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# Metastable Oxygen-Induced Light-Enhanced Doping in Mixed Sn–Pb Halide Perovskites

Jasmeen Nespoli, Matthijs Mugge, Lara M. van der Poll, Snigdha Lal, Bahiya Ibrahim, Bart Boshuizen, Valentina M. Caselli, Arjan J. Houtepen, Lars J. Bannenberg, and Tom J. Savenije\*



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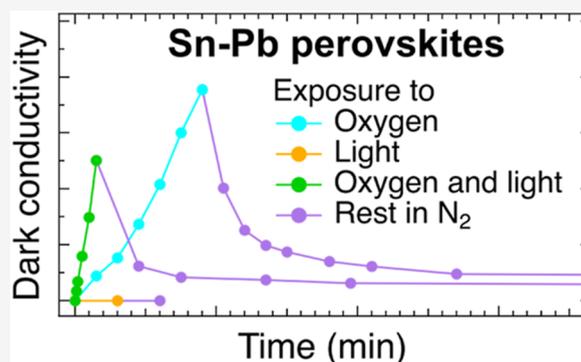
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**ABSTRACT:** Mixed Sn–Pb halide perovskites are promising absorber materials for solar cells due to the possibility of tuning the bandgap energy down to 1.2–1.3 eV. However, tin-containing perovskites are susceptible to oxidation affecting the optoelectronic properties. In this work, we investigated qualitatively and quantitatively metastable oxygen-induced doping in isolated  $\text{ASn}_x\text{Pb}_{1-x}\text{I}_3$  (where A is methylammonium or a mixture of formamidinium and cesium) perovskite thin films by means of microwave conductivity, structural and optical characterization techniques. We observe that longer oxygen exposure times lead to progressively higher dark conductivities, which slowly decay back to their original levels over days. Here oxygen acts as an electron acceptor, leading to tin oxidation from  $\text{Sn}^{2+}$  to  $\text{Sn}^{4+}$  and creation of free holes. The metastable oxygen-induced doping is enhanced by exposing the perovskite simultaneously to oxygen and light. Next, we show that doping not only leads to the reduction in the photoconductivity signal but also induces long-term effects even after loss of doping, which is thought to derive from consecutive oxidation reactions leading to the formation of defect states. On prolonged exposure to oxygen and light, optical and structural changes can be observed and related to the formation of  $\text{SnO}_x$  and loss of iodide near the surface. Our work highlights that even a short-term exposure to oxygen immediately impairs the charge carrier dynamics of the perovskite, while structural perovskite degradation is only noticeable upon long-term exposure and accumulation of oxidation products. Hence, for efficient solar cells, exposure of mixed Sn–Pb perovskites to oxygen during production and operation should be rigorously blocked.



## INTRODUCTION

Over the past years, metal halide perovskites (MHPs), denoted with chemical formula  $\text{ABX}_3$ , have been placed in the spotlight for their manifold possible applications,<sup>1–4</sup> including photovoltaics.<sup>1,5</sup> Mixed Sn–Pb perovskites, with alternating  $\text{Sn}^{2+}$  and  $\text{Pb}^{2+}$  at the B-sites, have emerged as low bandgap absorbers with  $E_g = 1.2\text{--}1.6$  eV in perovskite solar cells.<sup>6,7</sup> The A-sites can be occupied by methylammonium ( $\text{MA}^+$ ),  $\text{CH}_3\text{NH}_3^+$ , formamidinium ( $\text{FA}^+$ ),  $\text{HC}(\text{NH}_2)_2^+$ , cesium,  $\text{Cs}^+$ , or a mixture of them, while iodide,  $\text{I}^-$ , is commonly chosen for the X-sites. Application of these mixed MHPs in multijunction solar cells is expected to surpass the Shockley–Queisser power conversion efficiency (PCE) limit.<sup>7</sup> At present, the record PCE is 23.6% for single-junction solar cells and 28.0% for all-perovskite tandem devices containing mixed Sn–Pb perovskites.<sup>8,9</sup> Furthermore, a partial substitution with tin would reduce the toxicity of fully Pb-based perovskite solar cells, given that lead is potentially hazardous for humans and the environment.<sup>10</sup>

Despite these positive aspects, studies have shown that both intrinsic and extrinsic factors can result in self-doping of tin-containing perovskites.<sup>7,11–13</sup> This is often related to the oxidation of  $\text{Sn}^{2+}$  to  $\text{Sn}^{4+}$ .<sup>14,15</sup> As reported in the literature for

pure Sn-based perovskites, during synthesis tin oxidation favors the formation of tin vacancies ( $V_{\text{Sn}}$ ), which in combination with iodide interstitials ( $\text{I}_i$ ) leads to electron acceptor defect levels just below the valence band maximum. As a consequence, it is claimed that valence band electrons occupy these defect states, leading to p-type doping.<sup>7,11,16</sup> p-Type doping also takes place in the presence of oxygen, which acts as an electron acceptor leading to tin oxidation from  $\text{Sn}^{2+}$  to  $\text{Sn}^{4+}$ .<sup>13,17–21</sup>

High doping densities are expected to reduce the photo-generated charge carrier mobilities and lifetimes by, respectively, enhanced scattering with ionized impurities and pseudomonomolecular recombination with the background holes. Furthermore, the presence of background free holes not only negatively affects the photovoltaic performance, but may

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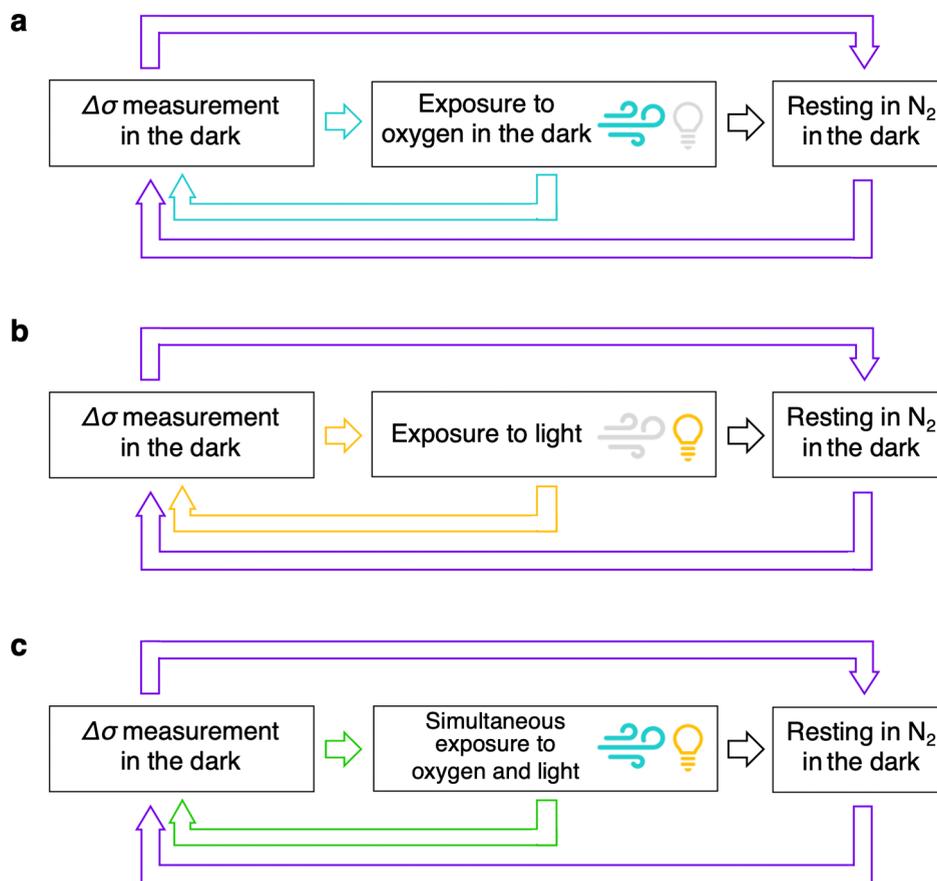
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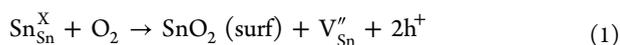
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**Scheme 1. SSMC Measurement Cycles of Perovskite Thin Films Exposed to (a) Only Oxygen (Light Blue Cycle), (b) Only Light (Yellow Cycle) and (c) Simultaneously Oxygen and Light (Green Cycle) for Varying Time Intervals. All SSMC Measurements Were Carried out in the Dark to Discard the Contribution of the Photoconductivity due to the Lamp Light. After a Series of Exposures to Oxygen or/and Light, the Films Were Stored in N<sub>2</sub> in the Dark and Δσ<sub>dark</sub> was Measured after Varying Time Intervals (Purple Cycles)**



also lead to perovskite degradation and limits the stability of solar cells.<sup>7,11,18,19,22–24</sup> Studies have focused on the effects of dry or ambient air, humidity and temperature on pure Sn-based perovskites and on mixed Sn–Pb perovskites, both at the material and device level.<sup>18,25–30</sup> Generally, exposure to ambient air is associated in the literature with an increase in p-type doping due to the reaction between tin and oxygen.<sup>13,18</sup> In such reaction, Sn<sup>2+</sup> is oxidized to Sn<sup>4+</sup> by oxygen and free holes are generated leading to p-type doping,<sup>13,31,32</sup> as shown by eq 1, expressed in Kröger-Vink notation.

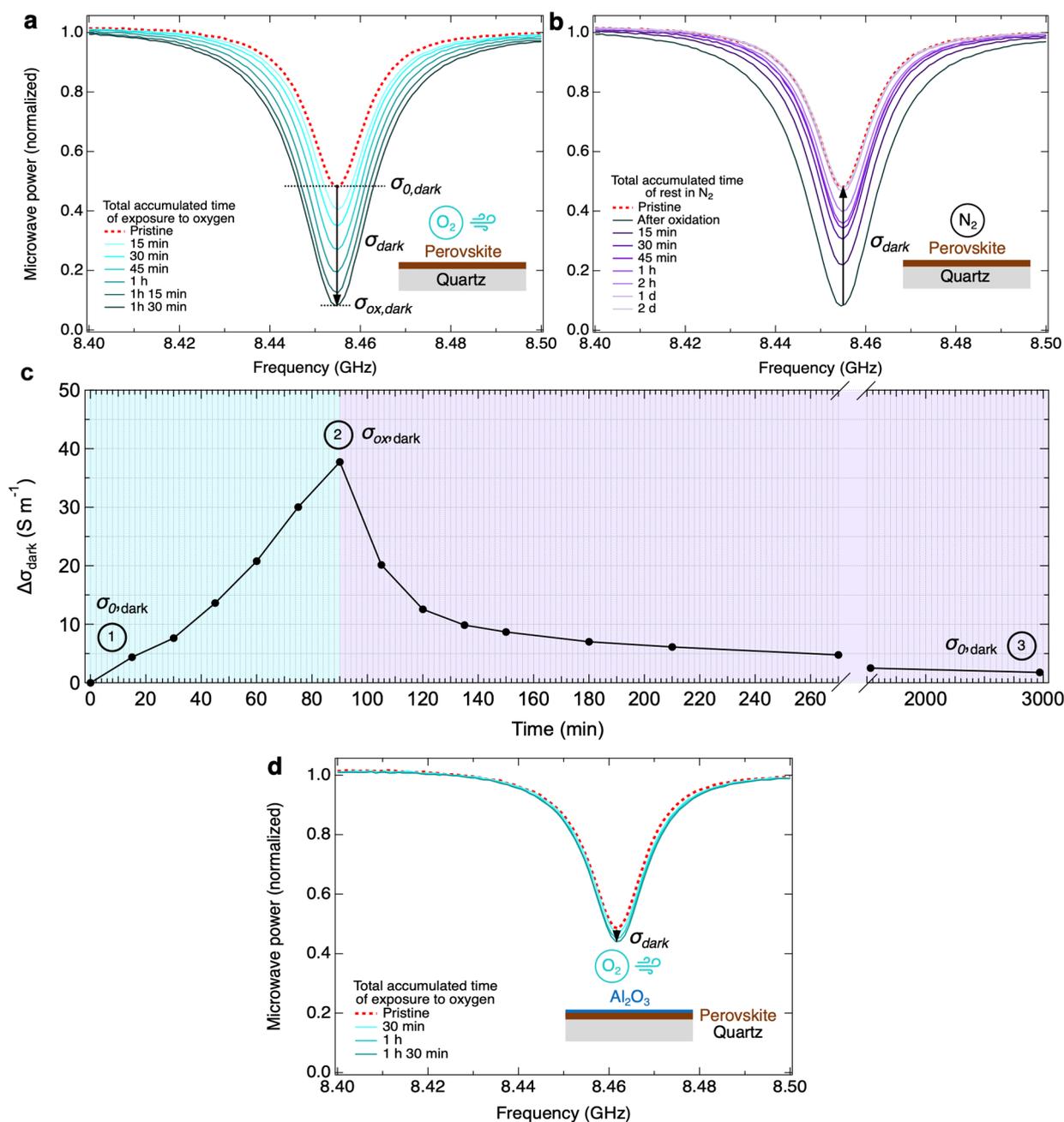


Equation 1 can be interpreted as the transfer of two electrons from Sn<sub>Sn</sub><sup>X</sup> to O<sub>2</sub>, leading to the formation of Sn<sub>Sn</sub><sup>••</sup> and O<sub>i</sub><sup>••</sup> ions.<sup>20,21</sup> As a result, Sn<sub>Sn</sub><sup>X</sup> ions are removed from the crystal lattice creating tin vacancies, V<sub>Sn</sub><sup>••</sup>, while SnO<sub>2</sub> is formed at the surface and two holes are released in the bulk, leading to p-doping.<sup>14</sup> Tin oxidation most likely occurs at the surface of the perovskite film or grain boundary, where Sn<sub>Sn</sub><sup>••</sup> is stable and creates deep electron traps.<sup>11</sup> On the other hand, tin oxidation may also occur in the bulk, where Sn<sub>Sn</sub><sup>••</sup> is claimed to be unstable. Thus, in that case, it is most likely excluded from the perovskite crystal lattice and displaced at the surface.<sup>11,15</sup>

The photostability of Sn-containing perovskites has barely been addressed,<sup>26,28,29</sup> however mostly for devices.<sup>19,30</sup> Moreover, only a few reports discuss the effect of oxygen or the

combination of oxygen and light specifically on the perovskite optoelectronic properties.<sup>18,28,29</sup> Although the degradation mechanisms are not fully elucidated, a range of reaction products has been reported, including AX, SnO<sub>2</sub>, SnX<sub>2</sub>, SnX<sub>4</sub>, PbX<sub>2</sub>, X<sub>2</sub> and A<sub>2</sub>SnX<sub>6</sub> (where A = MA<sup>+</sup>, FA<sup>+</sup>, Cs<sup>+</sup> and X = I<sup>-</sup>).<sup>18,25–30</sup> Moreover, it is unclear whether the same degradation mechanisms known to exist in pure Sn-based perovskites also apply to mixed Sn–Pb perovskites. Finally, several additives, such as SnF<sub>2</sub>,<sup>13,22,24,33–37</sup> metallic Sn powder,<sup>38,39</sup> reducing agents and others,<sup>6,30,40–42</sup> have been used to suppress the spontaneous or externally triggered doping, even though the precise role of these additives in improving the perovskite properties needs to be fully clarified.

In this work, we investigated the respective effects of exposure to oxygen, light and the combination of both on Cs<sub>0.25</sub>FA<sub>0.75</sub>Sn<sub>x</sub>Pb<sub>1-x</sub>I<sub>3</sub> thin films, with 20 mol % SnF<sub>2</sub> added to the tin precursor solution. First, we inspected the dark conductivity under N<sub>2</sub> for the pristine perovskite films by means of electrodeless steady-state microwave conductance (SSMC). Next, we studied in a qualitative and quantitative way the effects of the exposure to oxygen, to light and finally to the combination of both on the background conductivity, Δσ<sub>dark</sub>, following the oxidative process as it occurs in the isolated perovskite layer over time. All the different types of exposure cycles and the respective SSMC measurement procedures on each sample are depicted in Scheme 1. Next, we examined the



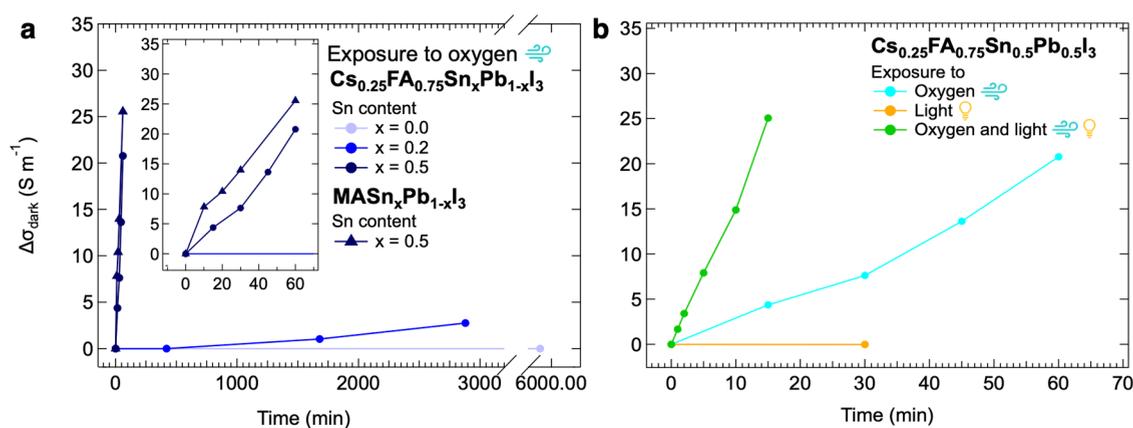
**Figure 1.** Frequency scans resulting from SSMC measurements of  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite thin films measured (a) upon exposure to oxygen and (b) after storage in  $\text{N}_2$ . (c) Evolution of the dark conductivity ( $\Delta\sigma_{\text{dark}}$ ) showing a linear increase of  $\sigma_{\text{ox},\text{dark}}$  upon exposure (light blue region) and its decay upon storage in  $\text{N}_2$  (purple region). (d) Frequency scans resulting from SSMC measurements of  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  layer with a thin alumina ( $\text{Al}_2\text{O}_3$ ) protective layer deposited by 100 cycles atomic layer deposition (ALD) on top of the perovskite layer (in blue).

photogenerated charge carrier dynamics by electrodeless laser-pulse induced time-resolved microwave conductivity (TRMC) and the quasi-Fermi level splitting (QFLS) by means of the microwave conductivity setup of the isolated perovskite films (i) under pristine conditions, (ii) directly after exposure and (iii) after storage under  $\text{N}_2$ . In this way, without fabricating full perovskite solar cells, which would lead to uncertainty in pinpointing the oxidation mechanism due to the presence of many layers and interfaces, we are able to link the changes in the perovskite absorber to the performance of a corresponding device. To explain the oxygen-induced and light-enhanced doping in  $\text{Cs}_{0.25}\text{FA}_{0.75}\text{Sn}_{0.5}\text{Pb}_{0.5}\text{I}_3$ , structural and optical characterization is performed after prolonged exposure. Our

results reveal key insight into the degradation processes in mixed Sn–Pb perovskites, which we use to develop suggestions to tackle these problems and improve the performance and lifespan of mixed Sn–Pb perovskite solar cells.

## RESULTS AND DISCUSSION

Mixed Sn–Pb perovskites thin films of composition  $\text{Cs}_{0.25}\text{FA}_{0.75}\text{Sn}_x\text{Pb}_{1-x}\text{I}_3$  with different tin fractions of  $x = 0.0$ ,  $x = 0.2$ ,  $x = 0.5$  and  $x = 1.0$ , indicated hereafter as  $\text{Sn}_x\text{Pb}_{1-x}$ , were prepared by spin-coating using anisole as antisolvent, as detailed in the Supporting Information, E/M S1 and S2. The crystal phase and the optical absorption properties of the polycrystalline perovskite films with varying Sn/Pb ratio under



**Figure 2.** Change in dark conductivity ( $\Delta\sigma_{\text{dark}}$ ) over time for (a)  $\text{ASn}_x\text{Pb}_{1-x}\text{I}_3$  perovskite thin films with different A cations and different tin fractions  $x = 0.0$ ,  $x = 0.2$  and  $x = 0.5$ , where the inset shows the doping at shorter time scales, and (b) for  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite thin films upon exposure to oxygen (in light blue), light (in yellow) and simultaneously oxygen and light (in green).

pristine conditions were studied by X-ray diffraction (XRD) and ultraviolet–visible–near-infrared spectroscopy (UV–vis–NIR). The results are provided in the Supporting Information. The XRD diffraction patterns in Figure S16 present the characteristic peaks of the perovskite pseudocubic crystal phase. The absorption spectra, shown in Figure S20, reveal bandgap energies following a bowing behavior with varying Sn/Pb ratio. The  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite has the smallest bandgap of the series, amounting to a  $E_g \sim 1.24$  eV, in line with the reported values for similar perovskite compositions.<sup>16,24,43–45</sup>

First, we studied the background conductivity,  $\sigma_{\text{dark}}$ , of the pristine thin films  $\text{Sn}_{0.5}\text{Pb}_{0.5}$ , measured in the dark in  $\text{N}_2$  by means of SSMC. This method, relying on the interaction of microwaves with free mobile charge carriers, enables the determination of the dark conductivity of thin films in an electrodeless fashion. The working principle and instrumental setup are described in the Supporting Information E/M S4. The frequency scans of all pristine mixed Sn–Pb perovskite layers are compared to a scan of a bare, identical quartz substrate (see Figure S1). In case the perovskite is doped the corresponding  $\sigma_{\text{dark}}$  would lead to enhanced microwave absorption, which results in a deepening of the resonance frequency dip. Since all perovskite films showed frequency scans nearly identical to that of quartz, we can conclude that the pristine layers present a relatively low initial background conductivity,  $\sigma_{0,\text{dark}}$ . As detailed in the Supporting Information E/M S4, calculations imply that for all pristine films  $\sigma_{0,\text{dark}} \lesssim 1$   $\text{S m}^{-1}$ . We attribute the low  $\sigma_{0,\text{dark}}$  to the use of  $\text{SnF}_2$  as additive to the spin-coating precursor solution, which is claimed to reduce tin oxidation and hinder the formation of tin vacancies during the synthesis process, associated to doping of Sn-containing perovskites.<sup>13,24,46</sup>

Then, we examined the effect of exposing the perovskite thin films to a dry 21%  $\text{O}_2$ /79%  $\text{N}_2$  gas mixture by using a vacuum line, as presented in the Supporting Information E/M S5 (see Figure S5). The microwave cell was filled with the gas mixture and the conductivity of a  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  layer was measured as indicated by Scheme 1. From Figure 1a we can conclude that with increasingly longer periods of exposure to oxygen, a higher  $\sigma_{\text{dark}}$  is observed. After oxygen exposure, the microwave cell was filled with  $\text{N}_2$  gas and the conductivity was again measured after varying time intervals. Although the sample is not exposed to oxygen anymore, a significant background

conductivity can still be observed. Interestingly,  $\sigma_{\text{dark}}$  decreases with time in  $\text{N}_2$ , until the conductivity returns to its initial value  $\sigma_{0,\text{dark}}$  over days (see Figure 1b). We conclude that the background carriers disappear by consecutive oxidation reactions, which means that the perovskite thin film shows only a temporary increase in the background conductivity upon exposure to oxygen. We underline that for a pristine layer  $\sigma_{\text{dark}}$  does not change when stored in  $\text{N}_2$ , as shown in Figure S9a. Therefore, it can be inferred that oxygen induces metastable doping in  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite. Figure 1c shows the temporal oxygen-induced change in dark conductivity,  $\Delta\sigma_{\text{dark}}$ , over time from fitting the SSMC results of Figure 1a (light blue region in Figure 1c) and b (purple region in Figure 1c), calculated as detailed in the Supporting Information E/M S4. Interestingly, we see an approximate linear relationship between the increase in  $\sigma_{\text{dark}}$  and the accumulated exposure time to oxygen, which is very different from the exponential-like decrease of  $\sigma_{\text{dark}}$  back to  $\sigma_{0,\text{dark}}$  under storage in  $\text{N}_2$ .

Hence, the reaction between the background free holes and perovskite appears to be so rapid that the carriers may immediately decay by consecutive reactions. Therefore, we suggest that the generation and decay of free holes occur at the same time during exposure to oxygen.

Interestingly, oxygen-induced doping can almost entirely be suppressed by covering the perovskite film with a thin  $\text{Al}_2\text{O}_3$  encapsulation layer obtained by 100 cycles ALD as shown by Figure 1d. The ALD deposition process and parameters are described in detail in the Supporting Information E/M S3. Therefore, our results suggest that protecting the perovskite surface by depositing an encapsulation layer is a straightforward way to curb oxygen-induced doping prevalent in Sn-containing perovskites.

To elucidate the underlying mechanism of oxygen-induced doping, we extended our research to thin films of perovskite with different tin fractions. As shown in Figure S10d,  $\text{Sn}_1\text{Pb}_0$  exhibited a large  $\sigma_{0,\text{dark}}$  even before any exposure to oxygen, which means that the pristine layer is already substantially doped, disabling proper examination. For the  $\text{Sn}_0\text{Pb}_1$  and  $\text{Sn}_{0.2}\text{Pb}_{0.8}$  samples, the same procedure used to measure the  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  layer was used to obtain the  $\Delta\sigma_{\text{dark}}$  over time upon oxygen exposure. The results are shown in Figure 2a (see Figure S10a–c for the corresponding SSMC scans). For  $\text{Sn}_0\text{Pb}_1$ , no increase in the conductivity is detected even after a total exposure time to oxygen of  $t = 4$  d. For  $\text{Sn}_{0.2}\text{Pb}_{0.8}$ , we

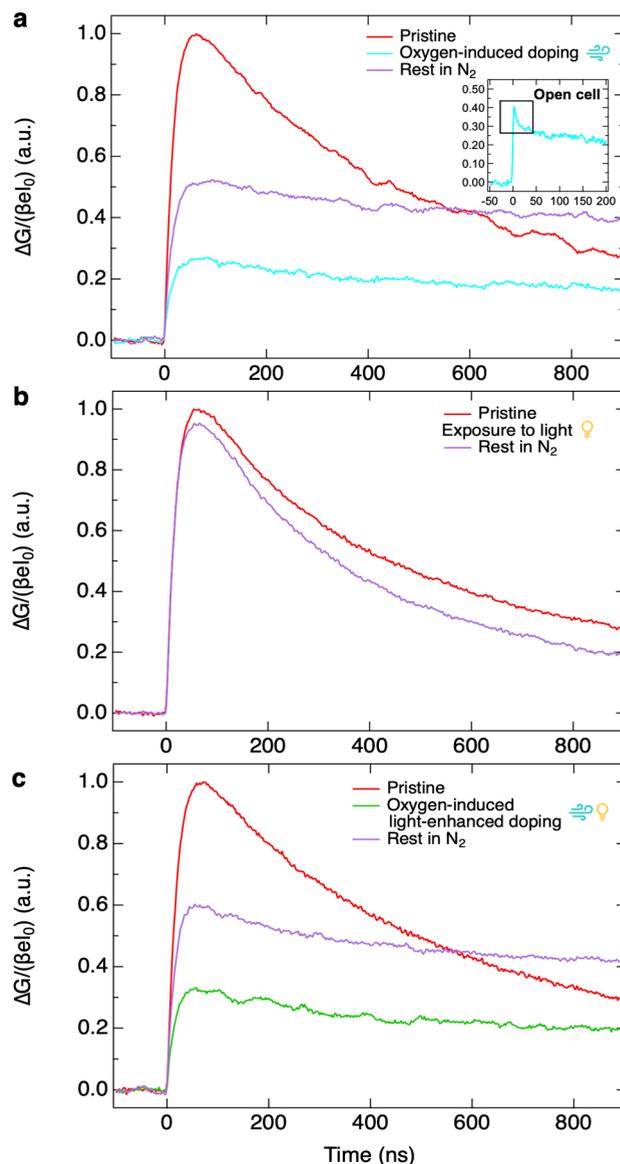
observe a rather small, but notable  $\sigma_{\text{ox, dark}}$  after  $t = 2$  d, which is significantly less than the  $\sigma_{\text{ox, dark}}$  for  $\text{Sn}_{0.5}\text{Pb}_{0.5}$ , that is already visible after only  $t = 1$  h. In line with our understanding, the increasing tin fraction leads to a higher susceptibility to oxygen-induced doping.

We also examined the effect of the cation at the A sites by measuring  $\sigma_{\text{dark}}$  for methylammonium mixed Sn–Pb perovskites ( $\text{MASn}_{0.5}\text{Pb}_{0.5}\text{I}_3$ ). The frequency scans in Figure S12a show that this sample also displays an increase in  $\sigma_{\text{dark}}$  after exposure to oxygen. By observing Figure 2a, we note that the susceptibility to oxygen-induced doping is similar to that of  $\text{Cs}_{0.25}\text{FA}_{0.75}\text{Sn}_{0.5}\text{Pb}_{0.5}\text{I}_3$ . Therefore, we postulate that the doping process occurs irrespective of the choice of A-site cations.

To study how the oxygen-induced doping of Sn-containing perovskites is affected by light, after investigating the effect of exposure to oxygen only (see Figure S11a), we examined the effect of light only.  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite layers were placed in a  $\text{N}_2$ -filled microwave cell and illuminated with a white LED, as described in the Supporting Information E/M S6. After a specific time interval, the LED was switched off and the perovskite layer was measured in the dark by SSMC. The frequency scans resulting from such SSMC measurements are visible in Figure S11b, while the corresponding evolution of  $\Delta\sigma_{\text{dark}}$  over time is shown in Figure 2b. We observed basically no increase in  $\sigma_{\text{dark}}$  upon illumination, implying that the dark conductivity of the perovskite thin films is not affected by light.

Next, the  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite films were exposed to oxygen and light simultaneously by introducing the 21%  $\text{O}_2/79\%$   $\text{N}_2$  gas mixture into the microwave cell and illuminating by the white light LED with irradiance of  $51 \pm 1$   $\text{mW cm}^{-2}$ . The frequency scans recorded in the dark are shown in Figure S11c, while the corresponding evolution of  $\Delta\sigma_{\text{dark}}$  over time is added to Figure 2b. We observe a rapid increase in background conductivity already after only  $t = 1$  min. By comparing the rates of oxygen-induced doping, we notice an approximately 4 times increase in conversion rate by illumination. Hence, the combination of oxygen and light exposure accelerates the doping effect in  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite films. More specifically, we infer that oxygen induces doping and light enhances this process, since as mentioned before light alone does not lead to doping. Moreover, as shown in Figure S11c the conductivity decreased over days as the perovskite thin film is stored under  $\text{N}_2$ , returning to its initial level  $\sigma_{0, \text{dark}}$ , similarly to the samples which were exposed to oxygen only. Furthermore, as shown in Figure S12b, light-enhanced oxygen-induced doping also occurs in  $\text{MASn}_{0.5}\text{Pb}_{0.5}\text{I}_3$  thin films, although at an even faster rate compared to  $\text{Cs}_{0.25}\text{FA}_{0.75}\text{Sn}_{0.5}\text{Pb}_{0.5}\text{I}_3$ . We conclude that the enhancement of oxygen-induced doping by light occurs independently on the choice of the A-site cation.

To improve the understanding of oxygen-induced doping and its enhancement by light, we investigated the charge carrier dynamics of  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  thin films by electrodeless laser pulse-induced TRMC, which is described in the Supporting Information E/M 4 and Figures S2 and S3. Note that all TRMC traces were measured after refilling the microwave cell with  $\text{N}_2$  to avoid that the laser light enhances the oxidation, as demonstrated in Figures S6 and S9b. To facilitate the comparison, the TRMC traces measured under pristine conditions, after exposure to oxygen, light or simultaneously oxygen and light and after storage in  $\text{N}_2$  were recorded using identical laser intensities and wavelengths. The results are shown in Figure 3. Additional TRMC traces recorded using



**Figure 3.** Effect of the exposure to (a) oxygen, (b) light and (c) simultaneously oxygen and light on the charge carrier dynamics of  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite thin films measured by TRMC. The inset in (a) presents TRMC traces measured on shorter time scales (see also Figure S14). TRMC traces were recorded using identical laser intensities ( $\sim(1-3) \times 10^{10}$  photons  $\text{cm}^{-2}$ ) and wavelength ( $\lambda = 800$  nm). All the TRMC traces have been normalized to the maximum signal of the corresponding pristine perovskite layer.

various intensities are shown in Figure S13. To allow a comparison, all the TRMC traces have been normalized to the maximum signal of the corresponding pristine perovskite layer. The TRMC traces show a fast rise in the conductance due to the photogeneration of mobile charge carriers by the nanosecond laser pulse. The decay of the TRMC signal over time is due recombination or immobilization of the excess charge carriers in trap states. For the pristine films, the sum of electron and hole mobilities,  $\Sigma\mu$ , is in the order of  $30-40$   $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$  in combination with a photoconductivity signal lifetime exceeding  $\tau > 1$   $\mu\text{s}$ . These mobility values are close to those reported in the literature obtained by optical-pump terahertz-probe measurements for similar perovskite compositions.<sup>16,18</sup>

Then, the TRMC traces recorded immediately after exposure to oxygen for  $t = 90$  min are compared to those of the pristine layer (Figure 3a, respectively in light blue and red). We note that the doping induced by oxygen leads to a reduction of the TRMC signal to about one-third of the pristine film signal. We attribute this to fast pseudomonomolecular recombination of the photogenerated electrons with the background free holes, which reduces the charge carrier lifetime significantly. This is also evident by measuring the charge carrier dynamics by using a microwave open cell, which features a better time resolution, as visible in Figure S14. As shown in the inset of Figure 3a indeed, a rapidly decaying signal is visible. Hence, we suggest that the reduction of the TRMC signal is not so much related to a reduction in the charge carriers mobilities, but it is mainly the result of a rapid recombination induced by the high concentration of background free holes related to doping, leading to fast pseudomonomolecular recombination.

Apart from a reduction in signal size, the TRMC traces decay slower. As shown in Figure S15, long tails in the TRMC traces lasting for  $\tau > 10 \mu\text{s}$  can be observed. These are indicative of trapping of one type of charge carrier, either electrons or holes, preventing recombination with their countercharges. Therefore, we conclude that apart from doping exposure to oxygen leads to the formation of additional defect states in the perovskite thin film. In support of defect formation, the reduction in photoconductivity and the increase in the first-order recombination rate were also observed in perovskite thin films with similar composition by transient THz photoconductivity measurements on exposure to ambient air.<sup>18</sup> We emphasize that these defects are associated with oxygen-induced doping but are not necessarily directly responsible for the formation of free holes. It is more likely that they originate from consecutive oxidation products starting with the free holes.

As mentioned above, after oxygen exposure the dark conductivity decayed slowly to its original level  $\sigma_{0,\text{dark}}$  over a time scale of days when the films are stored in  $\text{N}_2$ . However, the TRMC signal (Figure 3a, in purple) is only partially restored, since it is only half the signal of the pristine film. Note that these long-term effects do not occur when the similar layers are stored for days under  $\text{N}_2$  without any exposure to oxygen, as shown in Figure S9c–e. Hence, given that the oxygen-induced doping is almost entirely gone, rapid pseudo-first order recombination is not possible. This leads to a higher TRMC signal than that directly after oxygen-induced doping. Nevertheless, since we still observe a reduction in the TRMC signal with respect to that of the pristine sample in combination with the presence of the long-lived tails, we conclude that the defects are still present. Basically, this implies some irreversible deterioration of the perovskite layer, negatively affecting the charge carrier transport even after loss of doping has occurred.

Next, we checked the effect of illumination on the perovskite thin films in Figure 3b. On comparing the TRMC trace recorded after exposure to light for  $t = 30$  min (Figure 3b, in purple) with the pristine film signal (Figure 3b, in red) we noticed that the dynamics of photogenerated charge carriers remain basically unchanged, except for a small variation in the size of the TRMC signal. Lastly, we investigated the effect on the charge carrier dynamics induced by the simultaneous exposure to oxygen and light. By comparing the charge carrier dynamics under pristine conditions (Figure 3c, in red), after

the simultaneous exposure to oxygen and light for  $t = 15$  min (Figure 3c, in green) and after storage in  $\text{N}_2$  for days (Figure 3c, in purple) to the dynamics shown in Figure 3a, we conclude that the TRMC traces exhibit the same changes as observed upon exposure to oxygen alone. This confirms that with light indeed the same oxygen-induced doping process occurs, creating the same type of defects as without light.

In the literature and as shown by eq 1, oxygen-induced doping is typically associated with p-type behavior.<sup>13,31,32</sup> Hence, we postulate that the rise in conductivity is caused by an increase in mobile free holes formed on oxygen-induced doping. As detailed above from fitting of the SSMC measurements in Figure 1,  $\Delta\sigma_{\text{ox, dark}}$  is derived. From the TRMC measurements we obtain the charge carrier mobilities sum  $\Sigma\mu$ . Assuming  $\mu_{\text{h}} \approx \mu_{\text{e}}$  on basis of the similar effective masses of the electrons and holes,<sup>40</sup> we come to a hole mobility  $\mu_{\text{h}}$  of around  $15\text{--}20 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ . By using eq 2, we can determine the actual concentration of mobile holes.

$$n_{\text{h, dark}} = \frac{\sigma_{\text{dark}}}{e\mu_{\text{h}}} \quad (2)$$

The initial charge carrier concentration for the pristine layers is  $n_{0,\text{h, dark}} \leq 10^{15} \text{ cm}^{-3}$ . By comparing these values with the literature,<sup>16,40,47</sup> we note that our perovskite thin films, especially those with higher tin fractions, are doped even in pristine conditions probably due to the inability of the  $\text{SnF}_2$  to prevent tin oxidation completely. The final doping level,  $n_{\text{h, dark}}$  is equal to the sum of the initial charge carrier concentration,  $n_{0,\text{h, dark}}$  and its increase due to doping,  $\Delta n_{\text{h, dark}}$ . After oxygen-induced doping, for  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite layers a moderate doping level of  $n_{\text{h, dark}} \sim 7.0 \times 10^{16} \text{ cm}^{-3}$  is obtained after  $t = 1$  h of exposure to oxygen. A similar doping can be accomplished in  $t = 13$  min using simultaneously oxygen and light. For  $\text{Sn}_{0.2}\text{Pb}_{0.8}$  a 5 times smaller free holes concentration is reached ( $n_{\text{h, dark}} \sim 1.3 \times 10^{16} \text{ cm}^{-3}$ ) after  $t = 2$  d of exposure to oxygen. For  $\text{Sn}_0\text{Pb}_1$  the initial hole concentration is around  $n_{\text{h, dark}} \sim 1 \times 10^{13} \text{ cm}^{-3}$  and does not change even after  $t = 4$  d of exposure to oxygen.

Next, the potential impact of the oxidation on a corresponding perovskite solar cell is examined by studying the quasi-Fermi level splitting (QFLS), which is a measure of the maximum open circuit voltage attainable in a full device. We determined the QFLS by means of the microwave conductivity setup, as described in detail in Supporting Information E/M S7. Basically, we measure the photoconductivity on illuminating the perovskite layer using a green LED ( $\lambda = 522 \text{ nm}$ ) modulated at a frequency of 1 Hz at an intensity which generates the same amount of charge carriers as illumination under AM1.5. The change in voltage ( $\Delta V$ ) between light (light on) and dark (light off) over the microwave detector was probed by a lock-in amplifier and related to the conductivity. From the change in conductivity on illumination we calculate the excess charge carrier density,  $\Delta n$ , using the known mobility. The QFLS can then be calculated by using eq 3.<sup>48</sup>

$$\text{QFLS} = \frac{k_{\text{B}}T}{e} \ln \left( \frac{(n_{\text{e, dark}} + \Delta n_{\text{e}})(n_{\text{h, dark}} + \Delta n_{\text{h}})}{n_{\text{i}}^2} \right) \quad (3)$$

where the  $k_{\text{B}}T/e$  is the thermal voltage,  $n_{\text{i}}$  represents the intrinsic carrier density,  $n_{\text{e, dark}}$  and  $n_{\text{h, dark}}$  are respectively the dark electron and dark hole densities in thermal equilibrium,

and  $\Delta n_e$  and  $\Delta n_h$  are respectively the photoinduced excess charge carrier densities.<sup>48</sup>

We calculated  $n_i \sim 5 \times 10^7 \text{ cm}^{-3}$  for mixed Sn–Pb perovskites by using the bandgap energy calculated in Figure S20 and the reported values of the effective masses.<sup>40</sup> Since  $n_{e,\text{dark}}$  is expected to be close to 0 for a p-type doped semiconductor, the above expression reduces to eq 4.

$$\text{QFLS} = \frac{k_B T}{e} \ln \left( \frac{\Delta n \cdot n_{h,\text{dark}} + \Delta n^2}{n_i^2} \right) \quad (4)$$

The QFLS results are collected in Table 1. On comparing the pristine film with the oxygen-treated samples, we observe

**Table 1. Calculated QFLS Values in eV Measured by Means of the Microwave Conductivity Setup for  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  Perovskite Thin Films Under Pristine Conditions, After Oxygen-Induced Doping or Oxygen-Induced Light-Enhanced Doping and After Storage in  $\text{N}_2$**

| QFLS (eV)                            | pristine | after treatment | after storage in $\text{N}_2$ |
|--------------------------------------|----------|-----------------|-------------------------------|
| oxygen-induced doping                | 0.97     | 1.07            | 1.00                          |
| oxygen-induced light-enhanced doping | 0.97     | 1.05            | 1.01                          |

an increase in the QFLS of almost 10 meV. This can be explained by realizing that on oxygen exposure we created metastable doping of the perovskite layer, which increases the  $\Delta n \cdot n_{h,\text{dark}}$  term in eq 4 substantially. This is independent if the perovskite film was exposed to only oxygen or simultaneously to oxygen and light, in agreement with our previous findings.

Upon storage in  $\text{N}_2$ ,  $\sigma_{\text{dark}}$  decays slowly to its original level, reducing the  $\Delta n \cdot n_{h,\text{dark}}$  term again. The QFLS values decrease but remain slightly higher than those of the pristine films. We explain this by assuming that additional defects form due to consecutive oxidation products created by the free holes. We think that these defects are iodide vacancies,  $\text{V}_I^\bullet$ , which give rise to electron traps. On optical excitation, excess electrons are readily trapped, yielding a long-lived excess holes concentration. With respect to the pristine layer, we anticipate that recombination of the trapped electrons to the ground state is very slow, leading to the observed increased QFLS values after storage in  $\text{N}_2$ . This is in line with the slow recombination kinetics observed by TRMC in Figure 3c.

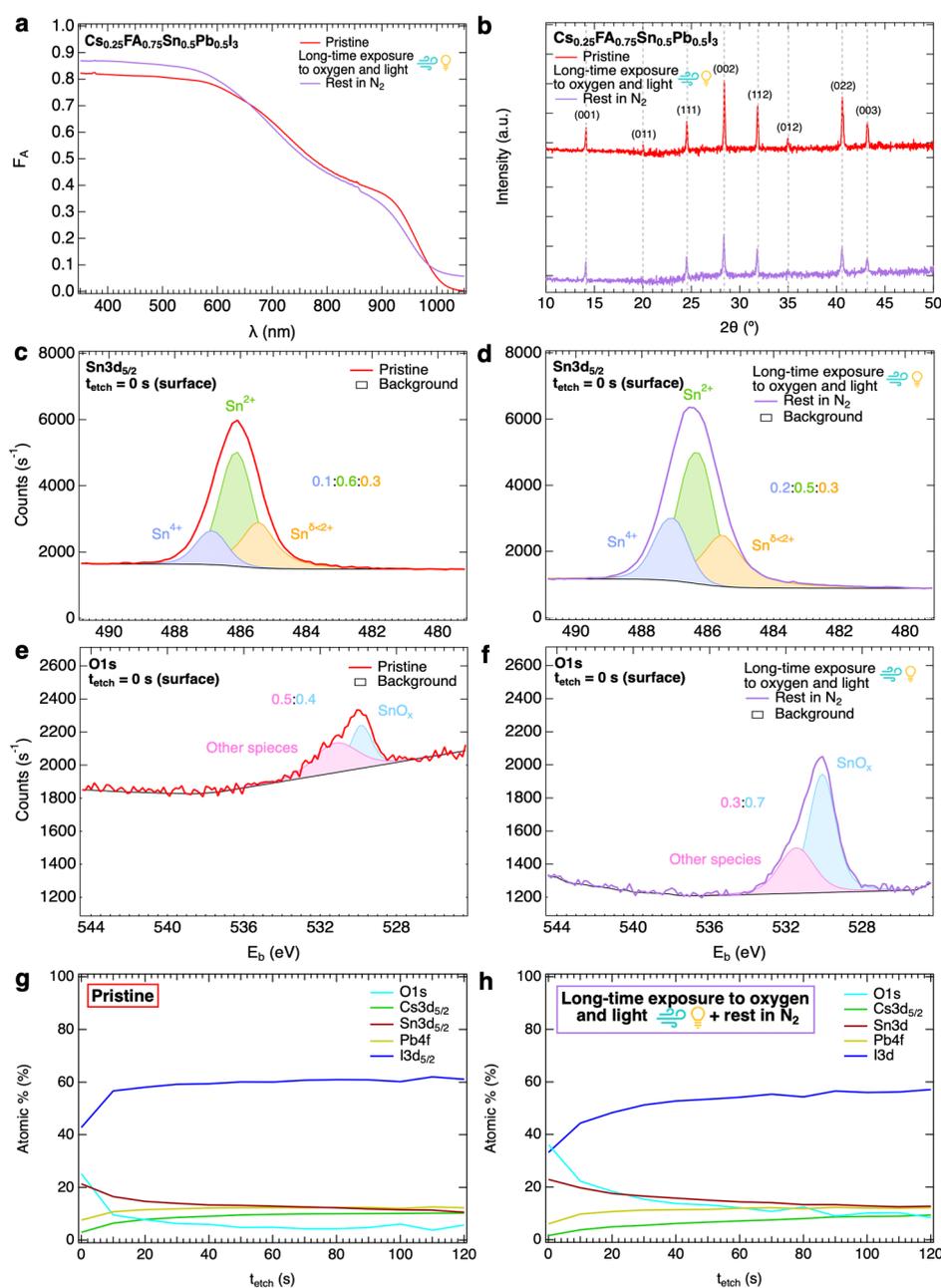
To get more insight into the oxidation mechanism, we analyzed the oxidation products formed on oxygen-induced (light-enhanced) doping. In the experiments described above where the  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite layers reach a moderate doping level of  $n_{h,\text{dark}} \sim 7.0 \times 10^{16} \text{ cm}^{-3}$ , after  $t = 1 \text{ h}$  of exposure to oxygen. Since we know from eq 1 that each oxygen molecule reacting with tin leads to the creation of two free holes, only a very small fraction of the ions in the film is involved in the doping process. Indeed, if compared with the molar density of tin in the perovskite crystal structure ( $\sim 2.0 \times 10^{21} \text{ cm}^{-3}$ ), a fraction as small as  $\sim 1.6 \times 10^{-5}$  of the tin ions is converted to tin oxide species. This is in line with Figure S21, which shows that for  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite films the absorbance spectra do not change substantially. Moreover, we did not observe any significant changes (no disappearance of any perovskite XRD peak, change of crystal phase or new phases) in the corresponding XRD patterns, as shown in Figure S17. Taking this into account, we exposed the  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  perovskite layer to only oxygen or simultaneously oxygen and light for  $t \sim 24 \text{ h}$ ,

followed by a storage in  $\text{N}_2$  for  $t \sim 7$  days, to induce a substantial, structural change in the perovskite layer.

After the prolonged treatment with simultaneously oxygen and light, we noted in Figure 4a a small reduction in absorption and a residual, enhanced optical absorption in the near-infrared spectral region. This is also visible for the prolonged treatment with only oxygen, as shown in Figure S22. Therefore, we suggest that prolonged treatments lead to the formation of optically active trap states within the bandgap. We underline that the optical changes are not related to free charges absorption since the dark conductivity has after the storage period reduced back to very low values. This defect formation is consistent with results of the TRMC measurements, however, the concentration of defects formed by short-term exposure is only marginal compared to that by long-term exposure.

On comparing the XRD patterns measured in vacuum of pristine and treated layers, as shown in Figure 4b for oxygen and light and Figure S18 for only oxygen, we observe a consistent lowering of all perovskite peaks after exposure, as also reported in the literature.<sup>18,25,28,29,42</sup> We attribute this to a partial breakdown of the perovskite crystal structure. Moreover, we do not observe any new diffraction peaks, not even at the surface of the film as measured by grazing incidence X-ray diffraction and shown in Figure S19. Hence, the oxidation products may be amorphous, nanocrystalline or just a small fraction of  $\text{SnO}_x$  and/or volatile products such as  $\text{I}_2$ .<sup>18,25,27–29,42,49</sup>

Next both treated and pristine films were analyzed using XPS as shown in Figure S23 and Table S1. By fitting the peaks of the Sn 3d<sub>5/2</sub> and O 1s core levels at the surface presented in Figure 4c,e, we observe even for the pristine film tin oxide species ( $\text{SnO}_x$ ) at the surface. This tin oxidation is probably due to undesired residual oxygen in the  $\text{N}_2$ -filled glovebox or by the DMSO solvent used in the perovskite synthesis, as reported in the literature.<sup>50,51</sup> Nevertheless, as shown by Figure 4d,f, long-term exposure to simultaneously oxygen and light leads to further oxidation of tin from  $\text{Sn}^{2+}$  to  $\text{Sn}^{4+}$  and formation of additional  $\text{SnO}_x$  as oxidation product. In fact, ignoring the residual adsorbed oxygen on the surface of the films and comparing the regions just below the surface ( $t_{\text{etch}} = 10 \text{ s}$ ) in Table S2, we notice that the Sn/O ratio is higher for the treated (1:1.1) than for the pristine (1:0.6) layer, which confirms oxygen incorporation and the formation of  $\text{SnO}_x$  near the surface. Furthermore, the XPS peak associated with other oxide species is most likely due to C=O bonds between adventitious carbon and residual oxygen. In addition to that, Figures 4c,d reveal that undercoordinated tin ions  $\text{Sn}^{\delta < 2+}$  (where  $\delta$  is the oxidation state), observed in the literature as well,<sup>27</sup> are also present at the film surface for both pristine and treated layers. We attributed this to I-poor conditions caused by the addition of  $\text{SnF}_2$  as an additive or a lack of incorporation of iodide during the perovskite synthesis. In line with this, a subtle depletion of iodide at the pristine film surface is observed in the XPS depth profiling in Figure 4g and the Sn/I ratios in Table S2, where the Sn/I ratio is only 1:2 instead of 1:5.8 deeper in the bulk of the film (closer to the expected 1:6 ratio). The lack of iodide becomes evident after the long-term exposure, as shown in the XPS depth profiling in Figure 4h. In this case, the Sn/I ratio are 1:1.5 at the surface and 1:4.5 deeper into the film thickness. Similarly, we observed tin oxidation, additional  $\text{SnO}_x$  formation and iodide depletion by XPS analysis of the perovskite layer surface after exposure to

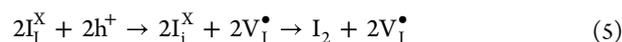


**Figure 4.** Comparison of optical, structural, and elemental properties of  $\text{Sn}_{0.5}\text{Pb}_{0.5}$  thin films under pristine conditions (in red) and after exposure to simultaneously oxygen and light for  $t \sim 24$  h followed by storage in  $\text{N}_2$  (in purple). (a) Absorbance spectra and (b) XRD diffraction patterns, corrected with that of the quartz substrate, showing the Miller indices of the characteristic diffraction peaks of the pseudocubic crystal phase. (c–f) X-ray photoelectron spectroscopy (XPS) surface analysis and peak fitting presenting the elemental composition, oxidation states and their ratios for (c,d) Sn  $3d_{5/2}$  and (e,f) O  $1s$  core levels. (g,h) XPS depth profiling showing the relative percentages of O, Cs, Sn, Pb and I elements as a function of etching time ( $t_{\text{etch}}$ ), corresponding to a probing depth of about one-fourth of the total thickness of the film. The full XPS depth profiles are shown in Figure S23f,g.

oxygen for  $t \sim 24$  h and rest in  $\text{N}_2$  for  $t \sim 7$  d, shown in Figure S24 and Table S3. However, it seems that the prolonged exposure to only oxygen caused also a slight shift to higher binding energies ( $E_b$ ) of the XPS peaks of lead and iodide at the surface, the latter being in line with the literature and associated with the formation of  $\text{I}_3^-$ .<sup>27</sup> This suggests a variation in the chemical bonds involving these ions, which we believe to be due to a slight oxidation and thus degradation of the perovskite crystal structure.

From the XPS results we infer the formation of  $\text{SnO}_x$  and partial volatilization of  $\text{I}_2$ . During the storage in  $\text{N}_2$ ,

background holes are expected to oxidize iodide to iodine, leading to the evolution of  $\text{I}_2$ ,<sup>29</sup> as shown by eq 5, expressed by Kröger-Vink notation.



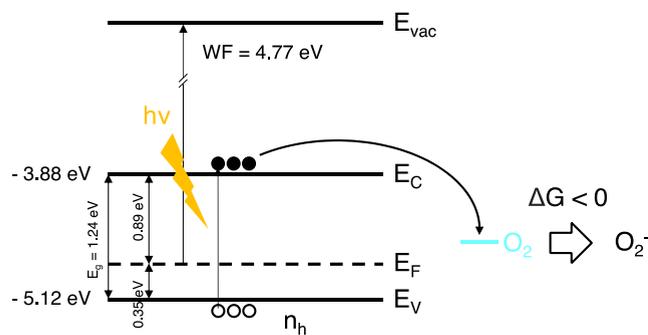
We suggest that iodide Frenkel defects form, which are reported as an iodine-related degradation pathway for other perovskites.<sup>52,53</sup> In detail, in the process shown in eq 5 two iodide ions,  $\text{I}_1^{\text{X}}$ , with neutral charge since they occupy the right lattice sites in the perovskite crystal, are oxidized by background holes and displaced from their positions to occupy

interstitial sites. Then, two oxidized interstitial iodide ions,  $I_i^X$ , which form neutrally charged defects in the lattice, are converted into  $I_2$ . The evolution of  $I_2$  is thus accompanied by the formation of two positively charged iodide vacancies,  $V_I^\bullet$ . This is in line with our hypothesis of formation of  $V_I^\bullet$  crystal defects on interpreting the QFLS results in Table 1.

The formation of  $I_2$  in Sn-containing perovskites in the presence of oxygen, with or without simultaneous illumination, has also been observed in the literature.<sup>25,27,28,49</sup> This reaction leads to undoping of the system, explaining the metastability of the oxygen-induced (light-enhanced) doping, and depletion of iodide from the film surface. This is in line with the weaker bonds between tin and iodide in the perovskite crystal structure upon tin oxidation discussed in the literature,<sup>19</sup> and with the above observations on the perovskite degradation.

Lastly, we try to address the enhancement observed on oxygen-induced doping under illumination. Although there is a huge excess of oxygen in the microwave cell, the oxidation reaction without light is sluggish.<sup>29,54</sup> Hence, we conjecture a reaction-limited mechanism depending on the probability of interaction between oxygen and tin. In the case of light-enhanced oxygen-induced doping, electrons are excited to the conduction band.<sup>28</sup> We hypothesize that these conduction band electrons react with  $O_2$  forming superoxides ( $O_2^-$ ) as an intermediate reaction product, which is also reported in the literature.<sup>28,54,55</sup> It is conceivable that the free energy for these conduction band electrons to react with oxygen is substantially favored in comparison to valence band electrons, explaining the enhanced conversion rate by light. A schematic illustration of this process is shown in Scheme 2. Furthermore, we suppose

**Scheme 2. Illustration of the Process of Light Enhancement of Oxygen-Induced Doping in  $ASn_{0.5}Pb_{0.5}I_3$  Perovskite Films, where  $E_{vac}$  = Vacuum Level,  $E_C$  = Conduction Band Minimum,  $E_V$  = Valence Band Maximum,  $E_g$  = Bandgap Energy,  $\Delta G$  = Change in Free Energy of the Reaction Between the Initial and Final States and  $n_h$  = Background Free Holes Concentration, Here Considered to be  $n_{h, dark} \sim 10^{16} - 10^{17} \text{ cm}^{-3}$ . This Illustration is Partially Based on Values Reported in the Literature for Similar Perovskites<sup>21,47</sup>**



that under illumination part of the iodide ions becomes mobile interstitial lattice defects,<sup>27</sup> due to the low activation energy for iodide migration (0.3–0.5 eV),<sup>30</sup> favoring the degradation of the perovskite layer.

Understanding the mechanism underlying light-enhanced oxygen-induced doping is extremely important, as we showed that it impairs the charge carrier dynamics in mixed Sn–Pb perovskites, limiting ultimately the device efficiency. Our results point out that future research should focus on finding

solutions to prevent the reaction of the perovskite absorber with oxygen. The presence of oxygen during the material synthesis and the fabrication of the solar cell or a small leak in the full device encapsulation will be detrimental. This is particularly worrying when one considers that harvesting solar energy relies precisely on exposure of the absorber layer to sunlight. Apart from preventing the presence of oxygen during the entire manufacturing process and the correct selection of durable encapsulation materials, a combination of additives and surface passivation, e.g. via a thin  $Al_2O_3$  ALD layer, may be a successful strategy to suppress oxygen-induced doping. We envision that this plan of action could lead to the implementation of highly efficient and long-term stable devices in the coming years.

## CONCLUSIONS

In this work, we investigated qualitatively and quantitatively oxygen-induced doping in isolated mixed Sn–Pb perovskite thin films. For  $Cs_{0.25}FA_{0.75}Sn_{0.5}Pb_{0.5}I_3$  incrementally longer exposure times to oxygen leads to a progressively higher dark conductivity, which decays exponential-like to its original level over a time scale of days when the films are stored under  $N_2$ , revealing a metastable process. The photoconductivity, however, does not revert to its original kinetics, implying a permanent degradation of the charge transport properties. Additionally, oxygen-induced doping is accelerated by illuminating the perovskite. We observed that oxygen-induced doping is unique for the tin-containing perovskites and occurs irrespective of the choice of A cation(s). We conclude that the exposure to oxygen leads to the oxidation of tin to  $SnO_x$  species, which entails the creation of free holes which effectively p-dope the perovskite, and formation of additional defect states, which both enhance the recombination of photogenerated carriers. In contrast to the relatively small doping level, this is sufficient to cause immediate and enormous changes in the charge carrier dynamics. Prolonged exposure of the mixed Sn–Pb perovskite films to oxygen and light is required to reveal measurable structural and optical changes in the perovskite film. On oxidation, the perovskite crystal structure deteriorates due to a buildup of tin oxide species,  $SnO_x$ , and loss of iodide due to the release of  $I_2$  near the surface. Basically, we state that the defect density arising from short-term exposure to oxygen immediately impairs the solar cell optoelectronic properties, while perovskite structural and optical properties degradation only emerges upon long-term exposure and accumulation of oxidation products. We believe that the exposure to oxygen of mixed Sn–Pb perovskites solar cells during production and operation should be strictly prevented to improve their performance and lifetime. Moreover, understanding the oxygen-induced degradation processes is of fundamental importance to select the best materials, device architectures and encapsulation, and appropriate fabrication conditions.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.4c08924>.

Experimental section/Methods, SSMC and TRMC results, quantification of tin oxidation, XRD, UV–vis–NIR spectroscopy and XPS results, Figures S1–S24, Tables S1–S3 (PDF)

## AUTHOR INFORMATION

## Corresponding Author

Tom J. Savenije – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands; [orcid.org/0000-0003-1435-9885](https://orcid.org/0000-0003-1435-9885); Email: [T.J.Savenije@tudelft.nl](mailto:T.J.Savenije@tudelft.nl)

## Authors

Jasmee Nespoli – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands

Matthijs Mugge – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands

Lara M. van der Poll – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands

Snidgha Lal – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands

Bahiya Ibrahim – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands

Bart Boshuizen – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands; [orcid.org/0000-0002-3413-8839](https://orcid.org/0000-0002-3413-8839)

Valentina M. Caselli – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands; [orcid.org/0000-0002-3730-5241](https://orcid.org/0000-0002-3730-5241)

Arjan J. Houtepen – Department of Chemical Engineering, Faculty of Applied Sciences, Delft University of Technology, 2629 HZ Delft, The Netherlands; [orcid.org/0000-0001-8328-443X](https://orcid.org/0000-0001-8328-443X)

Lars J. Bannenberg – Department of Radiation Science and Technology, Faculty of Applied Sciences, Delft University of Technology, 2629 JB Delft, The Netherlands; [orcid.org/0000-0001-8150-3694](https://orcid.org/0000-0001-8150-3694)

Complete contact information is available at:  
<https://pubs.acs.org/10.1021/jacs.4c08924>

## Notes

The authors declare no competing financial interest.

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