were used to discretize the convective terms. The second-order upwind scheme was used for discretizing dissipation terms in both laminar and turbulent regimes. The pressure and velocity are coupled using the COUPLED algorithm. The convergence criterion is set at  $10^{-6}$  while the summation of normalized residuals in each control volume (CV) is kept below  $10^{-9}$ .  $k-\epsilon$  and  $k-\omega$  were used and compared to select a proper solver and the latter was found as the preferred solver because the solution converged faster (see Table 6).

### 2.6.1 | Model validation results

Results of the proposed CFD model are also validated against the equations explained in Section 2.4 for two cases. First, three different channels with aspect ratios defined in Mehendafe et al.<sup>16</sup> are simulated where W/H is the aspect ratio given in Table 8. Then, the actual channel used in this paper with a constant aspect ratio of 3.3 with a variable mass

TABLE 6 Grid independency and mesh sensitivity analysis

Case	Solver	Mesh elements	Convergence remarks/iteration	Nx, Ny, and Nz for fluid	Total pressure drop (Pa)	Fluid outlet temp. (K)
A	Laminar	279,564	Converged	$252 \times 20 \times 20$	37	305.15
B	$k-\epsilon$ $k-\omega$	510,912	Converged/935 Converged/700	$252 \times 40 \times 30$	780	305.2
C	$k-\epsilon$ $k-\omega$	562,000	Converged/1550 Converged/1200	$252 \times 45 \times 30$	784	305.3
D	$k-\epsilon$ $k-\omega$	618,200	Converged/1260 Converged/1245	$252 \times 50 \times 30$	784	305.4

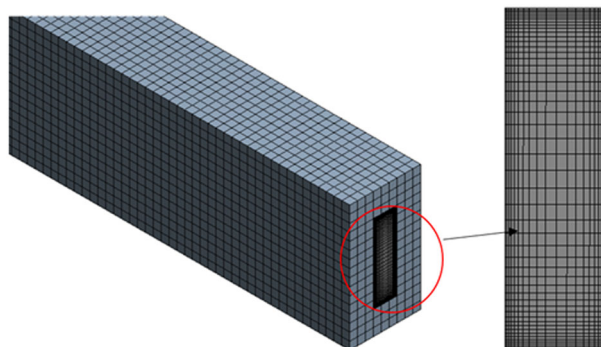


FIGURE 4 Constructed mesh for the solid and fluid regions [Colour figure can be viewed at [wileyonlinelibrary.com](https://onlinelibrary.wiley.com/doi/10.1002/nma.5535)]

TABLE 7 Discretization of the CFD calculation

Parameter for discretization	Water	s-CO <sub>2</sub>
Solver	SIMPLE	COUPLED
Conductivity	First-order upwind	Second order upwind
Dissipation	Second-order upwind	QUICK Scheme
Energy	First-order upwind	Second order upwind

TABLE 8 Validation of CFD model for laminar developing flow- $\dot{m} = 0.004$  kg/s,  $(\dot{q}) = 2000$  W/m<sup>2</sup>

Channel dimensions (mm)	W/H	$Nu^{32}$	$Nu$ CFD	Error %	$f^{32,33}$	$f$ CFD	Error%	$Re$
W 3 × H 3	1	7.78	7.58	8%	0.074	0.074	0	859
W 3 × H 2.5	1.2	7.58	5.77	23%	0.061	0.066	9%	1034
W 3 × H 1	3	7.62	8.1	6.1%	0.092	0.1	9%	976

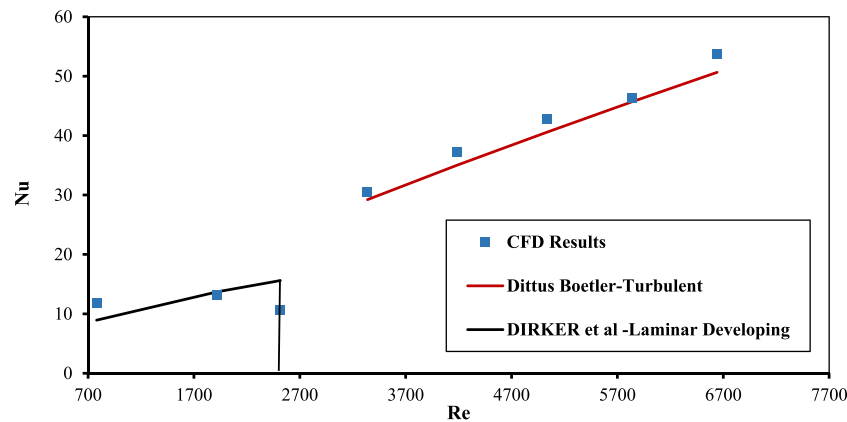


FIGURE 5 Validation of  $Nu$  number with literature and textbook,<sup>32,33</sup> variable flow rates (0.004–0.03 kg/s), channel AR = 0.33, and  $\dot{q} = 2000$  W/m<sup>2</sup> [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

flow rate is simulated. Figure 5 compares the numerical values of  $\overline{Nu}$ , averaged over the length of the channel, from CFD simulation for both laminar and turbulent flows against the existing correlations.<sup>30,32</sup> As illustrated, for Reynolds less than 2700 and above 3300, the CFD results are following the same trend as predicted by theoretical equations. The obvious change in the trend of  $Nu$ , and the increase with  $Re$ , as Reynolds exceeds 2700 marks a transition from laminar to the transitional region which is also expected.

The results of  $Nu$  from the CFD calculation in Table 8 show a maximum of 23% deviation from the equations used in the literature for the aspect ratio of 1.2. The Reynolds number for aspect ratio of 1.2 is in the transitional region, which can affect the CFD result. However, the other two channel aspect ratios are in good agreement with the literature with 8% and 1.2% deviation, respectively.

### 3 | RESULTS AND DISCUSSION

This section presents the results of the CFD analysis conducted on a single channel as shown in the previous sections. In reporting the results, we fix the global channel geometry and the heat input which are practical design constraints imposed by the industry partner. To conduct a parametric study, however, the numerical values of the coolant mass

flow rate, the aspect ratio, and the inlet temperature are systematically varied for both working fluids considered here. Dependent variables including heat transfer coefficient, pressure drop, and pumping power are investigated along with dimensionless numbers including  $f$ ,  $Nu$ , and  $Re$ .

### 3.1 | Effect of mass flow rate

The thermal performance of a single channel with various mass flow rates is shown for both water and s-CO<sub>2</sub>, in Figure 6, employing non-dimensional Nusselt number against Reynolds number. As seen, different trends are noted for different flow regimes. The  $Nu$  values for s-CO<sub>2</sub> at the minimum flow rate (A: 0.004 kg/s) are still 31 times higher than water in the channel under the same condition. The reason for such a significant difference is that s-CO<sub>2</sub> is near its critical region (high thermal capacity) and in a turbulent regime with a Reynolds number of around 32,000, which is also 40 times higher compared with the Reynolds of water, of around 800, at the same inlet temperature and mass flow rate. Moreover, the increasing water flow rate beyond 0.01 kg/s in one channel with an aspect ratio of 3.33 changes the flow regime from laminar to transitional and then turbulent. This phenomenon results in a change in the heat transfer coefficient for water, hence an abrupt change in  $Nu$  values is apparent. In the case of CO<sub>2</sub> in the supercritical region, the flow in the channel is always turbulent with the given mass flow rates, and the heat transfer coefficient monotonically increases with  $Re$  as anticipated.

Figure 7 illustrates the friction factor for both coolants in the same channel against the Reynolds number. As seen, the friction factor ( $f$ ) for water is almost five times higher than that of s-CO<sub>2</sub> at the same mass flow rate of 0.004 kg/s (point A in the figure insert). The reason is that water is in a laminar regime while s-CO<sub>2</sub> flow is turbulent for the same flow rate. The lower density and viscosity of s-CO<sub>2</sub> result in a lower pressure drop compared with water. For  $Re$  values between 1920 and 3300, the transitional flow regime for water is noted.

By increasing the flow rate above 0.01 kg/s, the flow regime will change to turbulent resulting in lower friction factor values. The trend can be observed in the graph for water as marked laminar, transitional, or turbulent. The friction factor has a direct relationship with the power consumed by the pump or compressor, which is showing higher pumping power is required for water in comparison with s-CO<sub>2</sub>.

Developing flow regions, either laminar or turbulent, are associated with higher heat transfer rates compared with the fully developed ones. Hence, as Figure 8 shows, water flow is designed to stay under developing conditions. However, even the developing  $Nu$  value for water is lower than the fully turbulent  $Nu$  value for the s-CO<sub>2</sub> flow in the same channel. Furthermore, Figure 8 shows the effect of critical temperature values for CO<sub>2</sub> on heat transfer. The 2°C decrease in s-CO<sub>2</sub> inlet temperature takes it to a subcritical state. While the heat transfer is almost halved for subcritical flow compared with the supercritical one, as s-CO<sub>2</sub> flow is still fully turbulent, it leads to higher  $Nu$  values, by an order

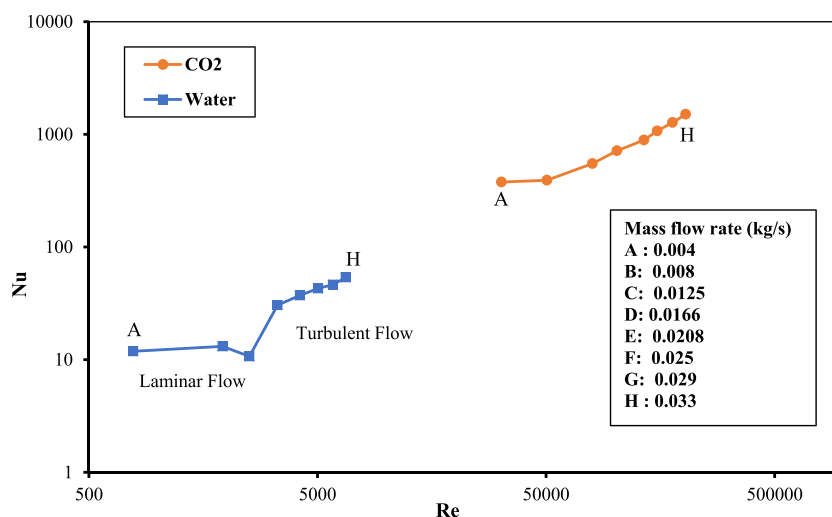


FIGURE 6  $Nu$  variation for variable flow rate between 0.0044 kg/s to 0.033 kg/s, and  $AR = 3.33$  [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

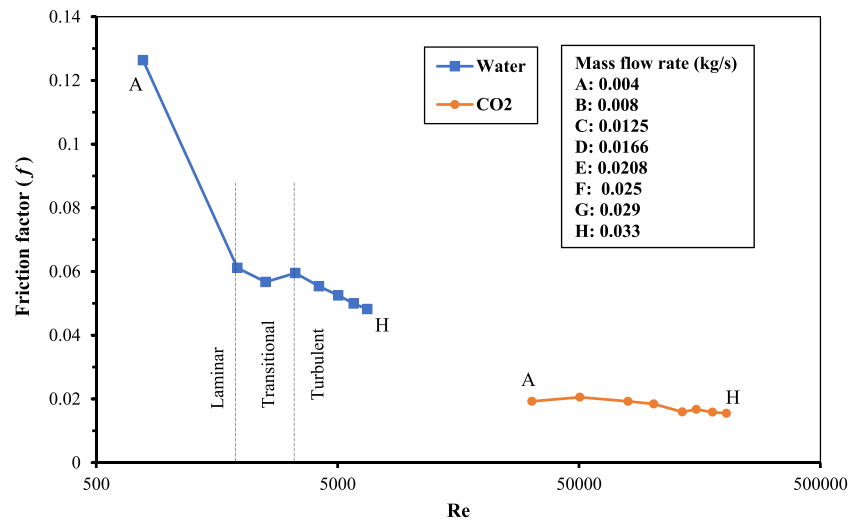


FIGURE 7 Results of friction factor for varying flow rate in the channel with  $AR = 0.33$ . [Colour figure can be viewed at [wileyonlinelibrary.com](https://onlinelibrary.wiley.com/doi/10.1002/nma.8535)]

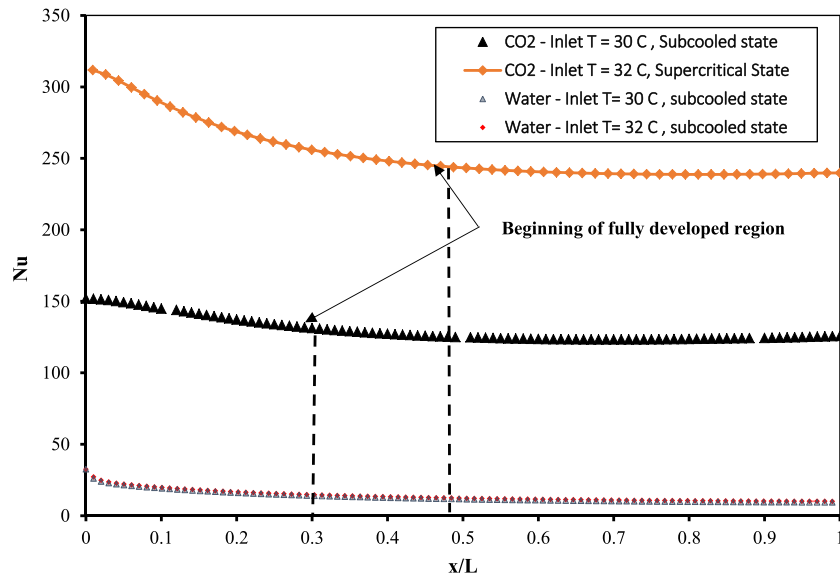


FIGURE 8 Comparison of  $Nu$  along the length of the channel for  $CO_2$  and water at different inlet temperatures, with  $AR = 3.33$  and  $\dot{m} = 0.004$  kg/s [Colour figure can be viewed at [wileyonlinelibrary.com](https://onlinelibrary.wiley.com/doi/10.1002/nma.8535)]

of magnitude, compared with water at the same flow rate. One also notes that the s- $CO_2$  flow becomes fully developed when it reaches 30% and 40% of the channel length for subcooled and supercritical states, respectively. It brings home the point that the system can be further optimized to work with s- $CO_2$  through the use of shorter channels to avoid flow development thereby aiming at even higher heat transfer rates. Since newer versions of heat sinks are 3D printed, reducing their size allows to eliminate the current challenges of large components manufacturing, and brings gains in terms of manufacturing time and raw materials consumption.

Figure 9 shows the average heat transfer ( $\bar{h}$ ) versus pumping power (PP) to circulate the cooling fluid, for different flow rates. The use of this graph is of great importance to the system designer. For a given pumping power, the heat transfer for s- $CO_2$  is significantly higher. As one would expect, the comparison does not have to rely on the same channel geometry but on the same pumping power. Hence, drawing a vertical line, at any point and crossing the plots would give the designer the difference in heat transfer between the two designs based on different working fluids. For example, with 0.1 W allowable pumping power, the heat transfer from s- $CO_2$  is three times higher than that of water. While

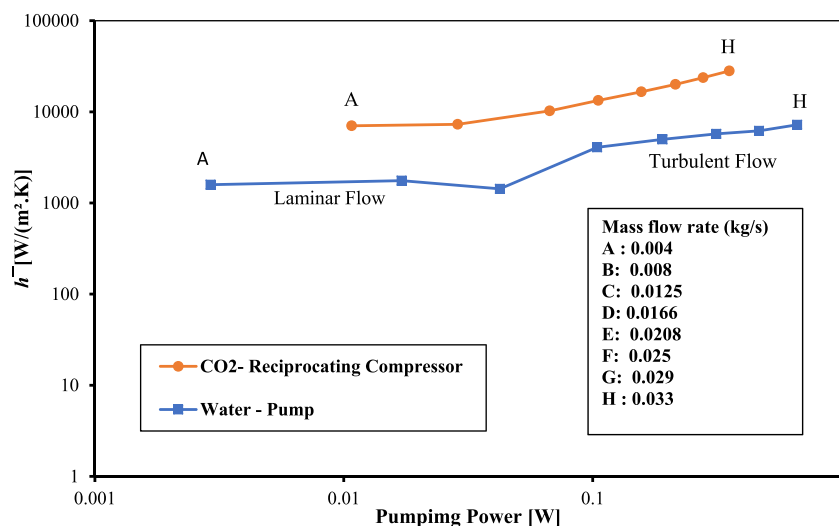


FIGURE 9 Comparison of average  $\bar{h}$  and energy consumption for different flow rates, the inlet temperature  $32^{\circ}\text{C}$ ,  $\text{AR} = 3.33$  [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

the use of  $Nu$  and  $f$  are informative, a design engineer would need to “select” a pump or compressor for a given heat duty. Another way to interpret this graph is to set a fixed heat transfer rate and then compare the required pumping power. The close case here is to aim at a heat transfer coefficient of about  $7 \text{ kW}/\text{m}^2$  for which both points A for s- $\text{CO}_2$  design and point H for a water-cooled design will be functional. Interestingly, the former, the s- $\text{CO}_2$  system, leads to 80 times lower pumping power.

### 3.2 | Effect of aspect ratio

To investigate the effects of the channel geometry, the numerical value of the channel height,  $H$ , is varied while the channel width,  $W$ , is kept constant. In general, a decrease in aspect ratio ( $H/W$ ), while keeping the mass flow rate constant, increases the Reynolds number as the average velocity is increased thereby resulting in higher  $Nu$  values, in line with observations made by Sarkar.<sup>34</sup> The AR variation has a direct effect on the Nusselt and Reynolds numbers for both fluids. Water flow is laminar while thermally developing for Reynolds numbers between 300 and 1900, which corresponds to aspect ratios between 10 and 1, respectively. For  $Re$  values higher than 1900, the flow becomes transitional. As Figure 10 indicates,  $Nu$  for s- $\text{CO}_2$  is much higher than that of water and the value monotonically increases as the aspect ratio drops. For water, however, a different trend is observed when moving from B to A. This is because of a flow regime change from laminar to transitional in the channel. Comparing the two coolants, one observes a superior performance with s- $\text{CO}_2$ . The Nusselt number for s- $\text{CO}_2$  is 10 times higher than that of water when the aspect ratio is 10 (point G) and it is 33.3 times higher than that of water when the aspect ratio is 0.33 (point A).

Figure 11 demonstrates the effect of aspect ratio on the pressure drop, presented in terms of friction factor, as a function of Reynolds number, for both water and s- $\text{CO}_2$ . The friction factor for s- $\text{CO}_2$  is lower when compared with water at the same flow rate. The lowest aspect ratio of 10 yields the highest  $f$  value for both fluids as expected. As the aspect ratio increases the Reynolds number increases accordingly resulting in lower friction factors. The friction factor shows the same trend as described in Wang et al.<sup>30</sup> For s- $\text{CO}_2$ , the flow is always turbulent; thus, for the same aspect ratio, the friction factor is always lower compared with that of water.

### 3.3 | Effect of critical point

The authors investigate the effects of critical points on the heat transfer performance of the two coolants for a set aspect ratio by plotting local heat transfer in the streamwise direction. This section investigates the effects of operating conditions when they push  $\text{CO}_2$  to a subcritical state for instance when the  $\text{CO}_2$  inlet temperature is reduced to  $30^{\circ}\text{C}$ .

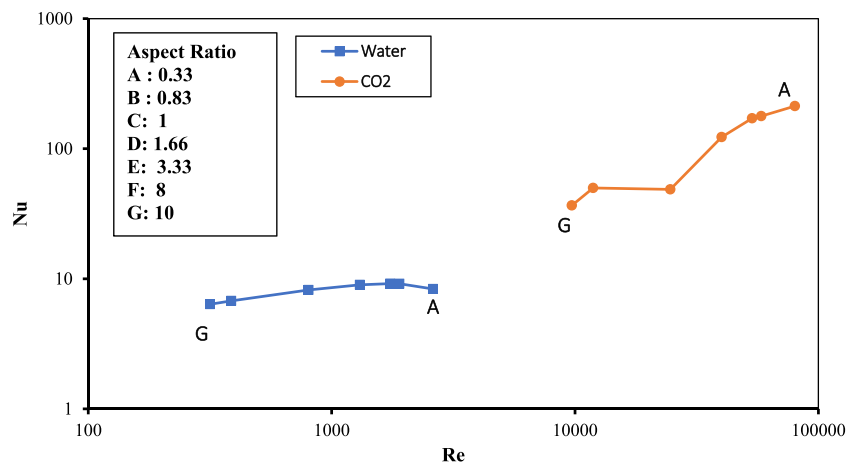


FIGURE 10 Effect of aspect ratio on  $Nu$  with  $\dot{m} = 0.004$  kg/s [Colour figure can be viewed at [wileyonlinelibrary.com](https://onlinelibrary.wiley.com/doi/10.1002/mma.8535)]

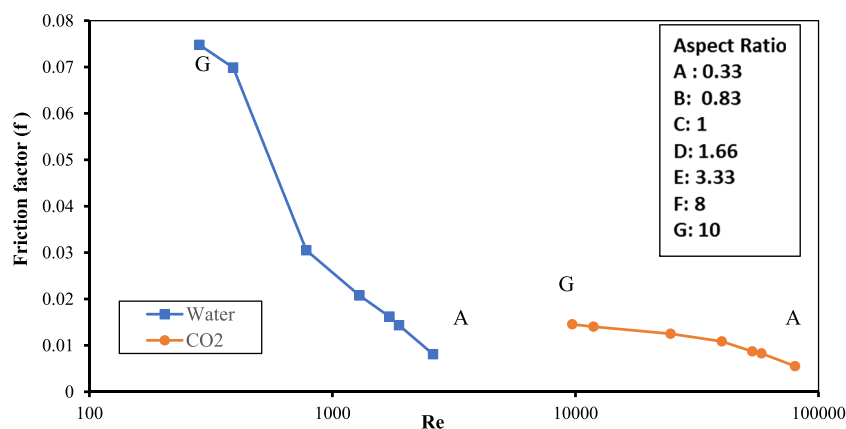


FIGURE 11 Friction factor versus  $Re$  for different aspect ratios at  $\dot{m} = 0.004$  kg/s [Colour figure can be viewed at [wileyonlinelibrary.com](https://onlinelibrary.wiley.com/doi/10.1002/mma.8535)]

Figures 12 and 13 plot the heat transfer coefficient versus pumping power for both coolants while the  $\text{CO}_2$  state is supercritical in the former and subcritical in the latter. In both cases, for the same pumping power,  $\text{CO}_2$  leads to a higher heat transfer rate. Moreover, another way of reading the graphs is to conclude that for the same pressure drop, the heat transfer is higher with s- $\text{CO}_2$  compared with that of water. Finally, one notes a lower s- $\text{CO}_2$  heat transfer coefficient for the subcritical state.

## 4 | SUMMARY AND CONCLUSION

The purpose of this study is to explore the use of  $\text{CO}_2$  as a replacing coolant instead of Water for microchannel heat sinks (MCHS). In this regard, the thermal and hydraulic entrance length, aspect ratio, and mass flow rate have a significant effect on thermal performance and pumping power of mini channels specifically if the coolant (e.g.,  $\text{CO}_2$ ) properties vary by pressure and temperature inlets. Such detailed investigation of parameters for s- $\text{CO}_2$  application in heat sinks has not been properly addressed in the previous reports.

In this report, a comparison has been made between the thermal and hydraulic characteristics of MCHS with both traditional and proposed coolants (water and s- $\text{CO}_2$ ). The results in terms of Nusselt number ( $Nu$ ), friction factor, heat transfer coefficient ( $h$ ) and power consumption against variation of channel aspect ratio (AR), and mass flow rate ( $\dot{m}$ ) were reported. Moreover, the effect of two different inlet temperatures, that is,  $T = 30^\circ\text{C}$  and  $T = 32^\circ\text{C}$  on the

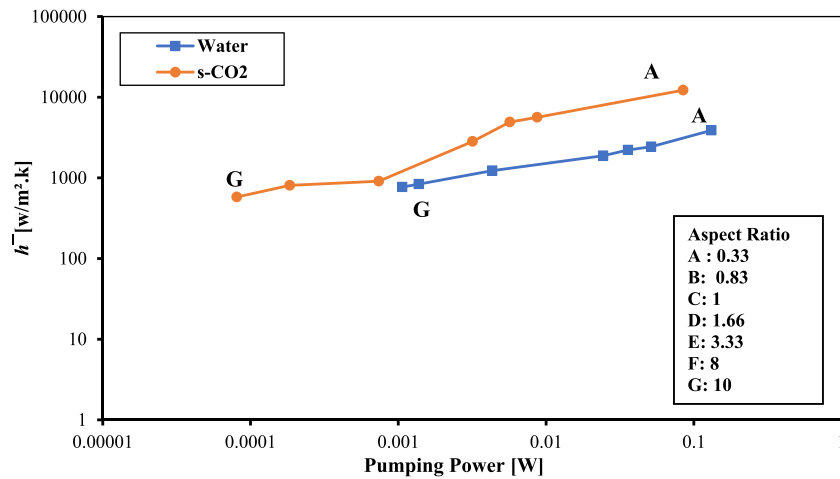


FIGURE 12 Effect of aspect ratio on heat transfer and power consumption in a rectangular channel for water and s-CO<sub>2</sub>,  $T_{in} = 32^{\circ}\text{C}$ ,  $\dot{m} = 0.004\text{ kg/s}$  [Colour figure can be viewed at [wileyonlinelibrary.com](#)]

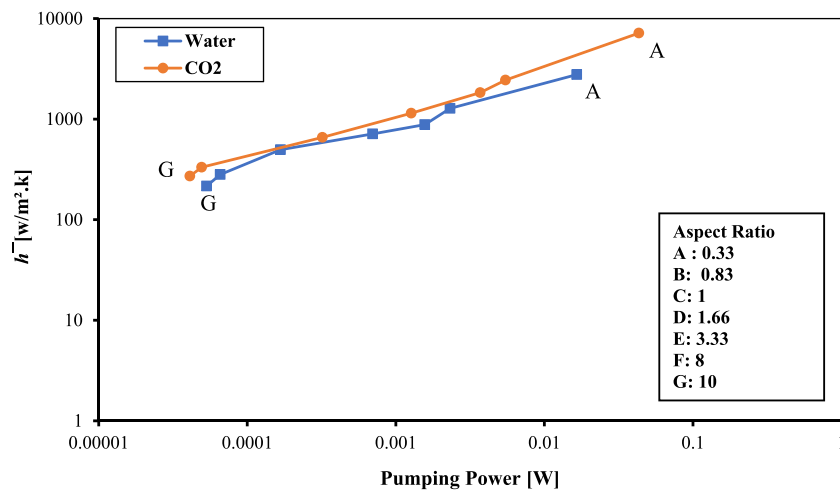


FIGURE 13 Effect of aspect ratio on heat transfer and power consumption in a rectangular channel with  $T_{in} = 30^{\circ}\text{C}$ ,  $\dot{m} = 0.004\text{ kg/s}$ , for water and s-CO<sub>2</sub> [Colour figure can be viewed at [wileyonlinelibrary.com](#)]

performance of CO<sub>2</sub>-cooled mini channel and power consumption, is discussed. The main conclusions drawn from this study are

- The average surface Nusselt number for s-CO<sub>2</sub> in one channel is almost 10 times more than that of water due to the higher Reynolds number for the s-CO<sub>2</sub> in one mini-channel.
- The average heat transfer coefficient for s-CO<sub>2</sub> for a given aspect ratio of 0.33 can reach up to 31 times higher than that of water at the same inlet temperature. As the specific heat capacity and viscosity of s-CO<sub>2</sub> are lower near the pseudocritical region, the heat transfer coefficient for s-CO<sub>2</sub> is 4.5 times higher at the same flow rate compared with water.
- The friction factor results are discussed for both fluids and it is shown that s-CO<sub>2</sub> has a much lower friction factor than water for all considered cases regardless of the mass flow rate and channel aspect ratio. The friction factor for low AR (0.33) is calculated as 0.005 and 0.008 for s-CO<sub>2</sub> and water, respectively, while at AR = 10, the values are 0.014 and 0.070, respectively.
- It is noted that the pumping power for s-CO<sub>2</sub> is dependent on the inlet temperature thus the state of s-CO<sub>2</sub>. The reported results demonstrated in sub-cool condition at  $T = 30^{\circ}\text{C}$  and mass flow rate of 0.004 kg/s, pumping power

(PP) for water ( $AR = 0.33$ ) is almost 1.3 times more than PP consumed for s-CO<sub>2</sub>, while at  $AR = 10$ , this value for s-CO<sub>2</sub> is 2.6 times higher than of water. This result clearly shows the effect of the thermal and hydraulic entrance since the change of AR changes Reynolds number in mini-channels thus the pumping power.

## 5 | FUTURE WORKS

As a prospect and since the newer versions of heat sinks are 3D printed, the effect of the surface roughness and pores due to the manufacturing process will be taken into account via experimental and further numerical studies in our near future works. Additionally, the experimental work will be conducted to optimize the diffusers and divergent section of the heat sink which plays a crucial role in the thermal performance of the MCHS due to its effect on the flow distribution. This study has also investigated the effect of two key variables on the thermal performance of a single mini-channel as well as the effect of entrance length on the heat transfer and pumping power of coolants. Future work will benefit from the results of this study to simulate and optimize a complete 3D model of a pre-designed mini-channel heat sink with consideration of flow distribution through channels via diffusers for both s-CO<sub>2</sub> and water coolants.

In summary, while the heat transfer is higher with CO<sub>2</sub>, the pressure drop is lower compared with that of water. This result is crucial in industrial applications as it favors the use of s-CO<sub>2</sub> as a cooling fluid with great potential to improve cooling performance while reducing the overall weight of the system.

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## CONFLICT OF INTEREST

This work does not have any conflicts of interest.

## AUTHOR CONTRIBUTIONS

**Navid Dehdashti Akhavan:** Conceptualization; investigation; writing. **Foued Abroug:** Conceptualization; software; supervision; writing. **Lionel Arnaud:** Formal analysis; methodology; review. **Kamel Hooman:** Supervision; visualization; writing – reviewing & editing.

## NOMENCLATURE

$AR$	aspect ratio ( $H_{ch}/W_{ch}$ )
$A_c$	channel area (m <sup>2</sup> )
$C_p$	specific heat capacity (J kg <sup>-1</sup> °C <sup>-1</sup> )
$D_h$	hydraulic diameter (mm)
$f$	friction factor
$H_{ch}$	height of channel (mm)
$h_x$	local heat transfer coefficient (W m <sup>-2</sup> °C <sup>-1</sup> )
$\bar{h}$	average heat transfer coefficient (W m <sup>-2</sup> °C <sup>-1</sup> )
$k$	thermal conductivity (m <sup>-1</sup> K <sup>-1</sup> )
$L_{ch}$	length of channel (mm)
$L_{th}$	thermal length (mm)
$L_h$	hydraulic length (mm)
$\dot{m}$	mass flow rate (kg s <sup>-1</sup> )
$\overline{Nu}$	averaged Nusselt number
$P$	total pressure (MPa)
$P_{in}$	inlet pressure (MPa)
$\Delta P$	pressure drop (kPa)
$Pr$	Prandtl number
PP	pumping power (W)



$Q$	heating load (W)
$\dot{Q}$	heat flux ( $\text{W m}^{-2}$ )
Re	Reynolds number
$T_{in}$	inlet temperature ( $^{\circ}\text{C}$ )
T	temperature ( $^{\circ}\text{C}$ )
$T_{wall(x)}$	wall temperature on centerline ( $^{\circ}\text{C}$ )
$T_{bulk(x)}$	fluid bulk temperature at the section of the channel ( $^{\circ}\text{C}$ )
$\Delta T$	change in coolant temperature from inlet to outlet ( $^{\circ}\text{C}$ )
$\mathbf{V}$	velocity vector ( $\text{m s}^{-1}$ )
$\dot{V}$	volumetric flow rate ( $\text{m}^3 \text{s}^{-1}$ )
$W_{ch}$	width of the channel (mm)

## GREEK SYMBOLS

$\rho$	density ( $\text{kg m}^{-3}$ )
$\eta$	efficiency
$\mu$	dynamic viscosity ( $\text{m}^{-1} \text{s}^{-1}$ )

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