

Adaptive state-feedback synchronization with distributed input

The cyclic case

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DOI [10.1016/j.ifacol.2018.12.001](https://doi.org/10.1016/j.ifacol.2018.12.001)

Publication date 2018 Document Version

Final published version Published in

IFAC-PapersOnLine

Citation (APA)

Baldi, S., Rosa, M. R., & Frasca, P. (2018). Adaptive state-feedback synchronization with distributed input: The cyclic case. IFAC-PapersOnLine, 51(23), 1-6. <https://doi.org/10.1016/j.ifacol.2018.12.001>

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7th IFAC Workshop Available online at www.sciencedirect.com

IFAC PapersOnLine 51-23 (2018) 1–6

\bf{Id} aptive state-feedback synchronizatio Adaptive state-feedback synchronization radporte state recuback synchromatic when distributed input: the cyclic case Adaptive state-feedback synchronization Adaptive state-feedback synchronization with distributed input: the cyclic case with distributed input: the cyclic case

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Abstract: Using a setting in which the input is communicated among neighbors (without of linear uncertain agents has been formulated recently as a distributed model reference adaptive
of linear uncertain agents has been formulated recently as a distributed model reference adaptive control (MRAC) where each agent tries to converge to the model defined by its neighbors. In control (MRAC) where each agent tries to converge to the model definition of its neighbors. In this work we show how to parametrize the distributed MRAC in cyclic and undirected graphs. exchanging any distributed observer variables), the problem of synchronizing an *acyclic* network Abstract: Using a setting in which the input is communicated among neighbors (without exchanging any distributed observer variables), the problem of synchronizing an *acyclic* network of linear uncertain agents has been fo exchanging any distributed observer variables), the problem of synchronizing an acyclic network of linear uncertain agents has been formulated recently as a distributed model reference adaptive control (MRAC) where each agent tries to the model defined by its neighbors. In the model defined by its

© 2018, IFAC (International Federation of Automatic Control) Hosting by Elsevier Ltd. All rights reserved. Control in the linearmonization of the control control. Hereing all movements, and the refuge \odot 2018, IFAC (International Federation of Automatic Control) Hosting by Elsevier Ltd. All rights reserved.

Keywords: Adaptive synchronization, heterogeneous uncertain agents, adaptive control. Keywords: Adaptive synchronization, heterogeneous uncertain agents, adaptive control. Keywords: Adaptive synchronization, heterogeneous uncertain agents, adaptive control.

1. INTRODUCTION 1. INTRODUCTION 1. INTRODUCTION 1. INTRODUCTION 1. INTRODUCTION

In recent years, cooperative control of multiagent systems
has received increasing attention, due to its impact in
formation flying, smart energy, traffic, and other areas
(Ren et al. (2007); Bullo et al. (2012)). An impor has received increasing attention, due to its impact in formation flying, smart energy, traffic, and other areas (Ren et al. (2007); Bullo et al. (2012)). An important problem in cooperative control is to achieve in a distributed blem in cooperative control is to achieve in a distributed
way (i.e. using local information) a common behavior for
the entire network: this is the so-called synchronization the entire network: this is the so-called synchronization problem (Dorfler and Bullo (2014); Turci et al. (2014); Gibson (2016); Casadei and Astolfi (2017). way (i.e. using local information) a common behavior for

Synchronization has been studied for: uncertain but homo-
Synchronization has been studied for: uncertain but (201) geneous agents (Li and Ding (2015) ; Ding and Li (2016)), or heterogeneous agents with limited uncertainty (Seyboth), et al. $(2016, 2015)$; Li et al. (2014) ; Mei et al. (2016)). It results that synchronization for agents that are concurrently heterogeneous and uncertain is still a major problem. Recently, to handle heterogeneity and uncertainty, it has been proposed to formulate the synchronization problem as a special *model reference adaptive control* (MRAC) in as a special *model reference diaprice control* (METC) in by its neighbors (Baldi and Frasca (2018); Harfouch et al. by its heighbors (Balar and Frasca (2010), Harlotten et al.
(2017a)). This formulation is based on 'feedback matching gains' (used to match each agent to the reference model, or leader) and 'coupling matching gains' (used to match each exact) and coupling matering gains (asce to material calls
agent with its neighbors). Adaptive laws for both feedback agent which is heighbors). Adaptive laws for boun recuback
and coupling gains are derived via Lyapunov analysis.

The distributed MRAC exploits a communication setting agent with its neighbors). Adaptive laws for both feedback
and coupling gains are derived via Lyapunov analysis.
The distributed MRAC exploits a communication setting
in which the input is communicated among neighbors (*d tributed input* approach). This is alternative to another popular approach to synchronization, the *distributed* observer pular approach (Cai et al. (2017); Lu and Liu (2017); Baldi (2018)) where, in place of the input, an observation of the (2010) where, in place of the input, an observation of the
leader state is communicated among neighbors. Both the
distributed input and the distributed observer approaches dealer state is communicated along heighbors. Both the include a feedforward action and need to communicate auxiliary variables to the neighbors (inputs and obserauxiliary variables to the neighbors (inputs and obser-
vations, respectively) to reconstruct the reference signal. vations, respectively) to reconstruct the reference signal. auxiliary variables to the neighbors (inputs and obser-
vations, respectively) to reconstruct the reference signal. Despite these similarities, the distributed observer scheme Despite these similarities, the distributed observer scheme Despite these similarities, the distributed observer scheme Expression and explicit graphs and undirected graphs, while
the distributed input with MRAC can be applied only
to acyclic directed graphs. For this reason it finds main the distributed input with MRAC can be applied only the distributed input with MRAC can be applied only the distributed input with MRAC can be applied only to acyclic directed graphs. For this reason it finds main
application in platooning, where no cyclic communication
occurs Harfouch et al. (2017b). occurs Harfouch et al. (2017b). application in platooning, where no cyclic communication application in platooning, where no cyclic communication application in platooning, where no cyclic communication

occurs Harrouch et al. (2017b).
Even if the distributed observer approach can be used in a larger number of cases, the distributed input approach a larger named or cases, the distributed mput approach typically smaller than the dimension of the leader state vector, and thus communication with distributed inputs recool, and this communication with distributed inputs
is less cumbersome. While hierarchical architectures have been proposed to remove cycles in the distributed input approach (Wang et al. (2016)), the open question that approach (wang et al. (2010)), the open question that algorithms based on distributed input with the ability to motivates this work is: is it possible to design MRAC
algorithms based on distributed input with the ability to
handle cyclic and undirected graphs? This work gives a
positive answer by showing that the same MRAC paramepositive answer by showing that the same MRAC parame-handle cyclic and undirected graphs? This work gives a handle cyclic and undirected graphs? This work gives a handle cyclic and undirected graphs? This work gives a positive answer by showing that the same intereparametrization derived for the acyclic graph case can be extended to cyclic and undirected graphs. to cyclic and undirected graphs. trization derived for the acyclic graph case can be extended to cyclic and undirected graphs. trization derived for the acyclic graph case can be extended handle cyclic and undirected graphs? This work gives a Even if the distributed observe

to cyclic and undirected graphs.
Notation: The transpose of a matrix or of a vector is indicated with X' and x' respectively. A directed graph (digraph) is indicated with the pair (N, \mathcal{E}) , where N is a the momentum of the pair (x, y, z) , where y is a set of finite nonempty set of nodes, and $\mathcal{E} \in \mathcal{N} \times \mathcal{N}$ is a set of α ordered pair of nodes, called edges. The adjacency matrix $\mathcal{A} = [a_{ij}]$ of an unweighted digraph is defined as $a_{ii} = 0$ and $a_{ij} > 0$ if $(j, i) \in \mathcal{E}$, where $i \neq j$. indicated with X' and x' respectively. A directed graph
(digraph) is indicated with the pair (N, \mathcal{E}) where N is a $A = [a_{ij}]$ of an unweighted digraph is defined as $a_{ii} = 0$
and $a_{ii} > 0$ if $(i, i) \in \mathcal{E}$ where $i \neq i$ *Notation:* The transpose of a matrix or of a vector is
indicated with X' and x' respectively. A directed graph indicated with X' and x' respectively. A directed graph (digraph) is indicated with the pair (N, \mathcal{E}) , where N is a set of notemed pair of nodes, called edges. The adjacency matrix indicated with X[°] and x[°] respectively. A directed graph
(digraph) is indicated with the pair (N, \mathcal{E}) , where N[′] is a and $a_{ij} > 0$ if $(j, i) \in \mathcal{E}$, where $i \neq j$. *to cation:* The transpose of a

2. THE ACYCLIC CASE 2. THE ACYCLIC CASE $2.$ THE ACVCLIC CASE

Fig. 1. A simple acyclic network Fig. 1. A simple acyclic network $\overline{\text{Fig 1}}$. A simple acyclic network \overline{C} 1. \overline{C}

2405-8963 © 2018, IFAC (International Federation of Automatic Control) Hosting by Elsevier Ltd. All rights reserved. Peer review under responsibility of International Federation of Automatic Control. 10.1016/j.ifacol.2018.12.001 vations, respectively) to reconstructions, reference signals are reference signal. The reference signal action of α action of Automatic Control). Hosting by Elsevier Ltd. All rights reserved

To recall the synchronization results in the acyclic case, let us consider the network in Fig. 1. Three agents, denoted with indices 1, 2 and 3, have uncertain dynamics

$$
\begin{aligned}\n\dot{x}_1 &= A_1 x_1 + b_1 u_1 \\
\dot{x}_2 &= A_2 x_2 + b_2 u_2 \\
\dot{x}_3 &= A_3 x_3 + b_3 u_3\n\end{aligned} \tag{1}
$$

where $x_1, x_2, x_3 \in \mathbb{R}^n$ is the state, $u_1, u_2, u_3 \in \mathbb{R}$ is the input, and A_1 , A_2 , A_3 and b_1 , b_2 , b_3 are unknown matrices of appropriate dimensions, with possibly $A_1 \neq A_2 \neq A_3$ and $b_1 \neq b_2 \neq b_3$. Time index will be omitted whenever obvious. Consider the reference model

$$
\dot{x}_m = A_m x_m + b_m r \tag{2}
$$

where $x_m \in \mathbb{R}^n$ is the state of the reference model, $r \in \mathbb{R}$ is its reference input, and A_m and b_m are known matrices of appropriate dimensions, with A_m being Hurwitz so as to have bounded state trajectories x_m .

The synchronization task is achieved when $x_1, x_2, x_3 \rightarrow$ x_m for $t \to \infty$. Being the system matrices in (1) unknown, the synchronization task has to be achieved in an adaptive fashion. In order to have a well-posed adaptive control problem, the following assumptions should be verified.

Assumption 1. [Feedback matching conditions] There exist vectors k_1^*, k_2^*, k_3^* and scalars l_1^*, l_2^*, l_3^* such that

$$
A_m = A_1 + b_1 k_1^{*'} , \quad b_m = b_1 l_1^{*}
$$

\n
$$
A_m = A_2 + b_2 k_2^{*'} , \quad b_m = b_2 l_2^{*}
$$

\n
$$
A_m = A_3 + b_3 k_3^{*'} , \quad b_m = b_3 l_3^{*} .
$$
\n(3)

Assumption 2. The signs of the input vector fields, i.e. the signs of $l_1^*, l_2^*, l_3^*,$ are known.

Assumptions 1 and 2 are classical conditions mutuated from MRAC (Tao (2003); Ioannou and Sun (2012)). We deal with the single-input case, although extension to the multi-input is possible following the related multivariable adaptive control theory. A consequence of Assumption 1 is the existence of coupling gains between neighboring agents.

Proposition 1. [Coupling matching conditions] There exist vectors $k_{21}^*, k_{31}^*, k_{32}^*$ and scalars $l_{21}^*, l_{31}^*, l_{32}^*$ such that

$$
A_1 = A_2 + b_2 k_{21}^{*'} , b_1 = b_2 l_{21}^{*} A_1 = A_3 + b_3 k_{31}^{*'} , b_1 = b_3 l_{31}^{*} A_2 = A_3 + b_3 k_{32}^{*'} , b_2 = b_3 l_{32}^{*}.
$$
 (4)

Proof. To derive (4), we find from (3)

$$
b_1 = b_2 \frac{l_2^*}{l_1^*}, \ \ A_1 - A_2 = b_2 k_2^{*\prime} - b_1 k_1^{*\prime} \tag{5}
$$

which gives $k_{21}^{*'} = k_2^{*'} - \frac{l_2^*}{l_1^*} k_1^{*'}$ and $l_{21}^* = \frac{l_2^*}{l_1^*}$. Similar calculations hold for $k_{31}^*, k_{32}^*, l_{31}^*, l_{32}^*.$

The synchronization of agent 1 to the reference model is the well-known model reference adaptive control (Tao, 2003, Chap. 4): it amounts to the controller

$$
u_1(t) = k'_1(t)x_1(t) + l_1(t)r(t)
$$
\n(6)

with k_1, l_1 the estimates of k_1^*, l_1^* , and to the adaptive laws

$$
\dot{k}'_1 = -sgn(l_1^*)\gamma_k b'_m Pe_1 x'_1 \n\dot{l}_1 = -sgn(l_1^*)\gamma_l b'_m Pe_1 r
$$
\n(7)

where $e_1 = x_1 - x_m$, the scalars $\gamma_k, \gamma_l > 0$ are adaptive gains, and P is a positive definite matrix satisfying

$$
PA_m + A'_m P = -Q, \t Q > 0.
$$
 (8)

Proving synchronization exploits the Lyapunov function

$$
V_1(e_1, \tilde{k}_1, \tilde{l}_1) = e_1' Pe_1 + tr\left(\frac{\tilde{k}_i' \tilde{k}_i}{\gamma_k |l_i^*|}\right) + \frac{\tilde{l}_i^2}{\gamma_l |l_i^*|} \tag{9}
$$

and the error dynamics

$$
\dot{e}_1 = A_m e_{21} + b_1 (\tilde{k}'_1 x_1 + \tilde{l}_1 r).
$$
\n⁽¹⁰⁾

The details are well known, cf. (Tao, 2003, Chap. 4).

The synchronization of agent 2 to agent 1 should avoid the use of r . This is possible via the controller

$$
u_2(t) = k'_{21}(t)x_1(t) + k'_2(t)(x_2(t) - x_1(t)) + l_{21}(t)u_1(t)
$$
 (11) and the adaptive laws

$$
\begin{aligned}\n\dot{k}'_{21} &= -sgn(l_2^*)\gamma_k b'_m P(x_2 - x_1)x'_1 \\
\dot{k}'_2 &= -sgn(l_2^*)\gamma_k b'_m P(x_2 - x_1)(x_2 - x_1)' \\
\dot{l}_{21} &= -sgn(l_2^*)\gamma_l b'_m P(x_2 - x_1)u_1\n\end{aligned} \tag{12}
$$

where k_{21}, k_2, l_{21} are the estimates of $k_{21}^*, k_2^*, l_{21}^*$ respectively. The scalar l_2^* does not need to be estimated, only its sign is needed. The adaptation law in (12) is derived via the dynamics of the error $e_{21} = x_2 - x_1$

$$
\dot{e}_{21} = A_m e_{21} + b_2 (\tilde{k}'_{21} x_1 + \tilde{k}'_2 e_{21} + \tilde{l}_{21} u_1) \tag{13}
$$

with $\tilde{k}_{21} = k_{21} - k_{21}^*, \tilde{k}_2 = k_2 - k_2^*, \tilde{l}_{21} = l_{21} - l_{21}^*.$ By taking the Lyapunov function

$$
V_{21} = e_{21}' Pe_{21} + tr\left(\frac{\tilde{k}_{21}' \tilde{k}_{21}}{\gamma_k |l_2^*|}\right) + tr\left(\frac{\tilde{k}_2' \tilde{k}_2}{\gamma_k |l_2^*|}\right) + \frac{\tilde{l}_{21}^2}{\gamma_l |l_2^*|} (14)
$$

we can calculate the time derivative of (14) along (13)

$$
\dot{V}_{21} = -e'_{21}Qe_{21} + 2(sgn(l_2^*)b'_mPe_{21}x'_1 + \gamma_k^{-1}\dot{\tilde{k}}'_{21})\frac{\tilde{k}'_{21}}{|l_2^*|} \n+2(sgn(l_2^*)b'_mPe_{21}e'_{21} + \gamma_k^{-1}\dot{\tilde{k}}'_{2})\frac{\tilde{k}_2}{|l_2^*|} \n+2(sgn(l_2^*)b'_mPe_{21}u_1 + \gamma_l^{-1}\dot{\tilde{l}}_{21})\frac{\tilde{l}'_{21}}{|l_2^*|} \n= -e'_{21}Qe_{21}
$$
\n(15)

where we have used (12). Using standard Lyapunov arguments and Barbalat's lemma we can show $\dot{V}_{21} \rightarrow 0$ as $t \to \infty$ and hence $e_{21} \to 0$.

To synchronize agent 3 to agents 1 and 2, let us derive the dynamics of the error $e_{31} = x_3 - x_1$ and $e_{32} = x_3 - x_2$

$$
\dot{e}_{31} = A_m e_{31} + b_3 (u_3 - k_{31}^{*'} x_1 - k_3^{*'} e_{31} - l_{31}^{*} u_1)
$$

\n
$$
\dot{e}_{32} = A_m e_{32} + b_3 (u_3 - k_{32}^{*'} x_2 - k_3^{*'} e_{32} - l_{32}^{*} u_2)
$$
 (16)

which leads us to the controller

$$
u_3(t) = k'_{31}(t)\frac{x_1(t)}{2} + k'_{32}(t)\frac{x_2(t)}{2} + k'_3(t)\frac{e_{31}(t) + e_{32}(t)}{2} + l_{31}(t)\frac{u_1(t)}{2} + l_{32}(t)\frac{u_2(t)}{2}
$$
\n(17)

and the adaptive laws

$$
\begin{aligned}\n\dot{k}'_{31} &= -sgn(l_3^*)\gamma_k b'_m P(e_{31} + e_{32}) x'_1 \\
\dot{k}'_{32} &= -sgn(l_3^*)\gamma_k b'_m P(e_{31} + e_{32}) x'_2 \\
\dot{k}'_{3} &= -sgn(l_3^*)\gamma_k b'_m P(e_{31} + e_{32}) (e_{31} + e_{32})' \\
\dot{l}_{31} &= -sgn(l_3^*)\gamma_l b'_m P(e_{31} + e_{32}) u_1 \\
\dot{l}_{32} &= -sgn(l_3^*)\gamma_l b'_m P(e_{31} + e_{32}) u_2\n\end{aligned} \tag{18}
$$

where k_{31} , k_{32} , k_{3} , l_{31} , l_{32} are the estimates of k_{31}^{*} , k_{32}^{*} , $k_3^*, l_{31}^*, l_{32}^*$ respectively. We derive the adaptation law in (18) via the dynamics of the error $e_{321} = e_{31} + e_{32}$ and the Lyapunov function

$$
V_{321} = e'_{321} P e_{321} + tr \left(\frac{\tilde{k}'_{31} \tilde{k}_{31}}{\gamma_k |l_3^*|} \right) + tr \left(\frac{\tilde{k}'_{32} \tilde{k}_{32}}{\gamma_k |l_3^*|} \right) + tr \left(\frac{\tilde{k}'_{3} \tilde{k}_{3}}{\gamma_k |l_3^*|} \right) + \frac{\tilde{l}_{31}^2}{\gamma_l |l_3^*|} + \frac{\tilde{l}_{32}^2}{\gamma_l |l_3^*|}. \tag{19}
$$

It is possible to verify $\dot{V}_{321} = -e'_{321}Qe_{321}$ and $e_{321} \rightarrow 0$ using similar Lyapunov arguments as before. This shows that the state of agent 3 converges to the average of the states of agents 1 and 2. Since the states of agents 1 and 2 converge to the state x_m of the reference model, then the state of agent 3 will converge to x_m as well (cf. Rosa (2018) for the full details). Overall synchronization can be proved via the Lyapunov function $V_1 + V_{21} + V_{321}$.

Remark 1. The adaptation laws (12) and (18) reminds the setting of systems stabilizing each other through adaptation (Narendra and Harshangi (2014)), with the peculiar difference that the directed path to the leader makes our adaptation always stable. On the other hand, (Narendra and Harshangi (2015)) discusses instability due to the absence of such leader.

2.1 General acyclic case

Extending from Fig. 1, let us consider a set of N agents

$$
\dot{x}_i = A_i x_i + b_i u_i, \quad i \in \{1, ..., N\}
$$
 (20)

where agent 1 is the one that can access the reference r in (2). Assumptions 1 and 2 are generalized to

$$
A_m = A_i + b_i k_i^{*'} , \ b_m = b_i l_i^{*} \tag{21}
$$

with known signs of l_i^* .

Remark 2. Similarly to Proposition 1, one can verify the existence, for every pair of agents (i, j) , of a constant vector k_{ji}^* and a scalar l_{ji}^* such that

$$
A_i = A_j + b_j k_{ji}^{*}, \t b_j = b_2 l_{ji}^{*}.
$$
 (22)

For convenience of notation, let us rewrite (2) as

$$
\dot{x}_0 = A_0 + x_0 + b_0 u_0 \tag{23}
$$

with $x_0 = x_m$, $A_m = A_0 + b_0 k_0^{*'}$, $b_0 l_{10}^{*} = b_m$, $u_0 =$ $k_0^{\ast\prime}x_0 + l_0^{\ast}r$, where k_0^{\ast} , l_0^{\ast} are known and do not need to be estimated. This gives the controller (equivalent to (6))

Fig. 2. Simple undirected (left) and cyclic (right) networks

$$
u_1(t) = k'_{10}(t)x_1(t) + k'_1(t)(x_1(t) - x_0(t)) + l_{10}(t)u_1(t).
$$
\n(24)

Under the following assumption a synchronization result holds.

Assumption 3. The directed communication graph is acyclic. In addition, the graph contains a directed spanning tree with the leader as the root node.

Theorem 1. Under Assumptions 1-3, consider the linear systems (20), with reference model (23), controllers

$$
u_j(t) = \frac{\sum_{i=0}^{N} a_{ij} k'_{ji}(t) x_i(t)}{\sum_{i=0}^{N} a_{ij}} + k'_j(t) \frac{\sum_{i=0}^{N} a_{ij}(x_j(t) - x_i(t))}{\sum_{i=0}^{N} a_{ij}} + \frac{\sum_{i=0}^{N} a_{ij} l_{ji}(t) u_i(t)}{\sum_{i=0}^{N} a_{ij}} \tag{25}
$$

with the index $i = 0$ used for the reference model (i.e. $a_{i0} \neq 0$ only for the root node), and update laws

$$
\dot{k}'_{ji} = -sgn(l_j^*)\gamma_k b'_m P\left[\sum_{i=0}^N a_{ij}e_{ji}\right] x'_i
$$
\n
$$
\dot{k}'_j = -sgn(l_j^*)\gamma_k b'_m P\left[\sum_{i=0}^N a_{ij}e_{ji}\right] \left[\sum_{i=0}^N a_{ij}e_{ji}\right]'
$$
\n
$$
\dot{l}_{ji} = -sgn(l_j^*)\gamma_l b'_m P\left[\sum_{i=0}^N a_{ij}e_{ji}\right] u_i
$$
\n(26)

where $e_{ji} = x_j - x_i$, and k_{ji} , k_i , l_{ji} are the estimates of $k_{ji}^*, k_i^*, l_{ji}^*$ respectively. Then, all closed-loop signals are bounded and $e_i = x_i - x_m$, $e_{ji} = x_j - x_i$, with i, j such that $a_{ij} \neq 0$, converge asymptotically to zero.

Proof 1. The proof uses the Lyapunov function

$$
V = \sum_{j=1}^{N} \left[\sum_{i=0}^{N} a_{ij} e_{ji} \right]' P \left[\sum_{i=0}^{N} a_{ij} e_{ji} \right] + \sum_{j=1}^{N} tr \left[\frac{\tilde{k}'_j \tilde{k}_j}{\gamma_k |l_j^*|} \right] + \sum_{j=1}^{N} \sum_{i=0}^{N} a_{ij} tr \left[\frac{\tilde{k}'_j \tilde{k}_{ji}}{\gamma_k |l_j^*|} \right] + \sum_{j=1}^{N} \sum_{i=0}^{N} a_{ij} \frac{\tilde{l}_{ji}^2}{\gamma_l |l_j^*|} \tag{27}
$$

Stability tools are similar as before and left to the reader. \Box

3. THE CYCLIC AND UNDIRECTED CASE

To understand the effect of cycles and undirected links, let us consider the undirected network of Fig. 2 (left). Let us assume we can calculate the inputs using the same method of Theorem 1. The ideal control actions are

$$
2u_1 = k_1^{*'}x_1 + l_1^{*}r + k_1^{*'}(x_1 - x_2) + k_{12}^{*'}x_2 + l_{12}^{*}u_2
$$

$$
u_2 = k_2^{*'}(x_2 - x_1) + k_{21}^{*'}x_1 + l_{21}^{*}u_1.
$$
 (28)

In order to unequivocally determine u_1 and u_2 , the following equation should have a unique solution

$$
\begin{bmatrix} 2 & -l_{12}^* \\ -l_{21}^* & 1 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \begin{bmatrix} k_1^{*'}(2x_1 - x_2) + l_1^* r + k_{12}^{*'} x_2 \\ k_2^{*'}(x_2 - x_1) + k_{21}^{*'} x_1 \end{bmatrix}.
$$

From Proposition 1 we have $l_{12}^* l_{21}^* = 1$, so the determinant of the square matrix above is $2 - l_{12}^{*} l_{21}^{*} = 1$, and the

.

ideal inputs u_1 and u_2 are well defined. In addition, the synchronization error dynamics with the ideal gains is

$$
\dot{e}_1 + \dot{e}_{12} = 2A_1x_1 + b_1k_1^*x_1 + b_1l_1^*r - A_mx_m - b_mr
$$

\n
$$
-b_1k_1^*x_2 + k_{12}^*x_2 + l_{12}^*u_2 - A_2x_2 - b_2u_2
$$

\n
$$
\dot{e}_{21} = A_2x_2 + b_2k_2^*x_2 - x_1 + b_2k_2^*x_1 + b_2l_{21}^*u_1
$$

\n
$$
-A_1x_1 - b_1u_1
$$
\n(29)

which leads to

$$
\dot{e}_1 + \dot{e}_{12} = A_m(e_1 + e_{12}), \quad \dot{e}_{21} = A_m e_{21}.
$$
 (30)

Let us now consider the directed cyclic network of Fig. 2 (right) and calculate the inputs using the method of Theorem 1

$$
2u_1 = k_1^{*'}x_1 + l_1^{*}r + k_1^{*'}(x_1 - x_3) + k_{13}^{*'}x_3 + l_{13}^{*}u_3
$$

\n
$$
u_2 = k_2^{*'}(x_2 - x_1) + k_{21}^{*'}x_1 + l_{21}^{*}u_1
$$

\n
$$
u_3 = k_3^{*'}(x_3 - x_2) + k_{32}^{*'}x_2 + l_{32}^{*}u_2.
$$
\n(31)

In order to unequivocally determine u_1 , u_2 and u_3 , the following equation should have a unique solution

$$
\begin{bmatrix} 2 & 0 & -l_{13}^* \\ -l_{21}^* & 1 & 0 \\ 0 & -l_{32}^* & 1 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} = \begin{bmatrix} 2k_1^{* \prime}x_1 + l_1^{*}r - (k_1^{*} - k_{13}^{*})^{\prime}x_3 \\ k_2^{* \prime}(x_2 - x_1) + k_{21}^{* \prime}x_1 \\ k_3^{* \prime}(x_3 - x_2) + k_{32}^{* \prime}x_2 \end{bmatrix}
$$

The determinant of the square matrix above is 2 $l_{13}^*l_{21}^*l_{32}^* = 1$, so even in this case the ideal inputs u_1, u_2 and u_3 are well defined. In addition, using similar calculations as in the previous case, it is possible to show that

$$
\dot{e}_1 + \dot{e}_{13} = A_m(e_1 + e_{13})
$$

$$
\dot{e}_{21} = A_m e_{21}, \quad \dot{e}_{32} = A_m e_{32}.
$$
 (32)

Moving beyond the analysis with ideal input, we have that in the presence of parametric uncertainties, the following result, which extends the parametrization in (Baldi and Frasca (2018)) to general graphs, holds.

Theorem 2. Under Assumptions 1 and 2, for any pair of agents (j, i) , the dynamics of the synchronization error

$$
\sum_{i=0}^{N} a_{ij} \dot{e}_{ji} = A_m \sum_{i=0}^{N} a_{ij} e_{ji} + b_j \tag{33}
$$
\n
$$
\left[\sum_{i=0}^{N} a_{ij} \tilde{k}'_{ji} x_i + \tilde{k}'_j \sum_{i=0}^{N} a_{ij} e_{ji} + \sum_{i=0}^{N} a_{ij} \tilde{l}_{ji} u_i \right]
$$

holds independently of the network connection.

Proof 2. The parametrization (33) turns out to be independent on the network connection thanks to the fact that all error dynamics can be homogenized to the reference model (A_m, b_m) via appropriate control gains. Therefore, the dynamics can be summed (cf. (29) or (32)) even in the presence of cycles and undirected links.

Given the parametrization (33), one might be tempted to say that the algorithm in Theorem 1 can be used straightforwardly with the Lyapunov function (27). However, some attention must be paid when doing this: in fact, the actual input u_i may be not well defined for all time instants on general graphs. To explain this point, let us

collect all inputs in (25) on the left-hand side, leading to $U[u_1 \cdots u_N]^T = [\beta_1 \cdots \beta_N]^T$ for an appropriate square matrix U depending on the estimates l_{ji} . On directed acyclic graphs, U can be made upper triangular, with positive weights in its main diagonal, thus U is always invertible. On general graphs, the invertibility of U depends on l_{ji} ¹. Despite this difficult analytic aspect, the simulations in the next section show that the algorithm in Theorem 1 can handle networks beyond Assumption 3, and U turns out to be invertible at all time instants.

Remark 3. The expression after (31) reveals that the agent are 'fictitiously' inverting the matrix U by only using neighbors' information. How such inversion is robust to delays is an open problem worth of future investigation.

4. SIMULATIONS

Fig. 3. Acyclic, cyclic and undirected networks

The simulations are carried out on a directed acyclic network, on a directed cyclic network, and on an undirected network, as shown in Fig. 3. Node 1 acts as the leader node and the reference model is indicated as agent 0. The agents are second-order linear agents in the canonical form

$$
\dot{x}_i = \begin{bmatrix} 0 & 1 \\ a_{1_i} & a_{2_i} \end{bmatrix} x_i + \begin{bmatrix} 0 \\ b_{1_i} \end{bmatrix} u_i \tag{34}
$$

with coefficients and initial conditions as in Table 1. The matrices are given in terms of $\dot{x}_0 = A_m x_0 + b_m r$ for the reference model and $\dot{x}_i = A_i x_i + b_i u_i, i \in \{1, ..., N\}$ for the other agents. The other design parameters are taken as: $\gamma_k = 3$, $\gamma_l = 0.3$, $Q = diag(1, 3)$, and all estimated gains are initialized to 0. Also note that $sgn(l_i^*) = 1, \forall i$. The simulations are carried out for a sinusoidal reference r of frequency 0.2 rad/s and amplitude 1. For the acyclic network, the resulting synchronization is shown in Figs. 4 and 7. All states converge asymptotically to the state of the reference model.

Table 1. Coefficients and initial conditions of agents

	a ₁	a ₂	b ₁	x_0
agent $\#0$	-0.5	-1		-1 [']
agent $#1$	-1	2		1 [']
agent $#2$	-0.75	2.5	0.5	$[-1 -1]$
agent $#3$	-1.25	$\overline{2}$	1.25	0 [′] $ -1 $
agent $#4$	-0.5		0.75	[0 1]'
agent $#5$	-0.75		1.5	11 0 [′]
agent $#6$	-1.5	2.5		

In the directed cyclic graph, two cycles are present (2-3-4 and 4-5-6). Using the same parameters as in the previous simulations, the resulting synchronization is shown in Figs. 5 and 8. It can be seen that synchronization is slightly faster, at the price of a larger magnitude of the input. Finally, for the undirected graph the synchronization is shown in Figs. 6 and 9). It is observed that having bidirectional connections does not necessarily help synchronization: synchronization is slower than in the previous cases.

¹ A companion paper (Baldi et al. (2018)) shows that appropriate parameter projection can guarantee invertibility of U.

Fig. 4. Acyclic network: state/input for reference model (dashed) and agents (solid)

Fig. 5. Cyclic network: state/input for reference model (dashed) and agents (solid)

Fig. 6. Undirected network: state/input for reference model (dashed) and agents (solid)

Fig. 7. Acyclic network: state synchronization errors for all agents

Fig. 8. Cyclic network: state synchronization errors for all agents

Fig. 9. Undirected network: state synchronization errors for all agents

5. CONCLUSIONS

We studied synchronization of uncertain agents via a MRAC formulation with distributed input. We showed that the parametrization derived for the acyclic case (Baldi and Frasca (2018)) can be extended to more general graphs. Despite a suitable Lyapunov function exists and ideal inputs (with ideal gains) might be well defined, it is difficult to prove that the actual inputs (with estimated gains) are well defined for all time instant. Simulations suggest so. Future work will include considering unmatched uncertainties (Lymperopoulos and Ioannou (2016)) and switching topologies by using adaptive switching strategies (Sang and Tao (2012); Yuan et al. (2017)).

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